

Article

Exploratory Bibliometrics: Using VOSviewer as a Preliminary Research Tool

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Abstract: This paper explores ways in which open access bibliometric software can be used to undertake exploratory research and to generate new avenues of inquiry. It takes as its focus VOSviewer, a freely available software package used to construct and display bibliometric relationships between a variety of variables. Beginning with published examples, the paper proceeds to create an original case study using bibliometrics to explore the extent to which the field of remote sensing is contributing to the implementation of sustainable development goals. This example uses Scopus data and VOSviewer to examine and contrast co-occurrence data among publications in six journals, and it demonstrates how such software can be successfully used to undertake preliminary studies and to shape subsequent research which employs more formal approaches.

Keywords: bibliometrics; exploratory research; VOSviewer; Scopus; SDGs; remote sensing

1. Introduction

This paper has been written as a contribution to a Special Issue of *Publications* which is designed to address the journal's past and its potential. Although much of this paper deals with a specific case study of sustainability, it was written more generally as a commentary on some specific trends in scholarly publishing: these comments result in part from doing numerous manuscript reviews during the past decade. During that time, *Publications* has attracted a type of manuscript which focuses on an emerging subfield and uses bibliometrics to provide a tally of the key papers, prolific researchers and the geographic distribution of authors and collaborators.

This growth in what can be described as 'literature reviews' reflects the appearance of several accessible software packages over the past decade, which are designed to be used with data repositories such as Web of Science and Scopus [1]. The smooth integration of this software permits a user with an interest in a scientific subfield to easily create a 'knowledge map' which can identify significant publications based on citation counts.

While such manuscripts are certainly relevant to scholarly publishing in the most general way, it is my assertion that they often have little to offer the reader of this and cognate journals. As evidence for this claim, the journal *Library Philosophy and Practice* announced in 2021 that it would "no longer consider bibliometric, scientometric or literature review articles except in areas of the Library and Information Sciences". The reason for this was that the journal was being "inundated with bibliometric manuscripts" which were changing its scope [2].

As we will show in the subsequent sections and in the Appendices, there is great potential in software such as *VOSviewer*. The emergent problem lies in its ease of use and its flexibility, which significantly reduce the opportunity costs for new users. Researchers in a subfield can easily undertake a search of publications and their related citations. The resulting growth of papers using software such as CiteSpace and VOSviewer cannot be minimized. Since the introduction of the latter in 2010, its growth has been literally exponential. Of the 2045 published papers documented in Scopus which have referenced VOSviewer, fully 53% were published just in 2021 (Figure 1).



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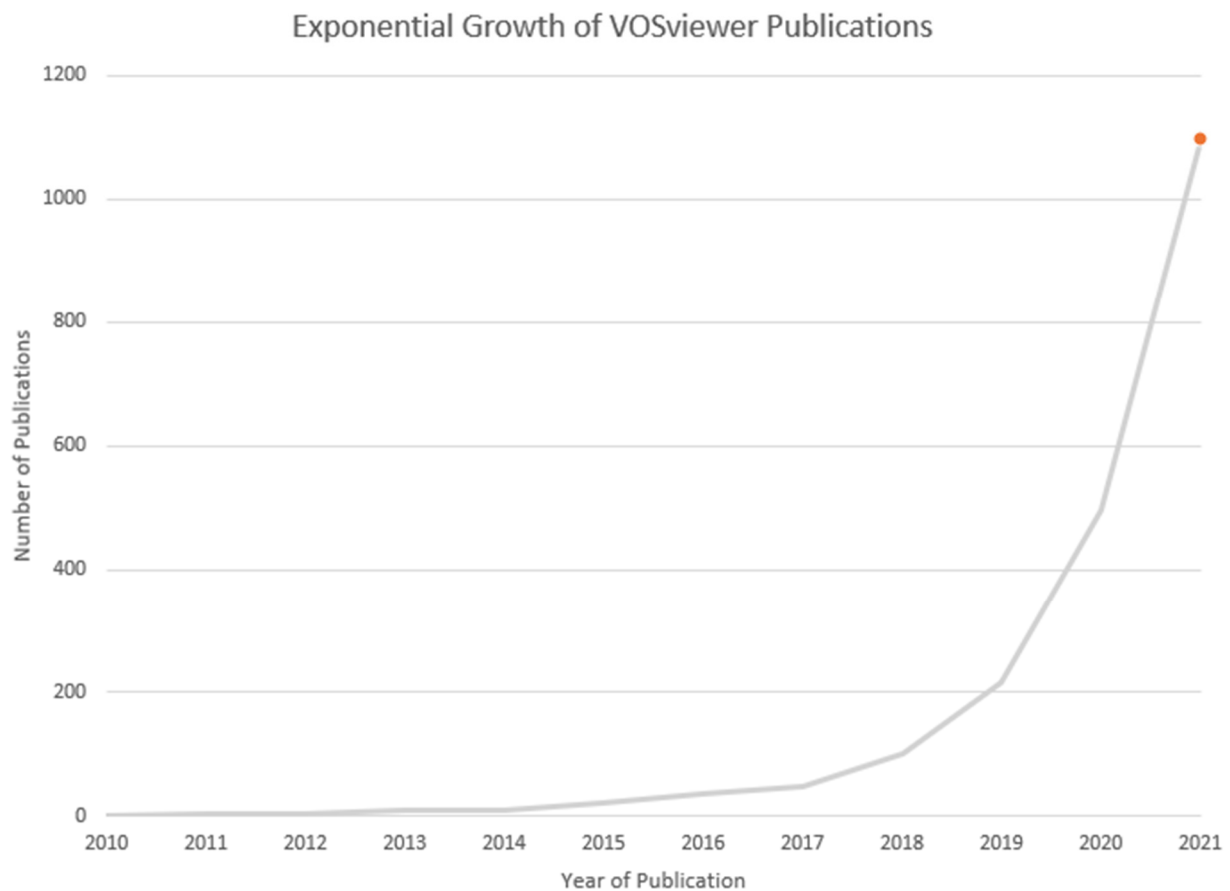


Figure 1. Published papers identifying VOSviewer in the Abstract, title or keywords; source Scopus, data compiled March 2022: see Appendix A.2 for details.

The drawback for a journal such as this (with a broad focus on scholarly publishing rather than scientometrics) is that the use of a software package encourages a rote presentation of information (key papers, key authors, key countries, key journals, and so on). As a new subfield may well be especially arcane, the scientific content may therefore be of little interest to the general reader [3].

It needs to be emphasized that not every bibliometric submission to this (or related) journals uses simple software. Some are technically complex [4]. This does not, at least in the opinion of this author, increase its relevance to an audience interested in scholarly publication, as distinct from the readership interested in scientometrics, which is served by technical journals. For example, neither of the examples mentioned here has been cited by any other *Publications* paper, which undermines the significance of what can be classed as ‘within-journal citations’ [3–5].

In summary, this paper’s contribution to the *Publications* Special Issue is to assess the uses of open access bibliometric software. It is suggested that the flood of papers using such programs to describe emerging subfields has the potential to distort the goals of this and cognate journals. However, this is not intended as mere luddism, and the next section discusses the evident potential of VOSviewer (and its peers) in the context of exploratory research.

2. Using Bibliometrics as a Tool

2.1. Introduction

Rapid computational methods and digital information have transformed the researcher’s ability to quickly and cheaply collect data, subject it to different analyses, and display the results. Nonetheless, because unsuccessful research usually remains unpub-

lished research (because of inconclusive results, for instance), there are advantages to being able to undertake rapid exploratory studies. In some practical contexts, these are known as quick-and-dirty methods, which can be followed by more sophisticated protocols if the results are affirmative [6]. In other fields, the researcher can apply tools in unexpected ways, to test ideas or to generate questions. Google Scholar can be used for preliminary tests of word collocations in demonstrations of language use, for example [7]. There is also a fairly extensive body of literature on the use of the open access software Wordle as a precursor to the use of more powerful content analyses [8].

2.2. Testing a Hypothesis Measuring Research Outputs

The first example under discussion here was published in this journal [9]. As the title indicates, the goal of the project was to compare the scientific productivity of Chinese, EU, and US institutes and organizations and to answer the question, “does the future belong to E-Technology companies?” Researchers Carta et al. used data published in the SCImago repository to address the relative performance of public and private organizations working in new technologies. Comparing published output, they conclude that their study “describes a loss of leadership from 2010 to 2020 of European and (partly) American universities, while in China, universities are growing in importance in scientific production, and we are witnessing a growth of direct leadership in the research of private companies” [9] (p. 11).

This study is illustrative both in terms of setting up a specific question and an exploratory methodology. It is also significant in the present context as it indicates how scientific publication can be used as a robust indicator for research activity and performance. As Debackere et al. argue, grant funding, subsequent publication, and scientometric analysis can act as a feedback loop to shape priorities within nations’ science policies [10]. As this can lead in turn to the next rounds of funding and publication, we can see that bibliometrics can itself be a significant component in the work of science.

2.3. Testing a Simple Hypothesis Using Citations as an Analog

Here, we switch from direct analysis of research output (as in the previous example) to publications and citations which constitute surrogates for actual occurrences, policies, and actors. The example is an assessment of whether ‘smart cities’ are inherently ‘sustainable cities’, which has been claimed, although the literature shows only weak assessments [11]. Here, we can provide some support for a more robust evaluation, using VOSviewer to analyze publications; details of the software, its inputs and outputs and the procedures employed are provided in the Appendix A.1.

In Figure 2, we can see that smart cities exist in a different citation space than sustainable cities (significantly, we can also see a small but discrete category of ‘smart sustainable cities’). This suggests that the pursuit of ‘smart’ policies may not be an automatic guarantee of sustainability. Based on this initial finding, a broader study of the published research, perhaps focused on specific national case studies, appears valid.

These two examples offer contrasting ways to use citation data, one as a measure of research output, and the other using published research as a knowledge map and a surrogate for actually occurring events. The next section shows an exploratory case study in greater detail.

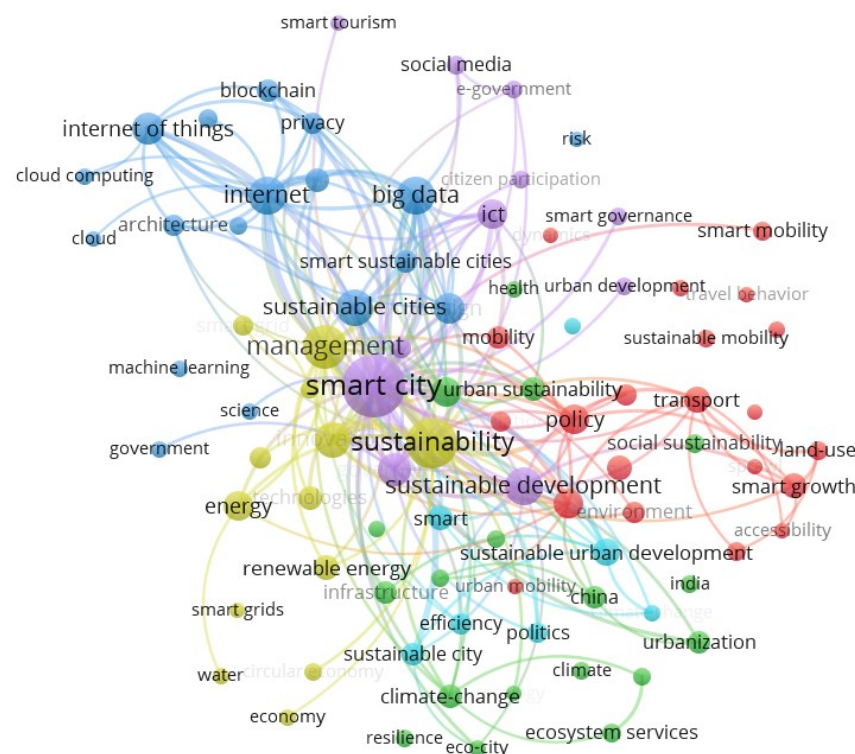


Figure 2. Co-occurrences of keywords ‘smart’ and ‘sustainable’ refined by ‘city’; 1000 most cited papers published in Web of Science 2015–2020; see Appendix A.2 for details.

3. An Exploratory Case Study: Remote Sensing and Sustainable Development Goals

3.1. Overview

This case study is focused on the UN Sustainable Development Goals (SDGs), which define a path for nations seeking to contribute to a better planetary future [12]. However, in practice, most of the goals are unlikely to be met by 2030, and it has been argued that this is due in part to data problems facing remote sensing, a key approach to the analysis of sustainability. Sustainability researcher Estoque concludes that while remote sensing “is an important environmental monitoring tool that can help fill gaps in environmental data”, his fear is that “more is being invested in the development of the SDG global indicator framework, including its databases, than is being invested in actual projects that can deliver desirable outcomes and bring about progress” [13].

This case study builds upon and complements Estoque’s argument by undertaking an exploratory bibliometric analysis of the convergence—or divergence—between research on remote sensing and the monitoring of the SDGs. The goal is to assess the extent to which research on SDGs is central to the remote sensing field as a whole, and how that can be evaluated by a comparison of publications in different but complementary journals. The exploratory hypothesis is that the remote sensing field is not focused on the SDGs, with the inference that this lack of research may contribute to weak implementation of SDGs.

The paper consists of the following four parts. In Section 3.2, the methodology for evaluating the remote sensing field as used here is outlined, including the incorporation of the Scopus database, and VOSviewer software. In Section 3.3, research results are presented, including VOSviewer displays of content in the journal *Remote Sensing* since its creation in 2009, and the emergence of sustainable development as a research subfield. In Section 3.4, these results are interpreted and contrasted with the way remote sensing is studied as a subset of the sustainability field. The conclusions are presented in Section 4.

3.2. Materials and Methods

The field of remote sensing is extremely large. For example, a search on the Scopus database ('remote' AND 'sensing', for abstracts, titles, and keywords for all years) retrieves over 285,000 entries (the figure was in excess of 19,000 solely for the most recent full year, 2021). This exploratory study therefore reduced the number of publications to be analyzed by focusing solely on the journal *Remote Sensing*, an indexed journal with an Impact Factor (IF) of 4.84. The high IF suggests that the journal is a frequently cited outlet and can be used as a surrogate for the field in a preliminary study such as this. Since its inception in 2009, 7791 papers have been published, a total which facilitates exploratory analysis of the entire output of the journal. There have also been several bibliometric studies of remote sensing research [14–16], as well as more focused analyses of this specific journal's content [17,18] and these will be referred to in more detail below.

The publications were exported from Scopus as Excel files for each complete year, 2009–2021. Each exported entry includes complete information for each paper, including title, authors, affiliations, keywords, and all citation information. The data were then uploaded into VOSviewer software, the technical details of which are given in the Appendix A.1.

It employs a local moving algorithm which identifies relations within networks of publications, their citations, and keywords. Relationships can be displayed between authors, journal articles, and/or entities such as countries hosting a researcher, between research institutions, or between different scholarly journals. VOSviewer uses inputs from Web of Science, Scopus and PubMed, all of which are highly regarded in the bibliometric field [19]. As indicated in Figure 1, VOSviewer has been employed in literally hundreds of studies, especially in analyses of the morphology of nascent fields [3].

It should be emphasized that VOSviewer is employed in this study because of its simplicity of use, which is consistent with preliminary research which might explore numerous data relationships, some of which will prove inconclusive. As open access software, it is also freely available to scholars with limited resources. However, this does not mean that the software is technically deficient. Indeed, comparative analyses with multi-dimensional scaling techniques suggest that VOSviewer may possess some advantages [20]. Indeed, Eck et al. have suggested that “the VOS approach yields improved results mainly in the case of medium and large datasets”. It is emphasized therefore that “it is one of the best options for performing a science mapping analysis” [1].

3.3. Results

This section first presents the results of the analyses of the publication materials from the journal *Remote Sensing* (henceforth RS).

3.3.1. Keywords

In this section, we can begin by providing a display of the most important terms used in papers published in *Remote Sensing* between its inception in 2009 and 2021. Figure 3 shows the results of a VOSviewer co-occurrence analysis, which generates links between key terms. On the VOSviewer displays below, lines connect the different terms, and the strength of the links indicates the number of publications in which two terms occur together; thicker lines indicate a stronger link. VOSviewer determines that items are closely related when the software calculates association strengths between items that are similar, where association strength “is a proportion of total co-occurrences between items to the expected total co-occurrences between those items, assuming they are statistically independent” [1]. Technical details are also provided in the Appendix A.1.

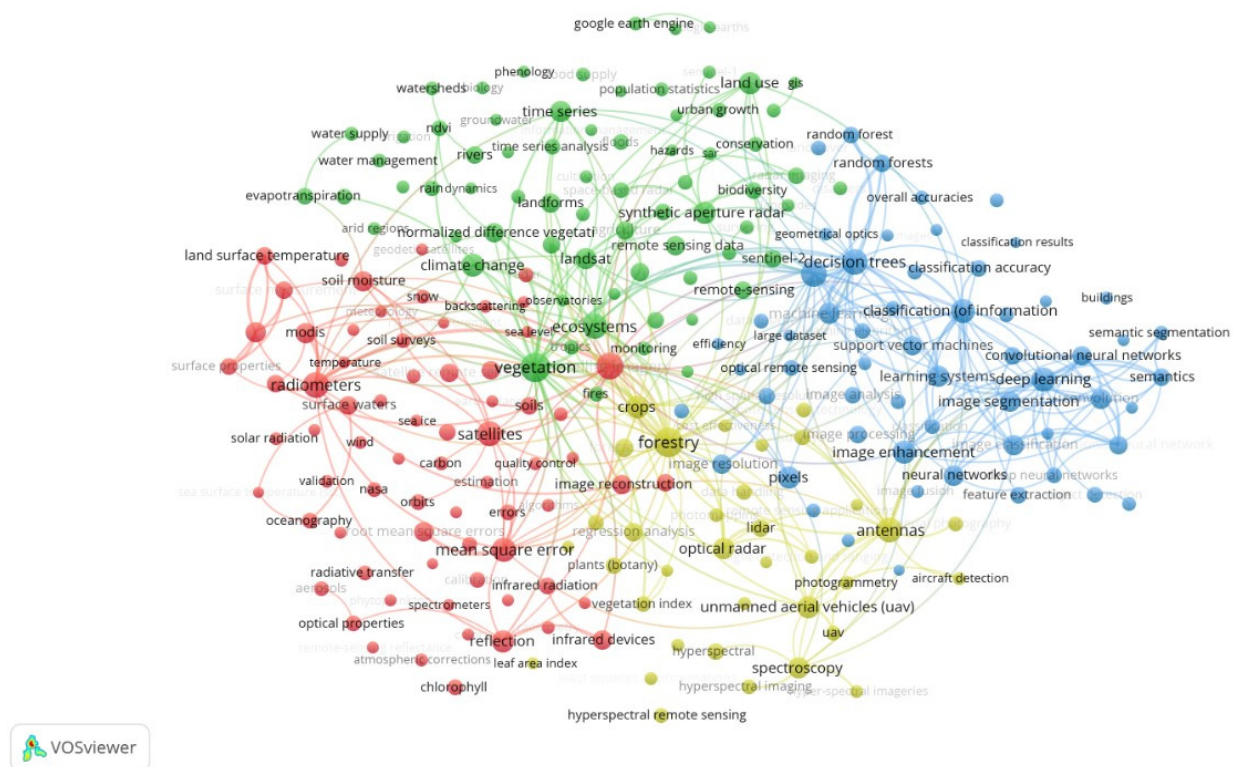


Figure 3. VOSviewer output of keyword co-occurrences; journal Remote Sensing 2009–2021. See Appendix A.2 for details.

Four significant clusters of key terms are created by the VOSviewer algorithm: each of which constitutes a grouping of research activity and subsequent publication. A simplified interpretation and label is suggested by the author for each cluster in Table 1.

Table 1. Interpretation of co-occurrence clusters displayed in Figure 1.

Cluster	Color	Label
1	Red	MODIS, radiometers, satellites
2	Green	Vegetation, ecosystems, climate change
3	Blue	AI, decision trees
4	Sand	Forestry, LiDAR

This table differs somewhat from the material presented in Zhang et al.’s prior bibliometric study of the same journal (summarized in their Table 10) [18]. They identify five clusters, which they label “Multi-spectral and hyperspectral remote sensing”; “LiDAR scanning and forestry”; “MODIS and LAI data applications”; “Remote sensing applications”; and “Synthetic Aperture Radar”. While some procedures used in that study were somewhat different and do not include papers published 2019–2021, both analyses do emphasize the breadth of the different technical components of the research published within RS.

3.3.2. Sustainability and Sustainable Development

Terms typically associated with sustainability are not prominently displayed in Figure 3 and Table 1. Subsequent analysis drills down and identifies only RS papers which contain the key terms “sustain” OR “sustainable” (see Appendix A.2). This produces a smaller data set of 1436 publications. These terms are not prominent because they constitute recent arrivals within the journal. As Figure 4 shows, keywords “sustain” or “sustainable” only began to appear in the journal in 2018 in significant numbers (119 entries), increasing

to 219 (in 2019), 394 (in 2020) and 459 (in 2021). While there has been a rising trend in publication in all academic fields in the past decade (as shown for example by Einecker and Kirby), this exponential increase between 2018–2020 far exceeds that background trend [21].

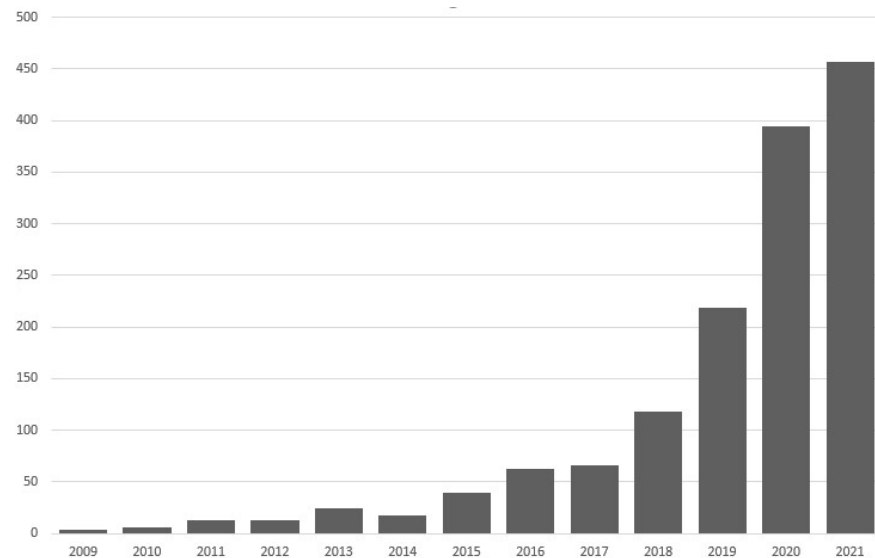


Figure 4. The use of key terms “sustain” OR “sustainable” in the journal *Remote Sensing*, 2009–2021, see Appendix A.2 for details.

Based on this smaller subset of 1436 publications, Figure 5 shows the co-occurrence of key terms in the journal for first, the years 2009–2018 (that is, papers containing the key terms ‘sustain’ or ‘sustainable development’: this constitutes a set of 364 papers). Second, this is contrasted with the most recent full year, 2021 in Figure 6, based on 459 papers. An exploratory study such as this is seeking to confirm that sustainability has increased in importance over time, and so displays for 2019 and 2020 are omitted (but are available from the author on request).

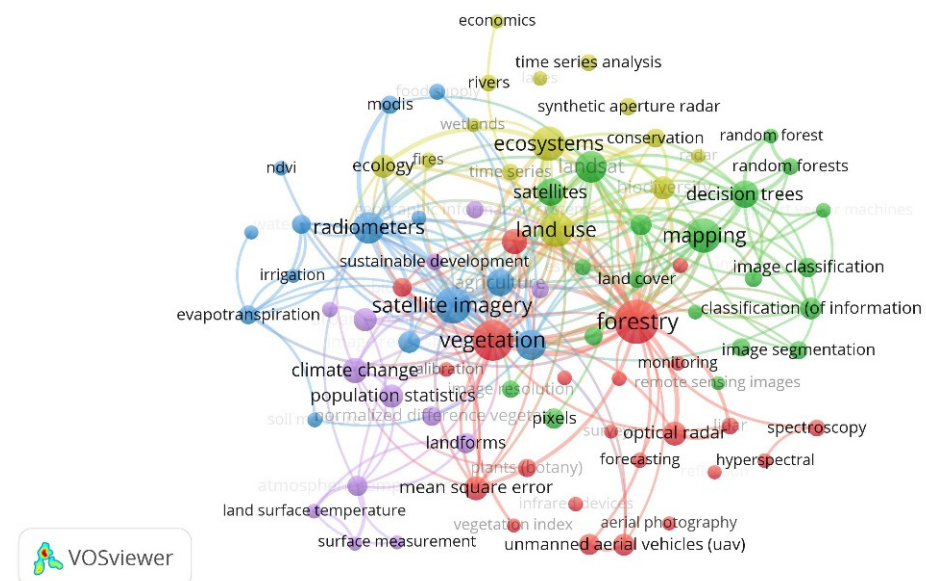


Figure 5. VOSviewer results of co-occurrences in papers published in *Remote Sensing* 2009–2018 with key terms “sustain” or “sustainable development”: see Appendix A.2 for details.

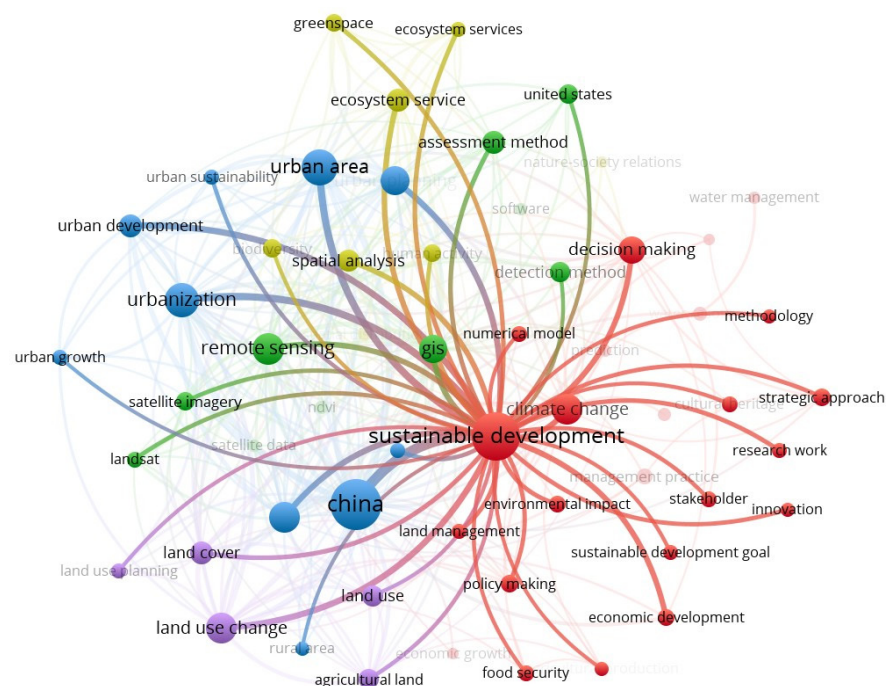


Figure 9. VOSviewer results of co-occurrences in papers published in *Sustainability* 2009–2021 with key terms “remote AND sensing”: see Appendix A.2 for details.

Table 3. Interpretation of co-occurrence clusters displayed in Figure 9.

Cluster	Color	Label
1	Red	Sustainable development, climate change, policy
2	Blue	Urbanization, China
3	Purple	Land-use
4	Sand	Ecosystem services, spatial analysis
5	Green	Remote Sensing, GIS, Unites States

If we situate these findings within the preceding discussion, we can see the journal *Sustainability* as an example of a cross-disciplinary journal (to use its own term) which is publishing papers which juxtapose analytical methods (such as GIS and remote sensing) with policy connected to sustainable development and climate change. More details of the journal's output can be found in a bibliometric study by Tang et al., although it does not limit its focus to remote sensing [23].

4.3. Summary

This exploratory study demonstrates a successful test of a proposition, namely, that the field of remote sensing appears more focused upon technical inputs than upon social outcomes. This is underlined if we compare citations in that field with the technical material published within sustainability, which show much greater concern for the SDGs.

This is an exploratory project, using bibliometrics in a demonstrative way. Its strengths—notably its relatively rapid completion—can also be seen to be its limitation, as only a handful of journals were used as being representative of two large fields (remote sensing and sustainability). Nonetheless, the results suggest that the initial concerns raised by Estoque—that sustainable development goals are being undermined by poor technical performance with regard to data collection and analysis—are testable, and the criticism is feasible [13]. From here, it would be appropriate to develop a full-scale investigation, using a full database of relevant publications, an analysis of research funding, a content analysis of research reports, and/or a qualitative study of funding agencies.

This approach to preliminary research can be applied in many fields. The author has used this software to explore the proposition that researchers who publish in the field of climate change mitigation operate in a citation space that is distinct from climate change adaptation, to the detriment of public policy development [21]. A current project is examining published research dealing with the US Department of Homeland Security, to explore whether the latter's remit to combat terrorism appears to be at the expense of a focus on climate change.

5. Conclusions

This paper has sought to present two ideas. The first is that the ready availability of bibliometric software can have potentially negative implications for journals which focus broadly on scholarly publication, due to the rapid growth of descriptive literature reviews. These are not technically innovative, and their content may be arcane. In an ideal academic marketplace, such papers would be directed back towards the emerging fields that they describe.

The second intention has been to suggest that open access bibliometric software can, though, be used in other ways, notably by scholars who are not scientometric researchers. Products such as *VOSviewer* possess the distinction of being both technically robust and relatively simple to use. The examples used here show that publication data from comprehensive databases can be analyzed to investigate the relations between concepts in any research field. This approach can be applied to an exploration of simple hypotheses, as are commonly developed in preliminary studies and mixed-methods approaches, where the complexity of the reality under investigation encourages simple step-by-step analysis. To be clear, these findings are illustrative and not definitive. However, the relative simplicity of the approach shows these and similar examples to be useful starting points in many research contexts.

Funding: This research received no external funding. As an exploratory study, it is important to emphasize that open access software was employed throughout.

Data Availability Statement: The data employed in this preliminary study were downloaded from Scopus and are readily available there, using the search terms indicated in Appendix A.2.

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Conflicts of Interest: The author declares no conflict of interest.

Appendix A

Appendix A.1. *VOSviewer*

VOSviewer is a creation of researchers working in scientometrics (Eck and Waltman, 2010). The software is based upon a local moving algorithm which identifies relations within networks of publications and their citations and keywords. *VOSviewer* uses inputs from *Web of Science*, *Scopus* and *PubMed*, and its outputs are maps which represent networks of keywords, scientific publications and journals, researchers and research organizations and their countries of origin. Items in these networks can be connected by co-authorship, co-occurrence, citation, bibliographic coupling, or co-citation links.

VOSviewer considers nodes within a two-dimensional space, where one scholarly publication constitutes a node which is joined by a number of edges to a finite number of other nodes through a pattern of citations. The algorithm also assigns the nodes in any network (publications, journals, and so forth) to clusters, each constituting a group of closely related nodes. As each node is assigned to only one cluster, this permits the creation of a meaningful visual display.

VOS minimizes the function

$$V(x_1 \dots x_n) = \sum_{i < j} s_{ij} \|x_i - x_j\|^2$$

subject to the constraint $\frac{2}{n(n-1)} \sum_{i < j} \|x_i - x_j\| = 1$

where n represents the nodes in a network, x_i represents the location of node i in two-dimensional space and $||x_i - x_j||$ represents the Euclidean distance between nodes i and j .

These mathematical functions underpinning VOSviewer can be explored in detail in the Appendix to van Eck and Waltman [24].

The number of clusters can be determined by a resolution parameter, and the user has numerous options in terms of generating clusters and exploring their placement within the two-dimensional space. For consistency, the displays used in this presentation employed default values for minimum cluster size and numbers of iterations.

VOSviewer has been employed in literally hundreds of published studies, as indicated in Figure 1. Many are analyses of the morphology of nascent fields. As noted by Moral-Muñoz et al. “it is one of the best options for performing a science mapping analysis” [1] (p. 175).

Appendix A.2. Technical Content

This paper contains a series of bibliometric analyses which are indicative of the use of the VOSviewer software. For consistency, the program’s default parameters for layout and clustering were used but these can be altered by the user to generate different displays. The search parameters used in each display are presented below.

Table A1. Search parameters employed to generate VOSviewer displays Figures 1–9.

Figure	Parameters Used in the Analysis
Figure 1	Data generated by Scopus, accessed 29 May 2022. Search for “VOSviewer” in Title, Abstract and Keywords, 2010 (software first published)-2021 (last full year); TI = (“VOSviewer”) OR AB = (“VOSviewer”) OR AK = (“VOSviewer”). Graph created in Excel.
Figure 2	Data generated by <i>Web of Science</i> , accessed 3 March 2021. Search for keywords “smart” and “sustainable”, refined by ‘city’; (TITLE-ABS-KEY (“smart” AND “sustainable”) AND PUBYEAR > 2014 AND PUBYEAR < 2021) AND (“city”); results limited to 1000 most cited papers published in WoS 2015–2020; VOSviewer display of co-occurrences limited to keyword threshold 10.
Figure 3	Data generated by Scopus, accessed 1 March 2022; all publications in the journal <i>Remote Sensing</i> for full years from inception 2009–2021; TITLE-ABS-KEY (“remote sensing”) AND PUBYEAR > 2008 AND PUBYEAR < 2022 AND (LIMIT-TO (EXACTSRCTITLE, “Remote Sensing”)); VOSviewer display of co-occurrences limited to keyword threshold 150.
Figure 4	The use of key terms “sustain” OR “sustainable” in the journal <i>Remote Sensing</i> , 2009–2021; (TITLE-ABS-KEY (“remote sensing”) AND PUBYEAR > 2008 AND PUBYEAR < 2022) AND (“sustain” OR “sustainable”) AND (LIMIT-TO (EXACTSRCTITLE, “Remote Sensing”)); graph created in Excel.
Figure 5	VOSviewer results of co-occurrences in papers published in <i>Remote Sensing</i> 2009–2018 with key terms “sustain” OR “sustainable development”; (TITLE-ABS-KEY (“remote sensing”) AND PUBYEAR > 2008 AND PUBYEAR < 2019) AND (“sustain” OR “sustainable”) AND (LIMIT-TO (EXACTSRCTITLE, “Remote Sensing”)); VOSviewer display of co-occurrences limited to keyword threshold 10.
Figure 6	VOSviewer results of co-occurrences in papers published in <i>Remote Sensing</i> in 2021 with key terms “sustain” or “sustainable development”; (TITLE-ABS-KEY (“remote sensing”) AND PUBYEAR > 2008 AND PUBYEAR < 2022) AND (“sustain” OR “sustainable”) AND (LIMIT-TO (EXACTSRCTITLE, “Remote Sensing”)) AND (LIMIT-TO (PUBYEAR, 2021)); VOSviewer display of co-occurrences limited to keyword threshold 10.
Figure 7	Figure 7. (a) VOSviewer results of co-occurrences among papers published in <i>Remote Sensing</i> 2009–2021 with key terms “sustain” OR “sustainable development”; display shows enlarged output solely for ‘sustainable development’; (b) VOSviewer results of co-occurrences in papers published in <i>Remote Sensing</i> solely in 2021 with key terms “sustain” or “sustainable development”; display shows enlarged output solely for “sustainable development”; data as in Figures 5 and 6.
Figure 8	Figure 8. (a) VOSviewer results of co-occurrences in papers published in four journals dealing with sustainable development 2009–2021 indexed in Scopus (see text for details): TITLE-ABS-KEY (“sustainable” AND “development”) AND PUBYEAR > 2008 AND PUBYEAR < 2022 AND (LIMIT-TO (SUBJAREA, “ENVI”) OR LIMIT-TO (SUBJAREA, “SOCI”)) AND (LIMIT-TO (EXACTSRCTITLE, “Sustainable Development”) OR LIMIT-TO (EXACTSRCTITLE, “International Journal Of Sustainable Development And World Ecology”) OR LIMIT-TO (EXACTSRCTITLE, “Energy For Sustainable Development”) OR LIMIT-TO (EXACTSRCTITLE, “International Journal Of Sustainable Development”)); display detail shows enlarged output for term ‘remote sensing’; (b) VOSviewer results of co-occurrences in papers published in same four journals containing the key term ‘remote sensing’ for 2009–2021.: display shows enlarged output for ‘sustainable development goals’ and ‘remote sensing’.
Figure 9	Data generated by Scopus accessed 23 March 2022; search TITLE-ABS-KEY (remote AND sensing) AND PUBYEAR > 2008 AND PUBYEAR < 2022 AND (LIMIT-TO (EXACTSRCTITLE, “Sustainability Switzerland”)); VOSviewer results of co-occurrences in papers published in journal <i>Sustainability</i> 2009–2021 with key terms “remote AND sensing”; display limited to keyword threshold 10.

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