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# Why Immunotherapy Fails in Multiple Myeloma

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Abstract: Multiple myeloma remains an incurable disease despite great advances in its therapeutic landscape. Increasing evidence supports the belief that immune dysfunction plays an important role in the disease pathogenesis, progression, and drug resistance. Recent efforts have focused on harnessing the immune system to exert anti-myeloma effects with encouraging outcomes. First-inclass anti-CD38 monoclonal antibody, daratumumab, now forms part of standard treatment regimens in relapsed and refractory settings and is shifting to front-line treatments. However, a non-negligible number of patients will progress and be triple refractory from the first line of treatment. Antibodydrug conjugates, bispecific antibodies, and chimeric antigen receptors (CAR) are being developed in a heavily pretreated setting with outstanding results. Belantamab mafodotin-blmf has already received approval and other anti-B-cell maturation antigen (BCMA) therapies (CARs and bispecific antibodies are expected to be integrated in therapeutic options against myeloma soon. Nonetheless, immunotherapy faces different challenges in terms of efficacy and safety, and manufacturing and economic drawbacks associated with such a line of therapy pose additional obstacles to broadening its use. In this review, we described the most important clinical data on immunotherapeutic agents, delineated the limitations that lie in immunotherapy, and provided potential insights to overcome such issues.

**Keywords:** multiple myeloma; immunotherapy; daratumumab; BCMA; bi-specific T cell engagers; chimeric antigen receptor; relapse; cytokine-release syndrome



Citation: Rodríguez-Lobato, L.G.; Oliver-Caldés, A.; Moreno, D.F.; Fernández de Larrea, C.; Bladé, J. Why Immunotherapy Fails in Multiple Myeloma. *Hemato* **2021**, *2*, 1–42. https://dx.doi.org/10.3390/hemato2010001

Received: 15 November 2020 Accepted: 18 December 2020 Published: 22 December 2020

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# 1. Introduction

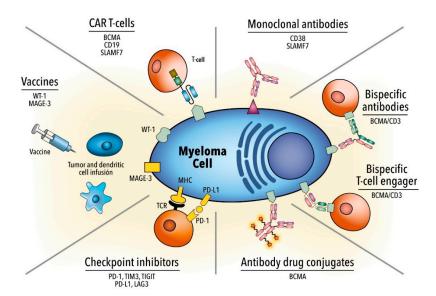
Multiple myeloma (MM) is a neoplastic plasma cell disease that accounts for 1.8% of all cancers diagnosed annually in the United States (US) and a similar proportion of all cancers diagnosed annually in Western Europe. MM is considered the second most common hematological malignancy after lymphoma or chronic lymphocytic leukemia [1–3].

Clonal plasma cells arise on the basis of an initial event—like cytogenetic (CG) abnormalities—that occur in early development of the B-cell maturation process [4]. Once a non-malignant plasma cell acquires a primary CG abnormality, namely trisomies or IgH translocations, the potential clone is able to remain for many years. From a clinical perspective, monoclonal gammopathy of undetermined significance (MGUS) is a well-defined pre-MM stage for detection of CG abnormalities [5–7]. However, multiple ways can trigger clonal plasma cells, like the well-recognized "second hits" that include monosomies, 1q aberrations, or del17p. Additionally, with the bone marrow (BM) microenvironment playing a key role, disease progression is characterized by a parallel, altered immune response. Among the most relevant cytokines in MM are interleukin 6 (IL-6) [8,9], B cell activating factor belonging to the TNF family (BAFF), transmembrane activator and calcium-modulator

and cytophilin ligand interactor (TACI) [10], and insulin-like growth factor I (IGF-1) [11]. In advanced stages involving extramedullary disease, there appears to be an independent IL-6 pathway that facilitates migration outside the BM [12,13]. Other cytokines involved in MM include interleukin 8 (IL-8), interleukin (IL-10), vascular endothelial growth factor (VEGF), and transforming growth factor-beta (TGF- $\beta$ ), all of which induce tumor growth and inhibit T cell activity [14]. T cell exhaustion relies on the basis of T cell activity loss and sustained expression of inhibitory receptors. Moreover, IL-10 can increase expression of immune checkpoints on T cells such as programmed cell-death-protein-1 (PD-1), T cell immunoglobulin and ITIM domain (TIGIT), and cytotoxic T-lymphocyte antigen 4 (CTLA-4) and thereby reduce their effector activity [15–17]. Other immune interactions include stimulation of T-helper 17 (Th-17) by TGF- $\beta$  or IL-6 to produce bone disease [18]. In summary, multiple interactions from the BM microenvironment and MM cells lead to immune escape and suppression of T cell effector capacity. Cyclical recruitment of exhausted T cells helps maintain the pathological immune microenvironment.

Treatment strategies are based on the combination of proteasome inhibitors (PI) and immunomodulatory drugs (IMiDs) [19,20]; however, in relapse and refractory (R/R) MM scenarios, immunotherapy may play an even stronger role in inhibiting immune checkpoints, targeting plasma cell surface antigens, and even developing cancer vaccines [21,22]. Given post-procedure immune restoration with better immune surveillance, another option for patients with high-risk disease and good performance status is allogeneic transplantation [23]. However, toxicity related to this procedure may not be well tolerated in many patients.

For this reason, designing chimeric antigen receptor (CAR) T cells is an innovative therapeutic option, especially in individuals with R/R MM [24]. While improvements have been made in treatment strategies, MM continues to be an almost incurable disease and novel therapeutic strategies are necessary. In this review, we described the most important clinical data on immunotherapeutic agents (Table 1 and Figure 1), delineated the limitations that lie in immunotherapy, and provided potential insights to overcome such issues.



**Figure 1.** Different immunotherapeutic strategies to treat multiple myeloma. BCMA, B-cell maturation antigen; CAR, chimeric antigen receptor; LAG3, lymphocyte activation gene-3; PD-1, programmed cell death protein 1; PD-L1, programmed death-ligand 1; SLAMF7, signaling lymphocyte activation molecule family 7; TCR, T cell receptor; TIGIT, T cell immunoglobulin and ITIM domain; TIM3, T cell immunoglobulin and mucin domain-containing protein 3; WT-1, Wilms' tumor 1 protein; MAGE-3, melanoma-associated antigen 3.

 $\textbf{Table 1.} \ \ \textbf{Outcomes of the most important clinical trials using immunotherapy against multiple myeloma.}$ 

Agent	Target	Specification	Prior Lines	Response	Prognosis	Toxicity
Monoclonal antibodies	CD38	First-in-human, phase I/II. Monotherapy 16 mg/kg [25,26]	≥3	ORR 31.1% sCR 4.7%	PFS 4 mo OS 20.1 mo	IRR 48% (2.7% ≥ grade 3)
		GEN 503. Part 2: dose expansion with DRd [27,28]	2	ORR 81% sCR 25%	PFS 72% OS 90%	IRR 56% (6.3% ≥ grade 3)
		POLLUX phase III DRd vs. Rd. R refractory were excluded [29]	1	CR 43.1 vs. 19.2% (p < 0.001) sCR 22.4 vs. 4.6% (p < 0.001) (DRd vs. Rd)	12 m PFS 83.2 vs. 60.1% OS 91.2 vs. 76.4% (p < 0.001)	IRR 47.7% (6.3% ≥ grade 3); 92% occurring during the first infusion
		CASTOR phase III DVd [30,31]	2	ORR 83.8 vs. 63.2% (p < 0.0001) CR or better 28.8 vs. 9.8% (p < 0.0001) sCR 8.8 vs. 2.6% (DVd vs. Vd)	18 m PFS 48 vs. 7.9% In high-risk cytogenetics PFS 11.2 vs. 7.2%	IRR 45.3% (8.3% ≥ grade 3)
	SLAMF7/CS-1	E monotherapy. Phase I, dose escalation 0.5–20 mg/kg [32]	≥2	No maximum tolerated dose ORR 0% SD 26.5%	NA	IRR 52% before the initiation of prophylaxis
		Vd +/- E, randomized phase II [33]	≥1	ORR 65 vs. 63% CR 4 vs. 4% (EVd vs. Vd)	PFS 9.7 vs. 6.9 mo OS 85 vs. 74%	IRR 7% (0% ≥ grade 3)
		ELOQUENT-2 Rd +/- E, randomized phase III [34]	1–3	ORR 79 vs. 66% (p = 0.0002) (ERd vs. Rd)	3 y PFS (3y) 26 vs. 18% 3 y-OS 60 vs. 53% (p = 0.026)	Comparable between groups
		Pd +/- E, randomized phase II [35]	≥2	ORR 53 vs. 26% (EPd vs. Pd)	PFS 10.3 vs. 4.7 mo	IRR 5% $(0\% \ge \text{grade 3})$
ADC	ВСМА	GSK-2857916 conjugated to MMAF; phase I [36,37]	≥3	ORR 60% CR 9% sCR 6%	PFS 12 mo	Thrombocytopenia 35% Eye-related events: Blurry vision 52%, dry eyes 37%, photophobia 29%
	CD138	Indatuximab ravtansine linked to maytansinoid; phases I/II [38,39]	≥2	ORR 5.9% CR 0% SD 42.9%	PFS 3 mo	Fatigue 47% Diarrhea 43%
	CD56	Lorvotuzumab- mertansine; phase I [40]	≥1	ORR 5.7% CR 0% SD 42.9%	PFS 26.1 weeks in evaluable	Peripheral neuropathy 5.3%
	CD74	Milatuzumab doxorubicin; phase I [41]	≥2	No objective responses. SD 5/19 (26%) for 3 mo	NA	n = 1 grade 3 IRR

 Table 1. Cont.

Agent	Target	Specification	Prior Lines	Response	Prognosis	Toxicity
Bispecific antibodies	BCMA/CD3	AMG 420: First-in-human, phase I, dose escalation: maximum tolerated 400 µg/day. No extramedullary disease [42,43]	≥2	Dose 400 μg/day ORR 70% sCR 50%	Dose 400 μg/day PFS 9 mo	CRS 38.1% (grade $\geq$ 3 7.1%) Dose-limiting peripheral neuropathy $n=2$
		Teclistamab; phase I; dose range: 0.3–270 µg/kg [44]	6	ORR 78% in patients receiving highest dose	-	CRS 56% (all grade 1/2) Neurotoxicity 8% (3% grade ≥ 3) IRR 9%
Immune checkpoint inhibitors	PD-1	Nivolumab monotherapy; phase I including several neoplasms [45]	≥1	ORR 4% SD 63%	-	Drug-related AEs 52% any grade, 19% ≥ grade 3
		KEYNOTE-183; phase III, randomized Pd +/ – Pembrolizumab [46]	≥2	ORR 34 vs. 40% (Pembrolizumab + Pd vs. Pd)	PFS 5.6 vs. 8.4 (median time to progression 8.1 vs. 8.7 mo) (Pembrolizumab + Pd vs. Pd)	Serious AE 63 vs. 46% (Pembrolizumab + Pd vs. Pd) TRM n = 4: unknown cause, neutropenic sepsis, myocarditis, Stevens–Johnson syndrome
		KEYNOTE-185; phase III, randomized Rd +/ – Pembrolizumab [46]	Newly- diagnosed ASCT in- eligible	ORR 64 vs. 62% (Pembrolizumab + Rd vs. Rd)	PFS not reached	Serious AE 54 vs. 39% (Pembrolizumab + Rd vs. Rd) Terminated because of the uneven number of deaths between groups
CAR T cell	ВСМА	NCI scFv murine/CD28 [47]	9.5	ORR 81% (≥CR 13%)	mEFS 7.2 mo	CRS 94% (grade ≥ 3 38%) ICANS NA (grade ≥ 3 19%)
		UPenn/CART-BCMA scFv human/4-1BB [48]	7	ORR 64% (≥CR 11%)	mPFS 4.2 mo	CRS 88% (grade $\geq$ 3 32%) ICANS 32 (grade $\geq$ 3 12%)
		LCAR-B38M VHH llama/4-1BB [49,50]	3	ORR 88% (≥CR 74%)	mPFS 20 mo18 m OS 68%	CRS 89% (grade $\geq$ 3 7%) ICANS 2 (grade $\geq$ 3 0%)
		LCAR-B38M VHH llama/4-1BB [51]	4	ORR 88% (≥CR 77%)	1 y PFS 53%1 y OS 82%	CRS 100% (grade $\geq$ 3 41%) ICANS NA (grade $\geq$ 3 NA)

Table 1. Cont.

Agent	Target	Specification	Prior Lines	Response	Prognosis	Toxicity
		Ciltacabtagene Autolecuel (LCAR- B38M/JNJ68284528) CARTITUDE-1 [52]	6	ORR 97% (sCR 67%)	1 y PFS 76.6% 1 y OS 88.5%	CRS 95% (grade $\geq$ 3 4%) ICANS 21% (grade $\geq$ 3 10%)
		Idecabtagene Vicleucel (bb2121)/scFv murine/4-1BB [24]	7–8	ORR 85% (≥CR 45%)	mPFS 11.8 mo	CRS 76% (grade $\geq$ 3 6%) ICANS 42% (grade $\geq$ 3 3%)
		Idecabtagene Vicleucel (bb2121)/scFv murine/4-1BB KarMMA [53]	6	ORR 73% (≥CR 33%)	mPFS 8.8 mo mOS 19.4 mo	CRS 84% (grade $\geq$ 3 6%) ICANS 18% (grade $\geq$ 3 3%)
		Orvacabtagene Autoleucel (JCARH125)/scFv human 4-1BB EVOLVE [54]	6	ORR 92% (≥CR 36%)	mPFS 9.3 mo	CRS 89% (grade $\geq$ 3 3%) ICANS 13% (grade $\geq$ 3 3%)
Vaccines	Dendritic cells/tumor fusions	Vaccine composed of autologous dendritic cells and patient-derived myeloma cells; 16 patients included [55]	4	SD: 11	-	Site reaction (grade 1)
	hTERT/Survi	vin NCT00499577 [56]	1	IR 36%	mEFS 20 mo	Chills 57% Rash > 85% (grades 1–2)
	Dendritic cells/tumor fusions	Two cohorts: 24 patients vaccinated post-ASCT 12 patients vaccinated pre- and post- ASCT [57]	-	ORR 78% (CR 47%)	2 y PFS 57%	Site reaction (grade 1) Myalgia (grade 1)
	MAGE-A3	NCT01245673 [58]	1–5	IR 88%	2 y OS 74% 2 y EFS 56%	Site reaction >90%
	XBP1 CD138 CS1	NCT01718899: SMM patients; two cohorts: Monotherapy Combination with IMiDs [59]	1	IR 95%	mTTP: 36 w mTTP: not reached	Site reaction 58–100% (grades 1–2)
	MAGE-A3	NCT01380145: vaccinated post ASCT [60]	1–2	IR 100%	mPFS 27 mo mOS not reached	Site reaction 54% (grade 1)Myalgia 33% (grades 1–2)

ADC, anti-drug conjugate; AE, adverse events; ASCT, autologous stem cell transplantation; BCMA, B-cell maturation antigen; BiTEs: bi-specific T cell engagers; CAR, chimeric antigen receptor; CR, complete response; CRS, cytokine-release syndrome; D, daratumumab; d, dexamethasone; E, elotuzumab; EFS, event-free survival; ICANS, immune effector cell-associated neurotoxicity syndrome; IMiD, immunomodulatory drug; IR, immune response; IRR, infusion-related reactions; m, median; MMAF, monomethyl auristatin F; mo, months; NA, not available; NCI, National Cancer Institute; ORR, overall response rate; OS, overall survival; P, pomalidomide; PFS, progression-free survival; R, lenalidomide, R/R, relapsed-refractory; SD, stable disease; scFv, single-chain variable fragment; sCR, stringent complete response; TTP, time to progression; UPenn, University of Pennsylvania; V, bortezomib; w, weeks.

#### 2. Monoclonal Antibodies

#### 2.1. Anti-CD38

CD38 was first discovered in 1980 when Reinherz and Schlossman were studying the human lymphocyte surface using monoclonal antibodies (MoA) in search of the T cell receptor. A glycoprotein highly expressed in MM cells, CD38 is also found at lower levels in normal lymphoid and myeloid cells, including NK cells, B cells, and activated T cells, and in non-hematological tissues in some cases [61]. The role of CD38 can be observed in several functions. It acts as an adhesion molecule, interacting with the endothelial ligand CD31. It also plays a role in extracellular NAD<sup>+</sup> and cytoplasmic NADP metabolism, mobilizing cyclic adenosine diphosphate (ADP) ribose, ADP ribose (ADPR), and nicotinic acid adenine dinucleotide phosphate [62,63].

The high expression of CD38 on MM cells led to the development of several anti-CD38 MoA in the 1990s, with daratumumab (fully human) and isatuximab (chimeric) being the most studied ones. The antitumoral effect of these antibodies correlates with their capacity to induce antibody-dependent cellular toxicity (ADCC), complement-dependent toxicity (CDC), and antibody-dependent cellular phagocytosis (ADCP) of CD38<sup>+</sup>-opsonized cells. Further, the inhibition of the ectoenzymatic function of CD38 and the induction of direct apoptosis may contribute to the efficacy of these antibodies against MM [64]. Daratumumab interacts with CD38 present in monocytes and can inhibit in vitro osteoclastogenesis and bone resorption, which may improve bone-related alterations in these patients.

Developed in 2008 and approved as a single agent in 2015 and 2016 by the US Food and Drug Administration (FDA) and European Medicines Agency (EMA), respectively, daratumumab administered as monotherapy to heavily pretreated patients with MM showed an overall response rate (ORR) of 31.1%, with 4.7% having a complete response (CR). The median duration of the response was 4 months and median overall survival (mOS) was 20.1 months. This study reported responses in all subgroups, including patients with extramedullary disease and high-risk cytogenetics [25,26].

An ex vivo assay and in vivo xenograft mouse model demonstrated the efficacy of daratumumab when combined with IMiDs such as lenalidomide, proving its capacity to increase daratumumab-mediated lysis and thereby activate the effector function of autologous immune cells. Such improvement in efficacy was also observed when daratumumab was administered in combination with bortezomib and lenalidomide even in bortezomib- and lenalidomide-resistant MM cells. Similarly, the use of lenalidomide in this study proved capable of increasing daratumumab-mediated lysis through activation of NK cells [65].

The number of regimens incorporating daratumumab together with other backbone combinations is increasing. Daratumumab was further tested in a randomized phase II study with lenalidomide and dexamethasone (n = 152)(GEN503) [27,28], in which 88% of patients achieved at least a partial response (PR) and the CR rate was 25%. In the POL-LUX [29] phase III study, investigators compared lenalidomide plus dexamethasone (Rd) against daratumumab plus both drugs (DRd). In both groups, patients with lenalidomiderefractory MM were excluded. In the DRd group, 12-month progression-free survival (PFS) and 12-month OS were 83.2% and 91.2%, respectively, whereas 12-month PFS and 12-month OS were 60.1% and 76.4%, respectively, in the Rd group (p < 0.001). Patients treated with the DRd scheme achieved a CR of 43.1%, of which 22.4% were negative minimal residual disease (MRD); patients treated with the Rd scheme achieved a CR of 19.2%, with the stringent complete response (sCR) being 4.2% (p < 0.001). In the CASTOR study, patients with R/R MM receiving bortezomib and dexamethasone (Vd) with or without daratumumab (DVd) were compared. Findings revealed 18-month PFS of 48% and 7.9% in the DVd and Vd groups, respectively, [30] and a benefit conferred in high-risk cytogenetic patients, with a median PFS of 11.2 and 7.2 months in the DVd and Vd groups, respectively [31]. More recently, daratumumab is approved for first-line treatment for patients with MM, including candidates for autologous stem cell transplantation (ASCT) (with bortezomib, thalidomide, and dexamethasone [66]) and non-candidates (with melphalan, bortezomib,

and prednisone [67] or lenalidomide and dexamethasone [68]). More combinations in the relapse setting are now in clinical trials, such as daratumumab plus pomalidomide [69] or carfilzomib [70], and results are encouraging.

Isatuximab (chimeric) has shown strong pro-apoptotic activity, independent of cross-linking agents and antitumor activity related to CDC, ADCC, and ADCP. Activity of this antibody is enhanced by pomalidomide; a phase III trial comparing pomalidomide and dexamethasone with or without isatuximab obtained a PFS of 11.5 vs. 6.5 months (isatuximab vs. control, respectively) [71].

The main mechanisms of action of daratumumab include (Table 2):

- Complement-dependent cytotoxicity (CDC): Binding between the Fc tail of the antibody and C1q activates the complement cascade to end with the formation of the membrane attack complex (MAC) [72];
- Antibody-dependent cell-mediated cytotoxicity (ADCC): Binding between FC-gamma receptors on effector cells (T and NK cells) and the Fc tail of daratumumab releases cytotoxic molecules, leading to MM cell death [65];
- Antibody-dependent cellular phagocytosis (ADCP): Opsonization of the tumor cell
  occurs when the Fc tail of the CD38 antibody binds to the Fc-gamma receptor of
  phagocytic cells such as monocytes or macrophages [73];
- Direct effects such as programmed cell death, induction of nanotube formation and mitochondrial transfer, inhibition of ectoenzyme functions, or inhibition of adhesion molecules occur after antibody-mediated cross-linking [74,75];
- Immunomodulatory effects related to the fact that CD38 is expressed in several immune cells other than MM cells: Regulatory T cells, B cells, and myeloid-derived suppressor cells (MDSC), along with their immunosuppressive functions, are eliminated after treatment with daratumumab [76,77].
  - Thus, several mechanisms of resistance of daratumumab have been described:
- CD38 expression: Tests performed on modified MM cell lines that express different levels of CD38 have shown greater CDC and ADCC in cells expressing high levels of CD38 compared to cells with low expression. In MM plasma cells, expression is heterogenic and daratumumab activity is correlated with such expression levels [78]. Analysis performed on samples of patients who had been enrolled in daratumumab clinical trials showed a quick and marked decrease in CD38 levels after treatment in all patients; a decrease in CDC and ADCC was also observed in ex vivo tests. Downregulation of CD38 of this type also occurs in cell subsets other than MM cells and mechanisms are not fully understood. Some strategies to overcome such resistance have been proposed and are based on combinations with other drugs capable of increasing CD38 levels such as IMiDs, panobinostat, all-trans retinoic acid (ATRA), and ricolinostat [79–81]. The ability of ATRA to resynthesize CD38 is being analyzed in a clinical trial (NCT02751255);
- Complement inhibitory proteins: Tumor cells are known to be capable of increasing soluble and membrane-bound complement regulatory proteins such as C4-binding protein, CD55, or CD59 to protect themselves from complement attacks, similar to the way in which immune checkpoint inhibitor receptors function [82]. Ex vivo analysis using MM cell lines with low expression of CD55 and CD59, and MM cell lines treated with phospholipase-C to remove GPI-anchored proteins (CD55 and CD59) showed increased daratumumab CDC. These observations were not confirmed with MM cells obtained from daratumumab-naïve patients. In addition, an increase in CD55 and CD59 expression was detected in MM cells obtained from patients who were progressing under monotherapy treatment. In this case, ATRA combination may also decrease upregulation of complement inhibitors [78]. Panobinostat, which has shown to increase CD38 levels, also increases CD55 and CD59 levels, possibly explaining the lack of benefit in terms of CDC, although ADCC improved [83];
- CD47-SIRP $\alpha$  interaction: CD47 expressed in tumor cells of solid tumors and hematological malignancies interacts with regulatory transmembrane protein SIRP $\alpha$  that

is expressed on dendritic cells and macrophages, decreasing their phagocytic function [84]. Upregulation of CD47 has been observed in drug-resistant MM cells and blocking the interaction between SIRP $\alpha$  and CD47 restores phagocytosis [85]. Anti-CD47 therapies are under evaluation in other lymphoid malignancies and low-dose cyclophosphamide may decrease CD47 expression to improve ADCP [86–88];

- Polymorphisms on Fc-gamma receptors: Mechanism of action of daratumumab ADCC and ADCP depend on the activation of Fc-gamma receptors on effector cells [89]. Affinity may differ based on allelic variants of these receptors. Fc-gamma receptors were genotyped in samples of patients with MM included in daratumumab clinical trials, demonstrating a positive correlation between polymorphisms 3A and 2B and outcome in terms of PFS, albeit not OS [90];
- The way in which the microenvironment plays a crucial role in MM has been well studied. Bone marrow stromal cells (BMSC) protect MM cells from drugs and effector cells such as cytotoxic T cells [91]. Interaction between BMSC and MM cells may upregulate anti-apoptotic molecules like survivin, which could contribute to resistance against daratumumab;
- Soluble CD38 (sCD38) may have a draining effect on daratumumab function and diminish efficacy; however, the presence of sCD38 has been observed in only a few patients and in such cases, did not correlate with response. There are no published data about other CD38 antibodies and the impact of sCD38 [82];
- NK cells play a crucial role in ADCC. Some studies have shown a correlation between daratumumab-induced ADCC and NK cell-to-MM cell ratio [78]. Due to their capacity to activate NK cells, IMiDs could improve NK function and ADCC, even in patients with IMiD-refractory MM [65,92]. An increase in ADCC was observed in ex vivo experiments when interaction between NK inhibitory receptors KIR (KIR2DL-1, -2, -3) and their respective ligands was blocked. Similarly, ADCC was reported to improve synergistically with the addition of lenalidomide to the experiment. As NK cells express CD38 on their surface, fratricide and a diminished effector function can arise. When studied in patients, the reduction in NK levels was similar in responders and non-responders to daratumumab and no correlation with outcome was observed. Some measures have nonetheless been proposed to diminish this eventual effect, including the administration of ex vivo-expanded autologous NK cells to increase the count, and pretreatment of such cells with F(ab')2 fragments of daratumumab to avoid fratricide [93,94].

Table 2. Mechanisms of action and resistance to daratumumab.

#### Mechanisms of Resistance Mechanisms of Action Complement-dependent cytotoxicity (CDC) CD38 expression Antibody-dependent cell-mediated Complement inhibitory proteins cytotoxicity (ADCC) CD47-SIRPα interaction Antibody-dependent cellular phagocytosis Polymorphisms on Fc-gamma receptors (ADCP) Bone marrow microenvironment Direct effects Soluble CD38 (sCD38) Immunomodulatory effects NK cells

### 2.2. Anti-SLAMF7

Signaling lymphocytic molecule F7 (SLAMF7) or cell-surface glycoprotein CD2 subset 1 (CS1) is a glycoprotein expressed on healthy plasma cells, MM cells, and NK-cells, and absent in other tissue. Expression of such is found in more than 95% of MM plasma cells independent of cytogenetics. This molecule belongs to the immunoglobulin superfamily within the SLAM family subgroup [95]. For this reason, generating a MoA directed at this target has been of great interest, with elotuzumab being the most relevant one. Elotuzumab

is a humanized immunoglobulin G1 immunostimulatory MoA that works by activating signals in NK cells via interaction with protein EAT-2, and is capable of directly activating NK cells by ADCC via CD16 [96]. In MM plasma cells, this mechanism is compromised by the lack of EAT-2 expression found in tumor cells. For this reason, elotuzumab does not induce proliferation in MM cells.

In a phase I study (n = 35), the maximum tolerated dose of elotuzumab was not reached and the drug was administered at 20 mg/kg iv once every 2 weeks for 8 weeks total. None of the patients achieved a PR or better; 26.5% achieved stable disease; and the rate of infusion-related reactions before prophylaxis initiation was 52% [32].

Elotuzumab is therefore not active in monotherapy. Yet, its potential activity in combination with PI and IMiDs, such as lenalidomide and pomalidomide, was explored. In a randomized phase II study with Vd with or without elotuzumab (n = 152) [33], 63% of patients achieved at least a PR with median PFS (mPFS) of 6.9 months and 1-year OS of 74%, while 65% of patients in the elotuzumab group achieved a PR or better with a PFS of 9.7% and 1-year OS of 85%. No mechanisms of resistance to elotuzumab were described. Furthermore, in a randomized phase III ELOQUENT-2 study testing the combination of Rd +/- elotuzumab in patients with R/R MM, ORR were 79% vs. 66% in the elotuzumab vs. control groups (p = 0.0002), with 1-year OS of 91% vs. 83%, 2-year OS of 73% vs. 69%, and 3-year OS of 60% vs. 53% (p = 0.026). Adverse events (AE) were comparable between groups [34]. Additionally, 117 subjects were enrolled in a multicenter, randomized, open-label phase II trial comparing pomalidomide and dexamethasone with or without elotuzumab in lenalidomide- and bortezomib-refractory patients with R/R MM. With a minimum follow-up of 9.1 months, mPFS were 10.3 and 4.7 months in the elotuzumab and control groups, respectively, and ORR were 53% and 26% in the elotuzumab and control groups, respectively. Infusion reactions were observed in 5% of patients (n = 3) and classified as grades 1 or 2 [35]. A phase III study performed by the German-speaking Myeloma Multicenter Group randomized patients to receive induction therapy based on bortezomib, lenalidomide, and dexamethasone with or without elotuzumab (Elo-VRD vs. VRD) obtaining an ORR of 82.4% vs. 85.6% (p = 0.35), respectively. AEs of grade 3 or higher occurred in 65.4% patients (Elo-VRD) and 66.5% (VRD) mainly related to nervous system disorders, infections, and blood disorders. There were 9 and 4 treatment-related deaths in the Elo-VRD and VRD groups, respectively [97]. At the last American Society of Clinical Oncology (ASCO) meeting, primary analysis of the phase II trial (SWOG-1211) comparing Elo-VRD vs. VRD for ND, high-risk MM patients were presented. One hundred and three patients were included, and after a median follow-up of 53 months, no difference in mPFS (31 vs. 34 months, 68 vs. not reached, respectively; p = 0.45) nor in OS (68 months vs. not reached; p = 0.48) was observed [98]. Recently, data from a phase III clinical trial evaluating Elo-Rd in transplant-ineligible newly diagnosed multiple myeloma (NDMM) patients (ELOQUENT-1) have not shown a benefit with the addition of elotuzumab as front-line therapy [99]. Thus, elotuzumab has shown limited activity in the treatment of MM in terms of response and survival in both first and further lines of therapy. In the future, it will be necessary to determine the best combination for elotuzumab and the best scenario for its use.

A main limitation of both anti-CD38 and anti-SLAMF7 MoAs are infusion-related reactions (IRR), which happen primarily during initial administration and consists of pyrexia, chills, nausea, vomiting, flushing, cough, and dyspnea. Specifically, with elotuzumab, such IRR were mainly observed prior to the administration of premedication based on corticosteroids, acetaminophen, and antihistamines [32]. The rate of IRR due to elotuzumab was 7–10% with proper prophylaxis. In the case of daratumumab, however, IRR were reported in more than 50% of patients during the first infusion, even with prophylaxis, decreasing to 7% in further infusions [27].

In conclusion, monoclonal antibodies, specially CD38 directed agents, have proved to improve outcomes in MM and have reached a starring role in first line treatments.

# 3. Antibody-Drug Conjugate

Antibody-drug conjugates (ADCs) are MoAs joined to a cytotoxic compound via a chemical linker. These antibodies selectively target specific antigens located on the cell surface of interest. By internalizing the compound, the cytotoxic part can induce cell death. Several targets in MM and their respective antibodies are under study. The most relevant ones are mentioned below:

BCMA (CD269)-targeted ADCs: B-cell maturation antigen is a transmembrane receptor expressed on malignant plasma cells. Belantamab mafodotin (GSK-2857916) is a humanized anti-BCMA IgG1 MoA conjugated to monomethyl auristatin F (MMAF), capable of inducing ADCC activity against myeloma cells. A multicenter, phase I trial with patients with R/R MM (n=35) showed an ORR of 60%, with 14% CR and mPFS of 12 months. The most frequent AE was thrombocytopenia (35%); similarly, several eye-related events were observed, including blurry vision (52%), dry eyes (37%), and photophobia (29%) [36,37]. Phase II clinical trial for RR MM patients (DREAMM-2) showed 30% and 34% of ORR in the 2.5 and 3.4 mg/kg cohorts, respectively. The most common grade  $\geq$ 3 AE were keratopathy, thrombocytopenia, and anemia [100]. The keratopathy was further studied in DREAMM-2 patients and microcyst-like epithelial changes were found in 72% of cases. The management of eye-related AEs included dose delays (47%), dose reductions (25%), and discontinuation in 1% of patients [101]. Further studies are being performed to elucidate efficacy of this compound in combination with other MM therapies.

CD138 ADCs: CD138 or syndecan-1 is an extracellular protein receptor involved in cell-to-cell adhesion [102]. It is present in malignant plasma cells and some epithelial neoplasms. Indatuximab ravtansine is a MoA targeting CD138, linked with a disulfide bond to maytansinoid cytotoxic compound. In a phase I/II trial, ORR was 5.9% with no CR; however, 61.8% of patients maintained stable disease, with a mPFS of 3 months [38]. The most frequent toxicities reported were fatigue (47%) and diarrhea (43%) [39].

CD56 ADCs: Neural cell adhesion molecule 1 (NCAM1), otherwise known as CD56, is expressed in 75% of malignant plasma cells, yet in less than 15% of normal plasma cells [103]. Lorvotuzumab-mertansine is a MoA targeting CD56, conjugated to a microtubule inhibitor (MD1) by a disulfide bond linker. In a phase I trial for patients with R/R MM, ORR was reported at 5.7%, with no CR; however, 42.9% of patients maintained stable disease for 15.5 months. Peripheral neuropathy was an AE reported in 5.3% of patients [40].

CD74 ADCs: CD74 is a type II transmembrane glycoprotein of the major histocompatibility complex (MHC) class II, with antigen presentation functions [104]. Milatuzumab doxorubicin is an ADC with a MoA, targeting the CD74 linked via a hydrazine linker to doxorubicin. In a phase I study, this drug showed no objective response; it did, however, maintain 5 of 19 patients in stable disease for at least 3 months [41].

To sum up, ADCs have shown limited clinical results in monotherapy, so further combination studies are required to elucidate their efficacy in MM. Keratopathy could be a limiting factor for its widespread use. It will be necessary to establish adequate preventive measures, make timely diagnoses, and administer effective treatments against this complication.

#### 4. Bispecific Monoclonal Antibodies

Bispecific monoclonal antibodies (Bs MoA) are antibodies that have two different targets and an activating or neutralizing function. Diverse bispecific antibody platforms (BiTE®, DuoBody®, and Dual-Affinity Re-Targeting®) are available, all distinguishable by structural differences among constructs. However, the majority of clinical trial data related to MM treatment are limited to the BiTE® platform [105]. BiTEs (bi-specific T cell engagers) are constructs composed of two different single-chain variable fragments (scFv) obtained from MoA and joined by a flexible peptide linker. One of the scFv acts as a binding domain for tumor cells via recognition of surface antigens and can be modified to specifically bind the malignant cell of interest and the other MoA bound to CD3, the invariable site of the TCR [106]. The junction between tumor cell and T cell leads to

proliferation and growth of effector cells. These cells release cytotoxic molecules such as perforin, which creates transmembrane pores in tumor cells, and granzyme B, which acts as an initiator of apoptosis with the consequent tumor cell lysis [107]. This therapy is cytotoxic even without requiring the function of antigen-presenting cells, costimulatory molecules, or MHC-1/peptide complex. In contrast to CAR T cell therapy, Bs MoA have come to be considered as an "off-the-shelf" treatment: Processing and manufacturing time are not necessary and patients can benefit immediately from therapeutic approach [108]. Blinatumomab—targeting CD19 and CD3 in acute lymphoblastic leukemia (ALL)—was the first worldwide-approved Bs MoA (initial approval was conferred in 2014 by the FDA and full approval in 2017) [109,110].

Currently, several target antigens have been studied to treat MM with Bs MoA, with BCMA and CD38 being the most promising ones [107]. AMG-420, a BCMA/CD3 Bs MoA, was tested in a first-in-human, phase I dose-escalation trial. Patients with R/R MM who had received two or more lines of treatment were recruited to obtain a maximum tolerated dose of 400 µg/daily. Of the 10 patients who received that dose, ORR was 70% and 5 (50%) patients achieved MRD-negative CR. Grade 2–3 cytokine release syndrome (CRS) was observed in three patients and non-treatment-related mortality was reported in two patients (pulmonary aspergillosis and fulminant adenovirus hepatitis). One patient developed a dose-limiting, grade 3 peripheral polyneuropathy at 400 μg/dose [42,43]. Due to the miniscule size of Bs MoA (5kDa), its serum half-life is short and results in the continuous need for intravenous administration. With a more extended half-life, BCMA/CD3 Bs MoA (AMG-701) can be administered once per week, having demonstrated in vitro proliferation of central memory and effector memory cells and in vivo MM cytotoxicity [111,112]. A phase I/II study for patients with MM who relapsed after three or more lines of therapy is in progress to estimate the maximum tolerated dose (MTD) and establish safety and tolerability (NCT03287908).

Similarly, teclistamab (JNJ-64007957) is an investigational bispecific antibody targeting both the BCMA and CD3 receptors on T cells. In preclinical studies, the drug proved capable of recruiting and activating T cells to direct their cytotoxicity against BCMA+ MM cells from an MM cell line (H929) and in BM samples obtained from patients with MM as well [113]. Results obtained from these studies led to the development of a phase I clinical trial in patients with R/R MM, enrolling those adult patients who had received a median of 6 lines of treatment. Patients were treated with teclistamab at doses ranging from 0.3–270 µg/kg. Of the 78 patients who were administered teclistamab, 21 responded to treatment. Responses were found to be deep and persistent. Additionally, the treatment achieved an ORR of 67% among the 12 patients who received the highest dosage; three of the patients achieved a CR [44]. CRS was observed in 56% of patients (CRS events were all grades 1-2 and during initial doses). Neurotoxicity was seen in 8% of cases and 3% were grade 3 or higher. In addition, IRR were reported in 9% of patients. There were 2 dose-limiting toxicities: A case of grade 4 thrombocytopenia which resolved after one day and a grade 4 delirium, which resolved after 16 days. A grade 5 AE was reported, consisting of a respiratory failure in the context of pneumonia [44]. Recent results from the last European Hematology Association (EHA) meeting highlight the efficacy of CC-93269, an asymmetric 2 + 1 bispecific with bivalent BCMA binding and monovalent CD3 binding, with a half-life extended domain. This phase I trial (NCT03486067) included 30 patients (median of 5 prior lines of therapy). ORR was 43%, including 17% with a CR or sCR. Among 9 patients receiving 10 mg, the ORR was 89% (≥CR: 44%). The main AEs included cytopenias and infections. CRS was observed in 77% of patients, but most were grade 1 [114]. This study continues including patients in the dose-escalation phase.

GBR-1342 is a CD38/CD3 Bs MoA in current evaluation in a first-in-human, phase I/II study in PI-, IMiD-, and daratumumab-refractory patients with MM; the question of interest is whether subjects previously treated with daratumumab will respond to CD38-targeted Bs MoA. This study is currently recruiting patients (NCT03309111) [115]. Then, there is also the CD38/CD3 Bs MoA AMG-424, which has also shown potent activity against MM

cell lines in spite of lower or higher CD38 expression in these cells. As inhibition of tumor growth in a murine model and acceptable toxicities in monkeys have been demonstrated (depletion of peripheral B-lymphocytes), a first-in-human, multi-center, phase I study for patients with R/R MM was approved (NCT03445663) and has, in recent times, finished (June 2020).

Recently, results on Cevostamab-BFCR4350A, a FcRH5-CD3 bispecific antibody have been presented at the last American Society of Hematology (ASH) meeting. The phase I, dose escalation study (NCT03275103), included 51 R/R MM patients (55% with highrisk cytogenetics). The median number of prior lines of therapy was 6. The ORR was 51.7%, including 3 sCRs and 3 CRs. Responses were observed in patients with high-risk cytogenetics, prior exposure to anti-CD38 MoA, ADC, and CAR T cell therapy. Regarding toxicity, CRS was observed in 75% of patients (grade  $\geq$  3: one patient). Other grade 3 or 4 AEs observed were lymphopenia (11.8%), anemia (5.9%), and thrombocytopenia (5.9%). No fatal (grade 5) AEs have been reported [116].

In addition, the last updated data of talquetamab-JNJ-64407564, a GPRC5D-CD3 Bs MoA, were presented at the last ASH meeting. One-hundred and thirty-seven patients have been included in the phase I trial (NCT03399799). The median number of prior lines was 6, and 15% of the patients had received prior BCMA-directed therapy. Respecting efficacy, this product showed ORRs of 78% and 67% with the IV and the SC route, respectively. CRS was observed in 47% of patients (mostly grades 1 or 2; grade 3 was seen only with the IV route) and neurotoxicity in 5% of patients. IRR have been reported in 14–15% of patients, all of them grades 1 or 2, and usually in the first cycle [117].

Impressive preclinical results are arousing interest in trispecific antibodies such as the trispecific T cell engager targeting CD38, CD3, and CD28, which has shown high killing capacity of MM tumor cell lines in *in vitro* tests and also suppressed MM growth in mice, with proliferation of memory and effector T cells and downregulation of regulatory T cells in primates [118]. Trispecific NK cell engagers are also under development, targeting the NK antigen CD16A, and BCMA and CD200 in MM cells [119].

The primary results obtained with Bs MoA show that this strategy is a promising approach in the treatment of patients with MMs, although drug-related toxicities, especially CRS and neurotoxicity, days of hospitalization, and patient surveillance should be taken into account.

# 5. Immune Checkpoint Inhibitors

The immune system plays a crucial role in cancer development and progression. However, cytolytic activity of immune cells during the initial phase of carcinogenesis is the predominant mechanism used to fight against malignant cells. A balance between cancer progression and cancer eradication is then reached during the intermediate phase, mediated by modulatory proteins denominated as checkpoint molecules. When the immune system grows tolerant to the presence of cancer after this phase, tumor cells escape and can progress and induce metastasis [120,121].

Immune checkpoint molecules are a family of proteins composed of receptors—mostly located in T cells and other immune effector cells—and ligands located in antigen-presenting cells (APCs), monocytes, and tumor cells as well. The function of checkpoint receptors is to promote a balance between activating and inhibitory signals [122]. Several examples of checkpoint receptors have been widely studied, such as PD-1, CTLA-4, LAG-3 [123], TIM-3, and TIGIT. Interaction with their respective ligands triggers an inhibitory signal capable of counteracting T cell-mediated immunity. In a physiological setting, checkpoints have a modulatory function that maintains balance between immune response and immune tolerance. This aspect is crucial, as it protects the organism from autoimmunity. Despite that, tumor cells can take advantage of this mechanism, expressing checkpoint ligands on their surface and inducing inhibitory signals, to promote tumor immune tolerance [124,125]. Blocking checkpoint inhibitors has shown impressive tumor response in heavily treated patients with melanoma, lung cancer, or Hodgkin lymphoma [126].

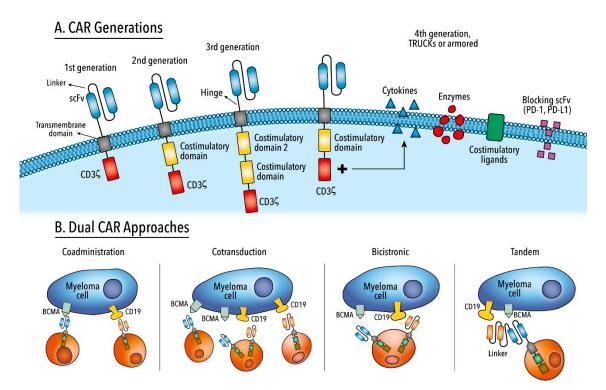
Several immune dysregulations have been described in MM. BM niche cells contribute to tumor growth and immune escape by creating a permissive microenvironment promoted by factors with immunosuppressive properties such as TGF-β, prostaglandin E2, IL-10, and IL-6 [127]. Additionally, an impaired maturation and differentiation pattern has been described in dendritic cells (DCs) of patients with MM [128,129]. Increased levels of PD-L1 have been found in MM plasma cells as well as an increased expression of PD-1 in circulating effector cells like T and NK cells [130,131]. The immunosuppressive role of other checkpoint receptors such as CD85j or TIGIT has also been shown in MM. A study demonstrated lower expression of CD85j, an inhibitory immune checkpoint for B-cell function, in patients with active MM and MGUS (a premalignant condition), suggesting that such a lower expression in malignant PCs may eliminate the inhibitory signal—causing an increase in PC resistance to NK cytotoxicity—and lead to immune escape [132]. TIGIT, an inhibitory checkpoint receptor expressed on lymphocytes, and its ligands poliovirus receptor (PVR) and Nectin-2, could also play a role in immune escape. In vitro functional assays demonstrated inhibition of CD8<sup>+</sup> T cell signaling and proliferation, which could be restored by TIGIT blockade. TIGIT blockade also showed an increased proliferation of IFN-γ-secreting CD4<sup>+</sup> [17,133]. Although preclinical data suggest that blockade of the PD-1/PD-L1 axis could be effective in the treatment of MM, clinical data published to date do not support such statement. A phase I study with pembrolizumab monotherapy, a PD-L1 blocker, from patients with R/R MM achieved stable disease as the best response [134]. A separate phase I study exploring the use of nivolumab (PD-1 blocker), which comprised patients with different hematological neoplasms, included 27 patients with R/R MM. Of these patients, 63% achieved stable disease, while only one patient achieved an objective response (4%) [45]. Despite the limited outcomes obtained with PD-1/PD-L1 blockers in monotherapy, some studies reported better efficacy when in combination with IMiDs like lenalidomide or pomalidomide, even in patients treated previously with IMiDs. The reason for such efficacy was the enhancing effect conferred by these agents on the PD-1/PD-L1 axis. In the KEYNOTE-183 study, a phase III randomized trial comparing pomalidomide and dexamethasone with or without pembrolizumab, ORR was 34% vs. 40% in the pembrolizumab-PD group and PD group, respectively. Immunemediated AE occurred in 18% of patients in the pembrolizumab group, with the most frequent being pneumonitis, hyperthyroidism, and rash. Serious AE were reported in 63% vs. 46% of patients in the pembrolizumab group and control group, respectively, with treatment-related mortality occurring in four patients with the following etiologies: Unknown cause, neutropenic sepsis, myocarditis, and Stevens-Johnson syndrome. The FDA indicated that based on the data presented to the monitoring committee, risks associated with the pembrolizumab combination outweighed the benefits and the study was to be discontinued [46]. The phase III study KEYNOTE-185, which compared Rd administration with or without pembrolizumab (200 mg every 3 weeks) in patients with NDMM who were not eligible for ASCT, showed a high rate of immune-mediated AE and mortality, with an interim unplanned analysis suggesting an unfavorable benefit-risk profile [135].

The efficacy of PD-1/PD-L1 blockers seems to be related to a higher immune cell infiltration of the tumor [121]. Mutational burden and neo-antigen expression have also proven to play a crucial role in PD-L1 expression on solid tumors. Results obtained with checkpoint inhibitor blockers may be explained by the fact that MM is known to have a low burden of mutations when compared to other solid, hematological diseases, as well as low immune cell infiltrate [136]. Toxicities observed in KEYNOTE trials raised concerns in other trials that combined an immune checkpoint inhibitor with IMiDs; most were therefore suspended or terminated [137].

# 6. Chimeric Antigen Receptor T Cell Therapy

CARs are synthetic fusion proteins designed in a modular fashion that redirect lymphocytes to recognize and eliminate cells that express a target antigen on their surfaces. CARs are endowed with four fundamental components: Either the extracellular antigen-

binding domain or scFv derived typically from the light and heavy chains of MoAs to provide antigen-specificity in a non-HLA-restricted manner; either the spacer or hinge based on CD8-, CD28-, IgG1-, or IgG4-derived domains; the transmembrane domain from CD8α or CD28 moieties; and intracellular or activation domains derived from the CD3ζ moiety of the TCR (first generation) and the addition of one (second generation) or two (third generation) costimulatory domains derived from CD28, 4-1BB moieties and others that are necessary for optimal T cell function, proliferation, and persistence. Armored or fourth-generation CARs include immune modulating capacities, suicide genes, controllable on-off protein switches, and molecules to reduce or overcome T cell dysfunction or exhaustion (Figure 2) [138–140]. Synthetically engineered T cells expressing CARs against the CD19 antigen have shown outstanding results in B-cell malignancies in clinical trials, and the FDA and EMA have approved the use of tisagenlecleucel, axicabtagene ciloleucel and brexucabtagene autoleucel [141–148]. Indeed, the results led to many more additional clinical trials in diverse hematological and solid cancers, and several encouraging results have been reported with the use of CART cell therapy-targeting BCMA in patients with MM. Due to the therapy's potential as a treatment strategy in patients with R/R MM, the first anti-BCMA CAR is expected to be approved within the coming months. However, an in-depth review of all the clinical trials that are being carried out using CAR T cell therapy in patients with myeloma goes beyond the scope of this manuscript. Exhaustive reviews have been published elsewhere [149-153]. The two most important BCMA CAR T cell products that are currently being evaluated in registration phase clinical trials include idecabtagene vicleucel and ciltacabtagene autoleucel (Table 1). Idecabtagene vicleucel is a second-generation CAR that includes a 4-1BB costimulatory domain and a murine scFv. The latest results from the phase II trial (KarMMa; NCT03361748) were presented at the last ASCO meeting. The trial enrolled 149 patients and the doses of 150 to 450  $\times$ 10<sup>6</sup> CAR T cells were analyzed. The ORR was 73% (CR rate 33%), with a mPFS and OS of 8.8 and 19.4 months, respectively. Patients treated at the highest dose level had an ORR of 82% and a mPFS of 12.1 months. Regarding safety profile, CRS and immune effector cell-associated neurotoxicity syndrome (ICANS) were observed in 84% and 18% of all patients, respectively [53]. Ciltacabtagene autoleucel is also a second-generation CAR that includes a 4-1BB costimulatory domain and two llama-derived variable-heavy chain only fragments against two different BCMA epitopes. The latest results from the phase Ib/II trial (CARTITUDE-1; NCT03548207) were presented at the last ASH meeting. The trial included 97 patients and a single infusion of the product at a target dose of  $0.75 \times 10^6$  CAR T cell/kg was administered. The ORR was 96.9% (sCR rate 67%), with a one-year PFS and OS of 76.6% and 88.5%, respectively. In terms of toxicity, CRS was observed in 94.8% of all patients (grade  $\geq 3$  in 4.1%) and ICANS occurred in 20.6% of patients (grade  $\geq 3$  in 10.3%). Ten deaths occurred during the study due to adverse events (eight patients) and progressive disease (two patients) [52].



**Figure 2.** (**A**) Structure of a CAR and different generations of chimeric antigen receptors. (**B**) Schematic representations of different strategies targeting two target antigens simultaneously. TRUCKs, T cells redirected for antigen-unrestricted cytokine-initiated killing; scFv, single-chain variable fragment.

BCMA is by far the most predominant antigen used for targeted CAR T cell therapy in MM. Reasons for targeting BCMA include the antigen's high surface expression in malignant plasma cells, its exclusive expression in some mature B-cell subsets, and its non-expression in normal tissue and hematopoietic stem cells [154–156]. BCMA regulates B cell differentiation, survival, and maturation. However, in the malignant plasma cell, BCMA is associated with the cell's survival and proliferation, and contributes to the immunosuppressive BM microenvironment [157,158]. BCMA expression is higher in patients with MM when compared with non-malignant plasma cells; nevertheless, the levels vary [159,160]. In general, CAR T cells targeting BCMA have shown impressive results in heavily pretreated patients with MM, including achieving deep responses (ORR 60–97% (≥CR in 10–86%)), manageable toxicity (CRS 60–100% (grade  $\geq 3$  in 0–41%), and ICANS 2–42% (grade  $\geq 3$  in 0–19%)), and a mPFS of 2–20 months [24,47–49,51–54,161–166]. These results are non-homogenous but can be explained by differences in patient inclusion protocols, CAR constructs, conditioning regimens, CAR T cell doses, and toxicity grading scales. Furthermore, despite these impressive remission rates, it should be noted that many patients are resistant and will relapse after CAR T cell therapy. No plateau is observed in PFS curves after CAR T cell infusion, as has been reported in other diseases such as diffuse large B-cell lymphoma or B-cell ALL. The following sections therefore provide a comprehensive analysis of the possible mechanisms of relapse as well as present potential strategies to overcome failure in this type of immunotherapy (Table 3). Table 4 details a summary of differences between BiTEs and CART cells. Finally, these sections briefly describe other difficulties, such as toxicity, manufacturing challenges, and economic burden, which could limit the widespread use of CAR T cell therapy.

**Table 3.** Obstacles of CAR T cell therapy and possible strategies to overcome them.

Limitation	Rationale	Approach
Antigen-positive escape	Impaired T cell persistence	Optimize CAR design (human scFv, hinge, costimulatory domains) to avoid antigen-independent tonic signaling and reduce antigenicity Younger T cell donors, transduction to stem cell memory T cell and central memory T cells, block T cell differentiation signaling, or use of non-viral transduction systems  Genomic knock-in of the CAR sequence to the TRAC locus
	Impaired T cell potency	Fine-tuning CAR design (human scFv, hinge, costimulatory domains) Avoid antigen-independent tonic signaling Genomic knock-in of the CAR sequence to the <i>TRAC</i> locus Avoid T cell exhaustion (combine with immune check-point inhibitors or disrupt the checkpoint pathway) Reduce the amount of soluble target antigen Optimize lymphodepletion protocol
	Tumor microenvironment-induced immunosuppression	Boost T cell trafficking and migration Overcome inhibitory signals by blocking immune check-point pathways or switching inhibitory signals present in the TME into pro-inflammatory signals Targeting immunosuppressive immune cells (regulatory T cells, tumor-associated macrophages, myeloid-derived suppressor cells) Armored CAR T cells or TRUCKs
Antigen-negative escape	Immune selection pressure Gene mutations Lineage switching Trogocytosis Antigen masking	Identification and selection of the most suitable tumor antigen Fine-tuning antigen binding affinity Targeting multiple tumor antigens (sequential or co-administration of single-target CAR products, dual CARs, or tandem CARs) Upregulate surface density of the target antigen Targeting myeloma stem cells
Toxicities	CRS and ICANS	Optimizing reduction in the number of CAR T cells infused or dividing doses on different days Prompt recognition with the use of predictive biomarkers Use of tocilizumab or corticosteroids in early stages of the disease Tailored modifications of the construct, optimizing the costimulatory domain CAR T cells with suicide genes or "OFF-switches"
	On-target, off-tumor	Affinity tuning of the scFv Advanced CAR engineering: "AND" logic-gate, "ON-switch", "SPLIT", or inhibitory CARs
Manufacturing	Amount and quality of T cells Vein-to-vein time Production failure	Allogeneic CAR T cells (major concerns: GvHD and CAR T cell rejection)
Access and economic	Infrastructure, workflows, processes, regulatory requirements, and economic burden	Cooperation among multiple stakeholders Use of non-viral gene delivery with transposon/transposase systems Creation of community CAR T cell therapy centers Promote the outpatient setting Shift from centralized to decentralized manufacturing, namely "bedside manufacturing" Cost-effectiveness, cost-benefit, and quality-adjusted life-year analyses Outcome-based reimbursement or staged payment models Legitimate value of immunotherapy as shown by real-world evidence and longer follow-ups

CRS, cytokine-release syndrome; GvHD, graft-versus-host disease; ICANS, immune effector cell-associated neurotoxicity syndrome; scFv, single-chain variable fragment; TME, tumor microenvironment; TRAC, T cell receptor alpha constant; TRUCK, T cells redirected for antigen-unrestricted cytokine-initiated killing.

	Bispecific Antibodies	CAR T Cell	
Production	"Off-the-shelf": No need for manufacturing time, allowing for immediate treatment of the patient	Individual manufacturing for each patient, starting with autologous lymphapheresis Approach: Allogeneic CAR T cells under development	
Administration	Continuous intravenous infusion Approach: extended half-life bispecific antibodies	Punctual infusion of the product (dose is sometimes split up into several days to reduce AEs)	
T cell phenotype and effector function  Binding of endogenous CD8 <sup>+</sup> and CD4 <sup>+</sup> T cells, which have a superior cytotoxic function than naïve T cells		The product is mostly composed of naïve CD8 <sup>+</sup> and CD4 <sup>+</sup> T cells; these cells have higher self-renewal, survival, and penetration in lymphoid tissues	

**Table 4.** Some differences between bispecific antibodies and CAR T cell.

AE: Adverse events; CAR: Chimeric antigen receptor.

### 6.1. Mechanisms or Relapse

Understanding the underlying mechanisms that determine or predict relapses is crucial in order to improve therapeutic approaches. Despite the high CR rates achieved with CD19-targeted CAR T cells (81–90% in B-cell ALL and 50% in B-cell non-Hodgkin lymphoma (NHL)), 10–20% of patients with B-cell ALL and 20–50% of patients with B-cell NHL will be refractory, while 30–60% of those with B-cell ALL who achieve CR and 20–30% of those with B-cell NHL who achieve CR will relapse [141,143,144,167,168]. With respect to BCMA-targeted CAR T cells in patients with MM, 3–40% of such patients will be refractory, while 15–50% of those patients who achieve CR will relapse during the first year of follow-up. Although the data are still very immature, there is evidence that a greater proportion of patients will relapse with longer follow-ups [24,47,48,50–54].

To date, two relapse patterns—antigen-positive and antigen-negative escapes—have been elucidated due to the high number and extensive follow-up period of patients who received CD19-targeted CAR T cells. Knowledge of such patterns may contribute to enhancing BCMA-targeted CAR T cell therapy in patients with MM.

# 6.1.1. Antigen-Positive Escape

Relapse of this nature most frequently occurs in CAR T cell immunotherapy. It is characterized by the maintenance of antigen expression on the tumor cell surface. Mechanisms found in such relapse underlie poor persistence and the low potency of CAR T cells, as well as mutations in survival or apoptosis pathways in tumor cells and the immunosuppressive tumor microenvironment (TME).

The use of non-human-derived scFv might contribute to CAR T cell inactivation due to the HLA-restricted T cell-mediated immune response and the presence of anti-CAR T cell antibodies [169]. Investigators Xu et al. found anti-CAR T cell antibodies in 6 patients with MM before or after relapse using a llama-derived bi-epitope-targeting BCMA CAR (LCAR-B38M). The presence of these antibodies was also associated with a notable reduction in the number of residual CAR T cells [51]. Different groups are therefore using fully human scFv to reduce antigenicity that would then increase persistence and improve efficacy [48,54,163–165,170–172]. This strategy may hold potential to re-challenge the targeted antigen and reinfuse the same or different CAR [171].

Intracellular signaling domains also play an important role in the persistence and efficacy of the product. Costimulatory CD28-based CARs enhance activation, proliferation, and cytotoxicity of T cells by promoting effector memory T cell differentiation and increasing aerobic glycolysis, albeit with reduced persistence. Meanwhile, 4-1BB-based CARs promote oxidative metabolism, bolster central memory T cell differentiation and improve T cell persistence [173–176]. Although the optimal costimulatory molecule to use in myeloma has yet to be elucidated, most products targeting BCMA are based on the 4-1BB moiety. Efforts are currently being undertaken to improve stimulatory signaling. Some

examples include incorporating both CD28 and 4-1BB moieties into the CAR to maintain rapid activation kinetics and improved persistence, respectively [177,178]; mutating the activation motif in CD28 or encoding a single CD3 $\zeta$  ITAM so as to hinder exhaustion and improve the persistence of T cells [179–181]; and, either incorporating new costimulatory domains (CD27 or ICOS) to enhance survival or differentiating CD4<sup>+</sup> T cells towards a Th1/Th17 phenotype [173,182,183].

T cell fitness and subset composition have been recognized as markers of expansion and response [48,139,184,185]. CAR T cells manufactured from older donor T cells had worst transduction efficiency and impaired effector functions when compared with younger donor T cells, reflected by gene expression, secretory pattern, and transcription factor balance [186]. Quality of harvested T cells might also be compromised due to the disease itself and the type, number, and intensity of prior treatments [187–190]. Therefore, harvesting T cells during the first line of treatment and not in subsequent relapses may have clinical potential [191], as well as administering CAR T cell therapy as an earlier intervention in patients with MM. There are, in fact, clinical trials underway, evaluating BCMA-targeted CAR T cells in first-line treatment in high-risk patients with MM (KarMMa-4, NCT04196491) and in second-line treatment with high-risk factors (KarMMa-2, NCT03601078). With respect to T cell subpopulations, random compositions of T cell subsets were used in initial BCMA clinical trials; however, growing evidence supports the belief that tailored composition of T cell subsets could increase efficiency and persistency [192]. A higher CD4<sup>+</sup>/CD8<sup>+</sup> T cell ratio in the leukapheresis product was associated with better expansion and response, and less differentiated or more naïve stem cell memory and central memory T cells were predictive biomarkers of expansion and clinical response [48,184]. That stated, orvacabtagene autoleucel (JCARH125, NCT03430011) [154,155] and FCARH143 product (NCT03338972) [164] are manufactured using a 1:1 ratio (CD4+/CD8+) before and after gene transfer, respectively, to homogenize the amount of T cells infused among patients and enhance crosstalk between CD4<sup>+</sup> and CD8<sup>+</sup> T cells [192]. Another strategy to enrich memory-like T cells includes blocking T cell differentiation signaling derived from constitutive CD3ζ and the phosphoinositide 3-kinase (PI3K), AKT and mTOR activation pathway [193]. bb21217 (NCT03274219) is a next-generation product of idecabtagene vicleucel that adds PI3K inhibitor bb007 during ex vivo culture with the expectation to enhance persistence and potency [161]. The addition of IL-7 and IL-15 to CAR T cell cultures enhances cytolytic and proliferative capacities and enriches naïve central memory T cells [194]. Novel CAR T cell product P-BCMA-101 (NCT03288493), conceived using the non-viral piggyBac® DNA modification system, favors enrichment of T memory stem cells, providing a higher therapeutic index [163].

Ligand-independent chronic activation or tonic signaling leads to detrimental effects on CAR functionality. It is characterized by different growth and phenotype patterns of CAR T cells, and is associated with accelerated differentiation, exhaustion, and impaired anti-tumor effects [195,196]. Adjustments can be made in the configuration of the CAR to avoid it, though. For example, centyrin<sup>TM</sup> are small monomeric, thermostable, and less immunogenic proteins based on a tenascin fibronectin type III sequence that have binding affinities similar to scFv and no signs of tonic signaling thus far [197]. A clinical trial with such technology is currently underway (P-BCMA-101, NCT03288493) [163]. Additionally, the hinge/spacer is crucial in preventing tonic signaling. Appropriate length of IgG-derived spacers and the replacement of the N-glycosylation site by Fc $\gamma$ R-binding might recover CAR T cell functionality [198,199]. However, most CAR myeloma trials use CD8 $\alpha$ -derived spacers to reduce the presence of tonic signaling [47,48,200]. Another useful option in third-generation CARs is to place the 4-1BB costimulatory domain distal to the cell membrane [173]. Advancements in genome editing tools using CRISPR/Cas9 have allowed for the targeted genomic knock-in of the CAR sequence to the T cell receptor  $\alpha$  constant (TRAC) locus, resulting in a homogeneous expression of the CAR, the prevention of tonic signaling, a delay in effector T cell differentiation and exhaustion, and the enhancement of T cell potency [201].

T cell dysfunction is associated with tumor progression and relapse. T cell exhaustion refers to effector T cells with a reduced capacity to secrete cytokines and is characterized by an increased expression of inhibitory receptors (PD1, TIM3, LAG3, CTLA4, and TIGIT), reduced proliferative capacity, an altered transcriptional factor program (NFAT, IRF4, NR4A, and TOX), and a unique epigenetic landscape. T cell senescence is defined as the terminal differentiation state due to excessive cell replication, and is associated with cell cycle arrest and telomere shortening [202-204]. Brudno et al. observed a higher fraction of CAR T cells expressing senescence (KLRG1 and CD57) and exhaustion (PD-1) markers after CAR T cell infusion with CD28-based BCMA CAR in patients with MM [47]. CAR T cell anti-tumor activity may be boosted by the disruption of the immune checkpoint signaling pathway [205], by either combining the cell product with an immune checkpoint inhibitor antibody [205–207] or genetic modulations. Several examples of such include deleting PD-1 in CAR T cells with gene silencing techniques such as CRISPR/Cas9 or short hairpins RNAs [208,209]; engineering CARs that secrete PD-L1-targeted IgG antibodies or PD-1-targeted scFv [210,211]; transducing a CAR with a truncated PD-1 receptor that lacks intracellular domains [208]; and transducing a PD-1 switch receptor fused with an intracellular CD28 domain and thus modifying a dominant-negative inhibitory signal via an activating costimulatory signal [212,213]. However, data are conflicting with respect to impairment of anti-tumor function and proliferation activity of CAR T cells due to PD-1 silencing [214,215]. Further investigation is therefore warranted to elucidate the specific role that each immune checkpoint receptor plays and the optimal time for its inhibition in MM [216].

The presence of a soluble target antigen in the bloodstream could be an obstacle in CAR T cell therapy. A reduction in the density of the selected antigen on the tumor cell surface would not make it possible to reach the threshold that triggers the effector functions of CAR T cells and would hamper the scFv domain of the CAR [217]. For example, the soluble fragment of BCMA protein (sBCMA) can be shed into the bloodstream due to cleavage performed by the gamma-secretase (GS) [218]. With respect to MM, sBCMA may have a role in the disease pathophysiology, with increased levels of sBCMA being associated with a worse prognosis [219-221]. Preclinical data suggest that high concentrations of sBCMA may interfere with cytokine production and cytolytic capacity of BCMA-targeted CAR T cells [222]. Conversely, though, preclinical [154,160] and clinical [24,47,48,54] evidence highlight that BCMA-targeted CAR T cell activity is not compromised and sBCMA could be an adjunctive biomarker to assess response and progression [47,48]. These differences could be explained by the different epitopes to which the CARs are redirected, as some epitopes could be cryptic or not accessible in the soluble conformation of BCMA [217]. A possible, recently elucidated strategy to reduce the amount of sBCMA is the addition of a GS inhibitor (GSI), which efficiently blocks BCMA shedding [222]. The next section will provide further details about the possible utility of inhibiting the GS in anti-BCMA immunotherapy.

Malignant plasma cells and dysregulated BM TME interactions contribute to the pathogenesis, progression, and therapy resistance in myeloma [223]. However, knowledge concerning the role of immunosuppressive TME in relapse after the use of CAR T cells in patients with myeloma is minimal. Evidence obtained from patients with solid tumors indicates that objective response to CAR T cell therapy is infrequent and ephemeral due to cell stroma and immunosuppressive modulators, aberrant vascularization, hypoxia, and lack of nutrients [224]. In response, a wide set of approaches are being conceived to overcome these challenges. Some examples are as follows: (1) Increasing expression of chemokine receptors (CCR4, CSF-1R, and CCR2b) in CAR T cells to improve migration and anti-tumor activity to boosting T cell trafficking to tumors [225–227]; (2) targeting protease activation protein (FAP)-expressing stromal cells or secreting extracellular matrix-modifying enzymes (anti-GD2 CAR) to degrade heparan sulfate proteoglycans to infiltrate physical barriers. However, targeting fibroblast could develop considerable on-target, off-tumor toxicities [228–230]; (3) either blocking immune checkpoint pathways as aforementioned;

(4) switching inhibitory signals (IL-4) present in the TME to pro-inflammatory signals (IL-2, IL-7 or IL-15) [231–233] to overcome T cell inhibitory signals, or disrupting the proapoptotic FAS signal pathway to impair the function as dominant-negative receptors [234]; (5) targeting immunosuppressive immune cells (regulatory T cells, tumor-associated macrophages, MDSC) with CAR T cells [224,235,236]; and (6) engineering bionic CAR T cells (armored CAR T cells or TRUCKs) to secrete stimulatory cytokines (IL-12, IL-15, IL-18) [237–240] that foster the effector activity of CAR T cells (third stimulatory signal) and propagate the anti-tumor immune response via recruitment of endogenous immune cells [241–243]. While the preclinical potential of such advanced engineering is great, clinical utility remains to be defined.

Conditioning regimens or lymphodepletion protocols based on chemotherapy prior to CAR T cell therapy aim to reduce tumor burden, eliminate immunosuppressive cells (Tregs and MDSC), remove homeostatic cytokine sinks (IL-2, IL-7, and IL-15), activate APC, downregulate indoleamine 2,3-dioxygenase in tumor cells, and enhance function, expansion, and persistence of CAR T cells [244–247]. Beneficial effects such as better clinical response and prognosis have been observed in B-cell malignancies and MM [48,248–251]. Although no current standard regimen has been established in MM clinical trials, the mainstream combination used is fludarabine plus cyclophosphamide. Further knowledge is needed to determine the most suitable regimen, dosing, and timing of drug administration.

### 6.1.2. Antigen-Negative Escape

This type of escape is characterized by the loss of or downregulation in the targeted antigen expression. It has been described with different targeted immunotherapies including CAR T cells [252]. Complete antigen loss may not be absolutely necessary to escape CAR T cells; however, decrease in the target antigen expression may suffice. Some evidence suggests that a minimum and individual threshold of antigen expression is needed for CAR T cell activation [252,253]. Apparently, within the MM setting, the antigen-negative or downregulation of the antigen is not the primary mechanism of escape, although some clinical trials using BCMA-targeted CAR T cells have reported it [47,48,164,254]. Investigators Brudno et al. described the case of one patient who lost BCMA expression at the time of progression. BM analysis showed the presence of a mixed cell population, with some maintaining BCMA expression and others losing it [47]. Likewise, Green et al. reported the case of one patient whose tumor biopsy at relapse revealed both a BCMA<sup>neg</sup> myeloma cell population and 70% reduction in intensity of BCMA expression in remaining myeloma cells [164]. A separate study by Cohen et al. also showed similar findings, reporting a decrease in intensity of BCMA expression in 4 of 9 patients who did not achieve an objective response to the BCMA-targeted CAR [48]. Notably, after CAR T cell infusion, intensity of BCMA expression was minimal in residual myeloma cells; however, in most patients at progression, expression returned to baseline levels [48]. Martin et al. presented three cases of BCMA antigen loss after idecabtagene vicleucel; a biallelic deletion of chromosome 16p encompassing the BCMA locus was confirmed in one case [254,255].

Evidence obtained on CAR T cells targeting the CD19 antigen has elucidated some of the plausible mechanisms related to this subtype of relapse. These mechanisms include: (1) Immune selection pressure: Pre-existing target antigen-negative subclones prior to CAR T cell therapy may transform to dominant clones after selective stress generated by immune-targeted therapy [256,257]. This type of escape is highly probable in myeloma due to intratumor heterogeneity and clonal evolution [258]; (2) gene mutations: Frameshift and missense mutations have been described with the subsequent loss of expression of the targeted antigen. Furthermore, alterations have been identified in the splicing factor that could cause protein isoforms contributing to CAR T cell escape [259–261]; (3) lineage switching: Immunotherapy could induce conversion or reprogramming to a different leukemic cell lineage [262,263]; (4) trogocytosis and cooperative killing: Described only in in vitro and xenograft models, this mechanism of escape is characterized by the transfer of the target antigen to CAR T cells during the immune synapse. Such transfer subsequently

decreases density of the antigen expressed on the tumor cell surface and triggers fratricide among CAR T cells, resulting in ensuing exhaustion [264,265]; and (5) antigen masking: This mechanism occurs when the CAR gene is unintentionally transduced into a leukemic B-cell during product manufacturing. CAR-transduced blasts will bind to the target antigen expressed on their own cell surface and result in the masking of and resistance to CAR T cells [266]. Overall, though, there may be some strategies to overcome this subtype of escape mechanism.

One of the most relevant and complex factors in determining CAR T cell therapy success is the identification and selection of the most suitable tumor antigens. Although no mainstream definition exists, the ideal tumor antigen should fulfill the following requirements: Have high, homogeneous expression on tumor cell surface; be involved in disease pathophysiology and maintain expression at different stages of the disease; be resistant to therapeutic pressure exerted by immunotherapy to avoid the downregulation or complete loss of the antigen; have no expression in normal tissue to avoid on-target, off-tumor toxicities; and if released into the bloodstream, should be minimal [267–270]. Potential molecules are currently being evaluated in clinical (e.g., BCMA, SLAMF7, CD19, CD38, CD44v6, GPRC5D) and preclinical (e.g., CD229, integrin  $\beta$ 7) settings, and some have shown encouraging outcomes. Providing further details of these evaluations goes beyond the scope of this manuscript; however, comprehensive reviews that address this topic are available [152,153,271]. In all, finding the ideal antigen in myeloma is challenging and grand endeavors are being undertaken to find the balance between safety and effectiveness.

Targeting multiple tumor antigens may counteract antigen escape. Thus far, different approaches have been implemented including sequential treatment or co-administration of different single-target CAR T cell products; co-expression of two different CAR molecules on T cell surfaces using a single bicistronic vector (dual CARs); and expression of two scFvs in extracellular domains in "single-stalk" intracellular module (tandem CARs) (Figure 2) [272,273]. In the preclinical setting, different combinations have been shown to be useful (CD19/BCMA, BCMA/SLAMF7, and BCMA/GPRC5D [215,274–276]), while in the clinical setting, preliminary and encouraging results targeting BCMA/CD38, CD19/BCMA, and BCMA/TACI have been presented [277–280]. However, due to the selection of two antigens, special attention should be given to a potential increase in toxicity. Similarly, at the manufacturing level, bicistronic CARs require codon optimization if DNA recombination is not to occur, and engineering design of tandem CARs must be optimal if adequate antigen recognition and T cell activation are to be achieved [272].

Another plausible method to maintain or upregulate surface density of the target antigen is via in combination with different drugs. CD38 expression can be modulated with ATRA [78] and histone deacetylase inhibitors panobinostat and ricolinostat [80,81] to improve immunotherapy efficacy. BCMA expression could be enhanced with ATRA as an epigenetic modulator and improve CAR T cell efficacy [281]. As mentioned prior, the use of GSI in the preclinical setting reduces the shedding of sBCMA, leading to an increase in BCMA surface expression and improvement in BCMA-targeted CAR T cell therapy [222]. Currently, these findings are being verified in a clinical setting with crenigacestat as the inhibitor of GS. Outcomes of this clinical trial may prove promising, as initial results have been encouraging, especially in patients who relapsed after BCMA-targeted therapies [282].

Myeloma stem cells contribute to the high rates of refractoriness and relapse of this disease. These cells are able to remain in a quiescent state, undergo self-renewal and hold differentiation potential, be resistant to cell death mechanisms, and escape from immunosurveillance. Indeed, due to these characteristics, myeloma stem cells could be a target for CAR T cell therapy [283]. It has been suggested that these less differentiated, myeloma subclones do not express CD138, but they do express other antigens like CD19 and CD229 [284–287]. Designing CARs targeting these molecules may confer benefits [288,289], even though positive cell fraction is extremely scarce [290]. Nonetheless, while this type of treatment is interesting in theory, further, more comprehensive studies are warranted.

#### 6.2. CAR T Cell-Related Toxicities

This novel therapy revealed new and potentially life-threatening toxicities that could limit its widespread use. The most prominent toxicities are CRS, ICANS and on-target, off-tumor toxicity [291,292]. CRS is characterized by the release of inflammatory cytokines associated with a wide range of symptoms such as fever, hypoxia, hypotension, and organ dysfunction. Treatment may include symptom support, corticosteroids, and IL-6 receptor antagonist tocilizumab [292-295]. ICANS is associated with the impairment of the blood-brain barrier and a subsequent elevation of cytokines in cerebrospinal fluid. Symptomatology varies from aphasia and confusion to seizures and cerebral edema. Clinical management may include appropriate supportive treatment and corticosteroids; the use of tocilizumab is justified only when CRS is co-existing [292,294-297]. Great efforts have been made to establish appropriate grading methods and treatment guidelines to improve the diagnosis and management of these complications [298-300]. With respect to on-target, offtumor toxicity, this occurs due to recognition by CAR T cells of a targeted antigen expressed in non-tumor cells. A classic example of such toxicity is the development of B-cell aplasia and hypogammaglobulinemia during the use of CD19-targeted CAR T cells. However, other examples with potentially devastating outcomes have been reported [294–303].

In an MM setting using BCMA-targeted CAR T cells, CRS and ICANS rates are 60–100% and 2–42%, respectively, with the majority being grade  $\leq$  2 (59–100% and 81–100%, respectively). Tocilizumab and corticosteroids were required in 28–79% and 15–52% of patients, respectively [24,47,48,53,54,304]. Such data could translate into less severity when compared to CD-19 CARs.

As an in-depth review of different strategies mitigating toxicities has been published elsewhere [272,273,295], only some of the most prominent alternatives will be mentioned. The risk of CRS and ICANS is related to CAR T cell activation kinetics, the dose of CAR T cells infused, and baseline factors or comorbidities. Activation of CAR T cells are modulated by tumor burden, antigen expression, and the construct itself (affinity of scFv and costimulatory domains) [273]. The risk of toxicity may be attenuated by either reducing the number of CAR T cells infused or dividing the doses on different days. Prompt recognition of severe CRS and ICANS with the use of predictive biomarkers may also help. With respect to the construct, tailored modifications can be designed, including (1) optimizing the costimulatory domain (CD28 or 4-1BB), which depends on surface density of target antigen and the degree of expansion or persistence of CAR T cells [294,305]; and (2) engineering CARs with "suicide genes" using an apoptosis-triggering fusion protein comprising caspase 9 (iCasp9) [306] or "OFF-switches" like truncated epidermal growth factor receptor (EGFRt), which can be targeted with cetuximab [307] to dismiss CAR T cells. Dasatinib may also work as an on/off switch for CAR T cells by ablating the lymphocytespecific protein tyrosine kinase (LCK)-signaling pathway [308]. Strategies designed to limit on-target, off-tumor toxicities include the following: Affinity tuning of the scFv to discern between normal and tumor cells per antigen density level [309-311]; "AND" logic-gate CARs that require simultaneous presence of two-cell surface antigens to activate the T cell [312]; "ON-switch" CARs, which need a small, heterodimerizing molecule to bind the dissociated antigen binding domain with the signaling domain for activation [313]; "SPLIT-CARs", the co-expression of two different CARs that recognize different antigens, in which one encloses the activation domain (CD3 $\zeta$ ) and the other the co-stimulation domain. Both antigens must be present for full T cell activation [314–316]; and inhibitory CARs (iCARs) bear an inhibitory signaling domain of immune-checkpoint proteins (CTLA-4 or PD-1) to inhibit T cell activation after recognition of the target antigen expressed in non-tumoral cells [317]. It remains to be determined which of all of these pre-clinical strategies may be useful in the clinical setting. Furthermore, the therapeutic/toxic window of each CAR construct is different; appropriate interventions may therefore differ and should be established for every CAR T cell [252,273].

#### 6.3. Product Manufacturing, Access and Economic Challenges

Manufacturing CAR T cells from autologous T cells has certain limitations, as administration of CAR T cells may not be feasible in some patients. Some primary reasons for these limitations include difficulty in harvesting enough T cells from lymphopenic patients due to the disease itself and previous treatments; disease progression during manufacturing time; and failure in CAR T cell production due to T cell dysfunction [273,318]. To circumvent these hurdles, engineering CAR T cells from healthy allogeneic or "thirdparty" T cell donors has been proposed. This "off-the-shelf" strategy has many potential advantages, including cryopreserved batches to avoid treatment delays, less T cell quality issues, the possibility to redose and combine different target CAR T cells, possible decrease in manufacturing costs, and a greater number of patients possibly benefiting from such therapy [273,318]. Nevertheless, this approach is associated with two major concerns: (1) Graft versus host disease (GvHD) development and (2) rejection and removal of allogeneic T cells by the host immune system. To reduce the risk of GvHD, different therapeutical approaches are being used such as allogeneic donor-derived T cells in stem cell transplant recipients [319,320], non- $\alpha\beta$  T cells (NK cells or umbilical cord blood NK cells) [321,322], and gene-editing tools (zinc-finger, TALEN, and CRISPR/Cas9 technologies) to disrupt the endogenous TCR of CAR T cells [201,323,324]. To avoid allogeneic T cell rejection, it has been suggested to do the following: (1) Creating a T cell bank that matches the majority of HLA-subtypes [325]; (2) elongating the duration of lymphopenia by disrupting TRAC and CD52 locus of CAR T cells to result in alemtuzumab-resistant CAR T cells [324]; (3) and disrupting *HLA-A* or β2-microglobulin genes on allogeneic CAR T cells [326]. Different promising BCMA (ALLO-715, ALLO-647, and P-BCMA-ALLO1) and SLAMF7 (UCARTCS1)-directed products are being evaluated in clinical trials [327–329]. Early data presented in the last ASH meeting showed that ALLO-715 and ALLO-647 have a manageable safety profile and clinical activity [330]. However, more robust data and longer follow-up are needed to determine the true potential and the target population of this strategy.

These "living drugs" are different from other oncological drugs, as various infrastructures, workflows, processes, and regulatory requirements are required to guarantee product quality and manufacturing time ("vein-to-vein time") [331]. The management of these patients require cooperation among multiple stakeholders and specialized teams with appropriate skill sets, standard operating procedures, and laborious site setup processes (extensive preparation and certifications) [332]. Another critical point in large-scale application is therapy costs. The Institute for Clinical and Economic Research (ICER) performed a cost-effectiveness analysis of previously authorized CAR T cells which are below the threshold to be considered cost effective (\$150,000 per quality-adjusted life-year (QALY) gained) [333]. However, this analysis is not yet available for patients with myeloma. Long-term effectiveness will be a key outcome to possibly improve the cost-effectiveness ratio [334]. Other strategies that could reduce costs include non-viral gene delivery with "Sleeping Beauty" or "PiggyBac" transposon/transposase systems—which are more affordable than the use of viral vectors [335,336]—and the creation of community CAR T cell therapy centers and promotion of the outpatient setting to increase the number of patients who benefit from these treatments and improve hospital finances. A shift from centralized to decentralized manufacturing, namely "bedside manufacturing" could increase capacity, reduce costs, and lessen vein-to-vein time [332].

CAR T cell therapy has demonstrated excellent efficacy in clinical trials and some products are expected to be approved during this year. However, this strategy still has only a modest PFS. Therefore, in the near future, new strategies will be necessary to optimize these products.

# 7. Vaccines

Given the contribution of the immunological profile of MM pathogenesis, a vaccine may be able to stimulate a clinical response achieved with standard therapy. There are

many mechanisms involved and clinical trials ongoing. However, this review will only present vaccines with published results. For example, patients treated with vaccines based on dendritic/patient-derived myeloma cells exhibited expansion of CD4<sup>+</sup> and CD8<sup>+</sup> lymphocytes; 11 of 16 patients achieved stable disease [55]. Furthermore, the same group reported that vaccination after ASCT resulted in expansion of myeloma-specific T cells and deeper minimal residual disease [57]. Other vaccines based on antigens overexpressed in myeloma, such as survivin and human telomerase reverse transcriptase (hTERT), which were transferred after ASCT, have led to higher cellular and humoral reconstitution as well as increased antitumor immunity and improved event-free survival [56]. MAGE-A3 (melanoma-associated antigen 3) is a protein detected in 50% of myeloma cells, becoming more frequent in the advanced stage of the disease. Two studies have therefore used MAGE-A3 as a peptide to conceive a vaccine. Both studies reported high specific T cell immunity after ASCT [58,60]. For smoldering MM, a vaccine targeting three myeloma peptides (XBP1, CD138, and CS1) was safe and well tolerated, achieving acceptable immune response alone and in combination with lenalidomide [59]. In summary, vaccines appear as a safe alternative to stimulate T cell response, possibly increasing or deepening such response after a transplant. A combination of vaccines with other strategies such as anti-PD1 antibodies may improve immune response [337]. The lack of longer follow-up trials to evaluate its real clinical impact and a high number of patients involved makes vaccines an ongoing field of interest, with still so many questions to answer within the coming years.

### 8. Personal Perspective

Immunotherapy is revolutionizing cancer treatment, uncovering new pathways to harness anti-tumor immune functions. Although novel immunotherapy approaches have proven effective in patients with myeloma, there are still concerns and hurdles that preclude their use. A major challenge is its unpredictable efficacy, namely whether such therapy will prove useful in only a minority of patients [338,339]. Such unpredictability could be due to interpersonal variability, tumor heterogeneity, and clonal evolution [216,258,340]. The reductionist approach that targets single molecular pathways or mutations might be upgraded with the use of combinatorial strategies targeting different pathways and possible synergistic effects [341]. Another way to improve the response would be to implement immunotherapy during the early stages of the disease, as restoration of robust anti-tumor activity would be easier than in advanced or heavily pretreated stages [339]. Additionally, the identification of potential biomarkers remains an unmet need in immunotherapy. Prognostic biomarkers are useful in predicting the likelihood of relapse and survival, irrespective of therapy, while predictive biomarkers foretell outcomes with a specific drug [342]. Molecular profiling technologies have allowed such identification. However, none of these biomarkers have been robustly validated in MM immunotherapy. It may be that, in the future, receptors and ligands of immune checkpoints, sBCMA levels, or the preinfused T cell subset will foresee accurate outcomes. Current medicine is evolving towards precision medicine, mainly in oncology, to personalize and refine health care in all its aspects. This includes medical decisions, diagnostic testing, and treatment selection, as well as elucidating prognosis in a subgroup of patients based on the molecular and cellular features of a tumor, overall environment, and individual lifestyle [343,344]. Several studies have already demonstrated the feasibility of adopting innovative approaches in precision oncology [345–347]. However, the percentage of patients who are eligible and will benefit for targeted-driven therapy remains a minority [348]. Different challenges will therefore have to be addressed. For example, as delayed responses could be observed with immunotherapy, traditional endpoints will need to be optimized for chemotherapy-based treatments [349]. Additionally, as progress is being made with biomarkers in precision oncology, next-generation clinical trials or master protocols (basket and umbrella trials) will be needed in order to evaluate specific molecular lesions in small cohorts of patients [350]. Finally, economic sustainability in immunotherapy has become a prominent concern. Immunotherapy agents are expensive, placing a great financial strain on the health system

and, in some countries, the patient. Proper cost-effectiveness, cost-benefit, and QALY analyses will be required to resolve this matter of importance [339]. To make it more affordable, outcome-based reimbursement or staged payment models have been developed for gene therapies; however, if immunotherapy is to prove its legitimate value in terms of benefits and grow in accessibility, further real-world evidence and longer follow-ups with more patients will be necessary to leverage the therapeutic approach powerfully [351]. In the following years, close cooperation and coordination among different stakeholders will be necessary to preserve patient access and health care system sustainability.

Immunotherapy has generated a paradigm shift in the treatment of MM. MoA have shown minimal toxicity and outstanding efficacy, so it is expected that anti-CD38 MoA will be used in all standard front-line therapy for younger patients with NDMM and in non-transplant eligible patients. This will generate the need to seek specific therapeutic strategies in R/R patients, since the outcomes of patients with MM R/R to CD38-targeted MoA therapy are poor [352]. There is eagerness that novel immunotherapeutic strategies will provide clinical benefit in this challenging population. However, many BCMA-specific products and approaches are currently being explored in the R/R setting. ADC, Bs MoA, and CAR T cells will be a turning point in the therapeutic arsenal against MM. It will be necessary to analyze the advantages and disadvantages of each product and determine the best scenario to use them. Furthermore, there are currently no direct comparisons to determine which one is superior. Both ADC and Bs MoA are easy to use and available immediately; they do not require specialized centers for their administration, nor apheresis. ADC does not need a predefined number of T cells for their production. CAR T cell requires a few weeks to manufacture and specialized medical centers for administration, narrowing the number of patients who can receive it. Elderly and heavily pre-treated patients with lymphopenia could be a challenge in the manufacture of CAR T cells. T cell fitness could be of great importance for both Bs MoA and CAR T cell function. Regarding toxicities, both Bs MoA and CAR T cell products can develop CRS and ICANS, while ADC can develop keratopathy in the majority of patients. Respecting affordability, ADC and Bs MoA are less expensive when compared to CAR T cell products. So far, it remains unproven which BCMA-targeted strategy provides a better therapeutic index. Real-world data and longer follow-ups will provide new insights to clarify the proper way to integrate these therapies into current treatment algorithms.

#### 9. Conclusions

In recent years, immunotherapy has positioned itself as a cutting-edge therapeutic strategy. It has become a game changer for patients with cancer. In patients with multiple myeloma, immunotherapy remains in a nascent stage; however, its therapeutic potential has already begun to be elucidated. Different products are expected to be approved in the short term. Nonetheless, this type of therapy faces different challenges, from efficacy and safety to manufacturing and economic affordability. To surmount these hurdles, more comprehensive knowledge is necessary to fully understand the mechanisms involved in relapse, boost "bench-to-bedside" research, enhance cooperation among various stakeholders, optimize manufacturing and scalability, and foster sustainability. It is our hope that this novel treatment approach could be used in early stages of the disease and become widely available. Restoring immune balance may just lead to long-lasting remissions long awaited.

**Author Contributions:** L.G.R.-L., A.O.-C., and D.F.M. wrote and reviewed the manuscript. C.F.d.L. and J.B. reviewed the manuscript. All authors have read and agreed to the published version of the manuscript.

**Funding:** L.G.R.-L. as a BITRECS fellow has received funding from the European Union's Horizon 2020 research and Innovation Programme under the Marie Sklodowska-Curie grant agreement No 754550 and from "La Caixa" Foundation. This work has been supported in part by grants from the Instituto de Salud Carlos III, Spanish Ministry of Health (FIS PI19/00669 and ICI19/00025), Fondo Europeo de Desarrollo Regional (FEDER), 2017SGR00792 (AGAUR; Generalitat de Catalunya).

**Acknowledgments:** The authors would like to thank Josep Solanes for his support in the graphic edition. We would like to thank Anthony Armenta for providing medical editing assistance.

Conflicts of Interest: The authors declare no conflict of interest.

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