



## Article

# Relation between PM<sub>2.5</sub> and O<sub>3</sub> over Different Urban Environmental Regimes in India

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**Abstract:** Atmospheric ozone (O<sub>3</sub>) concentration is impacted by a number of factors, such as the amount of solar radiation, the composition of nitrogen oxides (NO<sub>x</sub>) and hydrocarbons, the transport of pollutants and the amount of particulate matter in the atmosphere. The oxidative potential of the atmosphere and the formation of secondary organic aerosols (SOAs) as a result of atmospheric oxidation are influenced by the prevalent O<sub>3</sub> concentration. The formation of secondary aerosols from O<sub>3</sub> depends on several meteorological, environmental and chemical factors. The relationship between PM<sub>2.5</sub> and O<sub>3</sub> in different urban environmental regimes of India is investigated in this study during the summer and winter seasons. A relationship between PM<sub>2.5</sub> and O<sub>3</sub> has been established for many meteorological and chemical variables, such as RH, WS, T and NO<sub>x</sub>, for the selected study locations. During the winter season, the correlation between PM<sub>2.5</sub> and O<sub>3</sub> was found to be negative for Delhi and Bengaluru, whereas it was positive in Ahmedabad. The city of Bengaluru was seen to have a positive correlation between PM<sub>2.5</sub> and O<sub>3</sub> during summer, coinciding with the transport of marine air masses with high RH and low wind speed (as evident from FLEXPART simulations), leading to the formation of SOAs. Further, O<sub>3</sub> concentrations are predicted using a Recurrent Neural Network (RNN) model based on the relation obtained between PM<sub>2.5</sub> and O<sub>3</sub> for the summer season using NO<sub>x</sub>, T, RH, WS and PM<sub>2.5</sub> as inputs.

**Keywords:** PM<sub>2.5</sub>; ozone; SOA; FLEXPART; RNN



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## 1. Introduction

It is well known that the photochemical production of tropospheric O<sub>3</sub> is determined by the ambient levels of its precursors, such as the oxides of nitrogen (NO<sub>x</sub>s) and volatile organic compounds (VOCs). The rate of photolysis depends on the meteorological parameters (such as temperature and solar radiation) as well as the chemical parameters [1]. O<sub>3</sub>, being an atmospheric oxidant, can also lead to the formation/growth of particles in the atmosphere. Aerosols also play an important role in O<sub>3</sub> production as they interact with radiation through scattering and absorption and, therefore, affect atmospheric radiation and temperature. The aerosol-induced reduction in solar irradiance was estimated to result in lower photolysis rates and less production of O<sub>3</sub> in the Eastern Mediterranean region [2].

The relationship between O<sub>3</sub> and PM<sub>2.5</sub> in the summer and winter seasons was studied over Nanjing–Jiangsu Province’s capital city in eastern China, and it was observed that O<sub>3</sub> and PM<sub>2.5</sub> showed inverse relations during the summer and winter seasons [3]. Analysis of environmental and meteorological measurements for three years over Nanjing shows that the two dominant processes have identified basic pathways for interactions between two important atmospheric pollutants, viz., PM<sub>2.5</sub> and O<sub>3</sub>.

1. Secondary particle production gets enhanced by high O<sub>3</sub> concentrations and strong atmospheric oxidation, raising ambient PM<sub>2.5</sub> levels.
2. Increased PM<sub>2.5</sub> concentrations might lower the ambient O<sub>3</sub> levels by reducing atmospheric radiation.

The formation of secondary particles is promoted in the summer season due to enhanced solar radiation and strong atmospheric oxidation. During winter, high concentrations of PM<sub>2.5</sub> reduces solar radiation, which inhibits the synthesis of O<sub>3</sub>, which may result in a negative relationship between ambient PM<sub>2.5</sub> and O<sub>3</sub>. Seasonally altering interactions between PM<sub>2.5</sub> and O<sub>3</sub> could be determining factors in the seasonal variations in air pollution. The interaction between PM<sub>2.5</sub> and O<sub>3</sub> is mainly affected by photochemical reactions [4] and secondary organic and inorganic aerosols generated from oxidation reactions consisting of a significant fraction of particulate matter, with substantial implications for air pollution [5].

The relationship between O<sub>3</sub> and PM<sub>2.5</sub> depends on many meteorological factors, such as temperature, relative humidity (RH) and wind speed (WS). RH plays an important role in secondary particle formation and related chemical and physical properties [6]. Kaul et al. (2011) [7] observed enhancement in secondary organic aerosol (SOA) concentrations under high RH conditions. The effects of RH on the formation of SOAs have been investigated through both chamber studies and ambient measurements [6], yet the knowledge of SOA formation under different RH conditions is rather limited. Zang et al. (2014) [8] examined the reaction of aerosol pollution in Wuhan city in China during wintertime and found that aerosol pollution in Wuhan is dominated by PM<sub>2.5</sub> on wet days (RH 60%). The aqueous chemistry is favoured by enhanced RH. Increased RH also aids in new molecule development and the deliquescence development of pre-existing particles, which may prompt an increment in aerosol concentration. While studying the changes in the AOD due to the lockdown imposed in India to restrict the spread of the coronavirus disease (COVID-19), it was found that the area-average AOD increased with increasing RH in central India during the lockdown period [9,10].

SOA is mostly formed by the oxidation of volatile organic compounds (VOCs), the products of which present sufficiently low volatility to partition into the particle phase, according to the gas-particle partitioning theory [11], and, afterwards, nucleate and form organic particles. Hydroxyl (OH) radicals, O<sub>3</sub>, and nitrate (NO<sub>3</sub>) radicals are the three major oxidants in the atmosphere that react with organic gases to form SOAs. Among these, data for O<sub>3</sub> is easily available over wide geographic areas, including India. Guo et al. (2017) [12] observed that SOAs contributed a minuscule of 7% and 3% to PM<sub>2.5</sub> over Jaipur and Delhi, respectively, in 2015. Behera and Sharma (2010) [13] calculated that the SOAs in Kanpur city in the Indo-Gangetic Plain (IGP) account for around 18% of PM<sub>2.5</sub> in the winter and 12% of PM<sub>2.5</sub> in the summer. In Delhi and nearby regions, SOAs were found to contribute  $16 \pm 6 \mu\text{gm}^{-3}$ , which accounts for up to  $5.8 \pm 2.6\%$  of the PM<sub>2.5</sub> mass in summer [14].

In this study, we make an attempt to find the relationship between PM<sub>2.5</sub> and O<sub>3</sub> under different meteorological and chemical factors such as RH, WS, temperature and NO<sub>x</sub> over climatically contrasting urban environmental regimes in India, viz., Delhi in north India, Ahmedabad in western India and Bengaluru in south India (Section 1.1). An attempt is made here to predict the ozone concentrations over the selected study locations using the Recurrent Neural Network (RNN) model.

### 1.1. Study Locations

**Delhi:** Delhi (28.70° N, 77.10° E), the capital of India, has severe air pollution issues. In October 2018, the Ministry of Earth Science, India, issued a study attributing over 40% of PM<sub>2.5</sub> air pollution in Delhi to vehicle exhaust, 22% to dust/fire and 20% to industry. From January to September, Delhi has records of air quality of Large Moderate (101–200) levels, followed by a radical decrease between the months of October and December to Very Poor (301–400), Severe (401–500) and Hazardous (500+) levels because of several

variables, including stubble consumption, Diwali fireworks and other festivals and a chilly climate. As indicated by a study on Delhi air contamination by Rizwan et al. (2013) [15], the rising air pollution level has increased the number of cases related to respiratory diseases (particularly asthma and cellular breakdown in the lungs), hypertension, chronic headache, eye irritation and skin irritation. In Delhi, during wintertime, the dense brown haze and murkiness constantly cause major problems in aviation and train transport.

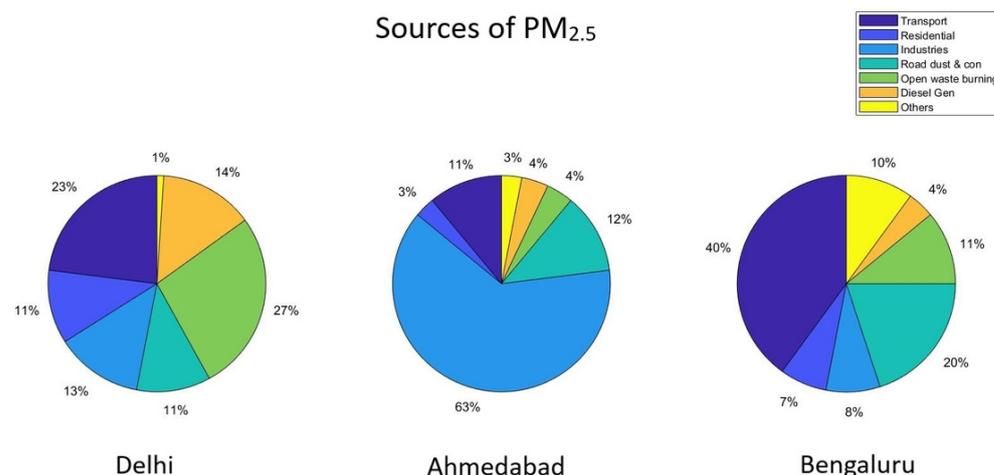
**Ahmedabad:** Ahmedabad (23.02° N, 72.57° E), situated on the bank of river Sabarmati, is a prominent city in Gujarat. There has been a fast development of enterprises in the city; in particular, drugs, petrol and petrochemical ventures, steel reuse, car parts fabrication, drinks creation, and material creation are the major contaminating businesses concerning air pollution. Ahmedabad city is growing quickly, and vehicular traffic and enterprises are additionally expanding. These advancements are increasing the atmospheric aerosol concentration, which, in turn, increases ambient air pollution substantially. This increase in air pollution has a negative impact on the environment as well as on the health of people living in Ahmedabad.

**Bengaluru:** Bengaluru (12.97° N, 77.59° E) is one of the principal information technology (IT) centres of India. Bengaluru is known for its wonderful and consistent climate. The city is situated 920 m above sea level, and it is the most elevated city among the significant urban areas in India. The coolest month is January, with a normal low temperature of 15.1 °C, and the hottest month is April, with a normal high temperature of 35 °C. Bangalore gets precipitation from both upper-east and southwest storms. Bengaluru is broadly viewed as the “Silicon Valley” of India. Fast urbanisation has altered the city’s land use and land cover features, particularly in the eastern sections, which are home to major IT parks such as Whitefield and Electronic City. The city’s economic development has resulted in an increase in population and the number of vehicles on the road. Guttikunda et al. (2019) [16] assessed the emissions for the year 2015 in Bengaluru city to be 31,500 tons of PM<sub>2.5</sub>, 67,000 tons of PM<sub>10</sub>, 5500 tons of SO<sub>2</sub>, 57,000 tons of NO<sub>x</sub>, 335,600 tons of CO, and 84,000 tons of non-methane volatile organic compounds (NMVOCs).

## 1.2. Emissions

Emissions of pollutants comprising particulate matter (aerosols) and gases (NO<sub>2</sub> and CO) play a vital role in the environment and human health [17]. The most commonly identified sources of primary air pollutants comprising particulate matter (aerosols) and gases (NO<sub>2</sub> and CO) are vehicular emissions, power generation, manufacturing industries, construction, road dust, open waste burning, oil and coal combustion, and household activities. Figure 1 shows the source apportionment of PM<sub>2.5</sub> for three cities in India based on data from URBAN emissions.info sourced from APnA “Air Pollution Knowledge Assessment” city program [16]. In Delhi, a major source of PM<sub>2.5</sub> is open waste burning (27%), followed by emissions from vehicles (23%). Emissions from industries dominate the PM<sub>2.5</sub> sources in Ahmedabad (63%), whereas vehicular emission is one of the major sources of PM<sub>2.5</sub> in Bengaluru, contributing 40%.

In India, 45% of the total NO<sub>x</sub> emissions come from coal burning in thermal power plants and 32% comes from road transport. [18]. However, O<sub>3</sub> being a secondary pollutant, changes in O<sub>3</sub> would be much more difficult to unravel from direct observations, and the dependency on hydrocarbons, NO<sub>x</sub> and meteorological factors needs to be investigated. Coincidentally, xylenes were found to be the largest contributor to O<sub>3</sub> formation, followed by toluene in Delhi [19]. Chen et al. (2020) [20] pointed out that O<sub>3</sub> production is less sensitive to solar radiation in summer compared to winter. Reducing NO<sub>x</sub> by itself raises O<sub>3</sub>, thus reducing NO<sub>x</sub> emissions by 50% leads to a 10–5% rise in surface O<sub>3</sub>, and, conversely, decreasing VOC emissions can lessen O<sub>3</sub> effectively, to such an extent that a half decrease in VOC emissions will lead to a 60% decrease in O<sub>3</sub> in Delhi [20].



**Figure 1.** Average percent contributions of major emission sources of PM<sub>2.5</sub> (data from URBAN emissions.info).

## 2. Materials and Methods

### 2.1. Data

For this study, based on long-term data availability and contrasting climatic conditions, three stations were selected: Ahmedabad, Delhi and Bengaluru. The air quality data for the selected cities have been taken from the Central Pollution Control Board (CPCB) of India. The PM<sub>2.5</sub>, O<sub>3</sub> and NO<sub>x</sub> data were downloaded from the CPCB website. With the aid of State Pollution Control Boards and other organisations under the National Air Quality Monitoring Programme (NAMP), the CPCB monitors air quality across 233 stations throughout the Indian region (<http://cpcb.nic.in/air.php>, accessed on 10 March 2021). Daily metrological data (relative humidity (RH), temperature (T) and wind speed (WS)) from the years 2010 to 2020 was taken from the NASA/POWER (Prediction of Worldwide Energy Resource) project (<https://power.larc.nasa.gov/data-access-viewer>, accessed on 10 March 2021). The POWER Data Archive provides global data at a resolution of 0.5 × 0.5 degrees in CSV, ASCII and NetCDF. Data used in this study were downloaded in CSV format. For the POWER project, NASA's Modern-Era Retrospective Analysis for Research and Applications version 2 (MERRA-2) assimilation model and GEOS 5.12.4 FP-IT were used to determine meteorological parameters [21]. MERRA-2 is based on the Goddard Earth Observing System (GEOS) Data Assimilation System, while GEOS 5.12.4 has similar grid resolution and model physics to MERRA-2, with some exceptions [22]. The POWER project team processes GEOS 5.12.4 data on a daily basis and combines it with the MERRA-2 daily time series to create low-latency products that are usually available within two days in real-time. The air mass trajectory was calculated using web-based HYSPLIT. The planetary boundary layer (PBL) height was extracted from the HYSPLIT model simulation results.

The data for 6 parameters were extracted for this study (also available as Supplementary Material).

- (i) Particular matter (PM) of aerodynamic diameter less than 2.5 μm (PM<sub>2.5</sub>);
- (ii) Ozone (O<sub>3</sub>);
- (iii) Nitrogen oxides (NO<sub>x</sub>);
- (iv) Relative humidity (RH);
- (v) Temperature (T); and
- (vi) Wind speed (WS).

The measurement techniques for O<sub>3</sub>, NO<sub>x</sub> and PM<sub>2.5</sub> are available from the technical specifications for Continuous Ambient Air Quality Monitoring (CAAQM) (CPCB, 2019, <https://cpcb.nic.in>, accessed on 10 March 2021). The ultraviolet photometric O<sub>3</sub> gas analysers work on Beer Lambert's principle on the absorption of radiation at 254.7 nm by

atmospheric O<sub>3</sub>. With a response time of 30 s or less, the instrument's detection limit is 1 ppb. For the measurement of NO<sub>x</sub>, nitric oxide (NO) is oxidised by O<sub>3</sub> molecules to produce chemiluminescence, which peaks at 630 nm radiation [23]. With the aid of a molybdenum converter, NO<sub>2</sub> is converted into NO, which is then used to calculate the total amount of NO<sub>x</sub>. Unfortunately, other nitrogen species are also reduced to NO and interfere with the measurement of NO<sub>2</sub> because the reduction of NO<sub>2</sub> to NO is not unique to NO<sub>2</sub>. These instruments have detection limits of approximately 1 ppb at response times of 120 s or less. The PM<sub>10</sub> measurements are based on the principle of β-ray attenuation. At a flow rate of 16 l/min, the instrument samples ambient air for particulate matter, which is then collected on fibreglass filter tape. The amount of PM<sub>10</sub> is determined by comparing scintillation/G.M. counter measurements of β-ray radiation taken before and after sampling. The particle size cut-off for PM<sub>2.5</sub> is in the range of 0–2.5 μm, but the measurements are similar to those for PM<sub>10</sub>.

The raw data (CPCB data) were filtered station-wise and species-wise to remove values above and below 3 standard deviations from the mean at every one-month interval. Since we are concerned with the average variation of the pollutants, this kind of filtering helps to remove bias due to extreme events (meteorological or chemical) and errors related to instruments, sampling and human effects. Additionally, data were also checked manually for inconsistencies. This paper studies the relationship between O<sub>3</sub> and PM<sub>2.5</sub> during the summer and winter seasons. The winter days selected here are from 1 December to 14 February and the summer days are from 1 March to 31 May. Table 1 presents the basic statistical measures, including the mean, median, and standard deviation, as well as other statistical parameters for the data during the summer and winter seasons. At first, the relationship between O<sub>3</sub> and PM<sub>2.5</sub> was observed by finding the regression between them during the summer and winter seasons. Additionally, the regression for the different ranges of meteorological (RH, WS and T) and chemical parameters (NO<sub>x</sub>) were studied to find the dependence of PM<sub>2.5</sub> and O<sub>3</sub> on those meteorological parameters during both winter and summer seasons. The dependence was tested for different levels of significance using a two-tailed Student's t-test. For better and improvised results, the binning average for O<sub>3</sub> was calculated for each station and the regression was observed for all the above-mentioned conditions.

## 2.2. Lagrangian Particle Dispersion Model: FLEXPART

We used the Lagrangian particle dispersion model (LPDM) FLEXPART v10.4 [24–26] for this study. There are different varieties of dispersion models in use in the scientific community. The best model for a given problem depends on factors such as spatial and temporal scales, computational efficiency, and the representation of complex processes such as the effect of topography, chemistry, meteorology, etc. Leelosy et al. (2014) [27] discussed the merits and demerits of different types of dispersion models under different conditions, e.g., in the ground-level air pollution model (GLAMP), the local surface airflows differ significantly from the general meteorological dataset as wind speed less than 6 m/s is set to 6 m/s, rendering it unacceptable for many cities. Lagrangian dispersion models stand out for their applicability in a wide range of situations, computational efficiency and low numerical diffusion. They are used to simulate source–receptor relationships from the scale of a city to the continents [28–32]. FLEXPART is an open-source model that allows several publicly available reanalysis meteorological data products or model outputs as input to compute the trajectories of a large number of particles (infinitesimally small air parcels). FLEXPART incorporates several particle dispersion and removal mechanisms, such as diffusion by turbulence, dry deposition, wet deposition, and deep convective mixing [33]. The fact that FLEXPART involves chemistry gives it an edge over GRAL, which does not support chemical reactions and transformations between substances [28]. FLEXPART can run in both forward and backward in-time modes. When used in backward mode, unlike simple back-trajectory models, it provides quantitative values that represent the source–receptor (S-R) relationship and are related to the residence time of particles in output grid

cells. Thus, the S-R relationship, so calculated, is simply a matrix describing the sensitivity of a receptor to geographically distributed sources, also known as potential emission sensitivity (PES). A more thorough explanation of PES values and the S-R relationship can be found in Seibert and Frank (2004) [33].

**Table 1.** Statistics of the winter and summer data from NASA/POWER and CPCB.

Winter	O <sub>3</sub> (ppbv)			PM <sub>2.5</sub> (µg m <sup>-3</sup> )			T (°C)			RH (%)			WS (ms <sup>-1</sup> )			NOx(ppbv)		
	Del	Ahm	Ben	Del	Ahm	Ben	Del	Ahm	Ben	Del	Ahm	Ben	Del	Ahm	Ben	Del	Ahm	Ben
Mean	12.3	22.1	12.6	181.0	75.6	37.4	14.0	20.1	21.6	42.0	32.9	61.5	2.7	3.1	3.4	87.0	45.6	29.3
Sigma	8.2	8.9	7.1	80.0	22.6	16.9	2.5	2.4	1.6	13.9	13.9	13.3	0.9	0.7	0.8	44.0	26.1	19.9
Median	10.4	21.3	11.9	165.0	73.9	35.1	13.8	20.1	21.6	40.5	30.5	60.9	2.7	3.1	3.4	76.9	39.4	26.1
Max	49.4	46.8	30.8	424.0	164.7	87.9	20.4	27.1	26.3	93.0	80.6	92.8	6.1	5.7	7.9	225.0	133.5	205.9
Min	3.2	2.5	2.6	20.0	18.1	1.7	6.9	13.8	16.9	13.5	6.9	22.4	0.8	1.1	1.3	18.4	7.2	2.4
95 percentile	30.7	37.5	26.1	339.0	113.2	66.8	18.4	24.1	24.4	67.5	62.3	84.6	4.4	4.4	4.9	173.0	96.2	59.8
5 percentile	4.3	9.4	3.0	74.0	41.8	14.0	10.1	16.1	18.9	21.6	14.4	41.9	1.3	2.1	2.1	29.9	11.2	6.4
n	360	244	323	348	222	335	836	836	836	836	836	836	836	836	836	578	425	539
Summer	O <sub>3</sub> (ppbv)			PM <sub>2.5</sub> (µg m <sup>-3</sup> )			T (°C)			RH (%)			WS (ms <sup>-1</sup> )			NOx(ppbv)		
	Del	Ahm	Ben	Del	Ahm	Ben	Del	Ahm	Ben	Del	Ahm	Ben	Del	Ahm	Ben	Del	Ahm	Ben
Mean	17.3	21.7	15.9	107.7	67.8	32.4	29.3	31.7	27.9	26.7	25.3	48.3	3.3	3.7	3.2	59.5	34.6	23.9
Sigma	8.0	7.2	7.1	47.0	36.3	17.4	5.8	3.7	1.8	12.5	8.9	13.6	1.1	1.1	1.0	40.0	24.2	14.8
Median	16.8	21.9	15.5	96.8	60.7	29.7	30.1	32.6	27.0	24.5	24.9	45.9	3.1	3.6	3.2	47.5	26.3	20.6
Max	45.8	42.8	30.5	240.7	220.0	85.9	39.6	39.5	33.6	84.2	60.6	84.3	6.4	8.7	7.6	242.6	120.8	107.8
Min	3.6	5.3	2.5	18.1	6.7	7.0	15.4	20.3	22.7	5.9	3.7	21.3	0.9	1.4	0.7	5.6	5.2	1.6
95 percentile	32.9	32.5	29.0	199.1	132.0	65.2	37.3	36.3	30.7	49.5	40.9	72.0	5.2	5.6	5.1	145.0	83.5	53.1
5 percentile	6.4	9.0	5.4	44.9	24.0	9.9	18.9	24.6	24.9	10.7	11.1	29.0	1.7	2.3	1.7	16.7	10.3	8.8
n	491	285	365	474	291	393	1012	1012	1012	1012	1012	1012	1012	1012	1012	712	482	645

In our study, we employed FLEXPART backward modelling to simulate the transport and dispersion of secondary organic aerosol (SOA). The receptors are 24 h averaged SOA concentration observations in Bengaluru for different days, and the sources are area-averaged SOA emissions from various grid boxes at different intervals. The model was run using NCEP FNL (Final) Operational Global Analysis data. The spatial resolution of FNL input files is 1° × 1°, with 26 vertical levels, ranging from the surface to 10 millibars, and a time resolution of 6 h. For each date and the receptor sites, 40,000 particles were released. Assuming no direct emissions at higher altitudes, potential emission sensitivity is calculated for the bottom 100 m layer, known as the footprint layer, for a backward time of 10 days.

### 2.3. Recurrent Neural Network (RNN)

To predict the O<sub>3</sub> concentration, a Recurrent Neural Network (RNN) model has been used. RNNs [34–36] are a class of artificial neural networks that have an internal state or short-term memory due to their recurrent feedback connections. Therefore, these networks are suitable for sequential problems such as speech classification and prediction and generation. The Recurrent Neural Network (RNN) [37] model has been successfully applied to sequence learning and pattern recognition [38] and scene labelling [39]. In contrast to feed-forward networks such as CNNs, RNNs have recurrent connections in which the previous hidden state informs subsequent hidden states.

$$ht = \sigma(Wxt + Uht^{-1} + b) \quad (1)$$

where  $xt \in RM$  and  $ht \in RN$  are the entry and hidden states, accordingly, at step  $t$ . The weights for the present and recurrent inputs and the bias of the neurons are  $W \in RN \times M$ ,  $U \in RN \times N$  and  $b \in RN$ . An RNN layer consists of  $N$  neurons, and  $\sigma$  is an element-level activation function of the neurons.

Its structure is seen in Figure 2. Each time frame is made up of three layers: three input word levels  $w$ , one recurrent layer  $r$  and one output layer  $y$ . The activation of the input, recurrent, and output layers at time  $t$  is represented by  $W(t)$ ,  $r(t)$  and  $y(t)$ , respectively.

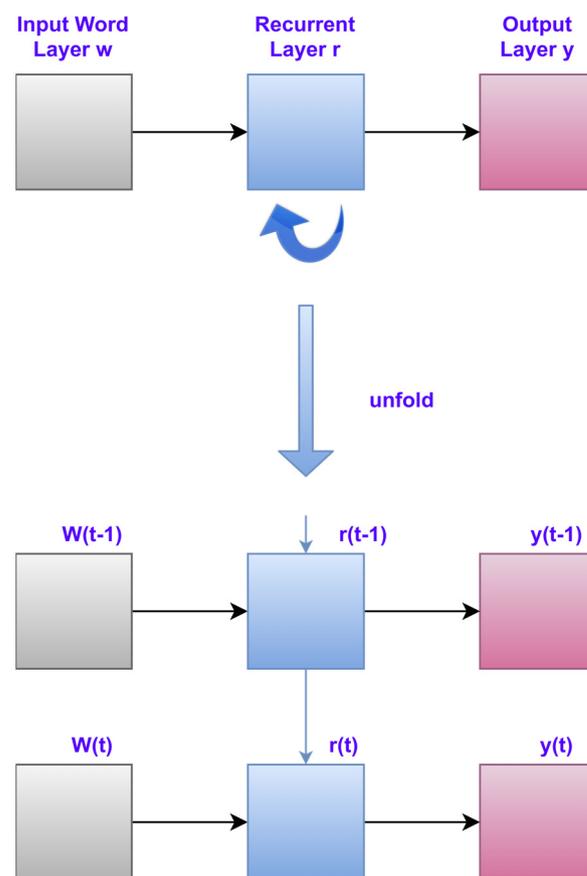
Mikolov et al. (2010) [40] defined  $w(t)$  as the current word vector, which can be a simple 1-of-N coding representation  $h(t)$  (i.e., the binary one-hot representation with the same dimension as the vocabulary size but only one non-zero element). The following formula may be used to calculate  $y(t)$ :

$$x(t) = [w(t) \ r(t-1)];$$

$$r(t) = f1(U \cdot x(t));$$

$$y(t) = g1(V \cdot r(t));$$

where  $x(t)$  is a vector formed by concatenating  $w(t)$  and  $r(t-1)$ ,  $f1(\cdot)$  and  $g1(\cdot)$  are sigmoid and softmax functions, respectively, and  $U, V$  are learned weights. The RNN's size adjusts to the length of the input sequence. In various time frames, the recurrent layers connect the sub-networks. As a result, we must propagate the mistake back in time using recurrent connections [41]. Figure 2 depicts the recurrent neural network design. A loss function related to MSE was minimised by optimising the model parameters. Keras was used to do the optimisation, utilising ADAM. We experimented with several RNN designs, changing the number of layers, filters per layer, the kind of layer (hidden or dense), and the filter size. The models were trained throughout 50 epochs using an early-stopping set. RNN model architecture and configurations are shown in Figure 3.



**Figure 2.** Simple RNN architecture.

Layer (type)	Output Shape	Param #
simple_rnn (SimpleRNN)	(None, 30, 50)	2600
dropout (Dropout)	(None, 30, 50)	0
simple_rnn_1 (SimpleRNN)	(None, 30, 50)	5050
dropout_1 (Dropout)	(None, 30, 50)	0
simple_rnn_2 (SimpleRNN)	(None, 30, 50)	5050
dropout_2 (Dropout)	(None, 30, 50)	0
simple_rnn_3 (SimpleRNN)	(None, 50)	5050
dropout_3 (Dropout)	(None, 50)	0
dense (Dense)	(None, 1)	51
Total params: 17,801		
Trainable params: 17,801		
Non-trainable params: 0		

**Figure 3.** Model architecture and configuration parameters.

Figure 3 shows the architecture of our RNN model and the associated configuration parameters. The RNN model architecture consists of four SimpleRNN layers, four dropout layers, and one dense layer. SimpleRNN is a fully connected RNN where the output from the previous timestep is to be fed to the next time step. The input image is  $(638 \times 30)$ , and the image first goes to the first SimpleRNN layer. The first layer has four inputs, a 50-dimensional output space, an activation function called Swish, a return sequence called True, and an input shape. Then, a dropout with a probability of 0.2 is applied. Its function is to reduce the spatial size of the incoming entities and, thus, contribute to reducing the number of parameters and calculations in the network, thus helping to reduce over-learning. The second, third, and fourth SimpleRNN layers also have 50 dimensionalities of the output space and a dropout of 0.2. The architecture ends with a dense layer. Table 2 includes information about the hyperparameter, which we used to tune the performance of this model, such as learning rate, hidden layers, dropout value, batch size, epochs, activation functions, and optimiser.

**Table 2.** Parameters details of RNN.

Parameter	Value
Input shape	(30,50)
Learning rate	0.001
Hidden layers	50
Dropout	0.2
Batch size	10
Epochs	50
Output channels	50
FC layer	50
Activation function	Swish
Optimiser	ADAM

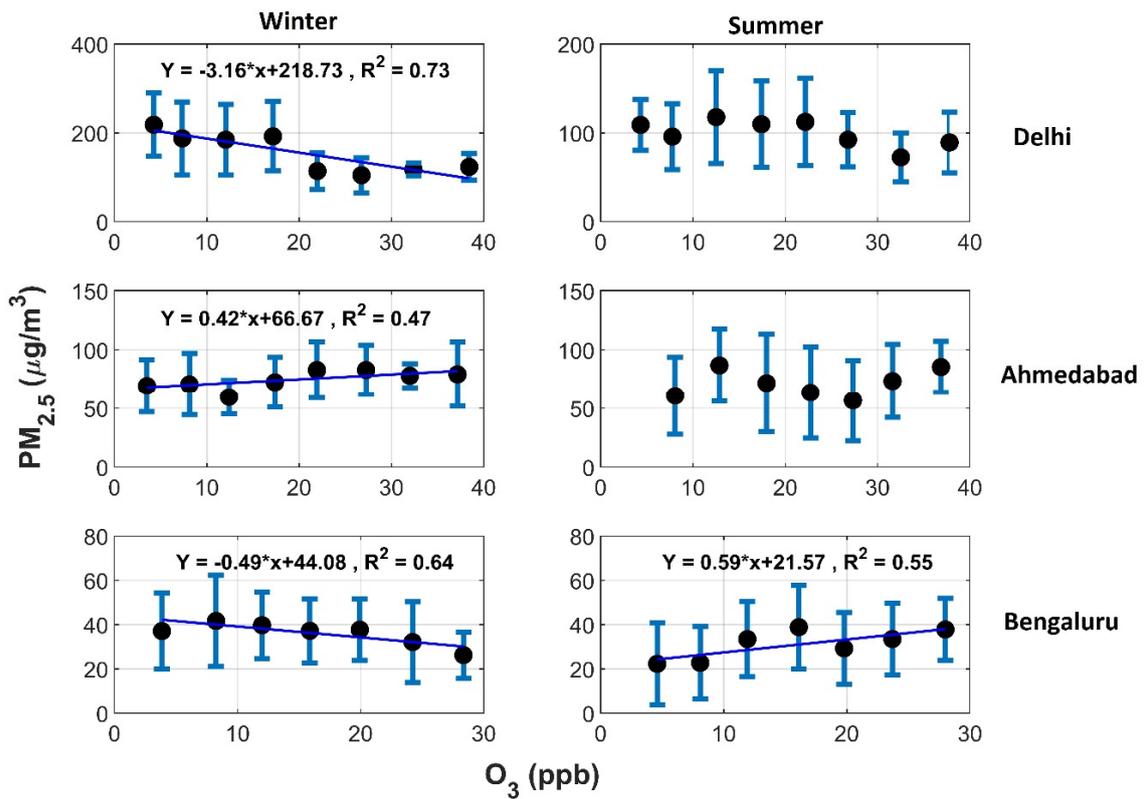
### 3. Result and Discussion

Figure 4a shows the overall relationship between  $PM_{2.5}$  and  $O_3$  during the summer and winter seasons for all three selected locations. It was observed that there is no significant correlation between  $PM_{2.5}$  and  $O_3$  during the summer season in both Delhi and Ahmedabad. Ahmedabad is a dust-dominated area, and Delhi has very high local emissions; hence, SOAs would only be a small fraction of the atmosphere of these cities during summer. Bengaluru shows a positive relation between  $PM_{2.5}$  and  $O_3$  during the summer with  $R^2 = 0.55$ , which is significant at the 90% confidence level ( $p = 0.0577$ ). Due to maximum insolation during the summer in Bengaluru, the increase in  $O_3$  concentration due to photochemical reactions may also increase the oxidative capacity of the atmosphere, thus encouraging the formation of SOAs.

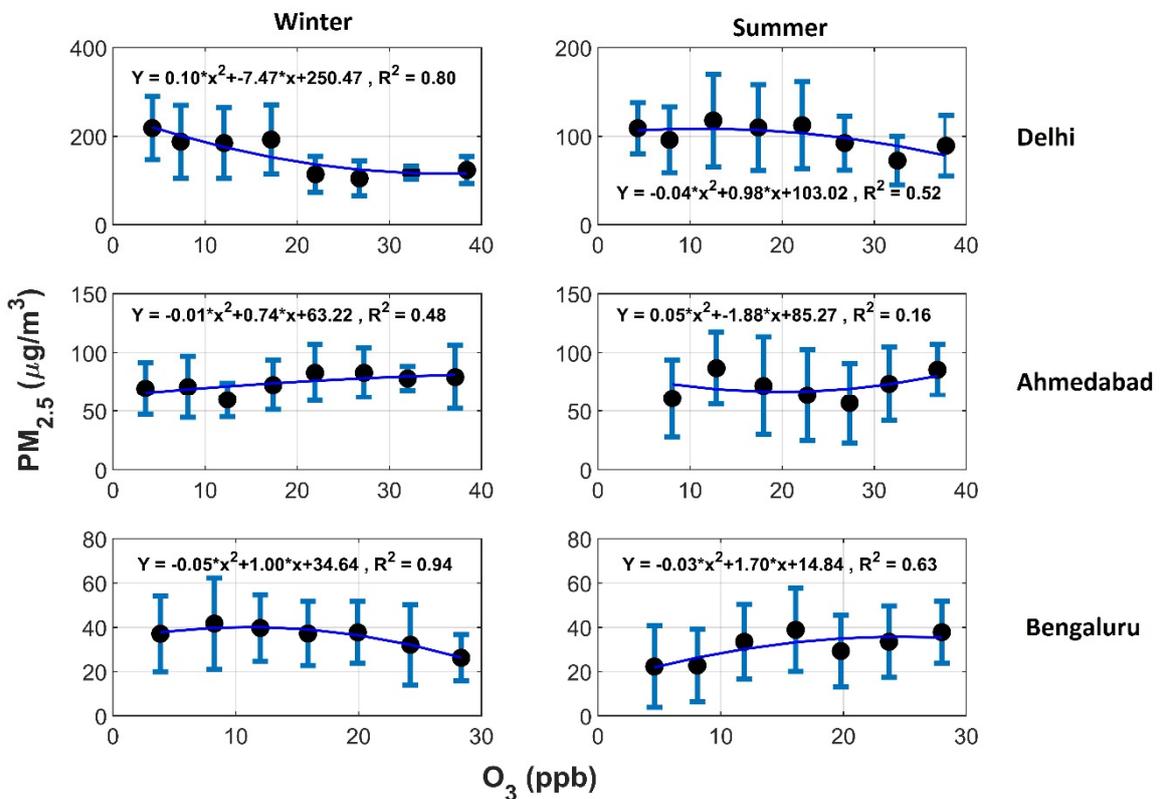
During winter, Delhi experiences high PM pollution and reduced solar radiation due to foggy and hazy conditions. These conditions restrict  $O_3$  formation and also reduce SOA formation. Additionally, the lower boundary layer height during winter increases aerosol loading, and  $O_3$  formation is reduced due to fewer sunshine hours. Therefore, during the winter season, the relationship between  $PM_{2.5}$  and  $O_3$  in Delhi and Bengaluru is negative, with R-squared values of 0.73 and 0.64, respectively. This negative relationship for Delhi and Bengaluru is significant at 99% ( $p = 0.0072$ ) and 95% ( $p = 0.031$ ) confidence levels, respectively. Ahmedabad, however, shows a positive relation between  $PM_{2.5}$  and  $O_3$  during winter, where  $R^2 = 0.47$  is significant at the 90% confidence level ( $p = 0.0606$ ). The negative correlation between  $PM_{2.5}$  and  $O_3$  over Delhi in winter points to the combined impact of physical  $O_3$  destruction and the inhibition of photochemical production.

During the summer months in Delhi, no significant correlation was observed (Figure 4a), but when a regression analysis of second order was performed between  $PM_{2.5}$  and  $O_3$  (Figure 4b), a positive correlation was observed, with  $R^2 = 0.52$ . The negative quadratic term explains that the nonlinear increase in  $PM_{2.5}$  concentrations with  $O_3$  is less than the linear increase. Additionally, the quadratic curve fits better for the regression of summer months in Bengaluru, with an improved  $R^2$  of 0.63. The negative quadratic term here shows that the linear increase dominates the nonlinear increase; therefore, the increase in  $PM_{2.5}$ , with increasing  $O_3$  concentrations, is less than linear. However, Delhi during winter shows a negative correlation, but the quadratic term is positive here, which explains that the nonlinear relationship between  $PM_{2.5}$  and  $O_3$  dominates over the linear relationship. For Ahmedabad during winter, not much change in  $R^2$  is seen for both linear and quadratic regression, but the negative quadratic term in the quadratic regression explains that the increase in  $PM_{2.5}$  with increasing  $O_3$  is less than linear. Additionally, the quadratic curve fits better, with improved  $R^2$  (0.94), for Bengaluru during winters. In short, the dependence of  $PM_{2.5}$  and  $O_3$  on each other is not fully linear and consists of some nonlinear relationships due to additional dependencies, which need to be explored.

The fate of reactive pollutants can be significantly influenced by wind-borne transport (wind speed and wind direction) by low-level prevailing winds [42,43]. The HYSPLIT trajectories at 300 m above ground level are shown in Figure 5 (<https://www.ready.noaa.gov/HYSPLIT.php>, accessed on 20 March 2021). The three plots show 10-day backward trajectories at the three selected cities, Delhi, Ahmedabad and Bengaluru, for the year 2020. Winds in Delhi and Ahmedabad during summer originate from the southwest region. Dust storms, occurring every year between March and June in the summer months, represent one of the primary pollutant sources originating from the Arabian Peninsula and the Thar Desert in northwestern India [44]. In summer, Bengaluru is influenced by long-range transportation from the Arabian Sea as well as the north Indian Ocean, which brings lots of humidity to the region. During winter, the backward trajectory shows that air masses from the western part of India and the bordering Pakistan region can influence the level of pollutants in Ahmedabad and Delhi, while Bengaluru is influenced by the air masses mostly from the IGP, eastern India and the Bay of Bengal.

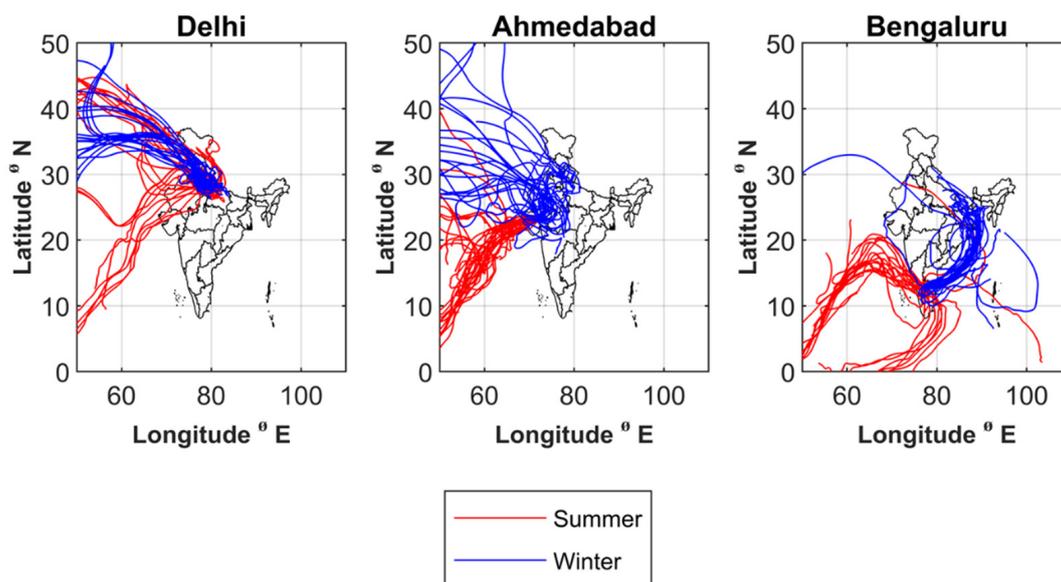


(a)



(b)

**Figure 4.** Dependence of PM<sub>2.5</sub> concentrations on O<sub>3</sub>, (a) linear and (b) quadratic, during the summer and winter seasons for the three study locations.



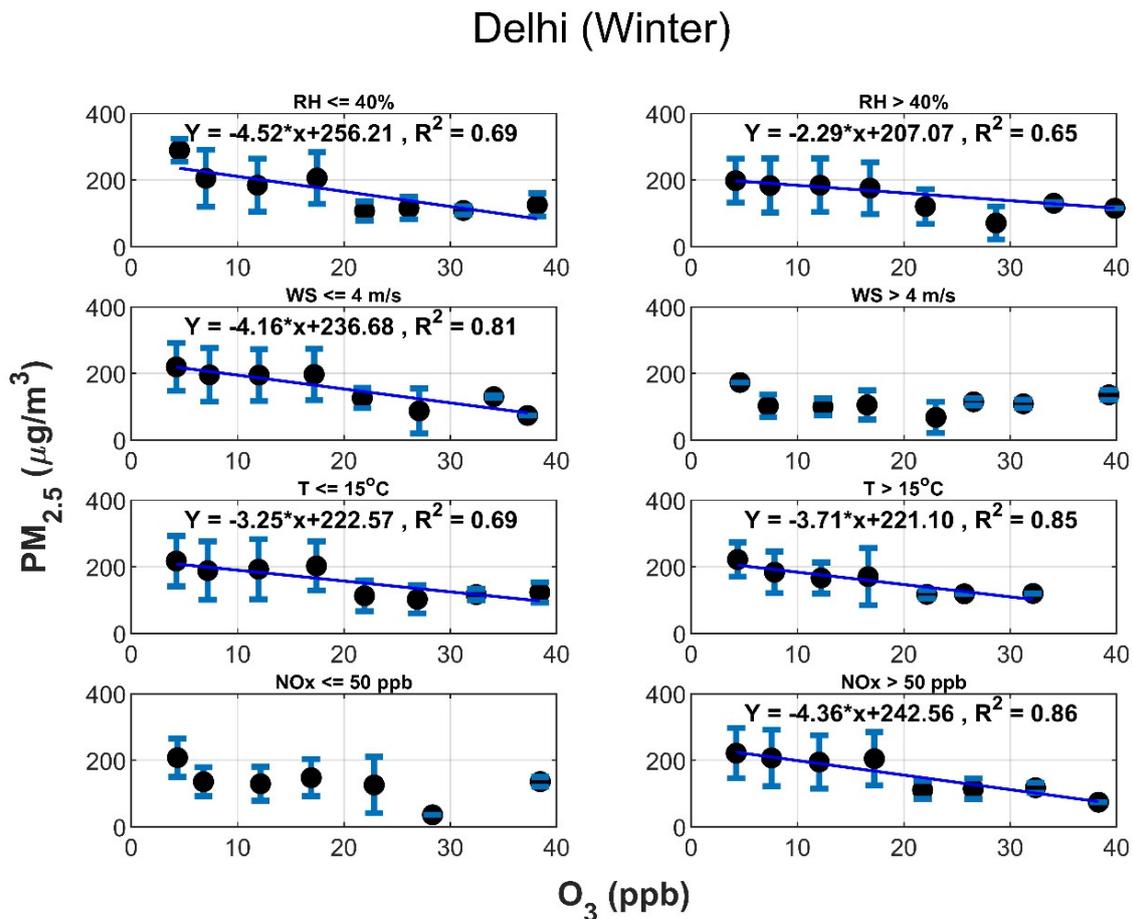
**Figure 5.** Ten-day HYSPLIT backward trajectories over the study locations.

### 3.1. Dependence of $PM_{2.5}$ on $O_3$ for Different Meteorological and Chemical Parameters in Delhi during Winter and Summer

Figure 6 shows the relation between  $PM_{2.5}$  and  $O_3$  in Delhi during the winter season. The regression between  $PM_{2.5}$  and  $O_3$  was observed for different ranges of meteorological parameters, viz., RH, WS and T. Delhi experiences very high levels of aerosol concentration during winters due to high particulate pollution. Throughout wintertime, the meteorological conditions over Delhi and other parts of the IGP are represented by low boundary layer height, calm winds, frequent temperature inversions, and high RH [45]. Ram et al. (2010) [46] explained that these conditions favour the accumulation of aerosols and also the formation of SOAs. At the same time, calm and stable conditions with high  $PM_{2.5}$  favour the dry depositional loss of  $O_3$ .

Delhi experiences severe foggy conditions during winter. Tiwari et al. (2011) [47] studied the influence of increased aerosol content on fog formation during winters in Delhi. Due to high aerosol content and hazy conditions, the amount of incoming solar radiation is decreased. The relationship between  $PM_{2.5}$  and  $O_3$  during wintertime is negative for both  $RH \leq 40\%$  and  $RH > 40\%$  (significant at the 95% confidence level,  $p = 0.010$  for  $RH \leq 40\%$  and  $p = 0.015$  for  $RH > 40\%$ ). Irrespective of the RH levels, the  $R^2$  between  $PM_{2.5}$  and  $O_3$  does not show much difference, but the increase of the slope from  $-4.52$  for  $RH \leq 40\%$  to  $-2.29$  for  $RH > 40\%$  indicates that the negative relationship weakens when the RH increases in Delhi. Hence, it can be said that the increased RH favours aqueous chemistry and the formation of new particles. A strong negative relationship, with  $R^2 = 0.81$  ( $p = 0.0025$ ), is observed for  $WS \leq 4$  m/s. However, when WS increases, i.e., when  $WS > 4$  m/s, no significant relation is observed. For all temperature ranges, the  $PM_{2.5}$  and  $O_3$  levels show a significant negative relationship,  $R^2 = 0.69$  for  $T \leq 15$  °C (significant at the 95% confidence level,  $p = 0.010$ ) and  $R^2 = 0.85$  for  $T > 15$  °C (significant at the 99.9% confidence level,  $p = 0.0029$ ).

Hama et al. (2020) [48] reported that the  $O_3$  concentration is lower during the winter months in Delhi and stated that it is because of meteorological conditions and secondary chemical factors such as variations in  $NO_x$  and VOC. Guo et al. (2017) [49] explained that the low levels of  $O_3$  in winter may be because of lower solar radiation and fewer sunshine hours. Hama et al. (2020) [48] also found that  $NO_x$  levels in Delhi showed the highest levels during winter. For  $NO_x > 50$  ppb, a strong negative relationship is observed with  $R^2 = 0.86$ , which is significant at the 99.9% confidence level ( $p = 0.0009$ ). However, for  $NO_x < 50$  ppb, no significant correlation is seen.



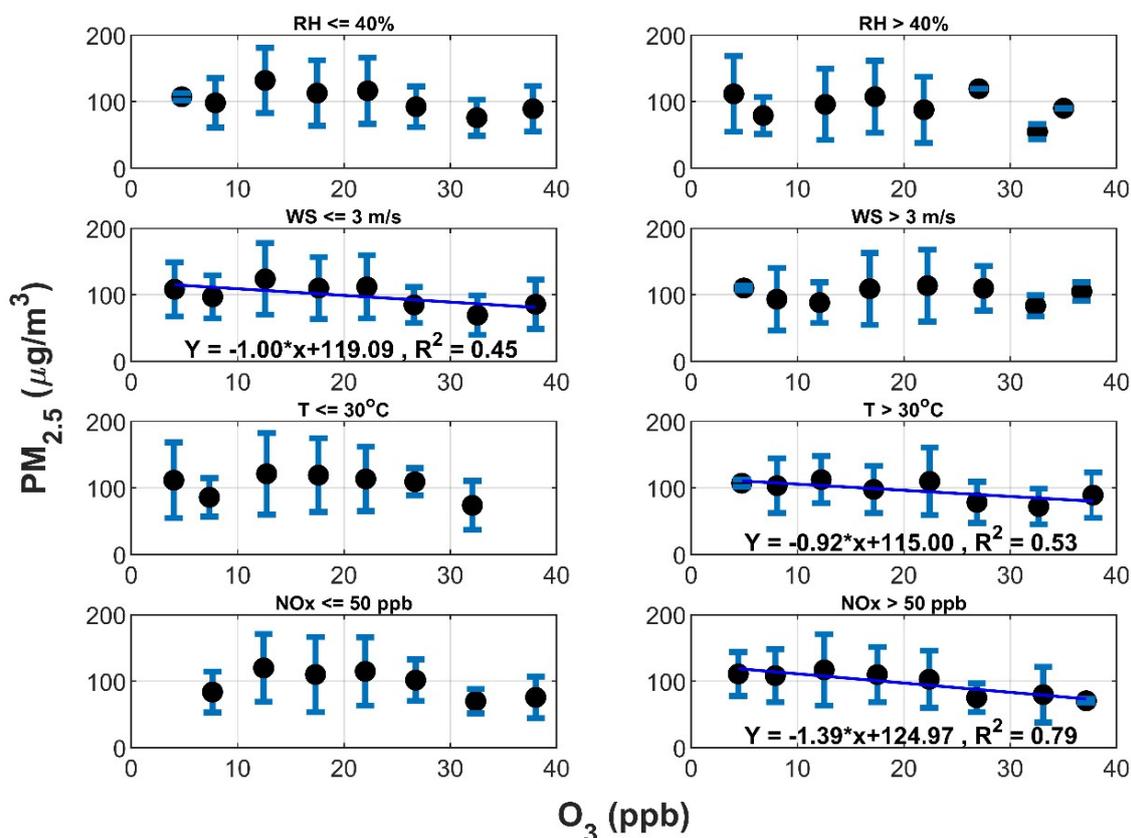
**Figure 6.** Dependence of  $PM_{2.5}$  concentrations on  $O_3$  in Delhi during the winter season for different meteorological parameters.

Figure 7 shows the relation between  $PM_{2.5}$  and  $O_3$  during the summer season in Delhi. Delhi experiences a very high temperature, with increased boundary layer height and higher WS, during the summer seasons. The maximum temperature during summer in Delhi is  $45 \pm 3$  °C [50]. Thus, the emissions are diluted into a deeper atmospheric boundary layer. Therefore, a negative relationship between  $PM_{2.5}$  and  $O_3$  is observed for  $T > 30$  °C, with  $R^2 = 0.53$  (significant at the 95% confidence level,  $p = 0.040$ ). The ventilation effect also comes into play and lowers the pollution in Delhi. Hama et al. (2020) [12] observed that the  $O_3$  concentration starts increasing in the summer seasons. Higher temperatures and solar radiation enhance the photochemical oxidation of precursors, leading to a higher concentration of  $O_3$  during summer [50].

The  $PM_{2.5}$  concentration decreases due to the ventilation effect, but there is an increase in  $O_3$  concentration due to atmospheric oxidation. Hence, we observed a negative relationship between  $PM_{2.5}$  and  $O_3$  during summer in Delhi due to lower WS and higher temperatures. Additionally, the HYSPLIT trajectory (Figure 5) over Delhi shows that during summertime, the winds come from the southwest, which can transport dust particles from the desert region in Rajasthan, increasing the particle concentration in the region. The dominance of natural dust in total  $PM_{2.5}$  loading over the anthropogenic precursors may reduce SOA yield. As primary particles will be overwhelmingly larger compared to SOAs, the increase in aerosol content due to SOA formation will not dominate in summer over Delhi. Figure 1 shows that 99% of particles over Delhi are primary emissions. Additionally, the prevailing wind speeds are higher, providing less scope for SOA formation by the accumulation of precursors.  $PM_{2.5}$  and  $O_3$  in Delhi are less interdependent, and their production/loss processes are governed by independent factors. In the case of  $O_3$ , it is

more due to photochemistry, while in the case of  $PM_{2.5}$ , it is more due to the atmospheric transport of desert dust as well as local emissions.

## Delhi (Summer)



**Figure 7.** Dependence of  $PM_{2.5}$  concentrations on  $O_3$  in Delhi during the summer season for different meteorological parameters.

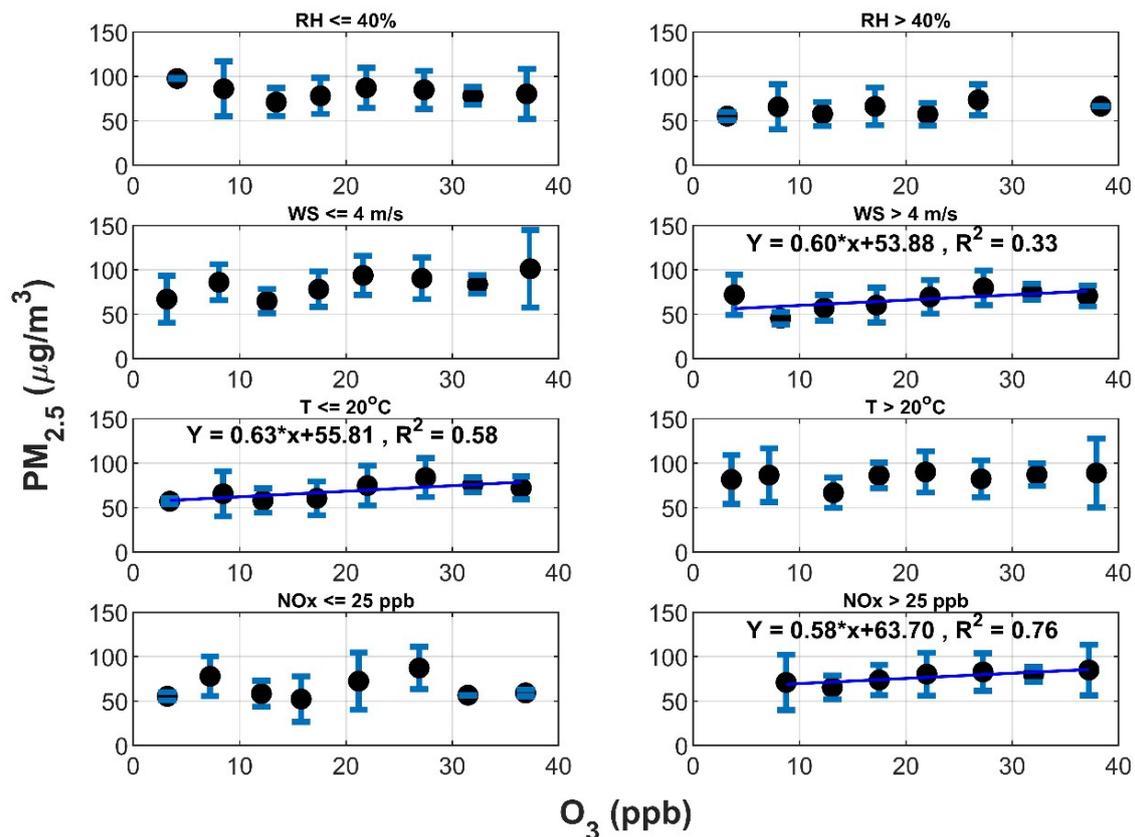
### 3.2. Dependence of $PM_{2.5}$ on $O_3$ for Different Meteorological and Chemical Parameters in Ahmedabad during Winter and Summer

Lal et al. (2000) [51] observed that due to increased levels of precursor gases,  $O_3$  concentrations are the greatest in the fall and winter months in Ahmedabad. The massive transports from land masses and lower boundary layer heights are the most important causes for the increase in precursor levels during the pre-winter and winter months. Additionally, wind pattern change over the Indian landmass takes place in the autumn; it turns out to be northeasterly during the pre-winter months [51]. The wind flow from the northeast leads the air towards Ahmedabad, which is rich in air pollutants and increases the levels of  $O_3$  and its precursor gases [52]. In winter seasons, like  $O_3$ ,  $NO_x$  also attains higher levels as local emissions are confined in shallower boundaries. Therefore,  $NO_x$  levels become sufficiently high during winter to create  $O_3$  during the daytime.

Additionally, in cold weather, the pollutants from different sources get trapped in the boundary layer by frequent temperature inversions. In this manner, the concentration of  $PM_{2.5}$  is typically higher during cold weather months.  $NO_2$  is the only chemical source of tropospheric  $O_3$ , and because of higher  $NO_x$  concentrations in the atmosphere during the winter months, the production of  $O_3$  is favoured. Hence, a positive relationship is observed between  $PM_{2.5}$  and  $O_3$  for higher  $NO_x$ , with  $R^2 = 0.76$ , significant at the 95% confidence level ( $p = 0.010$ ) (Figure 8). Higher  $O_3$  can increase oxidation capacity and recycling efficiency, leading to higher OH, and SOA formation can be favoured, leading

to an increase in  $PM_{2.5}$ . A positive relationship can be seen for temperatures below  $20\text{ }^{\circ}\text{C}$  ( $R^2 = 0.58$ ,  $p = 0.0281$ ). This dependence is significant at the 95% confidence level. Rengaranjan et al. (2011) [53] studied aerosol acidity during wintertime in Ahmedabad and found that the enhancement of SOA formation during winter in Ahmedabad was favoured due to the high level of aerosol acidity.

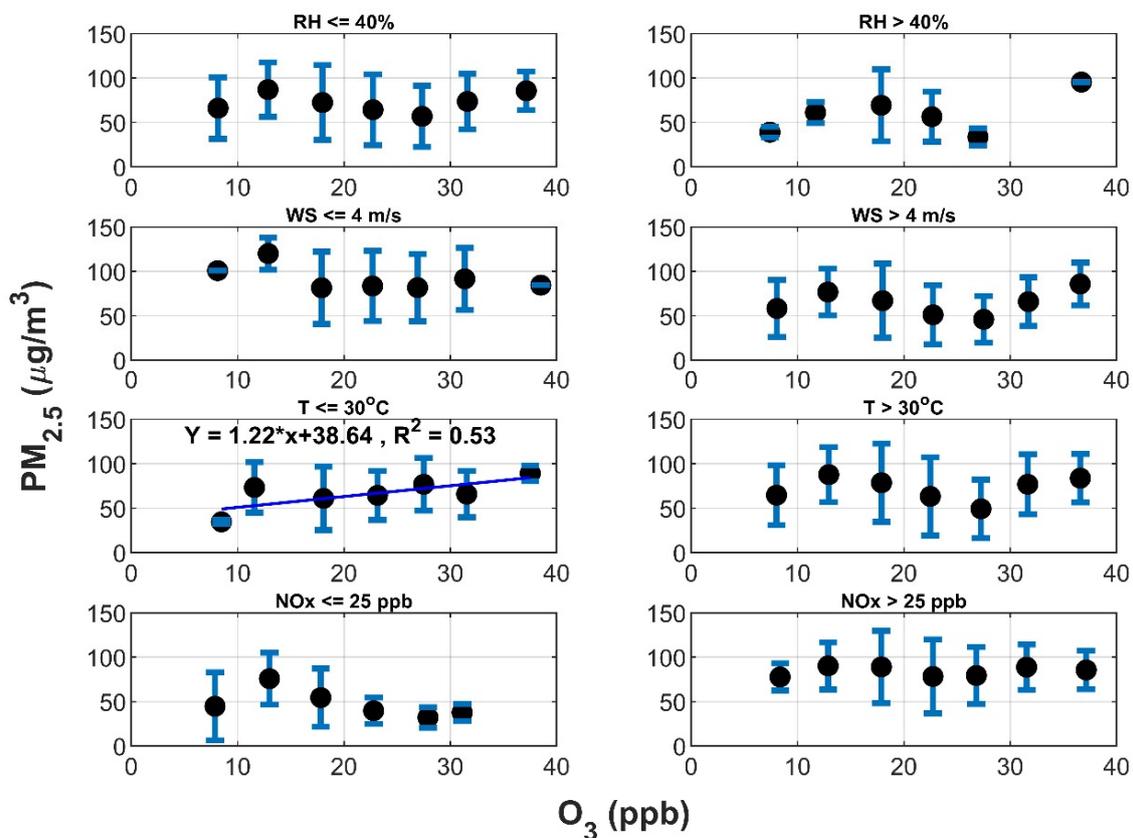
### Ahmedabad (Winter)



**Figure 8.** Dependence of  $PM_{2.5}$  concentrations on  $O_3$  in Ahmedabad during the winter season for different meteorological parameters.

Figure 9 shows the relation between  $PM_{2.5}$  and  $O_3$  in Ahmedabad during the summer season. The meteorological conditions in Ahmedabad favour the production of  $O_3$  from photochemical reactions [54]. However, in Ahmedabad, being a dust-dominated area, the oxidative capacity of the atmosphere can be considerably reduced due to  $HO_2$  uptake by dust affecting the SOA formation [55,56]. Sudheer et al. (2015) [57] studied the role of RH in SOA formation in Ahmedabad and observed a negative correlation between atmospheric water vapour and secondary organic carbon in  $PM_{2.5}$  and  $PM_{10}$ . The authors explained that the possible reasons could be either the local wind bringing air masses with higher atmospheric water vapour and low VOCs or the variation of oxidising species such as OH radicals in the ambient atmosphere. The  $PM_{2.5}$  concentration is also reduced during summer due to higher PBL, leading to a dilution effect [10]. No significant correlation is observed between  $PM_{2.5}$  and  $O_3$  during the summer season (Figure (9)).

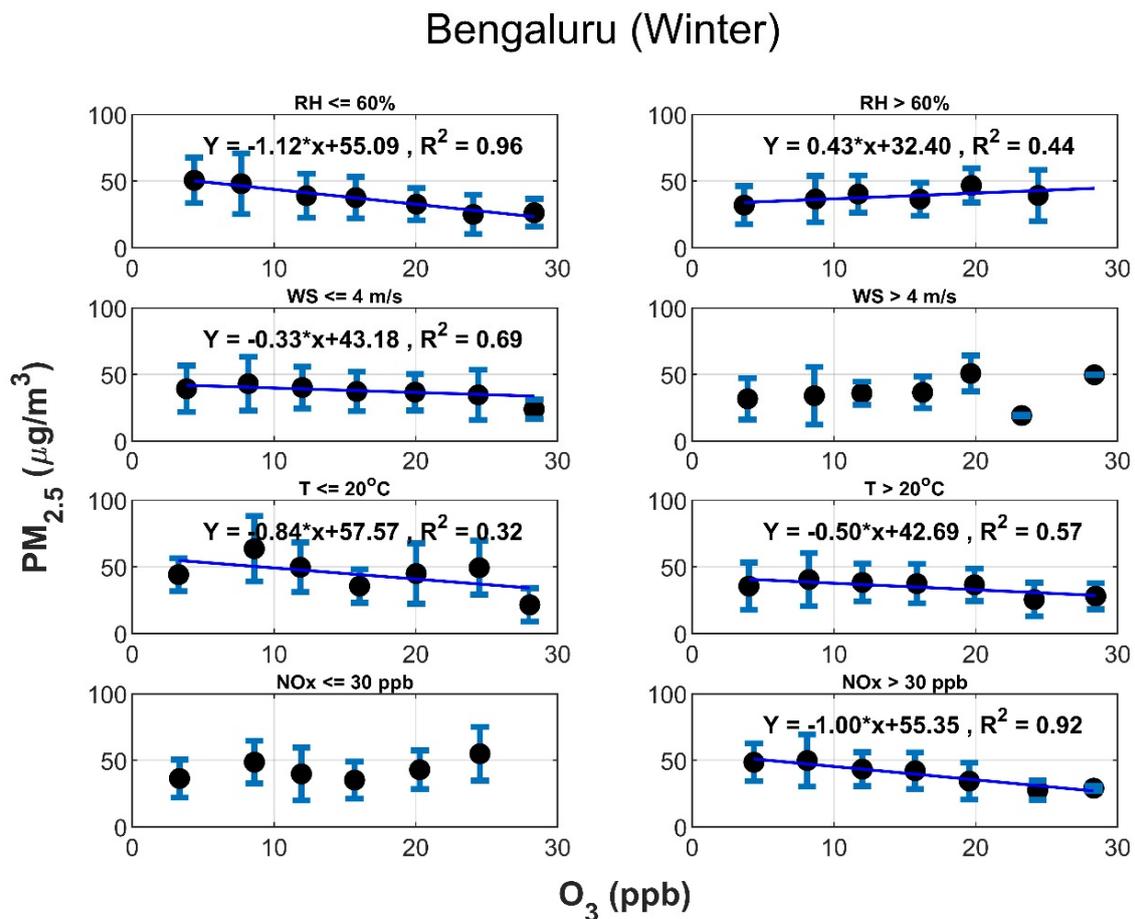
## Ahmedabad (Summer)



**Figure 9.** Dependence of  $PM_{2.5}$  concentrations on  $O_3$  in Ahmedabad during the summer season for different meteorological parameters.

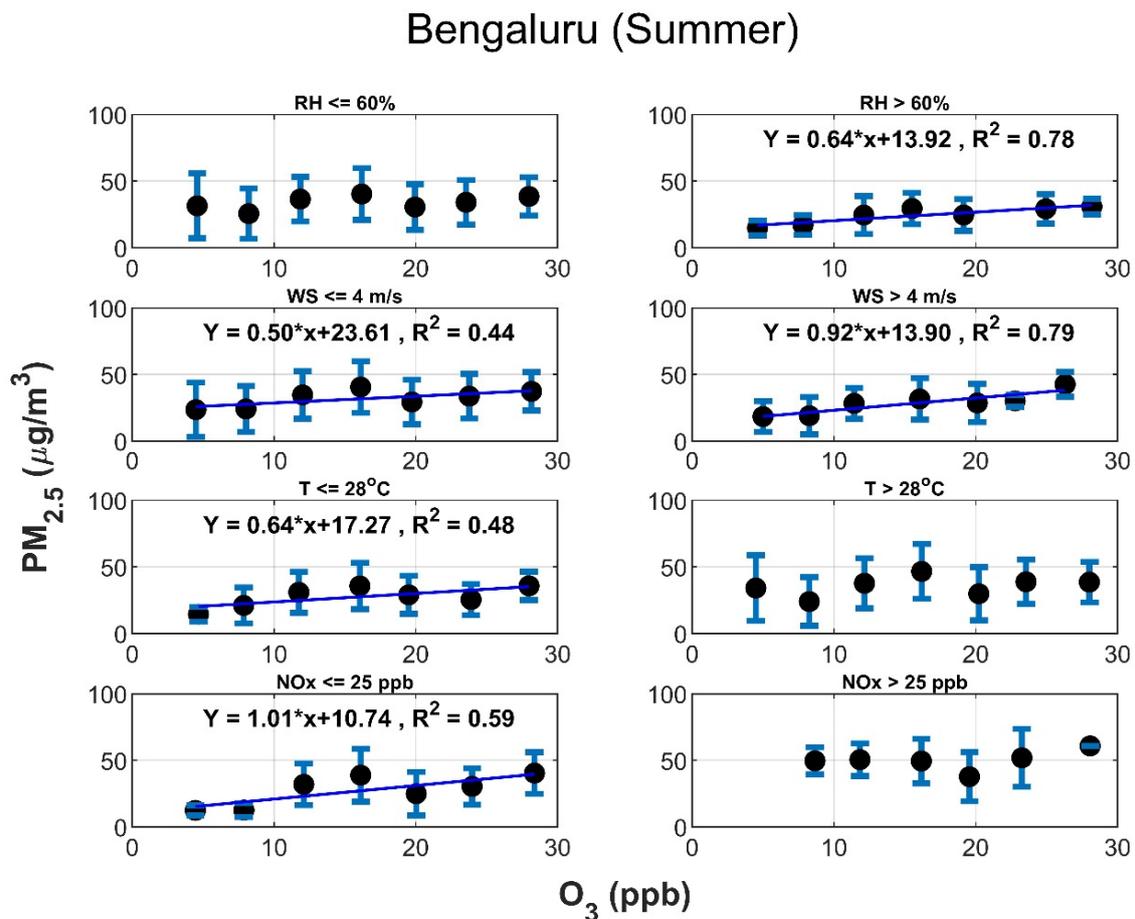
### 3.3. Dependence of $PM_{2.5}$ on Ozone for Different Meteorological and Chemical Parameters in Bengaluru during Winter and Summer

Figure 10 shows the relation between  $PM_{2.5}$  and  $O_3$  for Bengaluru during wintertime. During the winter, the aerosol concentration increases due to emissions accumulating in a lower PBL, and due to this, there is a reduction in the incoming solar radiation. Thus,  $O_3$  formation due to photochemical reaction is reduced. Therefore, during the winter, a negative correlation between  $PM_{2.5}$  and  $O_3$  is observed. The R-squared is 0.96 for RH below 60% ( $p = 0.0001$ ), 0.92 for  $NO_x > 30$  ppb ( $p = 0.00054$ ), 0.57 for  $T > 30$  °C ( $p = 0.049$ ) and 0.69 for  $WS < 4$  m/s ( $p = 0.020$ ). The dependence is significant at the 99.9% confidence level for RH below 60% and for  $NO_x > 30$  ppb. Additionally, for  $T > 30$  °C and for  $WS < 4$  m/s, the dependence is significant at the 95% confidence level. Thus, calm wind, less humidity, and high  $NO_x$  conditions result in an inverse relationship between  $PM_{2.5}$  and  $O_3$ . These conditions lead to less  $O_3$  production and promote  $O_3$  destruction (physical as well as chemical) on one hand, while favouring new particle formation and accumulation on the other hand. However, for  $WS > 4$  m/s, no significant correlation could be found. This is due to the ventilation effect; the  $PM_{2.5}$  concentration reduces because of dilution due to wind conditions.



**Figure 10.** Dependence of PM<sub>2.5</sub> concentrations on O<sub>3</sub> in Bengaluru during the winter season for different meteorological parameters.

Figure 11 shows the relation between PM<sub>2.5</sub> and O<sub>3</sub> during summertime in Bengaluru. The R-squared is 0.78 for RH > 60% ( $p = 0.0083$ ), 0.79 for WS > 4 m/s ( $p = 0.007$ ) and 0.59 for NOx < 25 ppb ( $p = 0.045$ ), and this relation is significant at the 99% confidence level for RH > 60% and WS > 4 m/s and the 95% confidence level for NOx < 25 ppb. Thus, a humid, ventilated, low NOx atmosphere is conducive to a positive relationship between O<sub>3</sub> and PM<sub>2.5</sub>. Ventilated conditions allow NOx levels to be below titration limits, favouring O<sub>3</sub> build-up, while increased RH and oxidant levels promote new particle formation. Higher wind speed supports the optimum moisture levels from oceanic air masses. O<sub>3</sub> production is enhanced during summertime due to longer day hours and maximum insolation. This positive relation between PM<sub>2.5</sub> and O<sub>3</sub> in summer can be strengthened by the increased lifetime of oxidants in the atmosphere that reacts with the organic gases and forms SOAs [58]. Additionally, the effect of the transport of pollutants can also be seen during summertime in Bengaluru as the R<sup>2</sup> increases from 0.44 for WS < 4 m/s to 0.79 for WS > 4 m/s.

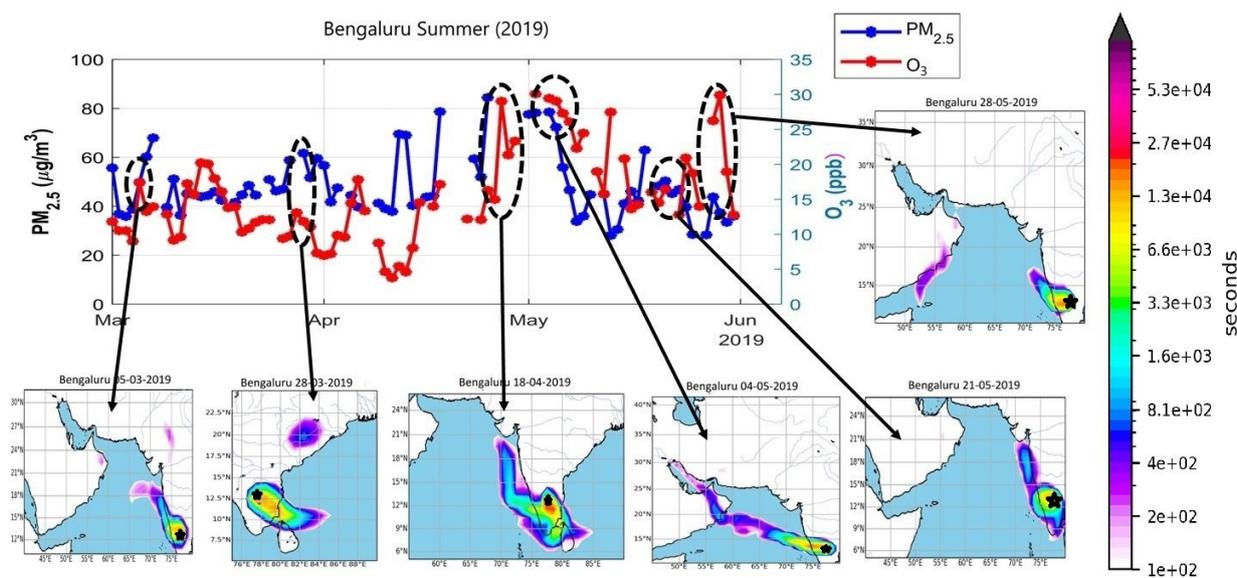


**Figure 11.** Dependence of  $PM_{2.5}$  concentration on  $O_3$  in Bengaluru during the summer season for different meteorological parameters.

### 3.4. Role of Atmospheric Transport Inferred from FLEXPART

The positive correlation between  $PM_{2.5}$  and  $O_3$  in summer over Bengaluru creates an important prospect to analyse the interdependence of SOA formation through the presence of atmospheric oxidants under various meteorological regimes. Figure 12 shows the backward trajectory of FLEXPART for the 2019 summer days in Bengaluru. Some days saw a rise in both  $PM_{2.5}$  and  $O_3$  concentrations; the air mass backward trajectory is displayed for those days (encircled points in Figure 12). The RH was quite low (below the 25th percentile) during the first few weeks of summer 2019, and the air mass trajectory revealed that winds were predominantly from the Bay of Bengal. In addition, the wind speed throughout that time period was between 3 and 4 m/s. However, no significant relationship between  $O_3$  and  $PM_{2.5}$  was observed in these air masses. The air masses came predominantly from the Arabian Sea side in the later part of the summer of 2019. The RH was above the 75th percentile on the days when both  $PM_{2.5}$  and  $O_3$  levels increased at the same time. Furthermore, when the air masses arrived from the Arabian Sea, the wind speed was below the 25th percentile ( $<3$  m/s). The slower wind speed and high RH offered favourable conditions for the production of SOAs in Bengaluru during the summer days of 2019. FLEXPART images (e.g., on 28 May 2019) clearly show the greater influence of close-by regions due to slow winds, leading to enhancements in  $PM_{2.5}$ . The rise in  $PM_{2.5}$  concentrations with the increase in  $O_3$  is likely due to the formation of SOAs in moist air masses. Further, during periods of convergence of air masses from the Arabian Sea and the Bay of Bengal, a sharp enhancement was observed in both  $O_3$  and  $PM_{2.5}$  (e.g., 18 April 2019). The PBL height in March, April and May of 2019 was 2511, 2686, and 2125 m, respectively. In March, April and May, the  $PM_{2.5}$  concentrations were 48.0, 52.3,

and  $46.6 \mu\text{g}/\text{m}^3$ , respectively. The PBL height rose by 7% in April compared to March, while the  $\text{PM}_{2.5}$  concentration increased by 9%. Normally, particle concentrations fall as PBL height increases; however, in this case, the  $\text{PM}_{2.5}$  concentration increases. Additionally, Prabhu et al. (2022) [59] observed an overall weak positive correlation between  $\text{O}_3$  and  $\text{PM}_{2.5}$  on an hourly and daily basis in Bengaluru, where a  $1 \mu\text{g}/\text{m}^3$  increase in hourly  $\text{PM}_{2.5}$  was associated with an increase of  $\sim 0.2$  ppb of hourly  $\text{O}_3$ , while a  $1 \mu\text{g}/\text{m}^3$  increase in daily  $\text{PM}_{2.5}$  was associated with an increase of  $\sim 0.6$  ppb of daily  $\text{O}_3$ . This clearly indicates the role of secondary chemistry.



**Figure 12.** FLEXPART trajectory over Bengaluru for the summer season of 2019. Upper left panel shows simultaneous variations in  $\text{PM}_{2.5}$  (blue) and  $\text{O}_3$  (red). The FLEXPART images correspond to encircled points representing simultaneous enhancements in  $\text{O}_3$  and  $\text{PM}_{2.5}$ .

From the above observations, it is understood that Bengaluru and Delhi behave in completely opposite ways when it comes to secondary chemistry. The formation of secondary particles is significantly impacted by the local climate and prevailing meteorological conditions in these places. Additionally, the effect of RH in different emission regimes on SOA formation is different, and it needs to be studied for a better understanding of secondary chemistry.

### 3.5. Predicting the Ozone Concentration Using Recurrent Neural Networks (RNNs)

The above analysis shows that the concentration of  $\text{O}_3$  for a particular region depends on different meteorological and chemical parameters, such as  $\text{PM}_{2.5}$ , WS, T, RH and  $\text{NO}_x$ . Furthermore, the production of SOAs due to oxidation during the summer months is the link between aerosols and  $\text{O}_3$ . The observed dependencies are not always linear, as evinced by Figure 4b. Despite PM and  $\text{O}_3$  posing serious threats to the Earth's climate and human health at elevated levels [60], there are no long-term measurements of atmospheric pollutants in several places of significant urban populace. It is, therefore, of great importance to conduct studies to fill this gap, as chemistry–climate models have a tendency to reproduce already scarce measurements but with a considerable bias. The uncertainties surrounding emission inventories and the parameterizations of physical and chemical processes also contribute to the biases present in these models. In addition to these biases, conventional models also require significant computing resources, which can be a limiting factor in conducting high-resolution simulations [61]. Artificial intelligence (AI) and machine learning (ML) have emerged as powerful alternative tools for modelling in various fields, including Earth system science. The use of ML in climate modelling is an active area of research and has the potential to improve our understanding of the climate

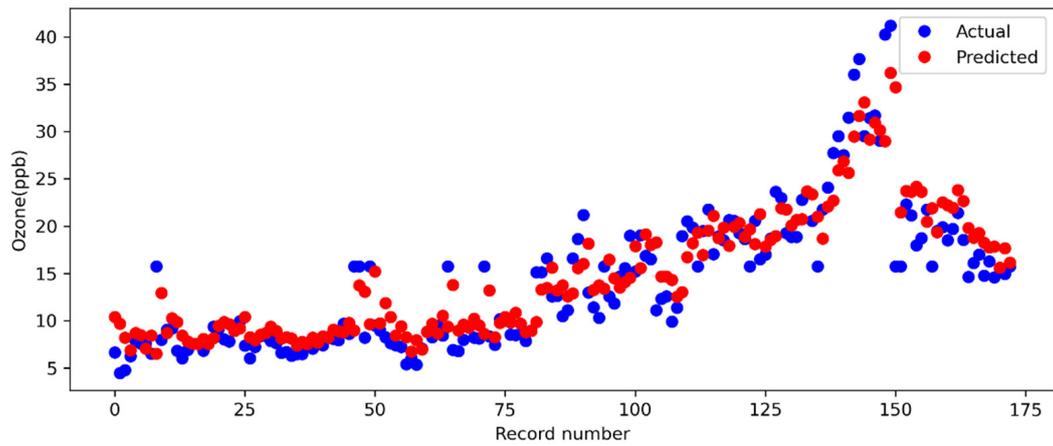
system and enable more accurate projections of future climate change. Particularly, due to very complex chemistry involving NO<sub>x</sub> and multiple hydrocarbons through a plethora of photochemical reactions, reliable predictions of surface O<sub>3</sub>, specifically over the Indian region, have still eluded the atmospheric modelling community. While the first part of this study has elucidated the various important meteorological and photochemical dependencies of surface O<sub>3</sub>, the possibilities of using these observed dependencies for the prediction of O<sub>3</sub> create an interesting prospect that needs to be tested. Therefore, an attempt is made to predict the value of O<sub>3</sub> if other factors are known through artificial intelligence. Here, Recurrent Neural Network models (RNNs) predict the O<sub>3</sub> concentrations for the summer seasons of 2019 and 2020 using the parameters mentioned above.

### 3.5.1. Experimental Setting

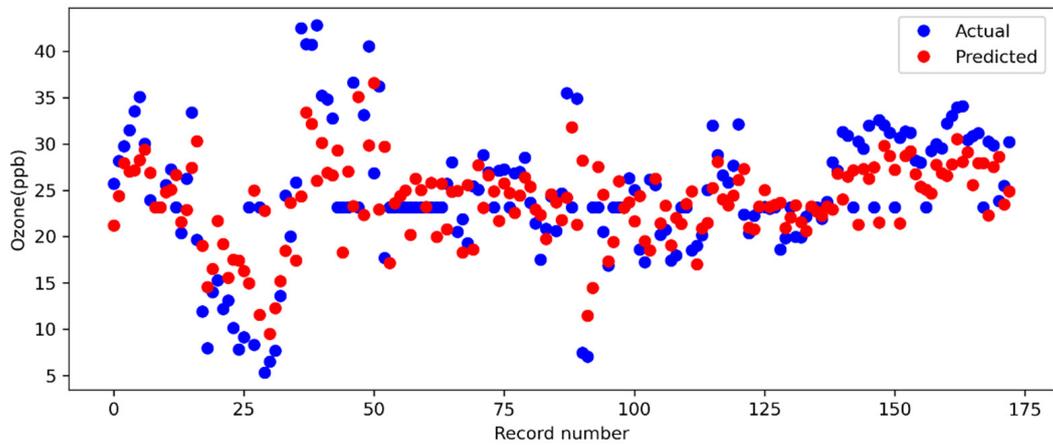
The experiment runs on an Ubuntu 18.04 Linux system with 16 GB of RAM, an Intel(R) Core (TM) i7-8700 CPU running at 3.20 GHz, and RX RADEON Graphics Cards (4 GB). Python 3.7 with Pandas, Numpy, Tensorflow, and Keras is used for data processing and modelling. For reducing the errors between prediction and observation, we use mean squared error (MSE) as the loss function and optimiser; 70% of the dataset was utilised for training, and 30% was used for validation in this study. The model's performance in the validation set determines the hyper-parameters. For successful learning, the Early Stopping method is used, in which a training process can be halted when the validation loss stops reducing. Multiple approaches are used to assess the statistical significance of model findings and discover differences between groups to undertake rigorous statistical analysis. Several statistical indices are used to evaluate the effectiveness of the suggested model, including the mean absolute error (MAE), the root mean square error (RMSE), and the coefficient of determination (R<sup>2</sup>).

### 3.5.2. Experimental Results

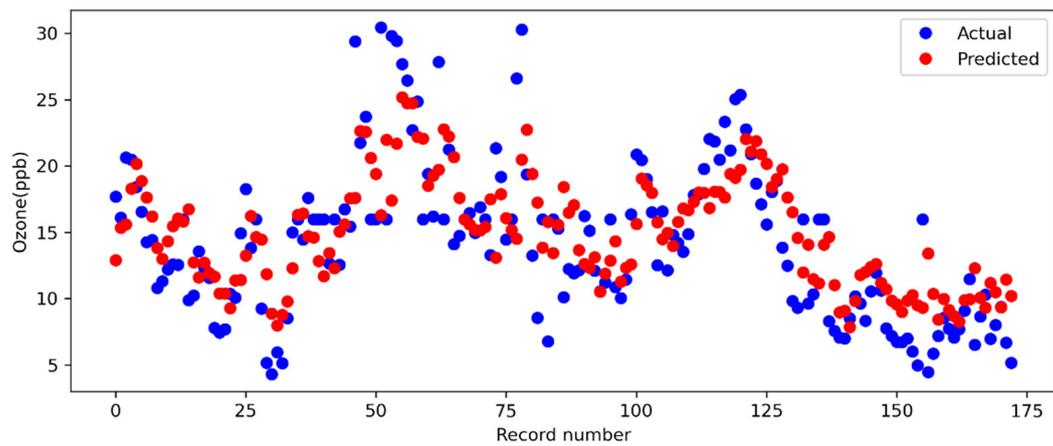
To assess the proposed RNN deep learning model's efficiency, we contrasted the experimental results with other machine learning techniques, such as LSTM (Long Short-Term Memory), KNN (K- Nearest Neighbor), SVR (Support Vector Regression), and GBR (Gradient Boosted Regression). The LSTM model is an advanced RNN that is capable of handling long-term dependencies and allowing information to persist [62]. KNN is a machine learning algorithm that classifies the data based on its similarities and places the new data in the category that matches the existing categories the closest [63]. SVR is a regression algorithm that supports both linear and nonlinear regressions, and it is used for predicting continuous ordered variables by fitting the error inside a certain threshold instead of minimising the error, which is the case in simple regression [64]. GBR is a machine learning algorithm in which each predictor corrects its predecessor's error [65]. Figure 13 shows the prediction of O<sub>3</sub> levels using RNN model during summer season over the three study locations. The comparison of model performance can be found in Table 3 and Figure 14.



(a) Delhi



(b) Ahmedabad

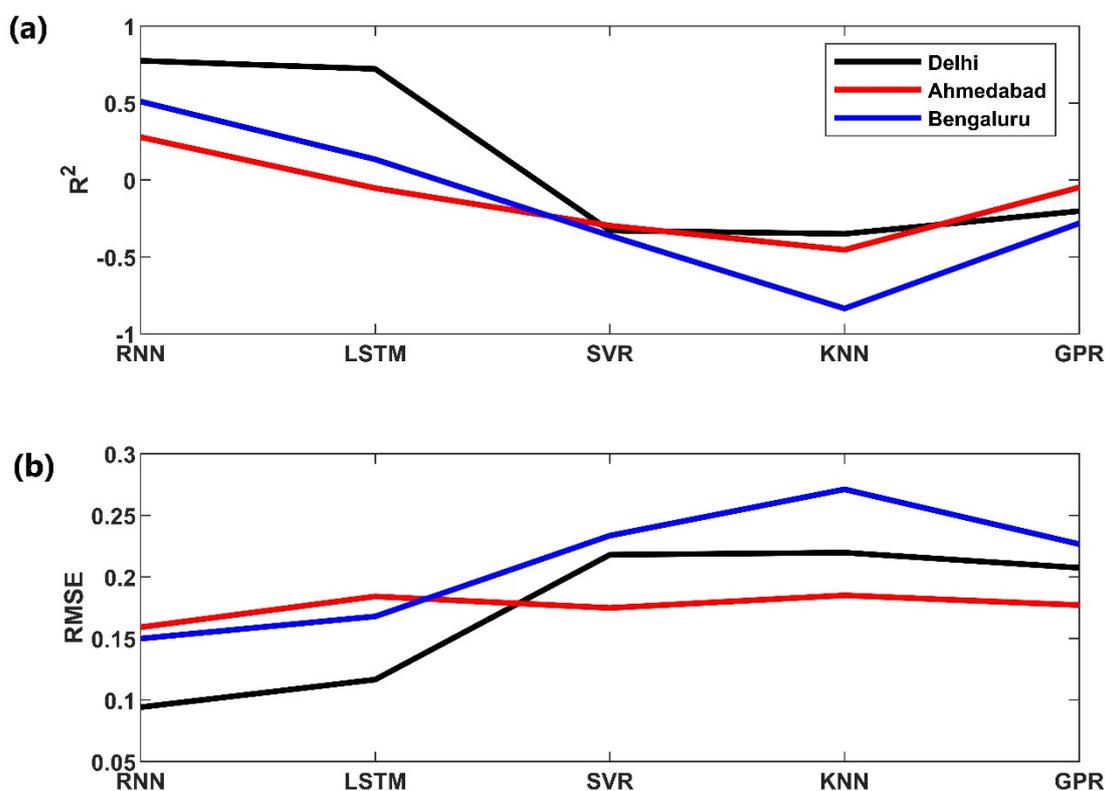


(c) Bengaluru

**Figure 13.** Predictions of O<sub>3</sub> concentrations using PM<sub>2.5</sub> during the summer season (2019 and 2020) for (a) Delhi, (b) Ahmedabad and (c) Bengaluru.

**Table 3.** The performance comparison of data.

	Delhi		Ahmedabad		Bengaluru	
	RMSE	R <sup>2</sup>	RMSE	R <sup>2</sup>	RMSE	R <sup>2</sup>
RNN	0.0942	0.7745	0.1591	0.2782	0.1499	0.5097
LSTM	0.1166	0.7215	0.1841	−0.0530	0.1679	0.1340
SVR	0.2180	−0.3281	0.1748	−0.2968	0.2335	−0.3610
KNN	0.2197	−0.3495	0.1850	−0.4537	0.2711	−0.8348
GPR	0.2074	−0.2020	0.1771	−0.0486	0.2266	−0.2813

**Figure 14.** (a) R<sup>2</sup> and (b) RMSE comparison of different models for summer data.

The results indicate that an RNN's primary method may efficiently learn the many dynamic time scales present in the complex data. RNNs exhibit a high degree of generalisation for patterns not covered in the training phase. Other techniques attempted here are sensitive to hidden neurons and have poor generalisation for new inputs due to the over-training problem. These networks' topological features enable them to analyse temporal data. These networks also can reproduce nonlinear input–output mappings, thanks to intrinsic nonlinear components and sets of trainable weights. Between the output of the hidden layer and the input of the RNN, there is a time-delayed feedback loop. This loop supplies memory to the network, enhancing its capacity to describe dynamical systems significantly.

#### 4. Summary and Conclusions

The present study focuses on finding the relationship between PM<sub>2.5</sub> and O<sub>3</sub> in different Indian cities during the summer and winter seasons. The locations were selected based on long-term data availability, and the selected stations also represent contrasting climatic conditions and varied emission, meteorological and air mass regimes. The sources

of  $PM_{2.5}$  were identified for all the selected stations. To find the relation between  $PM_{2.5}$  and  $O_3$ , regression analysis was performed during the winter and summer seasons for different ranges of meteorological variables. It was found that the negative correlation in Delhi during winter is due to the lower photochemical production of  $O_3$  as the incoming solar radiation is reduced due to higher  $PM_{2.5}$  concentrations. The ventilation effect plays a major role in the negative correlation between  $PM_{2.5}$  and  $O_3$  during the summer in Delhi. A positive relation between  $O_3$  and  $PM_{2.5}$  was observed for higher WS during winter in Ahmedabad due to transport from polluted areas. No significant correlation was observed between  $PM_{2.5}$  and  $O_3$  during summer in both Delhi and Ahmedabad due to the high background of primary particles, dominated by dust from the Arabian Peninsula during summer. Thus, the effect of  $O_3$  on SOA formation becomes obscured, and no significant relationship can be traced between  $PM_{2.5}$  and  $O_3$  during summer. Bengaluru shows a positive correlation during summer, indicating the formation of SOA. Due to higher  $PM_{2.5}$  concentration and lesser insolation,  $O_3$  production is reduced in Bengaluru during winter; hence, a negative relationship is observed between  $PM_{2.5}$  and  $O_3$  during winter. However, there is very clear evidence for SOA formation during enhanced  $O_3$  periods, promoted by humid marine air masses, mainly from travelling at low speed over to Bengaluru in summer. The simultaneous enhancements in  $O_3$  and  $PM_{2.5}$  were more frequent when the air masses came from the Arabian Sea side compared to the Bay of Bengal side. The dependencies for  $O_3$  observed in this study are redirected towards the prediction of this very important atmospheric chemical parameter through the use of Recurrent Neural Network models (RNNs) as it poses a global threat to human health, ecosystems and climate. The results indicated that RNNs are capable of effectively learning complex, dynamic data and have strong generalisation capabilities and can be potentially useful to fill up gaps in the prediction of  $O_3$ . In contrast, other techniques struggle with generalising to new inputs due to over-training and their sensitivity to hidden neurons. RNNs were able to analyse temporal data and model nonlinear input–output relationships due to their topological features and nonlinear components. The addition of a time-delayed feedback loop between the hidden layer and the input of the RNN enhanced the network's ability to describe dynamic systems. This study is an important step in understanding the relationships between  $O_3$  and  $PM_{2.5}$  with regard to different meteorological conditions and SOA formation. The dependency analysed in this study will provide important insight for design strategies for air quality management in urban regions.

**Supplementary Materials:** The following supporting information can be downloaded at: <https://www.mdpi.com/article/10.3390/urbansci7010009/s1>. O3-PM-relation.xlsx contains the main data used in this study.

**Author Contributions:** K.K.M. and S.K. developed the neural-network-based predictions. H.G. and A.A. conducted FLEXPART simulations. R.K.Y., S.L. and C.M. conducted the data analysis and MS writing. CM conceptualized the study. All authors have read and agreed to the published version of the manuscript.

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