



Article Borehole Analysis with the Modification of RQD Value

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Abstract: The most common classification method of drill cores is the Rock Quality Designation (RQD) value, which indicates the percentage of rock cores longer than 10 cm in a given core section. This core logging procedure is the basic parameter in the most useful rock mass classification methods like Rock Mass Rate (RMR) and Rock Mass Quality (Q). It is also used to determine the Geological Strength Index (GSI), which has become widely used in the last 20 years. One of the basic problems of the RQD value is that it does not distinguish different rock cores longer than 10 cm (100% is obtained for one piece of 1 m length and 10 pieces of 10 cm length) and a uniform result is obtained for shorter units. In this paper, the so-called Integrated RQD (Int_RQD) factor is introduced to eliminate these problems and to provide a better description of fracture density in the core logging procedure. As it uses the original core logging procedure, historical RQD data can also be reevaluated. Considering that RQD is an input parameter for most rock engineering classifications, these systems such as GSI can be reviewed based on the new RQD definition proposed herein.

Keywords: Rock Quality Designation (RQD); core logging; fractures; rock mass classification; borehole analyses

1. Introduction

The nature of rock masses is determined by the geological evolution of the rock. As a result, various fracture intensities became a significant parameter in failure behavior besides the physical characteristics of intact rock. This requires the evaluation of the structure when determining the engineering properties of the rock.

The RQD (Rock Quality Designation) method [1] has become the predominant method for the analysis of rating and the quantitative analysis of drill cores and has become almost the only accepted method used worldwide today. It is also used as base data by the most widely used rock classification methods: Bieniawski's RMR [2,3] and the Q-method introduced by Barton [4]. Rehman and co-authors [5,6] analyzed these rock mass classification methods focusing on the different input parameters, including the RQD value.

It can also be applied for determining the Geological Strength Index (GSI) of the borehole [7,8]. The Geological Strength Index (GSI) system is widely used for estimating the strength reduction from an intact rock to a rock mass, introduced by Hoek et al. [9]. It is a unique rock mass classification system used as part of the Hoek-Brown failure criterion for deriving the strength and stiffness of a rock mass [10]. The GSI can be estimated using a standard chart and field observations of rock mass fracture intensity and surface condition of the discontinuity.

The RQD can also be used for calculating the Geological Strength Index (GSI): on the basis of several studies, Hoek et al. [7] suggested the following simple formula for GSI calculation:

$$GSI = 1.5 JCond_{89} + 0.5 RQD$$
 (1)

JCond₈₉ (Joint Condition) rating defined by Bieniawski [3]—the maximum value is 30. According to the definition, the determination of the RQD value was based on the drill core (with a minimum diameter of 55 mm) and the length of the extracted core samples.



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Copyright: © 2023 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). The metric itself gives the total length of the pieces longer than 100 mm as a percentage, i.e., the RQD value [1]:

$$RQD = \frac{\Sigma h_{10}}{h_b - h_a} 100[\%],$$
 (2)

where Σh_{10} is the total length of the pieces longer than 10 cm, and h_b and h_a are the upper and the lower depths of depth intervals.

According to Deere [11,12], boreholes where the jointing surface is parallel with the borehole axis are also considered to be intact, and fresh fractures that clearly occurred during drilling also need to be ignored. The RQD value gives a realistic picture even in the case of poor core conditions, as these are caused by very high fracture intensity or weak rock. Table 1 shows the relationship between the RQD value and the rock test classes, based on practical observations. This table corresponds to the classification given in EUROCODE 7-1 [13].

Table 1. Classification of rock types according to EUROCODE 7-1 with rock mechanics designations [13].

RQD %	Rock Mass Classification (EUROCODE 7)	Description
>25	very poor	Disintegrated/Soil
25–50	poor	Shattered, very blocky
50-75	fair	Blocky and seamy
75–90	good	Massive, slightly blocky
90–100	excellent	Intact

RQD can also be determined from discontinuity frequency obtained from scanline sampling. Priest and Hudson [14] suggested a negative exponential distribution. According to their calculation, the relationship between RQD and a linear discontinuity frequency, λ is as follows:

$$RQD = 100^{-\lambda t} (\lambda t + 1), \tag{3}$$

where t is the length threshold. For t = 0.1 m as for the conventionally defined RQD, Equation (3) can be expressed as [14]

$$RQD = 100^{-0.1\lambda} (0.1\lambda + 1), \tag{4}$$

When data is available, both in terms of the number of joint sets and average spacing between joints of each joint set [13], the joint frequency is determined using Equation (4):

$$\lambda = \frac{\text{number of joint sets}}{\text{avearge specing (m)}}$$
(5)

For values of λ in the range of 6–16 m⁻¹, the following linear equation can be used:

$$RQD = 110.4 - 3.68\lambda$$
 (6)

The relations obtained by Priest and Hudson [14] between measured values of RQD and λ , and the values calculated using Equation (4) are shown in Figure 1.

The curves of the theoretical RQD against values of λ (0–50) for various threshold values t, using Equation (3) are plotted in Figure 2. The figure demonstrates the disadvantages of both the RQD additive principle and the threshold value principle. The curves show that the larger the threshold value t, the steeper the drop of the RQD as a function of k. This is because smaller core pieces are disregarded. However, as the threshold value decreases, the drop of RQD becomes flatter. This is because all the shorter cores are now taken into account, although their number and, consequently, the number of fractures are not included. It can be argued that using some middle threshold value, such as 0.1 m, or selecting an appropriate threshold value for a given rock mass compensates these disadvantages [15].



Figure 1. Relationship between RQD and discontinuity frequency λ .



Figure 2. RQD* curves calculated using Equation (2) for different values of threshold t.

Both the geophysical and geotechnical parameters are influenced by the same structural heterogeneity and the geological properties such as rock type, degree of weathering and water content, fractures/faults, porosity, and permeability [16,17]. As a first approximation, it can be assumed that the acoustic wave propagation time in the rock matrix is constant, i.e., wave propagation time is essentially a function of the mechanical state of the rock and depends only slightly on its chemical composition. Apart from seismic properties, the electrical resistivity of rocks is also well-distinguished, because in fractured rocks it derives from joint network and clay mineralization [17,18]. Compared with the traditional geotechnical approaches, geophysical methods provide non-destructive volumetric measurement, in a fast, user friendly and inexpensive way [19,20].

The RQD value was first defined for rock core logging, and later extended to rock surfaces analysis [21,22]. In this paper we focus exclusively on rock core analysis. Deere and his co-authors suggested using this value for calculating the rock load factor and the width of the opening [23,24] of the tunnel design. The method has the advantage of being quick and simple—support predictions of the tunnel based on RQD are easy to perform and they give a first indication of the support requirements based on information gathered from borings.

This value can be used for estimating the deformation moduli of the rock mass [25,26]. Zhang and Einstein [26] calculated the relationship between RQD and the ratio of deformation moduli of rock mass (E_m) and the intact rock (E_r). By processing a large number of measurements, they determined the following relationships (see Figure 3):

 $E_m/E_r = 10^{0.0186RQD-1.91}$

Lower bound:

$$E_m/E_r = 0.2 \times 10^{0.0186RQD - 1.91}$$
 (7)

• Upper bound:

$$E_{\rm m}/E_{\rm r} = 1.8 \times 10^{0.0186 {\rm RQD} - 1.91}$$
 (8)

• Mean:



Figure 3. Recommended relationships between RQD (%) and E_m/E_r , according to Zhang and Einstein [26].

The figure shows that at 100% RQD there can be up to fivefold difference between E_m/E_r values. This can be explained by the different borehole lengths.

This value can be used for estimating both the deformation moduli [24,25] and the strength [26] of the jointed rock mass, among others [26–29]. Ván and Vásárhelyi [30] suggested that it could also be used as a damage model.

It is important to note, however, that a minor professional debate about the usefulness of the RQD factor has been ongoing for decades, with the result that some have 'ditched' (or at least wanted to ditch) this factor [31]. According to the arguments of Pells et al. [31] the difficulty in correlation is that RQD is a one-dimensional measurement based only on core pieces longer than 0.1 m, which means that the application of RQD in rock engineering may lead to inaccuracy. The incorporation of this parameter within the two systems was a matter of historical development, and its use in this classification system is no longer essential [31].

A study of Su et al. [32] demonstrated the capabilities of deep learning algorithm in core image segmentation, which can easily be extended to more applications and enhances the accuracy of engineering requirements. Their method enables efficient RQD calculation for geological engineers, taking only 15% of the time of manual measurement. However, it is questionable whether the fractures caused by handling or drilling can be identified and ignored when determining the RQD value with deep-learning.

The use of RQD to create a discrete fracture network model is also a well distinguished question and calculations in 3-D space are useful for reflecting the variations in different orientations [33].

(9)

Li et al. [34] introduced the corrected RQD (RQDc) as follows:

$$RQDc = \frac{p_r}{N^a}$$
(10)

where p_r is the percentage of solid core recovery, SCR (0–100), N is the number of cores in a core run, and a is the exponent of the power function. The exponent is a variable and must be determined on a case-by-case basis. Through trial and error, it was concluded that the best approximate fit for the considered data for exponent is 0.26 [20].

2. Disadvantages of the RQD Method

The first interpretation has a very strict rule which says that we only need to take 10 cm-long cores into account. It seems a very simple rule; however, RQD is strongly influenced by the relative orientation of the borehole (or scanline) with respect to the orientation of the fractures. It also means that the traditional method of obtaining rock quality designation (RQD) cannot fully reflect the anisotropy of the rock mass thus cannot accurately reflect its quality [32,33].

Palmström's [33,34] analyzed the shortcomings of the RQD factor in detail, describing the dependency of orientation and the inconsistencies in the original definition. Pells et al. [31] pointed out that even though the greatest source of differences in core-logged RQD values arises from professionals, in certain parts of the world the hard and sound criterion in the definition is ignored and that's why in many countries the definition is no longer consistent with the original methodology and logic fits creator. Azimian [35] emphasized the problems with piece length and discontinuity orientation, which do not affect the values, and suggested a new method, RQDI that represents a more reliable and accurate rock quality value, although RQDI requires much more core logging time and effort with higher accuracy for more details. It must be emphasized that due to its disadvantages and the sophisticated tunnel face mapping procedure to determine RQD, it has been replaced by fracture frequency parameter in the revisions of RMR (RMR13 [36], RMR14 [37], RMR19 [38]).

Figure 4 shows the measurement results of Palmström [39], pointing out errors of using this value: as can be seen in the figure, there can be a significant difference between the actual jointing picture of a drilling section with RQD = 0% and a drilling section with RQD = 100%. As illustrated in the figure, RQD = 0% can be obtained both when the core is completely fractured and when the length of the intact cores extracted is just under 10 cm. When the distance (intercept) between joints is 9 cm or less, the RQD is 0, whereas RQD is 100% when the distance is 11 cm or more. Thus, it gives no information on the core for pieces < 10 cm; i.e., it does not matter whether the discarded pieces are earth-like materials or fresh rock pieces up to 10 cm length.



Figure 4. Example of minimum and (0%) and maximum (100%) RQD values (according to the critique of Palmström [39]).

The question arises: how can the problem raised by Palmström [40] be addressed? This is proposed by introducing an integrated RQD value which is demonstrated through a study of exploratory boreholes from the host rock of the Hungarian National Radioactive Waste Repository.

3. Investigated Rock and Data

The analyzed data originated from exploration boreholes drilled in the Carboniferous Mórágy Granite Formation (MGF), selected as host rock of the repository of low- and intermediate-level radioactive wastes [41]. After underground investigation and construction phases the operation phase started in 2012.

The Bátaapáti radioactive waste repository is found in a slightly metamorphosed granitoid rock type of Carboniferous age, the Mórágy Granite Formation in South Hungary (Figure 5). The tectonic and metamorphic evolution of the granite formation can be well presented in the geologic map of the repository surrounding (Figure 6).



Figure 5. The location of the Bátaapáti Nuclear Waste Repository site in Hungary. Surface geological environment of the area of the repository [41].



Figure 6. The geologic map of the repository chambers with the year of construction (**left**) [41] and a picture of a characteristic tunnel face (**right**), (**Left**) Light green: Monzonite and hybrid rocks. Purple: Monzogranite rock. Red lines: Main shear zones. Green line: Trachyte dyke.

In petrological terms, this formation is an igneous rock body which is composed of diverse granitoid subtypes, mainly monzonite and monzogranite. Feldspar and quartzrich leucocratic dykes belonging to the late-stage magmatic evolution. Late Cretaceous trachyte and tephrite dykes crosscut all of the previously described rock types. In general, fractured but fresh rock is common which is sparsely intersected by fault zones with few meters thick clay gauges. Intense clay mineralisation in the fault cores indicates a lowgrade hydrothermal alteration. In general, the intensity of water inflow has also increased around the faults zones but clay gauges usually behave as hydrogeological barrier. The most fundamental tectonic feature of the area is the braided sigmoidal structures from macroscale to microscale. These form a complex fracture network. The distribution of open and closed fractures is similar to that of all fractures, with minimal differences in emphasis. It was also found that it is not possible to identify typical fracture filling materials that can be classified into tectonic phases but correlation between fracture directions and infilling thickness and quality exists [41,42]. There may be significant differences between the fracture distribution and fracture intensity depending on the investigated deformation segment of the study area [43–46].

During the 2002–2003 surface exploration phases, 23 boreholes were drilled. Eight of them were of depths between 300–411 m. In underground research program, 100–150 m long research boreholes were drilled in the axis of tunnel driving or in other directions with the aim of geological, hydrogeological, geotechnical research concepts. These research boreholes had very detailed geological description. In engineering geology aspects, original rock mass classification systems were applied as RQD, RMR, Q, GSI, and this data was the basis of structural design and it provides valid information for construction and geotechnical monitoring [43–46].

Systematic sampling of boreholes for laboratory rock mechanics tests was intended to determine the matrix parameters of intact rocks. Both high number of uniaxial compressive tests and triaxial tests were carried out to obtain the rock mechanical parameters [47–49]. The most important average rock mechanical parameters are summarized in Table 2.

Table 2. Average rock mechanical parameters of the intact rock.

Property	Value
Uniaxial compressive strength	102 MPa
Tensile strength	6.4 MPa
Young's modulus	45.9 GPa
Poisson's ratio	0.17
Bulk density	27.10 kN/m^3

According to the results, the formation has high strength and elasticity properties. However, due to the geological and tectonic history of the Mórágyi Formation described above, the rock mechanics-geotechnical parameters are extremely inhomogeneous, thus their spatial extension on the site is rather limited. One of the primary factors in this limitation is the presence of the wide, clayey fracture zones.

According to [42,47], the frequency distribution of RQD data from borehole is multimodal for all rock types. The rocks are heterogeneous in their RQD distributions, and the range of distributions is wide. Some of the variability in the confidence intervals for the mean is due to the different mechanical behavior of the rock types as it is interpreted in [43,50]. Monzonite shows lower fracture intensity than the other rock types. Aplite and trachyandesite are distinguished from other rock types by their highly fractured behavior. Based on the homogeneity test of the fracture pattern, rocks can be divided into four groups (monzogranite, monzonite, hybrid, vein-type). Observations confirmed that a wide, clayey fractured zone is to be expected in all rock types. In the results of [43,50], the spatial variability of RQD from borehole investigation is larger than RQD from underground excavation surfaces. The spatial distribution picture of the repository was dominated mostly by tectonic patterns and rock domain with lower fracture intensity [51].

Further analysis of the boreholes is essential for subsequent design and construction works and analysis of RQD definition can be implemented on these rock cores for the reason of sufficient description of the whole site. Figure 7 shows rock core photographs demonstrating typical fractured conditions of the cores drilled in monzogranite type rock.



Figure 7. Rock cores with different fracture density of the Mórágy Granite Formation.

The length of sampling line of RQD determination was always between 1 to 3 m, depending on the change of fracture intensity and homogeneity. Discontinuities were recorded manually by well-trained geotechnical engineers and geologists; core length was given by using surveyor's tape. The evaluation and interpretation was the part of RMR and Q classification.

4. RQD and the Length of Core Pieces

Equation (11) can also be written by looking at the total length of a piece of core of arbitrary length along the given section, i.e.,:

$$RQD_n = \frac{\Sigma h_n}{h_b - h_a} 100[\%]$$
⁽¹¹⁾

where Σh_n is the total length of the pieces longer than n cm, and h_b and h_a are the upper and lower depths of the depth intervals.

The value of n in this equation can be arbitrary, but for engineering reasons, we have examined the total length of 10, 20, 30 . . . 100 cm pieces of core after 5 cm. It is important to point out that the length tested could be up to 3 m, since it was taken as one unit based on the same conditions. That is to say that the given RQD factor is not a value considered for a 1 m section, so it is possible that the 1 m total core recovery gave a result of only 30% for the given RQD section.

The formula clearly shows that it is possible to distinguish between the results of e.g., 3 core recovery of 10 cm ($RQD_{10} = 30\%$; $RQD_{20} = 0\%$; $RQD_{30} = 0\%$) and 1 core recovery of 30 cm ($RQD_{10} = 30\%$; $RQD_{20} = 30\%$; $RQD_{30} = 30\%$).

Figure 8 shows some of these cases. The data are taken from geotechnical evaluations of core material from exploratory drilling of the radioactive waste repository at Bátaapáti.



Figure 8. Plots of different n % values for the tested 3 m long crore sections.

The curves show clearly whether a given RQD value is associated with longer or several shorter core sections, and also that the order of fragmentation of the sections varies for different RQD_n values. Some of the RQD_n values (n = 5; 10, 50 cm) are summarized in Table 3. The shape of the curve gives a good indication of the quality of the rock and the size of the blocks. It is easy to see which size of block is predominant in the studied section. In case of a geotechnical drilling program, it is possible to characterize the core sections and classify into groups on the basis of plotting RQD_n % values.

Table 3. The different RQDn values for the samples shown in Figure 9.

	RQD ₅	RQD ₁₀	RQD ₅₀	RQD ^{10%}
А	91	91	71	98
В	78	76	18	54
С	57	53	24	77
D	57	44	0	25



Figure 9. Relationship between RQD₅ and RQD₅₀ jointing values and conventional RQD determination.

In Figure 8, the 10% probability size is also marked separately—using RQD^{10%} symbol (see orange line). It can be seen that while the RQD value is larger in case B than in case C, this 10% limit is associated with longer core pieces in case C.

Figure 9 shows how the RQD values interpreted for the original 10 cm length compare with the core lengths evaluated under the new approach. Figure 10 introduces a very close relationship between the smallest core lengths of 5 cm and the original RQD values. The RQD values interpreted for the 50 cm core length and the RQD values as originally defined are much more divergent, and the closeness of the relationship is questionable. Such a figure may also characterize rock type properties for site investigation evaluations.



Figure 10. Relationship between RQD₁₀ and RQD_n and the definition of integral RQD.

5. Implementation of Integrated RQD

Figure 10 shows how the RQD values interpreted for the original 10 cm length compare with RQD_n . The functions produced by the introduction of length-dependent RQD (see Figure 9) provide the opportunity to develop a unique evaluation method. If length-dependent RQD values are produced for each section, the area under the function varies individually and the resulting Integrated RQD (Int_RQD) value provides a wider range of classification options for characterizing the fragmentation of the rock mass. To calculate the area, Int_RQD was determined by integration (the calculated values are expressed in x0.01%). The function and thus the Int_RQD do not require the exact downhole positions to be determined, but is recommended for future evaluation and re-evaluation.

The figure clearly shows that significant deviations can occur in the range above $RQD_{10} > 50$ and that this does not occur systematically in one direction. These results may reflect the principle of RQD section length and the homogeneity of the MGF is divided. It also shows that there is chance for using integrated RQD or RQD_n for characterizing the rock mass in a different aspect.

Figure 11 shows the closeness of the relationship between the new interpretation and the traditional RQD calculation. Statistically, the relationship between the RQD₁₀ [%] and Int_RQD values is:

$$Int_RQD = 1.563 e^{0.04RQD10}$$
(12)



Figure 11. Functional relationship between Integrated RQD (Int_RQD) and conventional RQD₁₀.

Logarithmic relationship was found between the Int_RQD and RQD₁₀ values (see Figure 12).

$$RQD_{10} = 22.61 \ln(Int_RQD) - 4.04$$
(13)



Figure 12. The RQD₁₀ value in the function of the Int_RQD value.

Regarding the data of different fracture density approaches in the function of depth (Figure 13), it is obvious that RQD_n and integral RQD give more information about the characteristics of a fractured rock mass. Based on these plots, distinct rock mass domains can be divided and boundaries can be observed more easily. Moreover, the minimum values of RQD 50 cm or integrated RQD allow identify the possible tectonic zones with smaller rock pieces in a more expressive way. Using it with other borehole logs, it is an effective procedure to create a more suitable geotechnical profile of the investigated rock mass.



Figure 13. Different RQD values in the function of depth along a studied borehole.

6. Discussions

When RQD was developed for borehole analysis, the aim, among others, was to design tunnels empirically. Empirical relations between RQD and rock load factor, and the width of opening of the tunnel were developed by Deere et al. [24,52].

Recently, it is one of the basic input parameters for rock mass classifications (such as Rock Mass Rate [2,3] and Rock Mass Quality [4]). It is important to note that introducing a new definition for RQD does not necessitate modifications to the RMR and Q.

It can be used for calculating the Geological Strength Index (GSI) from a borehole [7,8]. The analysis presented here is not intended to change the rock mass classifications, but to present a more accurate analysis of the borehole.

The large variance of empirical equations based on different RQD (see, for example, calculation of the deformation moduli [26]) is due to the uncertainty of the RQD (see Palmström's critique of RQD [39]). Recent rock mass classifications suggest abandoning the RQD due to its flaws and using the fracture intensity instead [36–38]. The presented approach does not address all the disadvantages of RQD, but unlike the position taken by Pells et al. [31], it offers a solution not only for further use and interpretation of RQD, but also offers the possibility to reinterpret detailed datasets of historic projects.

In the definition of the Geological Strength Index (GSI) of the borehole proposed by [7,8] for the classification of fractured rock masses, the RQD value was directly incorporated into the calculation formula. As the Integrated RQD gives a more accurate picture of rock mass structure, it is a suitable parameter for a more accurate determination of the GSI value.

The following new equation is recommended for calculating the Geological Strength Index (GSI), using the suggestion of [8]:

$$GSI = 2.5 \times SCR + 11.3 \ln(Int_RQD) - 2$$
 (14)

where the Surface Condition Rating (SCR) can be calculated using the following equation:

$$SCR = Rr + Rw + Rf$$
 (15)

where Rr, Rw and Rf are the rating corresponding to roughness, weathering and infilling, respectively [8]. As can be seen from Table 4, the maximum rating value can be 18.

Infilling Rating (Rf)	Value	
None	6	
Hard (<5 mm)	4	
Hard (>5 mm)	2	
Soft (<5 mm)	2	
Soft (>5 mm)	0	
Weathering Rating (Rw)	Value	
Unweathered	6	
Slightly weathered	5	
Moderately weathered	3	
Highly weathered	1	
Decomposed	0	
Roughness Rating (Rw)	Value	
Very rough	6	
Rough	5	
Slightly rough	3	
Smooth	1	
Slickensided	0	

Table 4. Surface condition rating for discontinuities (according to [8]).

Further investigation is needed in the task of scanlines especially in the aspects of Zhang et al. [33] and discrete fracture network modeling. Another important approach can be the implementation of integrated RQD on the data derived from slope or tunnel face classification.

7. Conclusions

The integrated RQD value presented here eliminates the limitations associated with the 10 cm core size, one of the major disadvantages of RQD determination. The evaluations can be carried out on any length of core section, depending on whether quick data is needed on boreholes and want to be obtained for individual developments, or a detailed rock mass characterization needs to be carried out for the design of engineering structures. If detailed RQD evaluations from previous research projects are available, they can also be re-evaluated. It is also possible to provide a more geologically accurate fracture density distribution for geologically based sectioning.

The shape of the integrated RQD distribution curve gives a good indication of the quality of the rock and the size of the blocks and if there is a large-scale geotechnical drilling program, it is possible to create specific distribution curves for the site or in relevant rock domains. A new procedure is now available for the analysis of boreholes to divide rock mass domains and recognize major or minor tectonic zones.

The procedures presented here are the first steps of an analysis. The results show that the presented procedures will allow a much more accurate analysis of the drill core. This calculation technique can lead to a proper description of rock mass fabric and gives a more statistical view of fracture intensity.

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