



Brief Report

Clinical Trials of Vitamin Supplements: Are They Meeting the European Medicines Agency Prompt Dissemination Regulation?

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Abstract: Vitamin supplements are over-the-counter medications consumed by the majority of adults. Given that many supplements may be ineffective and/or associated with adverse events, compliance of the registered trials to the European Medicines Agency (EMA) rule for prompt reporting of the results is of crucial importance for consumers' health. The present retrospective study was designed to evaluate compliance with the European Union (EU) requirement to post the trial results to the EU Clinical Trials Database (EudraCT) and assess the trial characteristics associated with this compliance. Three independent researchers searched the EudraCT for completed trials on vitamin supplements performed on humans. A total of 144 completed trials involving 40,464 participants fulfilled the inclusion criteria. For 45.7% of these, results were due. Trials funded by the industry had approximately quadruple chances of being published, adjusting for their design, masking, comparator, and participant age group. Moreover, trials testing vitamin supplement safety are more likely to report their findings as compared to vitamin efficacy. Many vitamin supplementation trials registered in the EudraCT failed to report their results and adhere to the EMA regulations. Stricter regulations should be imposed concerning trial results reporting to increase transparency and public trust.

Keywords: trial registration; dietary supplements; medical nutrition therapy; research methodology; trial design; funding; oral nutrient supplements; research transparency; good clinical practice

1. Introduction

The dietary supplements industry has grown during the past decade, offering more than 90,000 products on the market [1]. Although supplements are often advertised claiming health benefits [2], most of these are not supported by scientific evidence [3]. In parallel, many dietary supplements are either adulterated or contaminated [4–7] and have been associated with multiple adverse events [8]. While consumers admit not trusting dietary supplement advertisements [9], they tend to consume at least one as adults [10–13], mainly in the form of multivitamins [11,13,14]. As concerns for consumer safety have been raised [9,15,16], the need for transparency in the dietary supplement industry in parallel to consumer education is imminent [17].

Dietary supplement research consists mainly of *in vivo* and animal studies, with many reaching the level of randomized controlled trials (RCTs), without always examining validated endpoints. Lesser et al. [18] were the first to report that, as far as nutrition research is concerned, the type of funding source is significantly related to the conclusions drawn, with commercial funding being associated with more positive results. Since then, this industry effect has been reported in drug and device studies concerning direct [19,20] and indirect funding [21]. Trial quality appears to be a constantly moving target [22] and the lack of adherence to good clinical practices is apparent in both the USA and the European Union (EU) [23]. Within the EU, registration of all RCTs is required on the European Union Clinical Trials Database (EudraCT) platform. The completion of the study must be followed by the prompt (within a year) publication of the results. Through this rule, the European Medicines Agency (EMA) aims to increase transparency and availability of the results, irrespectively of their publication in scientific journals. However, a review of the EudraCT entries revealed that only half (49.4%) of the completed trials reported their results promptly [24]. The same trend was identified in the clinicaltrials.gov registry [25].

Given that vitamin supplements are over-the-counter medications (i.e., available without a prescription) and consumed by most adults while often entailing various adverse events, compliance of the registered trials to the EMA rule for prompt results reporting is crucial for consumers' health. The present study was designed to evaluate the vitamin supplement trials' compliance with the EU requirement to post the results of the trials to the EudraCT and assess the trial characteristics associated with this compliance.

2. Materials and Methods

2.1. Search Strategy

The EudraCT was searched for completed trials on vitamin supplements by three independent researchers (M.L., S.K., D.B.). Trials were considered eligible when (1) performed on humans of any age and health status, (2) were completed, (3) involved an intervention with any vitamin supplement without concomitant medication, and (4) did not include vitamin supplements in the placebo comparator.

2.2. Data Extraction

For each trial, data were extracted by three reviewers (M.L., S.K., D.B.) in a predefined Microsoft Excel® (Microsoft, Redmond, Washington, WA, USA) form, including (1) the planned sample size, (2) comparators, (3) country of origin, (4) funding source, (5) population (age group, health status), (6) scope of the trial (diagnosis, prophylaxis, safety, therapy, efficacy), (7) masking, and (8) design.

2.3. Statistical Analyses

To test the normality assumption of the data, the Kolmogorov–Smirnov test was used. Non-normally distributed continuous data were presented as medians and interquartile range (IQR), and categorical data were expressed as frequencies. A binary logistic regression was applied to assess the association between protocols that had published their results within a year after completion and explanatory variables. In the univariate model, explanatory variables with a $p < 0.2$ were selected for inclusion in the multivariable model. Explanatory variables with a $p > 0.2$ in the univariate model considered methodological interest were also included in the multivariable model. Effects of the risk factors were expressed as odds ratios (OR) with their 95% confidence intervals (95% CI) and respective p values. The area under the receiver operating characteristic (ROC) curve was calculated for the multivariable model. The level of significance was set at $p < 0.05$. All analyses were performed in SPSS version 25.0 (SPSS, Chicago, IL, USA).

3. Results

3.1. Characteristics of the Included Trials

Out of the 360 trials identified, 95 consisted of duplicate entries and were removed. Of the remaining, 144 involved completed trials, including 40,464 participants. For 45.7% of identified registered trials, results were due. The characteristics of the completed trials are presented in Table 1. Identification of trials with positive findings was not possible, as the majority reported mean and standard deviation characteristics per intervention arm.

Table 1. Characteristics of the trials included in the analysis (*n*).

Sponsor:	Commercial	34
	Academic	72
	Non-commercial	38
Scope of the trial:	Diagnosis (Yes/No/NR)	7/128/9
	Prophylaxis (Yes/No/NR)	36/96/9
	Safety (Yes/No/NR)	78/60/6
	Therapy (Yes/No/NR)	93/48/3
	Efficacy (Yes/No/NR)	105/38/1
Design:	RCT (Yes/No/NR)	127/16/1
Masking:	Double-blind (Yes/No/NR)	98/41/5
Participants:	Newborns (Yes/No)	2/140
	Infant and toddlers (Yes/No)	1/143
	Children (Yes/No)	8/136
	Adolescents (Yes/No)	11/133
	Adults (Yes/No)	126/18
	Aging (Yes/No)	108/36
Population health status:	Healthy	14
	Patients	120
	Healthy participants and patients	8
	Vulnerable population	2
Sample size:	<i>n</i> *	86 (57–200)
Country of origin:	UK	42
	Austria	20
	Multicenter	14
	Other	68

* expressed as median (IQR); IQR, inter-quantile range; NR, not reported; RCT, randomized controlled trial; UK, United Kingdom.

3.2. Factors Associated with Prompt Results Reporting in Trials

The univariate regression analysis (Table 2) revealed that trial results were more likely to be reported when assessing vitamin safety and being sponsored by the industry. Based on the multivariate regression analysis results, trials funded by the industry had approximately quadrupled the chances of being published, taking into account their design, masking, comparator, and participant age group.

Table 2. Multivariate regression analysis explaining prompt results reporting.

Variables	Univariate Model			Multivariable Model		
	OR	95% CI	<i>p</i> Value	OR	95% CI	<i>p</i> Value
Safety scope (Yes/No)	2.35	1.18 to 4.70	0.016	1.66	0.76 to 3.58	0.201
RCT design (Yes/No)	1.66	0.55 to 5.01	0.365	1.98	0.61 to 6.45	0.257

Table 2. Cont.

Variables		Univariate Model			Multivariable Model		
		OR	95% CI	p Value	OR	95% CI	p Value
Sponsor:	-Commercial vs. non-commercial	5.13	1.88 to 14.01	0.001	4.42	1.53 to 12.75	0.006
	-Academic vs. non-commercial	1.15	0.49 to 2.72	0.746	1.23	0.52 to 2.93	0.643
Adult participants (Yes/No)		1.36	0.48 to 3.86	0.563	0.96	0.32 to 2.85	0.937

CI, confidence intervals; OR, Odds ratio; RCT, randomized controlled trial; ROC, receiver operator curve; Area under the ROC curve: 0.69 (95% CI 0.61–0.78), $p \leq 0.001$.

4. Discussion

The present study revealed that the scope of the vitamin supplement trials (safety assessment) and the sponsor type are independent factors affecting prompt publication of the results. On the other hand, when all the key trial characteristics were accounted for, commercially funded trials were more likely to report their findings in the registry within the one-year deadline suggested by the EMA.

Among published trials, the funding of RCTs by the industry is quite common [26]. The same trend has been reported to prevail in nutrition research [27–30]. Industry trials are more likely to adhere to dissemination regulations compared with non-commercial trials and are more likely to publish their findings on time [19–21,24,31–33]. When the pharmaceutical industry has spent funds on a trial, results are expected to be disseminated. Gordon et al. [33] reported that trial costs were positively associated with timely publication. In parallel, when a commercial sponsor finances several trials, prompt result dissemination is more likely to occur [24], as frequent funders tend to comply with transparency rules [34]. Interestingly, even academic-led trials often fail to disseminate their results promptly [35–37].

Overall, the compliance of vitamin intervention trials to the requirement for results publication appears poor [24]. Goldacre [24] reported that many trials remain on an “ongoing” status to bypass the dissemination problem, although they have, in fact, been completed. Indeed, in the present study, many trials were registered as ongoing, although in some cases, 10 years had passed from the reported trial initiation date. According to Chen [35], results dissemination is gradually increasing following the completion of the study, with the majority of trials publishing their findings sometime after the suggested 12-month period, at a median time of 26.1 months.

Trial transparency is an important issue [38,39], pledged by the World Health Organization [40] and the European Commission (EC). According to the Helsinki declaration for research conducted in humans, not only positive, but negative and inconclusive results must also be published, or made publicly available. On some occasions, research appears to be conducted based on inertia and convention instead of evidence-based medicine [41]. Although mandatory trial registration was an important milestone towards transparency [42,43], selective reporting bias remains an issue of concern, especially among commercially funded research [31,44–48]. The research appears unanimous on the fact that trials with positive findings are more likely to be published or report their findings [33,49–51]. Table 3 details the primary studies investigating the timely reporting of results in various research areas. Most studies indicate a suboptimal reporting rate, dependent on several factors and trial characteristics [24,25,31–33,52–54].

Table 3. Characteristics of primary studies investigating results reporting in trial registries.

First Author	Study Design	Registry Searched	Interventions Included in Analyses	Completed Trials (<i>n</i>)	Registry Reported Results (%)	Factors Associated with Results Reporting															
						Sponsor			More Recent Trials	Frequent-Funder Sponsor	Industry Collaborator	Trial Phase	RCT	Trial Origin		Terminated Trial	Sample		Trial Aim		
						Industry	US State	Academic						Outside the US	With US Partner		<i>n</i>	Adult	Drug	Vaccine/BA	Device/DTA
Al-Durra [48]	CS	CTG	Digital health	556	11	↓	↑						↑ ^{II}	↑							
Chen [35]	CS	CTG	All ^{GE}	4347	26.8 [†] 12.6 [‡]																
DeVito [25]	Cohort	CTG	All	4209	40.9 [*] 63.8 [†]	↑	↑ [†]			↑	↑	↓ ^{I/III}	↓	↑	↑			↑	↑	↓	
Gill [32]	Retrospe-ctive cohort	CTG	US-based	3360	28	↑						↑ ^{III/IV}					↑				
Goldacre [24]	Retrospe-ctive cohort	EudraCT	All	7274	49.5	↑			↑	↑											
Gopal [53]	CS	CTG	All	1097 [^] 2231 [‡] 2923 [§]	6.8 [^] 19.1 [‡] 10.8 [§]	↑	↓		↑			↓ ^{IV}									
Jones [49]	CS	CTG, EudraCT, BCTR, ICTRP, CPRCT, etc.	Zika, Ebola, H1N1	333	5 [*] 18 [‡] 47 [†]							↓ ^{III}									
Law [52]	CS	CTG	All	337	12	↑	↓					↑ ^{III/IV}					↑				
Liu [36]	Cohort	CTG	Oncology	12,240	37.8		↑	↓							↑	↑					
Prayer [45]	CS	CTG	All	5642	22	↑						↑ ^{III/IV}									
Saito [46]	CS	CTG	Random sample	400	29.5	↓						↓ ^{II/III}	↓			↑	↓				
Turner [37]	CS	CTG	Neurology	4719	32.2	↑	↑	↓											↑		↑
Van Hereten [47]	CS	CTG	Otology	419	8.6	↓														↑	
Viteri-García [55]	CS	Trials Tracker	Dentistry-related	20	40	↑															
Present study	CS	EudraCT	ONS-related	144	45.7	↑							↑								

BA, biological agent; BCTR, Brazilian Clinical Trials Registry; CPRCT, Cuban Public Registry of Clinical Trials; CS, cross-sectional; CTG, <https://clinicaltrials.gov/> (accessed on 3 August 2022); DTA, diagnostic test accuracy; EudraCT, European Union Clinical Trials Database; H1N1, ICTRP, International Clinical Trials Registry Platform; ONS, oral nutrient supplementation; US, United States; * at one-year post-completion data, in compliance with the law; † at any time after trial completion (often exceeding the one year); ‡ at two-year post-trial completion; ^ 2006–2007 period; ‡ 2007–2008 period; § 2008–2009 period; ^I phase 1 trial; ^{II} phase 2 trial; ^{III} phase 3 trial; ^{IV} phase 4 trial; ^{GE} conducted by an academic medical center; ↑ increased; ↓ decreased.

Concerning trial characteristics, the adoption of an RCT design is associated with less likelihood of adhering to the reporting timeframe [46], although the opposite was shown herein with regard to vitamin supplements. As for the age of the participating sample, trials with a children target population have been suggested to be more likely to disseminate results [32,46]. However, in the present analysis, no difference was recorded in the dissemination rate between trials with participants of different age groups. Regarding the type of funding source and prompt results reporting, the existing evidence appears contradicting (Table 3).

The literature indicates that an industry-funded trial is more likely to adhere to the results reporting regulations [24,25,32,37,45,52,53,55], whereas few studies suggest the opposite [46–48]. Moreover, several government-funded trials fail to report their results in a timely manner [52,53]. The frequency of funding is also important with sponsors conducting several trials having greater chances for timely results publication [24,53]. On the other hand, academic funding is associated with reduced results reporting [36,37]. As expected, more recent trials exhibit greater chances of reporting their results promptly [24,53]. Trials terminated early [25,36], or those with more participants [36,46,52] are more likely to report their findings.

The present retrospective study revealed that even trials with vitamin interventions, seemingly encompassing fewer adverse effects compared with medication or vaccine interventions, are often failing to report their results on time. This might be due to negative findings concerning the efficacy, adverse events, or factors associated with participant recruitment and the overall quality of the trial. Trials of dietary supplements often have negative findings [15] and an increased rate of adverse events. The evidence of harm appears to be accumulating [8]. Although a “food-first” policy [56,57] is the approach for all micronutrient inadequacies, considering that vitamin supplementation inevitably increases dietary intake [58,59], the majority of dietitians tend to prescribe vitamin supplements [56]. However, the present study revealed that publication of relevant clinical trials is often arbitrary, lacking compliance with regulatory rules, limiting the ability to aid the development of evidence-based clinical decisions [3,60].

Dietary supplements are key players in the health arena, and the recent EMA approval of icosapent ethyl/eicosapentaenoic acid for reducing the risk for cardiovascular disease [61] indicates that the road for lifestyle medicine has been paved. Undoubtedly, a careful balance of all nutrient requirements is important for health attainment [62]. In this manner, unhealthy nutritional habits and lifestyle effects often have a negative effect in nutrient homeostasis, in which case, dietary supplements are required to restore nutrient balance [63]. Additionally, in several chronic diseases, pharmacotherapy often interacts with individual nutrient requirements, augmenting the needs of patients in order to improve quality of life, medication tolerance, disease-specific endpoints, or tamper down adverse events associated with chronic medication prescription [64–67]. In all the aforementioned cases, the use of dietary supplements can be beneficial, however, response greatly varies according to the genetic make-up of each patient [68,69] (pharmacogenetics) and the degree of observed nutrient deficiency.

The need for robust, transparent, and independent trials in nutrition research consists of a public health priority, and for this, specific guidelines have recently been developed by the NOURISH working group [70–73]. Recently, the science of nutrition has been criticized for using inaccurate assessment methods and weak study designs [72,74–76], all of which undermine its integrity and usefulness for public health. Industry is a key factor contributing to nutrition research. As a result, the food and pharmaceutical industries will always be linked to the science of nutrition. Nevertheless, research integrity and transparency through a collective effort are required to improve medical practice and medical nutrition therapy. According to Feehan [77], apart from the production of high-quality scientific research, investigators and sponsors are also responsible for maintaining public trust. Although in their majority, vitamin supplementation trials do not appear to adhere to the EMA reporting guidelines and standards, the case may be worse with regard

to herbal medicine supplement trials. Recent research in the area revealed that trialists fail to adhere to the formula elaborations suggested by the CONSORT statement for RCTs incorporating herbal medicine interventions, including standardization information, data on the purity, quality, etc. [67].

Recently, the joint letter issued by the EC, EMA, and the Head of Medicines Agencies (HMA) [78], reminded sponsors who were not compliant with the EC guideline, on their legal requirement to disseminate their results in a timely manner. Through these reminders, the percentage of posted results increased substantially, although for some trials, the reminders were not deemed successful. Similar efforts were also conducted in the USA, revealing that email reminders of the requirement to post findings improved results reporting [79].

Limitations of the present study include the search in one registry only and the inability to identify trials with positive findings. However, it should be noted that the arbitrary publication of findings in the registry, with many failing to answer the main hypothesis tested, is an important issue identified. Moreover, we did not manage to use the quality checklist for clinical trials submission proposed recently, as our search preceded its publication [80].

Selective publication of a trial's findings endangers the fields of evidence-based medicine and nutrition and reduces the public's trust to the industry [81]. These fields are of critical importance to public health; thus, a collective approach is required to increase trial transparency. Moreover, the future calls for shared clinical data on the individual patient level, aiming to increase the application, aggregation, and reuse of clinical trial findings [81,82]. At the moment however, trial data sharing appears inadequate in the EU, despite the fact that trial results affect the health of millions of people across the continent [83].

5. Conclusions

According to Goldacre [24], the higher rate of disseminated findings among commercially financed trials indicates that compliance is, in fact, feasible. It appears that more recently conducted trials will likely adhere to trial transparency rules more adequately [24], suggesting that compliance is a learning procedure for all actors involved. Although research appears consistent concerning the lack of prompt dissemination of the findings of clinical trials, related consequences remain vague, allowing for a breach of the statutory obligations of trialists and funders [38]. Moreover, the fact that trials assessing over-the-counter substances, like simple vitamins, still fail to comply, leaves ample space for speculations over their efficacy and possible harms. Thus, stricter regulations should be imposed concerning trial results reporting.

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