



Why Are Obese People Predisposed to Severe Disease in Viral Respiratory Infections?

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Abstract: Obesity is one of the most pressing healthcare concerns of the twenty-first century. Obesity prevalence has risen dramatically in recent decades, and in 2016, more than 1.9 billion adults were overweight (BMI $\geq 25 \text{ kg/m}^2$) and 650 million were obese (BMI $\geq 30 \text{ kg/m}^2$). About 50% of the world's population is anticipated to be obese/overweight within the next decade. Obesity is a major risk factor for a variety of non-communicable diseases, including type 2 diabetes mellitus, cardiovascular disease, non-alcoholic fatty liver disease, and a variety of malignancies. Obesity has emerged as a substantial risk factor for hospitalization and death from viral respiratory infections such as influenza A and the ongoing pandemic SARS-CoV-2. Several independent studies have indicated that obese/overweight patients are at a higher risk of severe disease and death from these respiratory diseases. Excess fat, particularly visceral fat, contributes to the development of a variety of metabolic disorders, including persistent systemic inflammation and decreased immunological function. As a result, the immunological response to infectious pathogens is weakened, resulting in poorer outcomes post-infection. Additionally, the poor lung mechanics associated with obesity may increase the risk of more serious respiratory infections. In this review, we address the likely mechanism(s) that predispose obese people to severe diseases caused by viral respiratory infections.

Keywords: COVID-19; obesity; innate and adaptive immunity; inflammation; insulin resistance; viral respiratory infections

1. Introduction

Obesity has become a major metabolic disorder due to a combination of genetic, nutritional, and environmental factors [1,2]. Energy balance in the body is sustained by regulating food intake and energy expenditure. Excessive calorie consumption and/or inadequate energy expenditure result in the accumulation of excess body fat, which eventually leads to an obese phenotype. The influence of genetics is far less than that of the environment. Instead of functioning alone, genes tend to combine with other risk factors, such as unhealthy diets and sedentary lifestyles, to increase the likelihood of weight gain and obesity [3]. Body Mass Index (BMI) is a metric used to define for overweightness (BMI $\geq 25 \text{ kg/m}^2$) and obesity (BMI $\geq 30 \text{ kg/m}^2$). According to the World Health Organization, more than 1.9 billion adults were overweight in 2016, with 650 million obese, and around 2.8 million persons dying each year because of excess weight [3–5]. Based on current incidence rates, it is estimated that by 2030, one in every five people would be obese, and about 50% of the world's population will be overweight within the next decade. Obesity has a negative impact on practically every aspect of health, from reproductive and pulmonary function to cognition and mood. People with obesity are at an increased risk



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Copyright: © 2023 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). of developing several debilitating and deadly diseases such as Type 2 Diabetes (T2DM), heart disease and strokes, digestive problems, sleep apnea, osteoarthritis, and several types of cancer [2,4,6,7]. Type 2 diabetes is the most significant disease that is impacted by body weight and several studies have demonstrated that obesity significantly increases the incidence of T2DM [8–11]. A meta-analysis of multiple relevant studies by Guh, D.P et al. showed that men with BMIs of 30 or higher had a sevenfold higher chance of getting T2DM than men with BMIs of 25 or lower, while women with BMIs of 30 or higher had a 12-fold higher risk [12]. Several other large studies have established the substantial link between BMI and T2DM incidence [13–15]. The relationship between obesity and the risk of coronary artery disease (CAD) has been the subject of many independent studies, all of which have found a substantial link between the two [16-19]. Similarly, multiple studies have demonstrated a strong association between obesity and cancer incidence [12,20–25]. Besides, there is a significant link between obesity and all cause death, according to a meta-analysis of several studies encompassing more than 10 million participants from Asia, Australia, New Zealand, Europe, and North America [26]. Research has suggested that obese individuals have a higher chance of acquiring several infectious diseases as well as a more severe course and higher fatality rates [27–29]. Multiple studies have shown a significant correlation between obesity and disease severity in viral infections, such as COVID-19 infections and H1N1 influenza [30–33]. Obese subjects are more likely to experience severe disease, hospitalization, and mortality following both H1N1 and COVID-19 infection [34–37]. The pathophysiological mechanisms underlying increased susceptibility of obese subjects are not well understood. Obesity-related immunological dysregulation, poor immune response, and respiratory dysfunction are a few of the potential factors that may have an impact on the course and prognosis of the disease [32,38–42]. In addition, comorbidities such as diabetes, hypertension, and vascular endothelial dysfunction, as well as disturbed micro and macrovascular circulation, can all contribute to illness severity and poor prognosis in obese individuals [32,43]. We review the epidemiological evidence available, with a special emphasis on obesity and its influence on disease severity. We also explore the likely mechanism(s) through which people with obesity are predisposed to severe illness as a result of viral respiratory infections.

2. Relationship between Obesity and Outcome of Infectious Diseases

Obesity has traditionally been associated with increased susceptibility and severity of respiratory tract infectious diseases. Several studies have demonstrated that Body Mass Index (BMI) is associated with increased severity and worse outcome following respiratory tract infections like non-allergic rhinitis and influenza like-illness. Obesity also emerged as a major risk for severe disease and increased mortality during the 2009 H1N1 pandemic and the ongoing SARS-CoV-2 (COVID-19) pandemic.

2.1. Obesity and COVID-19

COVID-19 disease is caused by a novel coronavirus (SARS-CoV-2) that arose in the Chinese province of Wuhan and quickly spread to the entire world to infect and kill millions of people [44]. To this day, the disease is continuously spreading and continues to pose a global threat with devastating consequences. So far, (November 2022) there were 630,832,131 infected cases resulting in 6,584,104 deaths worldwide (WHO data). Several epidemiological studies strongly suggest an association between age and disease severity [45,46]. Most of the deaths have been reported in patients 65 years of age or older. In addition, people with comorbidities such as diabetes, cardiovascular disease, hypertension, and cancer have significantly higher mortality rates [47–50]. Obesity emerged as an independent risk factor for severe infection, higher ICU hospitalization, and increased death in COVID-19 infected patients. A recent systematic review and meta-analysis of 208 studies with 3,550,997 participants from over 32 countries clearly and convincingly demonstrated that people with obesity have adverse outcomes for COVID-19 disease [51]. The authors showed that being overweight increases the risk of COVID-19-related hospitalization but not mortality, whereas obesity and severe obesity

increase the risk of both COVID-19-related hospitalization and death. Obesity was revealed to be a significant risk factor for poor COVID-19 outcomes, and every 5-unit increase in BMI was linked to 28% higher odds of COVID-19-related hospitalizations (OR 1.28, 95% CI 1.00 to 1.47, n = 5) and 10% higher odds of mortality (OR 1.10, 95% CI 1.05 to 1.16, n = 15). Another recent large-scale study involving systemic review, meta-analysis, and meta-regression analysis of 3,140,413 patients from 167 studies also showed that obesity was significantly associated with increased severity and higher mortality among COVID-19 patients [52]. The authors found that obese individuals are 1.52 times more likely to experience severe outcomes and 1.09 times more likely to die when compared to non-obese individuals with COVID-19 disease. Yet, another meta-analysis involving thirty-eight studies with 902,352 COVID-19 patients found that obesity was a major factor for ICU admission [37]. The negative effect of obesity on COVID-19 infections was consistent in all age groups, in both genders and patients from every region of the world. Zhang et al. conducted a systematic review and meta-analysis of obesity and COVID-19 outcomes by quantitative analyses of 22 studies from 7 countries in North America, Europe, and Asia [53]. The authors show that obesity is significantly associated with several adverse comorbidities and outcomes from COVID-19. Obese patients are more likely than non-obese patients to present with severe symptoms (OR 3.03), develop acute respiratory distress syndrome (ARDS; OR 2.89), require hospitalization (OR 1.68), be admitted to the intensive care unit (ICU; OR 1.35), and undergo invasive mechanical ventilation (IMV; OR 1.76) [53]. Many additional research studies from a variety of demographics have established that obese patients are more likely to get severe disease, require hospitalization, and die following COVID-19 infection [34,54-61].

2.2. Obesity and H1N1 Infection

H1N1 virus is a novel strain of the influenza virus that was responsible for the 2009 swine flu pandemic [62,63]. The virus was first detected in the US before swiftly spreading to other countries. According to World Health Organization (WHO), the virus caused 284,000 deaths worldwide and many of these deaths (~80%) were in people younger than 65 years of age. Several large studies strongly indicated obesity to be a major factor for hospitalization, incentive care treatment and death in H1N1 patients [64,65]. A systematic review and meta-analysis of six cross-sectional studies with a total of 3059 patients hospitalized for influenza A (H1N1) viral infection revealed that ICU admission rate and mortality rate of severely obese patients (BMI $\geq 40 \text{ kg/m}^2$) was significantly higher than patients who were not severely obese. Even those patients with a BMI \geq 30 kg/m² were twice as likely to be admitted to ICU or die (OR 2.01) as compared to patients with a $BMI < 30 \text{ kg/m}^2$, although this did not reach statistical significance [66]. A large study comprising 70,000 laboratory-confirmed hospitalized cases of H1N1 from 19 countries also indicated a strong association between obesity and poorer outcome of H1N1 patients [65]. The study included 70,000 patients of which 9700 were admitted to ICU resulting in the death of 2500 patients. Several other studies demonstrated that obesity was a major risk factor for hospitalization and due to 2009 H1N1 disease [36,67-69].

2.3. Obesity and Other Viral Respiratory Infections

After the H1N1 pandemic scientists began to explore if obesity was associated with increased severity and worse outcomes following viral respiratory infections. Using a series of Canada's cross-sectional population-based health surveys Kwong et al. examined outcomes of patients following influenza infection [70]. The retrospective analysis of twelve influenza seasons clearly showed that obesity was associated with a greater risk for hospitalization during the seasonal influenza periods. Impact of obesity on the risk and outcome of influenza has been reviewed in detail earlier [71]. The paper summarizes the epidemiologic effects of obesity on hospital admissions, intensive care unit (ICU) admissions, illness severity, critical infection outcomes, and death related to influenza A/pdmH1N1 infection. After reviewing various cohort studies, the authors found that when compared to normal weight people, seasonal influenza infections puts morbidly obese

people at a greater risk of hospitalization and a lengthier hospital stay. Numerous other epidemiological studies have demonstrated a link between obesity and a higher frequency of respiratory tract infections (RTIs), characterized by an increased disease severity and higher risk of death [72–74].

3. Mechanism Linking Obesity to Increased Vulnerability to Infections

It is unclear what specific mechanism(s) makes obese subjects more vulnerable to infectious diseases as compared to people with BMI < 25. [28]. However, obesity-related changes to the host immune system are thought to play a significant role [38,39,43]. Obesity alters both innate and adaptive immune responses and as a result, the immunological response to infectious pathogens is weakened, resulting in worse outcomes post-infection. Obesity induced systemic inflammation and impaired immune function are illustrated in Figure 1.

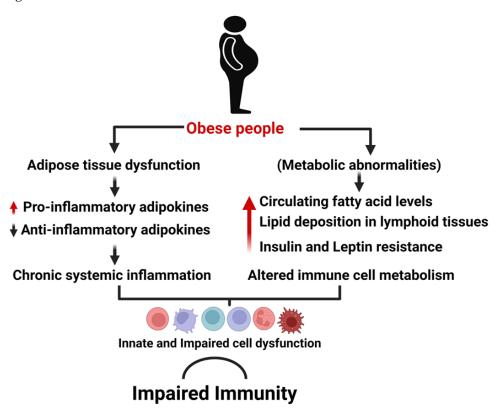


Figure 1. Obesity induced impairment of immune defense. Excess body fat causes metabolic abnormalities that result in chronic systemic inflammation and impaired immune cell metabolism. As a result, innate and adaptive immune cells malfunction, resulting in a weakened immunological response to infections.

3.1. Obesity Associated Chronic Inflammation

Adipose tissue is a form of loose connective tissue that functions to store fat. While adipose tissue may be found all throughout the body, it is most commonly seen beneath the skin. Adipose tissue can also be seen between muscles and surrounding internal organs, especially those in the abdomen. Adipocytes are the principal constituents of adipose tissue, but it also contains other cell types such as pre-adipocytes, fibroblasts, vascular endothelial cells, and immune cells such as adipose tissue macrophages. Adipose tissue is an important endocrine organ that secretes a variety of factors (including adipokines, chemokines, and cytokines) that have a significant influence on immunological function and metabolism. [48,75]. Normal lean adipose tissue contains a diverse array of immune cells that maintain a balance between pro-inflammatory and anti-inflammatory responses. Along with their function in removing apoptotic adipocytes, these immune cells have

also been connected to angiogenesis, adipogenesis, and the retention of insulin sensitivity in lean individuals. Obesity, on the other hand, affects the function and architecture of adipose tissue, with enlarged adipocytes becoming apoptotic and attracting macrophages and other immune cells to generate inflammatory adipose tissue [76–80]. Immune cells in healthy white adipose tissue are mostly regulatory and immunosuppressive in nature. Among them are Adipose tissue macrophages (ATMs), regulatory T cells (Tregs), T helper (Th) type 2 cells, and eosinophils. Hypertrophic adipocytes, on the other hand, are more prone to activating endoplasmic reticulum and mitochondrial stress responses, as well as causing shear mechanical stress on the extracellular environment. These elements, when combined, induce the establishment of a chronic, proinflammatory state inside adipose tissue. Compared to healthy adipose tissue, where macrophages make up around 5–10% of the cells, hypertrophic obese adipose tissue in mice and humans can include up to 50% of the total cell types. Hypertrophic adipocytes and macrophages both enhance the release of Tumor necrosis factor (TNF- α), interleukin-6 (IL-6), and monocyte chemoattractant protein-1 (MCP-1), whilst inflammatory leukocytes within adipose tissue further prolong the dysfunctional condition caused by adipocyte hypertrophy by generating resistin and interleukin-1 (IL-1). This eventually results in both local and chronic systemic inflammation. Elevated chronic systemic inflammation is directly responsible for a diminished acute response to numerous stimuli in immune cells and, as a result, a reduced ability to fight infections [39,41,77,79,81-84].

3.2. Obesity and Immune System Integrity

Obesity is often associated with ectopic lipid accumulation in non-adipose tissues like liver, skeletal muscle, and pancreas [85,86]. Ectopic fat deposition, or the accumulation of extra lipids in metabolic organs including the liver and muscle, is associated with insulin resistance (IR) and related metabolic problems. Insulin resistance and ectopic fat interact in a vicious cycle that increases the risk of developing cardiometabolic diseases. Interestingly, ectopic lipid deposition in tissues other than adipose is not limited to metabolic tissues, and obesity-linked lipid deposition has also been found to occur in main lymphoid organs (bone marrow and thymus). Several independent studies have shown that obesity also affects primary lymphoid organs (bone marrow and thymus) and adversely impacts their functioning [87,88]. The integrity of primary lymphoid tissues is critical for appropriate leukocyte formation and maturation. Blood cell lineages are formed from pluripotent hematopoietic stem cells obtained from bone marrow. Lymphoid cells are processed further in the thymus to become mature T-lymphocytes. Mature lymphocytes, such as lymph nodes and the spleen, dwell in secondary lymphoid tissues where they participate in immune surveillance and await pathogen activation. As a result, any change in lymphoid tissue architecture might have a detrimental effect on its functioning, resulting in changes in the distribution of immune cell populations, poorer T cell activity, and decreased immunological defense [39,89–91]). Ectopic lipid deposition impairs lymphoid tissue integrity and changes the cellular distribution of immune cell populations, resulting in decreased T cell activity and immunological protection against infections. It is well known that lipid buildup in lymphoid organs occurs in the elderly and has a negative impact on immunity. As a result, obesity is thought to accelerate aging of the immune system [91]. The authors used high-fat diet fed mice (DIO mice) that are widely used to study human obesity and associated metabolic abnormalities. DIO was shown to significantly exacerbate the agerelated decline of peripheral lymphocytes containing the T-cell receptor (TCR) excision circle, a marker of freshly produced T cells from the thymus [72].

3.3. Insulin and Leptin Resistance Impact Immune System Functioning

Insulin is the primary hormone responsible for regulating glucose, protein, and lipid metabolism in metabolic tissues. Insulin is secreted from pancreatic β -cells and signals via the insulin receptor that is expressed throughout the body. Interestingly, immune cells also express the insulin receptor; however how insulin signaling affects the metabolism of

immune cells is not completely understood [92]. Several studies have highlighted the importance of insulin receptor signaling in T-cell functioning during inflammation and acute infection. Helderman et al. were the first to show that stimulation of T cells upregulates the insulin receptor on their surface, providing the first evidence for insulin's beneficial effects on increasing T cell proliferation and metabolism [93]. In vitro evidence of T cell insulin-responsive glucose uptake regulation and mTOR-dependent Tbet expression was provided by subsequent investigations [94,95]. Sue Tsai et al. reported that the expression of insulin receptor is undetectable in T cells under the steady state and therefore loss of Insulin receptor has little effect on T cell homeostasis in the steady state. However, loss of insulin signaling impaired the inflammatory capacity of both CD4+ and CD8+ T cells under activating circumstances, for example during homeostatic proliferation and viral infections [96]. In particular, lack of insulin receptor signaling impaired the production of inflammatory cytokines by T cells, effector differentiation, proliferation, and migration/recruitment to target organs. Taken together these results imply that T-cell specific insulin resistance in obese subjects might play a role a role in diminished activation of T-cells during active infections. Other studies have confirmed impaired insulin signaling in lymphocytes of obese subjects and type 2 diabetes patients [89,97]. Adiponectin is a key hormone secreted that exerts an indirect action by enhancing insulin sensitivity of cells and by reducing inflammation [98,99]. Adiponectin is recognized to have an important role in immune cell activity, particularly T-cells. Adiponectin levels are considerably lower in people infected with the COVID-19 and H1N1 viruses [69,100–102]. Adiponectin deficiency exacerbates insulin resistance and inflammation, decreasing overall immunological response to infection. Indeed, circulating adipokine levels are linked to COVID-19 disease severity, which includes hospitalization, the requirement for oxygen assistance, mechanical ventilation, and additional organ support in the intensive care unit [102].

In addition to insulin, leptin, a hormone released by adipocytes, has a significant impact on both innate and adaptive immunity. Leptin is a crucial regulator of metabolic balance, acting largely through leptin receptors (LEPR), which are abundantly expressed in POMC neurons in the hypothalamus, the brain region responsible for controlling hunger and energy expenditure. LEPRs are expressed in immune system cells, and several studies have shown that leptin regulates various aspects of immune cell development and activity [103–106]. Leptin has been shown to influence both innate and adaptive immune responses by influencing immune cell metabolism, proliferation, and activity [107]. Both leptin deficient (*ob/ob*) and LEPR deficient (*db/db*) mice have impaired innate and adaptive immune responses to infection. Besides, a missense mutation that causes leptin deficiency leads to immune dysfunction in humans [108]. Obese people have significantly higher circulating leptin levels, but their response to leptin is severely compromised due to leptin resistance [109–111]. As a result, leptin resistance would have a significant impact on the proper development and activity of immune cells in obese people, weakening the host defense against infections [32,107].

3.4. Pulmonary Complications of Obesity

Excess weight significantly alters pulmonary physiology, causing decreased lung volumes, decreased compliance, abnormal ventilation and perfusion relationships, and respiratory muscle inefficiency [112–114]. In healthy individuals the diaphragm contracts during respiration pushing the contents of the abdomen downward and forward. The external intercostal muscles contracting at the same time pull the ribs up and forward. This function is compromised in obese people because the extra body fat restricts the ability of the respiratory muscles to contract, lining the chest and filling the abdomen excess thoracic and abdominal fat can impair chest wall movement, airway size, respiratory muscle function, and lung perfusion. The ability to move the chest wall decreases as fat accumulates, resulting in stiffness and decreased lung compliance. Measuring compliance is difficult, but reductions appear to be proportional to increases in BMI [112,113]. Finally, obesity appears to have a variety of effects on the respiratory system via mechanical,

biochemical, and structural changes [115–119]. These can aggravate or worsen various respiratory condition including viral infections.

4. Adopting a Healthy Lifestyle Lowers the Risk of Severe Respiratory Infections

There is also growing evidence that healthy lifestyle that includes diet control and exercise can ameliorate metabolic abnormalities, enhance insulin and leptin sensitivity, and improve host defense against infections [40,120,121]. Several independent studies have documented that weight loss by caloric restriction or by gastric bypass surgery is associated with a reduction of inflammatory markers and a significant improvement in T-cell function [42,122]. Similarly, increased physical activity can reduce inflammation and improve immune defense against infections. Recent studies have demonstrated that moderate exercise is linked to lower rates of upper respiratory tract infections and reduced risk of acute respiratory illness [123,124]. The relationship between physical activity and reduced risk of acute respiratory infections has been comprehensively discussed by Nieman et al. [125]. Evidence also suggests that intermittent fasting (a dietary intervention strategy) may be beneficial for improving metabolic healthy and immunity and therefore reducing the ill effects of obesity on poor prognosis of viral infections [126,127]. Therefore, to lower the risk of complications and severe disease from viral infections, lifestyle adjustments that can improve metabolic health and immunity should be taken into consideration.

5. Discussion

Obesity is one of the most pressing healthcare issues of the twenty-first century. Obese people are more likely to develop chronic illnesses such as type 2 diabetes, heart disease, stroke, and some malignancies [1,4]. Obesity severely lowers quality of life and is one of the top causes of mortality, globally. In addition, obesity also renders the host vulnerable to infectious diseases particularly viral respiratory infections [9–13]. Several studies have documented that obesity is associated with a higher prevalence of respiratory tract infections (RTIs), characterized by a longer duration of disease and higher mortality. Obesity emerged as a strong risk factor for severe disease and worse outcome in the current pandemic disease, COVID-19 and during the 2009 H1N1 pandemic [31-45]. Obese subjects were more likely to require hospitalization, ICU admission and death due to the SARS-CoV-2 and H1N1 infection. The specific mechanism(s) that make obese patients more susceptible to severe illness in viral respiratory infectious disease than normal healthy ones are unknown. However, obesity-linked immunological dysregulation, insufficient immune response, and respiratory dysfunction are a few of the possible variables that may influence the disease's progress and prognosis [14,21]. Several independent studies have shown that excess adiposity significantly alters the composition of resident immune cells in adipose tissue, tipping the scales in favor of pro-inflammatory immune cells resulting in a persistent low-grade inflammatory condition [52–54]. This chronic inflammation is likely exacerbated by acute inflammation caused by viral respiratory infections, resulting in a more severe disease phenotype and worse prognosis. Excess lipid accumulation, on the other hand, disrupts the integrity and architecture of primary lymphoid tissues, affecting immune cell growth and activation. Furthermore, metabolic alterations linked to obesity, such as insulin and leptin resistance, have a deleterious influence on immune cell function. Finally, obesity significantly alters pulmonary physiology through mechanical, biochemical, and structural changes, which can aggravate or worsen a variety of respiratory diseases, including infections. Since obesity associated negative effects on the immune system are triggered by excess adiposity, weight loss strategies have been shown to reduce inflammation locally and systemically and improve immune cell functioning. Given the rising prevalence of overweight and obesity and the potential of upcoming pandemics, public health initiatives that encourage physical activity, a healthier diet, and weight control may significantly aid the fight against viral infections.

6. Conclusions

Obesity has emerged as a significant risk factor for severe disease in several respiratory viral infectious diseases including the ongoing pandemic SARS-CoV-2. The pathophysiological processes driving obese patients' higher vulnerability are not completely understood. However, obesity-related immune dysregulation and respiratory dysfunction are two possible variables that may affect the disease's progress and prognosis. Furthermore, the poor lung mechanics associated with obesity may raise the risk of more serious respiratory infections in obese subjects. Finally, lifestyle changes that might boost immunity and metabolic health should be taken into account in order to reduce the risk of complications and severe illness from viral infections.

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