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Effects of Ethanol on the Supercritical Carbon Dioxide Extraction of Cannabinoids from Near Equimolar (THC and CBD Balanced) Cannabis Flower

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Abstract: In this study, supercritical carbon dioxide (scCO₂) extractions of cannabinoids were conducted at four different densities (231, 590, 818, and 911 kg/m³) using ethanol (5% *w/v*) as a co-solvent. The chemical profiles of these cannabinoids were analysed via reverse-phase high-performance liquid chromatography (RP-HPLC). It was determined that scCO₂, at low density (231 kg/m³), produced an extract yield of 6.1% *w/v*. At high scCO₂ density (~818 kg/m³), the yield was 16.1% *w/v*. More specifically, the amounts of tetrahydrocannabinol (THC) and cannabidiol (CBD) in the scCO₂ extract at 818 kg/m³ were 10.8 and 15.6% *w/v*, respectively. It was also found that the use of 5% *w/v* ethanol increased scCO₂ extract yields at both low and high densities (7.6% *w/v* and 18.2% *w/v*, respectively). Additionally, the use of co-solvent increased this yield further under both low- and high-density conditions, to 13.7 and 19.1% *w/v*, respectively. Interestingly, higher scCO₂ density (911 kg/m³) with and without ethanol did not improve the scCO₂ extract yield or the amount of cannabinoids. Although this study provides new insights into the correlation between scCO₂ density and ethanol co-extraction of CBD and THC, more studies are needed to determine how different scCO₂ densities and co-solvents influence the extraction of cannabinoids.

Keywords: cannabinoids; supercritical fluids; supercritical extraction; co-solvent; ethanol

1. Introduction

To obtain bioactive substances from various plant-based matrices, $scCO_2$ has been used widely and is now considered a conventional extraction method. CO_2 is considered a useful solvent for the extraction of thermo-sensitive substances because its supercritical fluid state can be reached at mild temperatures (from 31 °C) [1,2]. As a small molecule, CO_2 also has high diffusivity that increases with higher temperature. It is also inexpensive, nonflammable, readily available, and inert [3]. Additionally, by controlling the pressure and/or temperature of the process, physical properties such as the density and diffusivity of $scCO_2$ can be adjusted; thus, the solvent's power, and potentially the extraction's selectivity, can be refined [4,5].

Based on the theory that like-dissolves-like, the main limitation of CO₂ is its non-polar nature. The solvent ability of CO₂ is similar to that of hexane; it dissolves mostly non-polar substances and some moderately polar substances. However, using a small amount of co-solvent or modifier such as ethanol and water, one can further improve the affinity and solvating power of scCO₂ towards more polar solutes [6–8]. Furthermore, the use of organic solvents in scCO₂ extraction can improve the extraction yield and the selectivity of specific compounds within the extract. This creates a complex solvent/co-solvent mixture and further studies are required to monitor the solutes' effects in such extracts. Recently, scCO₂ extraction of cannabinoids was compared with pressurised dimethyl-ether, and propane, extractions (at 55 °C and 40 bar). The study claimed that cannabinoid extraction



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Copyright: © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). was higher (95 mg/g CBD and 1.20 mg/g THC) in extracts obtained at 100 bar and constant temperature (40 °C) compared to samples operated at 200 and 300 bar. The yield of CO_2 extract (12.0%wt) was relatively higher than the yield of propane extract (8.2%wt) [9].

Previously, it was reported that $scCO_2$ extractions of cannabinoids (CBD and THC) at 340 bar, 55 °C (328 K), and ethanol (>2.5% v/v) as a co-solvent, were more selective when compared to extractions using organic solvent alone [10]. However, it is unclear whether lower temperatures and higher density of $scCO_2$ offers an extraction advantage when ethanol is used as a co-solvent. Another study used two different strategies for $scCO_2$ /ethanol (6% v/v) extraction from the flower; one with decarboxylated samples at 128 bar to 249 bar and 140 °C; the other with non-decarboxylated samples at 165 bar to 240 bar and 50 °C [11]. The decarboxylated sample extract contained 5- to 10-fold higher CBD and THC content. A similar finding was observed in a study where higher amounts of cannabinoids were extracted, resulting in decarboxylated samples [12]. However, the effect of lower temperatures and the use of a co-solvent on the $scCO_2$ extraction of cannabinoids has not been investigated in detail. Therefore, in this study, ethanol was used at different $scCO_2$ extraction process, including THC and CBD selectivity.

2. Materials and Methods

2.1. Chemicals and Reagents

Cannabis flower was obtained by the School of Pharmacy, the University of Queensland under Queensland Health Approval license UNIR008335019. Carbon dioxide (99.99% purity) was supplied by BOC (Sydney, NSW, Australia). Ethanol and methanol were purchased from Sigma Aldrich (Sydney, NSW, Australia). Cannabinoids: cannabidiol (CBD), cannabidiol acid (CBDA), tetrahydrocannabinol (THC), and Δ^9 -tetrahydrocannabinol acid (THCA) were supplied by Novachem Pty Ltd, Victoria, Australia. All other chemicals and reagents used were of analytical or HPLC grade.

2.2. Sample Preparation

The cannabis strain, referred to as 'balanced strain' hereafter (having ~55% w/v cannabidiol and ~45% w/v tetrahydrocannabinol), was used for extraction. The cannabis sample with Sativa genotype was planted on 4 May 2017 under best growing conditions (12–18 h light exposure at 23 °C). The flower of the cannabis sample was collected at the fluorescence stage and dried for 5 to 8 days at 20 °C (with total moisture <10%). The flower sample was pulverised in a coffee grinder (Breville, model BCG200, China) for 2 min to reduce the particle size. After that, it was decarboxylated in a vacuum oven at 160 °C for 30 min [13].

2.3. SFE Equipment and Setup

A Helix unit (Applied Separations, Allentown, TX, USA) was used as the core setup for the $scCO_2$ extraction of cannabinoids. The $scCO_2$ unit consisted of four chambers (excluding the stored liquid CO_2 cylinder) in series, as shown in Figure 1. This unit was developed and tested in previous experimental studies. Liquid CO_2 was converted into the supercritical state in a preconditioning chamber (200 mL). $scCO_2$ was flushed into the sample chamber (100 mL) for the extraction phase. After the extraction, separation of the extract (150 mL sample cylinder, Swagelok, USA) from the raw material was performed via a 10 min cycle procedure of 'valve open until lower set pressure met' in the separation chamber, then 'closed and re-pressurised'. This was completed three times to help maximise the collection of extract. This separation procedure also helped to minimise the throttling effect, which can commonly result in tube blocking. Finally, the extract was collected in a glass sample chamber (10 mL) and the separator chamber was washed with ethanol to collect the total extract.



Figure 1. Schematic representation of the Helix unit used for extraction and separation of cannabis flower.

2.4. SFE Conditions

Supercritical CO₂ (scCO₂) operating conditions were selected according to estimated density tables previously detailed by Span and Wagner [14]. In this study, four different scCO₂ density conditions were chosen according to low (231 kg/m³), medium (590 kg/m³), and high (818 kg/m³ and 911 kg/m³) densities as functions of temperature and pressure (as shown in Figure 2). The sample amount used was 1 g and the extraction time was fixed at 3 h.



Figure 2. Supercritical carbon dioxide (scCO₂) and estimated operating densities (in **bold**) according to Span and Wagner's density tables. (~means approximately).

3. CO₂ Extraction with Co-Solvent

In this study, ethanol was used as a modifier or co-solvent with scCO₂ extraction of cannabinoids. For this purpose, 0.5 mL of ethanol was added into the 1 g of cannabis sample (5% w/v), and then CO₂ extraction was performed for three hours.

3.1. Conventional Extraction

To compare the results and effectiveness of $scCO_2$ extraction, an organic solvent extraction process was also performed. Here, the alcoholic extract was prepared by mixing the grinded cannabis material with ethanol (1:10 w/v). Next, sonication was performed for 15 min and the obtained mixture was stirred for 24 h in the dark at cold temperature (2–8 °C). This mixture was finally filtered and dried using a nitrogen evaporator. The obtained extract was dissolved in methanol (2 mL) and centrifuged at 10,000 rpm for 15 min. Further dilutions were performed to quantify cannabinoids in the extract using RP-HPLC [15].

3.2. Statistical Analysis of the Experimental Design

The factorial design runs and data analysis were performed using Minitab 17.1, with 90% (p < 0.01) and 95% (p < 0.05) confidence levels considered statistically significant.

4. HPLC Quantification

4.1. Mobile Phase Elution Program

Separations and quantifications of selected cannabinoids in a single run (45 min) were performed using reverse-phase HPLC. A C18 chromatographic column with 2.2 μ m particle size (Shimadzu Scientific) and the Cannabis Analyser or Lab Solutions software (Shimadzu Scientific Instruments, Sydney, NSW, Australia) were used to standardise the method.

The mobile phase A (Milli-Q water) and B (methanol) were mixed with phosphoric acid (99.93/0.07% v/v) and sonicated for 15 min. The pH of the mobile phase A (2.22 to 2.26) and B (2.43 to 2.48) were also monitored to avoid peak shifting. The flow rate was 1.0 mL/min and the column oven temperature was maintained at 50 °C to obtain a constant column pressure (5400–5600 psi). The volume of injection was 10 µL and detection was performed at 220 nm. The mobile phase B ratio was gradually increased from 65% (v/v) to 72% (v/v) over 25 min. After that, it inclined to 95% over 5 min. Following this, the column was re-equilibrated for 12 min.

4.2. Standard Solution Preparation

The standard solution of each cannabinoid (at 1000 μ g/mL concentrations) was diluted in methanol to make 250 μ g/mL as a primary stock solution. Calibration curves for 11 cannabinoid solution mixtures were prepared by using methanol at various concentrations (ranging from 1.0 to 25.0 μ g/mL). All stock, and standard, solutions were stored at -80 °C until ready for testing.

4.3. Sample Preparation for Cannabinoid Quantification

The solvent-free scCO₂ extract was added to methanol (2 mL) and mixed via sonication for 15 min. Following this, the primary extract was centrifuged at 10,000 rpm for 10 min. The 20-times-diluted secondary extract was prepared and vortexed. The secondary extract was either directly quantified or further diluted to fit the area under the curve. All samples and stock solutions were stored at -80 °C until they were ready for testing.

5. Results and Discussion

In this study, the solubility of two main neutral cannabinoids (CBD and THC) and their carboxylic acids (CBDA and THCA) in organic solvent and scCO₂ extracts were examined. To increase the amount of neutral cannabinoids in our extracts, the decarboxylation of the cannabis sample was performed; results are presented in Table 1. It was observed that the decarboxylation process increases the amount of neutral cannabinoids in the organic

solvent extract. Therefore, decarboxylated samples were used for the scCO₂ extraction of cannabinoids. Ethanol was used as an organic solvent for the extraction because, according to FDA guidelines, it can be considered a safe solvent. Additionally, it can extract both polar and slightly non-polar compounds [16].

Compound/Variety		CBD	CBDA	D9-THC	D9-THCA
Cannabis native	Cannabinoids extracted from cannabis sample (% <i>w/v</i>)	0.73 ± 0.01	6.33 ± 0.13	0.79 ± 0.01	5.02 ± 0.09
	Cannabinoids in ethanol extract (% <i>w/v</i>)	2.05 ± 0.01	17.79 ± 0.05	2.22 ± 0.00	14.11 ± 0.13
Cannabis decarb	Cannabinoids extracted from cannabis sample (% <i>w/v</i>)	4.50 ± 0.04	0.15 ± 0.02	4.65 ± 0.24	0.04 ± 0.01
	Cannabinoids in ethanol extract (% <i>w/v</i>)	12.65 ± 0.13	0.42 ± 0.00	13.10 ± 0.02	0.11 ± 0.00

Table 1. Organic solvent extraction of cannabinoids from cannabis plant material.

5.1. Effect of scCO₂ Density on the Extraction of Cannabinoids

In this study, $scCO_2$ extractions were performed at four different densities. CO_2 density can be changed by varying temperature and pressure. The solubility of any compound in $scCO_2$ is mainly dependent on the operating temperature and pressure. High pressure increases the solvent power and density of $scCO_2$, which forms the basis of this study, where the influence of $scCO_2$ density on the selective extraction of CBD and THC was evaluated [17]. From results represented in Tables 2 and 3, high operating densities (at 818 kg/m³ and 911 kg/m³) yielded greater $scCO_2$ extract (16.10% and 16.13%, respectively) than low (231 kg/m³) and medium (590 kg/m³) densities.

Table 2. Supercritical carbon dioxide extraction at low pressure (80 bar).

Plot 1								
Temperature (°C)	47							
scCO ₂ density (kg/m ³)		231						
scCO ₂ extraction yield (% w/v)	6.07							
scCO ₂ with co-solvent extraction yield (% w/v)	7.56							
Cannabinoids								
	CBD	CBDA	THC	THCA				
Cannabinoids in scCO ₂ extract (% w/v)	0.81 ± 0.04	0.15 ± 0.01	0.40 ± 0.03	0.00 ± 0.00				
Total cannabinoids extracted from cannabis sample (% w/v)	0.05 ± 0.00	0.01 ± 0.00	0.02 ± 0.00	0.00 ± 0.00				
Total cannabinoids in scCO ₂ extract with co-solvent extract (% w/v)	1.35 ± 0.05	0.18 ± 0.01	0.65 ± 0.02	0.06 ± 0.01				
Total cannabinoids extracted from cannabis sample (% w/v)	0.10 ± 0.01	0.01 ± 0.00	0.05 ± 0.00	0.00 ± 0.00				
Plot 2								
Temperature (°C)	33							
$scCO_2$ operating density (kg/m ³)	590							
scCO ₂ extraction yield (% w/v)	6.74							
scCO ₂ with co-solvent extraction yield (% w/v)	9.44							
Cannabinoids								
	CBD	CBDA	THC	THCA				
Cannabinoids in scCO ₂ extract (% w/v)	3.08 ± 0.06	0.24 ± 0.00	1.65 ± 0.03	0.05 ± 0.00				
Total cannabinoids extracted from cannabis sample (% w/v)	0.21 ± 0.00	0.02 ± 0.00	0.11 ± 0.00	0.00 ± 0.00				
Total cannabinoids in scCO ₂ extract with co-solvent extract (% w/v)	3.83 ± 0.29	0.69 ± 0.04	3.54 ± 0.29	0.20 ± 0.01				
Total cannabinoids extracted from cannabis sample (% w/v)	0.36 ± 0.01	0.07 ± 0.00	0.33 ± 0.02	0.02 ± 0.00				

Plot 3								
Temperature (°C)	47							
scCO ₂ operating density (kg/m^3)	818							
scCO ₂ extraction yield ($\% w/v$)	16.1							
scCO ₂ with co-solvent extraction yield (% w/v)	18.17							
Cannabinoids								
	CBD	CBDA	THC	THCA				
Cannabinoids in scCO ₂ extract (% w/v)	15.57 ± 0.40	1.99 ± 0.05	10.83 ± 0.26	0.41 ± 0.01				
Total cannabinoids extracted from cannabis sample (% w/v)	2.51 ± 0.03	0.32 ± 0.04	1.74 ± 0.03	0.06 ± 0.00				
Total cannabinoids in scCO ₂ extract with co-solvent extract (% w/v)	19.05 ± 0.43	2.47 ± 0.05	13.73 ± 0.24	0.54 ± 0.01				
Total cannabinoids extracted from cannabis sample (% w/v)	3.46 ± 0.22	0.45 ± 0.03	2.49 ± 0.04	0.10 ± 0.00				
Plot 4								
Temperature (°C)	33							
scCO ₂ operating density (kg/m ³)	911							
scCO ₂ extraction yield ($\% w/v$)	16.13							
scCO ₂ with co-solvent extraction yield (% w/v)	17.98							
Cannabinoids								
	CBD	CBDA	THC	THCA				
Cannabinoids in scCO ₂ extract ($\% w/v$)	12.56 ± 0.21	1.93 ± 0.02	9.24 ± 0.17	0.63 ± 0.03				
Total cannabinoids extracted from cannabis sample (% w/v)	2.03 ± 0.02	0.31 ± 0.00	1.49 ± 0.03	0.10 ± 0.00				
Total cannabinoids in scCO ₂ extract with co-solvent extract (% w/v)	17.47 ± 0.61	2.41 ± 0.08	12.59 ± 0.67	0.60 ± 0.02				
Total cannabinoids extracted from cannabis sample (% w/v)	3.14 ± 0.33	0.43 ± 0.04	2.26 ± 0.23	0.11 ± 0.00				

 Table 3. Supercritical carbon dioxide extraction at high pressure (250 bar).

It was observed that, as $scCO_2$ density increased, the amounts of CBD and THC in $scCO_2$ extracts also increased. For example, at low density (231 kg/m³) the percentages of CBD and THC in the $scCO_2$ extract were 0.81% and 0.40%, respectively. At medium density (590 kg/m³) this increased to 3.08% and 1.65%, respectively, whereas at high density (818 kg/m³), percentages sharply increased to 15.57% and 10.83%, respectively. This can be attributed to the fact that, at low density, $scCO_2$ behaves like a highly non-polar solvent (c.f. hexane), while at high density, it acts like a moderately polar solvent (c.f. chloroform) [18].

However, at very high density (911 kg/m³), CBD and THC solubility in scCO₂ starts to decline, as shown in Figure 3. This may be due to the low rate of solute mass transfer at a very high density of scCO₂ given that the diffusivity of scCO₂ decreases with increasing density. This limits the ability of scCO₂ to diffuse through the sample and dissolve more of the solute [19].

5.2. Effects of Pressure and Temperature

The effects of pressure on the supercritical CO_2 extraction of cannabinoids were studied at high (47 °C) and low (33 °C) relative temperatures. It was observed that at low pressure (80 bar), the yield of scCO₂ extract and the concentration of cannabinoids was very low compared to high operating pressure. From Table 2, it is also apparent that low pressure, in combination with low temperature, can extract a marginally higher amount of cannabinoids compared to combinations of low pressure and high temperature.

At high pressure (250 bar), the $scCO_2$ extraction yield was somewhat similar at both low and high temperatures. Despite this, the amount of cannabinoids in the resulting $scCO_2$ extract was slightly higher at high temperatures, as shown in Table 3. Previously, it has been reported that changing pressure, while leaving temperature unaltered, can change the strength and density of the $scCO_2$ solvent, directly impacting cannabinoid solubility [10,20]. Additionally, the vapor pressure of the solute also increases above 200 bar, which may explain why, at high pressures, high temperature has a greater influence on solubility than density [21].





5.3. Effects of Co-Solvent

The solubility of cannabinoids in scCO₂ using ethanol were also determined. Results are presented in Tables 2 and 3 and comparisons are shown in Figure 4. It was observed that the use of ethanol as a co-solvent marginally increased the extraction yield, an outcome driven by improved scCO₂ solvation power in the presence of the co-solvent that, in turn, increased yield values [22]. In this study, the highest extraction yield obtained using scCO₂ and the co-solvent was 18.17%, which was obtained at 47 °C and 250 bar. Previously, Rovetto and Aieta [10] studied the co-solvent effect on scCO₂ cannabis extraction yield and obtained an 18.5% yield at high temperature and pressure (55 °C and 340 bar). In another study, [23] obtained a 21.5% extraction yield at 40 °C and 300 bar. The authors of [24] obtained a 26.36% extraction yield at very high temperature (80 °C) and pressure (330 bar) using large amounts of co-solvent (ethanol) and continuous flow during scCO₂ extraction. However, in this study, relatively small volumes of co-solvent were used to avoid pigment (e.g., chlorophyll) co-solubilisation along with the target cannabinoids.



Figure 4. Effects of co-solvent (s) on supercritical carbon dioxide (scCO₂) extraction of cannabidiol (CBD), cannabidiolic acid (CBDA), Δ^9 -tetrahydrocannabinol (THC), and Δ^9 -tetrahydrocannabinol acid (THCA). (A); at pressure 80 bar, (B); at pressure 250 bar.

The addition of co-solvent at high temperature (47 $^{\circ}$ C) and pressure (250 bar) resulted in the extraction of relatively high amounts of CBD and THC in the scCO₂ extract. The

amounts of CBD + CBDA and THC + THCA were 21.52% w/v and 14.27% w/v, respectively. Reverting to an extraction process with co-solvent at low pressure (80 bar), however, was unfavourable and did not result in the anticipated improvements in cannabinoid extraction, as shown in Figure 4.

In [19], researchers studied the effects of 5% co-solvent on the extraction of cannabinoids (mainly CBDA, CBG, and THC) at 50 °C and 100 to 300 bar. This study showed that the use of ethanol improved the extraction yield and that higher amounts of cannabinoids were extracted at 100 bar with co-solvent. However, previous studies only focused on either the temperature or pressure, not density, of $scCO_2$.

5.4. SFE vs. Organic Solvent Extraction

A relative comparison study between organic solvent (ethanol) extraction and scCO₂ extraction was completed and the results are shown in Figure 5. This study showed that our organic solvent-driven method extracted more cannabinoids than the scCO₂ method. The addition of the co-solvent in scCO₂ increased the amount of cannabinoids extracted. However, the selectivity of scCO₂ for cannabinoids is higher than organic solvent extraction, as shown in Figure 6. Additionally, the highest amounts of CBD and THC in the scCO₂ extract (at 250 bar and 47 °C with co-solvent) were around 19.0% and 13.73% *w/v*, respectively. The highest amounts of CBD and THC in decarboxylated samples obtained using conventional solvent extraction through ethanol was around 12.65% and 13.10% *w/v*, respectively.



Figure 5. Comparison study between organic solvent (ethanol) extraction and supercritical carbon dioxide (scCO₂) extraction.



Figure 6. (A) Supercritical carbon dioxide (scCO₂) extraction. (B) Organic solvent (ethanol) extraction.

6. Conclusions

From considering the parameters explored, scCO₂ density appears to have an appreciable impact on both the extraction yield and amount of cannabinoids extracted from the cannabis flower. The optimum yield was obtained at a high operating scCO₂ density (818 kg/m³) at 250 bar and 47 °C; however, the highest density investigated (911 kg/m³) did not further improve extraction yield. The use of ethanol as a solvent modifier further increased cannabinoid yields in the scCO₂ extract, with improvements in both the CBD (19.05% *w/v*) and THC (13.73% *w/v*) yields obtained. Overall, this body of work revealed that optimal SFE parameters for cannabinoid extractions employing ethanol (5% *v/w*) as a co-solvent are achieved at 250 bar and 47 °C.

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