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# An Improved Nordhaus–Gaddum-Type Theorem for 2-Rainbow Independent Domination Number

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**Abstract:** For a graph  $G$ , its  $k$ -rainbow independent domination number, written as  $\gamma_{rik}(G)$ , is defined as the cardinality of a minimum set consisting of  $k$  vertex-disjoint independent sets  $V_1, V_2, \dots, V_k$  such that every vertex in  $V_0 = V(G) \setminus (\cup_{i=1}^k V_i)$  has a neighbor in  $V_i$  for all  $i \in \{1, 2, \dots, k\}$ . This domination invariant was proposed by Kraner Šumenjak, Rall and Tepeh (in Applied Mathematics and Computation 333(15), 2018: 353–361), which aims to compute the independent domination number of  $G \square K_k$  (the generalized prism) via studying the problem of integer labeling on  $G$ . They proved a Nordhaus–Gaddum-type theorem:  $5 \leq \gamma_{ri2}(G) + \gamma_{ri2}(\bar{G}) \leq n + 3$  for any  $n$ -order graph  $G$  with  $n \geq 3$ , in which  $\bar{G}$  denotes the complement of  $G$ . This work improves their result and shows that if  $G \not\cong C_5$ , then  $5 \leq \gamma_{ri2}(G) + \gamma_{ri2}(\bar{G}) \leq n + 2$ .

**Keywords:**  $k$ -rainbow independent domination; Nordhaus–Gaddum; bounds



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## 1. Introduction

Throughout the paper, only simple graphs are considered. We refer to [1] for undefined notations. For a graph  $G$ , the *edge set* and *vertex set* of  $G$  are denoted by  $E(G)$  and  $V(G)$ , respectively. For any  $v_1, v_2 \in V(G)$ , they are *adjacent* in  $G$  if  $v_1$  and  $v_2$  are the endpoints of an identical edge of  $G$ . A vertex  $w \in V(G)$  is *adjacent* to a set  $W \subseteq V(G)$  in  $G$  if  $W$  contains a vertex  $w'$  s.t.  $ww' \in E(G)$ .  $N_G(w) = \{v | vw \in E(G)\}$  is called the *open neighborhood* of  $w$  and  $N_G[w] = N_G(w) \cup \{w\}$  is the *closed neighborhood* of  $w$ .  $d_G(w) = |N_G(w)|$  denotes the *degree* of  $w$  in  $G$  and  $\Delta(G) = \max\{d_G(w) | w \in V(G)\}$ . A vertex that has degree  $\ell$  and at least  $\ell$  is called an  $\ell$ -vertex and  $\ell^+$ -vertex, respectively. For any  $W \subseteq V(G)$ , let  $N_G(W) = \cup_{w \in W} N_G(w) \setminus W$  and  $N_G[W] = N_G(W) \cup W$ . We say that  $W$  *dominates* a set  $W'$  if  $W' \subseteq N_G[W]$ . Moreover, we use the notation  $G - W$  to denote the subgraph of  $G$  by deleting vertices in  $W$  and their incident edges in  $G$ , and  $G[W] = G - (V(G) \setminus W)$  the subgraph of  $G$  induced by  $W$ . The  $\ell$ -order complete graph and the  $\ell$ -length cycle are denoted by  $K_\ell$  and  $C_\ell$ , respectively. As usual, for any two natural numbers  $p, q$  with  $p < q$ ,  $[p, q]$  represents  $\{p, p + 1, \dots, q\}$ .

Given a graph  $G$  and a subset  $W \subseteq V(G)$ , we call  $W$  a *dominating set* (abbreviated as DS) of  $G$  if  $W$  dominates  $V(G)$ . An *independent set* (abbreviated as IS) of a graph is a set of vertices, no two of which are adjacent in the graph. If a DS  $W$  of  $G$  is an IS, then  $W$  is called an *independent dominating set* (IDS for short) of  $G$ . The *independent domination number* of  $G$ , denoted by  $i(G)$ , is the cardinality of a minimum IDS of  $G$ . Domination and independent domination in graphs have always attracted extensive attention [2,3] and many variants of domination [4] have been introduced increasingly, for the applications in diverse fields, such as electrical networks, computational biology, and land surveying. Recent studies on these variations include (total) roman domination [5,6], strong roman domination [7], semitotal domination [8,9], relating domination [10], just to name a few.

Let  $G \square H$  be the Cartesian product of  $G$  and  $H$ . In order to reduce the problem of determining  $i(G \square K_k)$  into the problem of integer labeling on  $G$ , Kraner Šumenjak et al. [11] proposed a new variation of domination, called  *$k$ -rainbow independent dominating function* of a graph  $G$  ( $k$ RiDF for short), which is a function  $f$  from  $V(G)$  to  $[0, k]$ , s.t., for each

$i \in [1, k]$ ,  $V_i$  is an IS and every vertex  $v$  with  $f(v) = 0$  is adjacent to a vertex  $u$  with  $f(u) = i$ . Alternatively, a  $k$ RiDF  $f$  of  $G$  may be viewed as an ordered partition  $(V_0, V_1, \dots, V_k)$  such that for each  $i \in [1, k]$ ,  $V_i$  is an IS and  $N_G(x) \cap V_i \neq \emptyset$  for every  $x \in V_0$ , where  $V_j, j \in [0, k]$ , denotes the set of vertices assigned value  $j$  under  $f$ . The *weight*  $w(f)$  of a  $k$ RiDF  $f$  is defined as the number of nonzero vertices, i.e.,  $w(f) = |V(G)| - |V_0|$ . The  *$k$ -rainbow independent domination number* of  $G$ , denoted by  $\gamma_{rik}(G)$ , is the minimum weight of a  $k$ RiDF of  $G$ . From the definition, we have  $\gamma_{ri1}(G) = i(G)$ . A  $\gamma_{rik}(G)$ -function represents a  $k$ RiDF of  $G$  which has weight  $\gamma_{rik}(G)$ .

Let  $G$  be a graph and  $H$  a subgraph of  $G$ . Suppose that  $g$  is a  $k$ RiDF of  $H$ . We say that a  $k$ RiDF  $f$  of  $G$  is *extended* from  $g$  if  $f(v) = g(v)$  for every  $v \in V(H)$ . To prove that a graph  $G$  has a  $k$ RiDF, we will first find a  $k'$ RiDF  $g$  of a subgraph  $G'$  of  $G, k' \leq k$ , and then extend  $g$  to a  $k$ RiDF  $f$  of  $G$ . By using this approach, we describe the structure characterization of graphs  $G$  with  $\gamma_{ri2}(G) = |V(G)| - 1$  (Section 2), and then obtain an improved Nordhaus–Gaddum-type theorem with regard to  $\gamma_{ri2}$  (Section 3).

## 2. Structure Characterization of Graphs $G$ s.t., $\gamma_{ri2}(G) = |V(G)| - 1$

To get the improved Nordhaus–Gaddum-type theorem in terms of  $\gamma_{ri2}$ , we have to characterize the graphs  $G$  s.t.,  $\gamma_{ri2}(G) = |V(G)| - 1$ . For this, we need the following special graphs.

A star  $S_n, n \geq 1$ , is a complete bipartite graph  $G[X, Y]$  with  $|X|=1$  and  $|Y| = n$ , where the vertex in  $X$  is called the *center* of  $S_n$  and the vertices in  $Y$  are *leaves* of  $S_n$ . Let  $S_n^+$  be the graph obtained from  $S_n$  by adding a single edge connecting an arbitrary pair of leaves of  $S_n$  [11]. A *double star* [12] is defined as the union of two vertex-disjoint stars with an edge connecting their centers. Specifically, for two integers  $n, m$  such that  $n \geq m \geq 0$  the *double star*, denoted by  $S(n, m)$ , is the graph with vertex set  $\{u_0, u_1, \dots, u_n, v_0, v_1, \dots, v_m\}$  and edge set  $\{u_0v_0, u_0u_i, v_0v_j | i \in [1, n], j \in [1, m]\}$ , where  $u_0v_0$  is called the *bridge* of  $S(n, m)$  and the subgraphs induced by  $\{u_i | i \in [0, n]\}$  and  $\{v_j | j \in [0, m]\}$  are called the  *$n$ -star at  $u_0$*  and  *$m$ -star at  $v_0$* , respectively. Observe that  $S(n, m)$  is defined on the premise of  $n \geq m$ . For mathematical convenience, we denote a double star  $S(n, m)$  as a vertex-sequence  $v_m v_{m-1} \dots v_0 u_0 u_1 \dots u_n$ .

We start with a known result, which characterizes graphs  $G$  with  $\gamma_{ri2}(G) = n$ . For a fixed graph  $G$ , its *complement* is written as  $\overline{G}$ .

**Lemma 1** ([11]). *Let  $G$  be a graph of order  $n$ . Then,  $\gamma_{ri2}(G) = n$  iff  $G$  only contains components isomorphic to  $K_1$  or  $K_2$ . And, if  $\gamma_{ri2}(G) = n$ , then  $\gamma_{ri2}(\overline{G}) = 2$ .*

The following conclusion is simple but will be used throughout this paper.

**Lemma 2.** *Let  $H$  be a subgraph of a fixed graph  $G$  and  $g = (V_0, V_1, \dots, V_k)$  be a  $\gamma_{rik}(H)$ -function. Then  $g$  can be extended to a  $k$ RiDF of  $G$  with weight at most  $|V(G)| - |V_0|$ .*

**Proof.** Let  $V(G) \setminus V(H) = \{x_1, \dots, x_\ell\}$ . We will deal with these vertices in the order of  $x_1, \dots, x_\ell$  by the following rule: for each  $x_i, i \in [1, \ell]$ , let  $j \in [1, k]$  be the smallest one such that  $x_i$  is not adjacent to  $V_j$  in  $G$ . If such  $j$  does not exist, we update  $V_0$  by  $V_0 \cup \{x_i\}$ ; otherwise we update  $V_j$  by  $V_j \cup \{x_i\}$ . After the last one, i.e.,  $x_\ell$  is handled, we obtain a  $k$ RiDF of  $G$ . Obviously, the weight of the resulting  $k$ RiDF of  $G$  is not more than  $|V(G)| - |V_0|$ .  $\square$

The following theorem clarifies the structure of connected graphs  $G$  with  $\gamma_{ri2}(G) = |V(G)| - 1$ .

**Theorem 1.** *Let  $G$  be a connected graph with order  $n \geq 3$ . Then,  $\gamma_{ri2}(G) = n - 1$  iff  $G$  is isomorphic to one among  $S_{n-1}, S_{n-1}^+, S(n - 3, 1) (n \geq 4)$  and  $C_5$ .*

**Proof.** Let  $f = (V_0, V_1, V_2)$  be an arbitrary  $\gamma_{ri2}(G)$ -function. Observe that  $V_0$  does not contain any 1-vertex; one can readily derive that  $\gamma_{ri2}(G) = n - 1$  when  $G$  is isomorphic

to one of  $S_{n-1}, S_{n-1}^+, S(n-3, 1)$  and  $C_5$ . Conversely, suppose that  $\gamma_{ri2}(G) = n - 1$ , that is,  $|V_0| = 1$ . By Lemma 2,  $G$  contains no subgraph  $H$  that has a 2RiDF of weight at most  $|V(H)| - 2$ . Since  $\gamma_{ri2}(C_4) = 2 = |V(C_4)| - 2$  and each  $C_k$  for  $k \geq 6$  contains a subgraph isomorphic to a 6-order path  $P_6$  with  $\gamma_{ri2}(P_6) = 4 = |V(P_6)| - 2$ ,  $G$  does not contain any subgraph isomorphic to  $C_4$  or  $C_k$  for  $k \geq 6$ . This also shows that every two vertices of  $G$  share at most one neighbor in  $G$ .

**Observation 1.** *If  $G$  contains a  $3^+$ -vertex  $x$ , then every  $2^+$ -vertex of  $G$  belongs to  $N_G(x)$ .* Suppose to the contrary that  $G$  contains a  $2^+$ -vertex  $y$  such that  $y \notin N_G(x)$ . Let  $\{x_1, x_2, x_3\} \subseteq N_G(x)$  and  $\{y_1, y_2\} \subseteq N_G(y)$ . Observe that  $|\{x_1, x_2, x_3\} \cap \{y_1, y_2\}| \leq 1$  and  $|N_G(y_i) \cap \{x_1, x_2, x_3\}| \leq 1$  for  $i \in [1, 2]$ ; we WLOG assume that  $y_2 \notin \{x_1, x_2, x_3\}$ ,  $y_2x_2 \notin E(G)$  and  $y_2x_3 \notin E(G)$ . Let  $f$  be:  $f(x) = f(y) = 0, f(x_2) = 1, f(x_3) = 2$ . Notice that either  $y_1 = x_j$  or  $y_1x_j \notin E(G)$  for some  $j \in [2, 3]$ ; we further let  $f(y_1) = f(x_j)$  and  $f(y_2) = [1, 2] \setminus \{f(y_1)\}$ . Clearly,  $f$  is a 2RiDF of  $G[\{x, x_2, x_3, y, y_1, y_2\}]$  of weight  $|\{x, x_2, x_3, y, y_1, y_2\}| - 2$ , a contradiction.

**Observation 2.**  *$G$  contains at most one  $3^+$ -vertex.* Suppose that  $G$  has two distinct  $3^+$ -vertices, say  $x$  and  $y$ . By Observation 1,  $xy \in E(G)$ . Let  $\{y, x_1, x_2\} \subseteq N_G(x)$  and  $\{x, y_1, y_2\} \subseteq N_G(y)$ . Since  $G$  contains no subgraph isomorphic to  $C_4$ ,  $|\{x_1, x_2\} \cap \{y_1, y_2\}| \leq 1$  and there are no edges between  $\{x_1, x_2\}$  and  $\{y_1, y_2\}$ . Assume that  $x_2 \notin \{y_1, y_2\}$  and  $y_2 \notin \{x_1, x_2\}$ . Then, the function  $f: \{x, x_1, x_2, y, y_1, y_2\} \rightarrow \{0, 1, 2\}$  such that  $f(x) = f(y) = 0, f(x_2) = f(y_2) = 2$  and  $f(x_1) = f(y_1) = 1$ , is a 2RiDF of  $G[\{x, y, x_1, x_2, y_1, y_2\}]$  of weight  $|\{x, y, x_1, x_2, y_1, y_2\}| - 2$ , a contradiction.

**Observation 3.** *If  $G$  contains a  $3^+$ -vertex  $x$ ,  $N_G(x)$  has not more than two 2-vertices; in particular, when  $N_G(x)$  contains two 2-vertices, in  $G$  these two 2-vertices are adjacent.* If not, suppose that  $N_G(x)$  contains three 2-vertices, say  $x_1, x_2, x_3$ . We WLOG assume that  $x_3 \notin N_G(\{x_1, x_2\})$  and let  $N_G(x_3) = \{x, y_3\}$ . Let  $N_G(x_1) = \{x, y_1\}$  (possibly  $y_1 = x_2$ , but  $y_1 \neq y_3$ ). By Observation 1,  $d_G(y_3) = 1$ , i.e.,  $y_1y_3 \notin E(G)$ . Let  $f$  be:  $f(x) = 1, f(x_1) = f(x_3) = 0, f(y_1) = f(y_3) = 2$ . Obviously,  $f$  is a 2RiDF of  $G[\{x, x_1, y_1, x_3, y_3\}]$  of weight  $|\{x, x_1, y_1, x_3, y_3\}| - 2$ , a contradiction. Now, suppose that  $N_G(x)$  contains two 2-vertices, say  $x_1, x_2$ . If  $x_1x_2 \notin E(G)$ , let  $N_G(x_i) = \{x, y_i\}, i \in [1, 2]$ . Clearly,  $y_1 \neq y_2$  and  $y_1y_2 \notin E(G)$ . Let  $f$  be:  $f(x) = 1, f(x_1) = f(x_2) = 0, f(y_1) = f(y_2) = 2$ . Then,  $f$  is a 2RiDF of  $G[\{x, x_1, y_1, x_2, y_2\}]$  of weight  $|\{x, x_1, x_2, y_1, y_2\}| - 2$ , a contradiction.

By the above three observations and the assumption that  $G$  is connected, we see that if  $G$  contains a  $3^+$ -vertex  $x$ , then  $V(G) \setminus \{x\}$  contains either only 1-vertices ( $G \cong S_{n-1}$ ), or one 2-vertex and  $n - 2$  1-vertices ( $G \cong S(n - 3, 1)$ ), or two adjacent 2-vertices and  $n - 3$  1-vertices ( $G \cong S_{n-1}^+$ ); if  $\Delta(G) = 2$ , then  $G$  is isomorphic to one of  $S_2^+, S_2, S(1, 1)$  and  $C_5$ .  $\square$

The theorem below follows from Theorem 1, Lemma 1, and  $\gamma_{ri2}(G) = \sum_{i=1}^k \gamma_{ri2}(G_i)$ , where  $G_1, \dots, G_k$  are the components of  $G$ .

**Theorem 2.** *Given a graph  $G$  with order  $n \geq 3$ ,  $\gamma_{ri2}(G) = n - 1$  iff  $G$  has one component  $G_1$  isomorphic to one among  $S_{n_1-1}$  ( $n_1 \geq 3$ ),  $S_{n_1-1}^+$  ( $n_1 \geq 3$ ),  $S(n_1 - 3, 1)$  ( $n_1 \geq 4$ ) and  $C_5$ , and other components are isomorphic to  $K_1$  or  $K_2$ , where  $n_1 = |V(G_1)|$ .*

### 3. An Improved Nordhaus–Gaddum Type Theorem for $\gamma_{ri2}(G)$

This section is devoted to achieve an improved Nordhaus–Gaddum type theorem by showing that  $\gamma_{ri2}(G) + \gamma_{ri2}(\overline{G}) \leq n + 2$  for every graph  $G \not\cong C_5$  of order  $n \geq 2$ , which improves a result obtained by Kraner Šumenjak et al., et al [11]. We first present some fundamental lemmas.

**Lemma 3.** *For an  $n$ -order graph  $G$  with  $n \geq 3$ , if  $G$  is  $S_{n-1}, S_{n-1}^+$  or  $S(n - 3, 1)$ , then  $\gamma_{ri2}(\overline{G}) \leq 3$ .*

**Proof.** If  $G \cong S_{n-1}$  or  $G \cong S_{n-1}^+$ , let  $V(G) = \{v_0, v_1, \dots, v_{n-1}\}$  where  $v_0$  is the center and  $v_1v_2 \in E(G)$  when  $G \cong S_{n-1}^+$ . Define a function  $f$  such that  $f(v_1) = 1, f(v_0) = f(v_2) = 2$

and  $f(v) = 0$  for every  $v \in V(\overline{G}) \setminus \{v_0, v_1, v_2\}$ . Since every vertex in  $V(\overline{G}) \setminus \{v_0, v_1, v_2\}$  is a neighbor of  $v_1$  and also  $v_2$  in  $\overline{G}$ , it follows that  $f$  is a 2RiDF of  $\overline{G}$  of weight 3.

If  $G \cong S(n - 3, 1)$ , then  $n \geq 4$ . Let  $V(G) = \{v_1, v_0, u_0, u_1, \dots, u_{n-3}\}$ , where  $v_0u_0$  is the bridge of  $G$  and  $E(G) = \{v_0v_1, v_0u_0, u_0u_i | i \in [1, n - 3]\}$ . If  $n = 4$ , then both  $G$  and  $\overline{G}$  are isomorphic to  $P_4$ , the path of length 3, and the conclusion holds. If  $n \geq 5$ , then the function  $f$  from  $V(\overline{G})$  to  $[0, 2]$  such that  $f(u_2) = 2, f(u_1) = f(u_0) = 1$ , and  $f(v) = 0$  for every  $v \in V(\overline{G}) \setminus \{u_0, u_1, u_2\}$  is a 2RiDF of  $\overline{G}$  with weight 3.  $\square$

**Lemma 4.** For a graph  $n$ -order  $G$ , if  $G \not\cong C_5$  and  $\gamma_{ri2}(G) = 4$ , then  $\gamma_{ri2}(\overline{G}) \leq n - 2$ .

**Proof.** Clearly,  $n \geq 4$ . When  $n = 4$ ,  $\gamma_{ri2}(G) = 4$  implies that  $\gamma_{ri2}(\overline{G}) = 2 = n - 2$  by Lemma 1. Therefore, we assume that  $n \geq 5$ . Suppose that  $\gamma_{ri2}(\overline{G}) \geq n - 1$ . If  $\gamma_{ri2}(\overline{G}) = n$ , by Lemma 1 we have  $\gamma_{ri2}(G) = 2$ , a contradiction. Therefore,  $\gamma_{ri2}(\overline{G}) = n - 1$ . By Theorem 2  $\overline{G}$  has one component isomorphic to  $S_{n_1}, S_{n_1}^+, S(n_2, 1)$  or  $C_5$  where  $n_1 \geq 2, n_2 \geq 1$ , and all of the other components of  $\overline{G}$  are isomorphic to  $K_1$  or  $K_2$ .

If  $\overline{G}$  contains two vertices  $u$  and  $v$  s.t.  $N_{\overline{G}}(\{u, v\}) = \emptyset$ , then in  $G$  both  $u$  and  $v$  are adjacent to every vertex in  $V(G) \setminus \{u, v\}$ . We can obtain a 2RiDF of  $G$  by assigning 1 to  $u$ , 2 to  $v$ , and 0 to the remained vertices of  $G$ . This indicates that  $\gamma_{ri2}(G) \leq 2$  and a contradiction. Therefore,  $\overline{G}$  contains no  $K_2$  components and contains at most one  $K_1$  component, implying that  $\overline{G}$  contains at most two components. If  $\overline{G}$  contains only one component, it follows that  $\overline{G}$  is  $S_{n-1}, S_{n-1}^+$  or  $S(n - 3, 1)$  (since  $G \not\cong C_5$ ). By Lemma 3  $\gamma_{ri2}(G) \leq 3$  and a contradiction. Therefore,  $\overline{G}$  has two components, denoted by  $G_1$  and  $G_2$ , where  $G_1 \cong K_1$  and  $G_2$  is isomorphic to  $S_{n-2}, S_{n-2}^+, S(n - 4, 1)$  or  $C_5$ . Let  $V(G_1) = \{u\}$  and define a function  $f$  as follows: let  $f(u) = 1; f(v_0) = f(v') = 2$  when  $G_2 \cong S_{n-2}$  or  $G_2 \cong S_{n-2}^+$  (where  $v_0$  is the center of  $G_2$  and  $v'$  is a 1-vertex of  $G_2$  by the assumption of  $n \geq 5$ ),  $f(v_0) = f(u_0) = 2$  when  $G_2 \cong S(n - 4, 1)$  (where  $v_0u_0$  is the bridge of  $G_2$ ), or  $f(u_1) = f(u_2) = 2$  when  $G_2 \cong C_5$  (where  $C_5 = u_1u_2u_3u_4u_5u_1$ ); and all of the other remained vertices are assigned value 0. Clearly, all vertices with value 0 are adjacent to  $u$  and a vertex with value 2. Hence,  $f$  is a 2RiDF of  $G$ , which has weight 3, a contradiction.  $\square$

**Lemma 5.** Suppose that  $G$  is an  $n$ -order graph satisfying that  $\gamma_{ri2}(G) \geq 4$  and  $\gamma_{ri2}(G) + \gamma_{ri2}(\overline{G}) = n + 3$ . Let  $f = (V_0, V_1, V_2)$  be an arbitrary  $\gamma_{ri2}(G)$ -function. We have

- (1) If  $|V_0| \geq 2$ , then for any  $u, v \in V_0$ , there does not exist  $u_1, u_2, v_1, v_2$  such that  $\{u_1, u_2\} \in N_{\overline{G}}(u), \{v_1, v_2\} \in N_{\overline{G}}(v)$  and  $u_i v_i \notin E(\overline{G})$  for  $i \in [1, 2]$ , where  $u_1 \neq u_2, v_1 \neq v_2$  but possibly  $u_i = v_i$ ;
- (2) If  $u, v$  are two arbitrary different vertices of  $V_0$ , then  $|N_{\overline{G}}(\{u, v\})| \geq 3$ ;
- (3)  $|V_i| \geq 2$  for  $i \in [0, 2]$ .

**Proof.** For (1), if the conclusion is false, then let  $g$  be:  $g(u) = g(v) = 0$  and  $g(u_i) = g(v_i) = i, i \in [1, 2]$ . Then,  $g$  is a 2RiDF of  $\overline{G}[\{u, v, u_1, v_1, u_2, v_2\}]$  with weight  $|\{u, v, u_1, v_1, u_2, v_2\}| - 2$ . Since  $V_1$  and  $V_2$  are cliques in  $\overline{G}$ ,  $V_i$  contains at most two vertices not assigned 0 under every 2RiDF of  $\overline{G}$  for  $i \in [1, 2]$ . Hence, we can extend  $g$  to a 2RiDF of  $\overline{G}$  with weight at most  $|V_0| - 2 + 4 = |V_0| + 2$ , according to Lemma 2. This shows that  $\gamma_{ri2}(\overline{G}) \leq |V_0| + 2$  and  $\gamma_{ri2}(G) + \gamma_{ri2}(\overline{G}) \leq |V_1| + |V_2| + |V_0| + 2 = n + 2$ , a contradiction.

For (2), if  $|N_{\overline{G}}(\{u, v\})| \leq 2$ , let  $f$  be:  $f(v) = 2, f(u) = 1$ , and  $f(x) = 0$  for  $x \in V(G) \setminus N_{\overline{G}}(\{u, v\})$ . It is clear that  $f$  is a 2RiDF of  $G[V(G) \setminus N_{\overline{G}}(\{u, v\})]$  with weight 2. According to Lemma 2, we can extend  $f$  to a 2RiDF of  $G$  with weight at most 4 (since  $|N_{\overline{G}}(\{u, v\})| \leq 2$ ). Thus,  $\gamma_{ri2}(G) = 4$  and by Lemma 4  $\gamma_{ri2}(\overline{G}) \leq n - 2$ , a contradiction.

For (3), if  $|V_0| = 1$ , then  $\gamma_{ri2}(G) = n - 1$ . By an analogous argument as that in Lemma 4, we can derive that  $\gamma_{ri2}(G) + \gamma_{ri2}(\overline{G}) \leq n + 2$ , a contradiction. In the following, we prove that  $|V_1| \geq 2$  (the proof of  $|V_2| \geq 2$  is similar to that of  $|V_1| \geq 2$ ). Suppose that  $|V_1| = 1$  and let  $V_1 = \{u\}$ . Then, every vertex of  $V_0$  is adjacent to  $u$  in  $G$ , i.e.,  $u$  is not adjacent to  $V_0$  in  $\overline{G}$ . By Lemma 4 we assume that  $|V_1| + |V_2| \geq 5$ . If  $V_0$  contains a vertex  $v$  with two neighbors  $v_1, v_2$  in  $\overline{G}$ , then  $u \notin \{v_1, v_2\}$ . Let  $g$  be:  $g(v) = 0, g(v_1) = 1, g(v_2) = 2$ . Since  $V_2$  is a clique

in  $\bar{G}$ , we can extend  $g$  to a 2RiDF of  $\bar{G}$  with weight at most  $|V_0| - 1 + 3 = |V_0| + 2$ , according to Lemma 2. This shows that  $\gamma_{ri2}(\bar{G}) \leq |V_0| + 2$  and hence  $\gamma_{ri2}(G) + \gamma_{ri2}(\bar{G}) \leq n + 2$ , a contradiction. Therefore, every vertex in  $V_0$  has degree at most 1 in  $\bar{G}$ , which implies that  $|N_{\bar{G}}(\{x, y\})| \leq 2$  for any two vertices  $x \in V_0, y \in V_0$  (observe that  $|V_0| \geq 2$ ). This contradicts (2).  $\square$

**Lemma 6.** *Let  $G$  be an  $n$ -order graph,  $n \geq 4$ . For any  $u \in V(G)$ , if  $H = G - u$ , the resulting graph by deleting  $u$  and its incident edges from  $G$ , is connected and  $\gamma_{ri2}(H) = |V(H)| - 1$ , then  $G$  has a 2RiDF  $f$  satisfying  $f(u) = 1$  and  $f(v) = 0$  for some  $v \in V(H)$ .*

**Proof.** Clearly,  $|V(H)| \geq 3$ . If  $u$  has no neighbor in  $V(H)$ , then let  $f$  be:  $f(v) = g(v)$  for every  $v \in V(H)$ , and  $f(u) = 1$ , where  $g$  is a  $\gamma_{ri2}(H)$ -function of  $H$ . Since  $\gamma_{ri2}(H) = |V(H)| - 1$ , there exists  $v \in V(H)$  satisfying  $f(v) = g(v) = 0$ . If  $u$  has a neighbor  $u_1 \in V(H)$ , there exists a  $u_2 \in V(H)$  s.t.  $u_1u_2 \in E(H)$  since  $H$  is connected. Let  $f$  be:  $f(u_1) = 0, f(u) = 1, f(u_2) = 2$ . Then, we can extend  $f$  to a desired 2RiDF of  $G$  according to Lemma 2.  $\square$

Now, we turn to the proof of the main result.

**Theorem 3.** *Suppose that  $G$  is an  $n$ -order graph,  $n \geq 2$ . If  $G \not\cong C_5$ , then  $\gamma_{ri2}(G) + \gamma_{ri2}(\bar{G}) \leq n + 2$ .*

**Proof.** We are sufficient to handle the situation  $n \geq 5$  since cases of  $n \leq 4$  are trivial. Let  $f_0 = (V_0, V_1, V_2)$  be a  $\gamma_{ri2}(G)$ -function such that  $\bar{G}[V_0]$  contains the maximum number of components isomorphic to  $K_2$ . Suppose to the contrary that  $\gamma_{ri2}(G) + \gamma_{ri2}(\bar{G}) > n + 2$ . Then,  $\gamma_{ri2}(G) + \gamma_{ri2}(\bar{G}) = n + 3$  since  $\gamma_{ri2}(G) + \gamma_{ri2}(\bar{G}) \leq n + 3$  [11], that is,

$$\gamma_{ri2}(\bar{G}) = |V_0| + 3 \tag{1}$$

Formula (1) indicates that every 2RiDF of  $\bar{G}$  has weight at least  $|V_0| + 3$ . We will complete our proof by constructing a 2RiDF of  $\bar{G}$  of weight at most  $|V_0| + 2$  or a 2RiDF of  $G$  of weight less than  $|V_1| + |V_2|$ .

If  $|V_1 \cup V_2| = 2$ , then  $\gamma_{ri2}(G) + \gamma_{ri2}(\bar{G}) \leq 2 + n$ , a contradiction; if  $|V_1 \cup V_2| = 3$ , then  $\gamma_{ri2}(\bar{G}) = n$  and by Lemma 1  $\gamma_{ri2}(G) = 2$ , also a contradiction. Therefore, by Lemma 4,

$$|V_1| + |V_2| \geq 5 \tag{2}$$

Then, by Lemma 5 (3) we have  $|V_i| \geq 2$  for  $i \in [0, 2]$ . In addition, because, by definition,  $\bar{G}[V_i]$  is a clique,  $i \in [1, 2]$ , it follows that for every 2RiDF  $g_0 = (V'_0, V'_1, V'_2)$  of  $\bar{G}$ ,

$$|(V'_1 \cup V'_2) \cap V_i| \leq 2, i \in [1, 2] \tag{3}$$

Therefore, by Lemma 2 we can extend every  $\gamma_{ri2}(\bar{G}[V_0])$ -function to a 2RiDF of  $\bar{G}$  with weight at most  $\gamma_{ri2}(\bar{G}[V_0]) + 4$ , i.e.,  $\gamma_{ri2}(\bar{G}[V_0]) \geq |V_0| - 1$  by Formula (1).

**Claim 1.** *Denote by  $\ell$  the number of vertices in  $V_1 \cup V_2$ , which have degree  $|V_1| + |V_2| - 1$  in  $\bar{G}[V_1 \cup V_2]$ . Then,  $\ell \leq 1 - \ell'$  where  $\ell' = |V_0| - \gamma_{ri2}(\bar{G}[V_0]) \leq 1$ . If not, either  $\ell$  is at least 2 or both  $\ell$  and  $\ell'$  are equal to 1. Suppose that  $\ell \geq 2$  and take two vertices  $v_1, v_2 \in (V_1 \cup V_2)$  such that they are adjacent to all vertices of  $(V_1 \cup V_2) \setminus \{u, v\}$  in  $\bar{G}$ . Let  $g'$  be:  $g'(v_1) = 1, g'(v_2) = 2, g'(x) = 0$  for  $x \in V_1 \cup V_2 \setminus \{v_1, v_2\}$ . Clearly,  $g'$  is a 2RiDF of  $\bar{G}[V_1 \cup V_2]$  and by Lemma 2 we can extend  $g'$  to a 2RiDF of  $\bar{G}$ , which has weight at most  $|V_0| + 2$ , a contradiction. Now, suppose that  $\ell = \ell' = 1$ . Then,  $\gamma_{ri2}(\bar{G}[V_0]) = |V_0| - 1$ , which indicates that  $\bar{G}[V_0]$  contains a component  $H'$  s.t.  $\gamma_{ri2}(H') = |V(H')| - 1$ . Since  $\ell = 1$ , there is a vertex  $v$ , say  $v \in V_1$ , which is adjacent to every vertex of  $V_2$  in  $\bar{G}$ . By Lemma 6  $\bar{G}[V(H') \cup \{v\}]$  has a 2RiDF  $g'$  s.t.  $g'(x) = 0$  for some  $x \in V(H')$  and  $g'(v) = 1$ . Observe that in  $\bar{G}$   $v$  is adjacent to all vertices of  $(V_1 \cup V_2) \setminus \{v\}$ ; by the rule of Lemma 2 we can extend  $g'$  to a 2RiDF  $g$  of  $\bar{G}$  under which there is at most one vertex in  $V_1 \setminus \{v\}$  (and  $V_2$ )*

not assigned value 0. Thus,  $w(g) \leq |V_0| - 1 + 3 = |V_0| + 2$ , a contradiction. This completes the proof of Claim 1.

Now, we WLOG assume  $|V_1| \geq |V_2|$ . Then,  $|V_1| \geq 3$  by Formula (2).

**Claim 2.**  $\overline{G}[V_0]$  does not contain any isolated vertex  $v$  s.t.  $N_{\overline{G}}(v) \cap V_1 = \emptyset$ . Otherwise, define  $f'$  as: for  $x \in V_2$   $f'(x) = 2$ , and  $f'(v) = 1$ . By Claim 1, in  $\overline{G}$ ,  $V_1$  has not more than one vertex adjacent to every vertex in  $V_2$ ; say  $v'$  if such a vertex exists. We further let  $f'(y) = 0$  for  $y \in V_1 \cup (V_0 \setminus \{v\})$  (or for  $y \in (V_1 \setminus \{v'\}) \cup (V_0 \setminus \{v\})$  if  $v'$  exists). Since in  $G$  every vertex in  $V_1 \cup V_0$  (except for  $v'$ ) is adjacent to  $v$  and also  $V_2$ ,  $f'$  is a 2RiDF of  $G$  of weight at most  $|V_2| + 2$ , a contradiction. This completes the proof of Claim 2.

We proceed by distinguishing two cases:  $\gamma_{\text{ri2}}(\overline{G}[V_0]) = |V_0| - 1$  and  $\gamma_{\text{ri2}}(\overline{G}[V_0]) = |V_0|$ .

**Case 1.**  $\gamma_{\text{ri2}}(\overline{G}[V_0]) = |V_0| - 1$ . In this case, by Claim 1 each vertex of  $V_i$  owns a neighbor belonging to  $V_j$  in  $G$  where  $\{i, j\} = [1, 2]$ ; by Theorem 2,  $\overline{G}[V_0]$  has one component  $H$  isomorphic to one of  $S_{|V(H)|-1}$  ( $|V(H)| \geq 3$ ),  $S_{|V(H)|-1}^+$  ( $|V(H)| \geq 3$ ),  $S(|V(H)| - 3, 1)$  ( $|V(H)| \geq 4$ ) and  $C_5$ , and other components of  $\overline{G}[V_0]$  are isomorphic to  $K_1$  or  $K_2$ . Let  $u_0 \in V(H)$  be a vertex with  $d_H(u_0) = \Delta(H)$ . Clearly,  $d_H(u_0) \geq 2$ . Let  $u_1 \in N_H(u_0)$  and  $u_2 \in N_H(u_0)$  be two vertices such that every vertex in  $V(H) \setminus \{u_0, u_1, u_2\}$  has degree in  $H$  not exceeding  $\min\{d_H(u_1), d_H(u_2)\}$ . By the structure of  $H$ , for  $i \in [1, 2]$ , we have that  $d_H(u_i) \leq 2$  and if  $u_i$  has a neighbor  $u'_i (\notin \{u_0, u_1, u_2\})$  in  $H$ , then  $u_0 u'_i \notin E(H)$ . Moreover, by Lemma 5 (1),  $(N_{\overline{G}}(u_1) \cap N_{\overline{G}}(u_2)) \setminus \{u_0\} = \emptyset$ , which implies that each vertex of  $V_1 \cup V_2$  is adjacent to  $u_1$  or  $u_2$  in  $G$ .

**Claim 3.**  $|V_0 \setminus V(H)| \leq 1$ . Otherwise, let  $\{v_1, v_2\} \subseteq (V_0 \setminus V(H))$ . Then,  $d_{\overline{G}[V_0]}(v_1) \leq 1$  and  $d_{\overline{G}[V_0]}(v_2) \leq 1$ . Suppose that  $d_{\overline{G}[V_0]}(v_1) = 1$  (the case of  $d_{\overline{G}[V_0]}(v_2) = 1$  can be similarly discussed). Let  $v_1 v'_1 \in E(\overline{G}[V_0])$  and clearly  $d_{\overline{G}[V_0]}(v'_1) = 1$ . By Lemma 5 (2), a vertex  $v_0 \in (V_1 \cup V_2)$  is adjacent to  $\{v_1, v'_1\}$  in  $\overline{G}$ . We WLOG assume that  $v_1 v_0 \in E(\overline{G})$ . According to Lemma 6,  $\overline{G}[V(H) \cup \{v_0\}]$  admits a 2RiDF  $g'$  satisfying  $g'(v_0) = 1$  and  $g'(x) = 0$  for some  $x \in V(H)$ . Further, let  $g'(v_1) = 0$  and  $g'(v'_1) = 2$ . So  $g'$  is a 2RiDF of  $\overline{G}[V(H) \cup \{v_0, v_1, v'_1\}]$ , and by Lemma 2 and Formula (3) we can extend  $g'$  to a 2RiDF of  $\overline{G}$  with weight at most  $|V_0| - 2 + 4 = |V_0| + 2$  (since  $g'(v_1) = g'(x) = 0$ ), a contradiction. We therefore assume that  $d_{\overline{G}[V_0]}(v_1) = d_{\overline{G}[V_0]}(v_2) = 0$ . By Lemma 5 (2) we have  $|N_{\overline{G}}(\{v_1, v_2\}) \cap (V_1 \cup V_2)| \geq 3$ . WLOG, suppose that in  $\overline{G}$ ,  $v_1$  has two neighbors belonging to  $V_1 \cup V_2$ , say  $v_{11}$  and  $v_{12}$ . By Lemma 5 (1),  $u_i$  is not adjacent to both  $v_{11}$  and  $v_{12}$ , and  $v_{1j}$  is not adjacent to both  $u_1$  and  $u_2$  in  $\overline{G}$ , where  $i \in [1, 2]$  and  $j \in [1, 2]$ . Thus, it follows that  $u_1 v_{11} \notin E(\overline{G})$  and  $u_2 v_{12} \notin E(\overline{G})$ , or  $u_1 v_{12} \notin E(\overline{G})$  and  $u_2 v_{11} \notin E(\overline{G})$ , which contradicts to Lemma 5 (1) again. This completes the proof of Claim 3.

By Claim 3, we see that  $\overline{G}[V_0]$  contains no component isomorphic to  $K_2$  and contains at most one  $K_1$  component.

**Claim 4.**  $\overline{G}[V_0]$  contains a  $K_1$  component. If not, we have  $\overline{G}[V_0] = H$ .

**Claim 4.1.**  $(N_{\overline{G}}(u_1) \cup N_{\overline{G}}(u_2)) \cap (V_1 \cup V_2) \neq \emptyset$ .

Otherwise, for  $i \in [1, 2]$ ,  $u_i$  is adjacent to every vertex of  $V_1 \cup V_2$  in  $G$ , and by Lemma 5 (2)  $d_H(u_i) = 2$  and  $u_1 u_2 \notin E(\overline{G})$ . Set  $\{u'_i\} = N_H(u_i) \setminus \{u_0\}$ ,  $i \in [1, 2]$ ; then,  $u_0 u'_i \notin E(\overline{G})$ . Let  $f$  be:  $f(u_1) = f(u'_1) = 1$ ,  $f(u_2) = f(u'_2) = 2$  and  $f(x) = 0$  for any  $x$  in  $V(G) \setminus \{u_1, u'_1, u_2, u'_2\}$ . So, we get a 2RiDF  $f$  of  $G$ , which has weight 4, a contradiction. So, Claim 4.1 holds.

**Claim 4.2.**  $|V_1| = 3$ .

Observe that  $|V_1| \geq 3$ ; it is enough by showing that  $G$  admits a 2RiDF  $f$  s.t.  $w(f) \leq |V_2| + 3$ . When  $u_1 u_2 \in E(\overline{G})$ , let  $f$  be:  $f(u_i) = 1$  for  $i \in [0, 2]$ ,  $f(x) = 0$  for  $x \in (V_1 \cup V_0) \setminus \{u_0, u_1, u_2\}$ , and  $f(y) = 2$  for  $y \in V_2$ . By Lemma 5 (1), in  $\overline{G}$ ,  $V_1 \cup V_0$  contains no vertex adjacent to  $u_1$  and also  $u_2$ . Therefore,  $f$  is a 2RiDF of  $G$  of weight  $|V_2| + 3$ . Now, suppose that  $u_1 u_2 \notin E(\overline{G})$ . By Lemma 5 (1),  $V_1$  contains at most one vertex adjacent to both  $u_0$  and  $u_1$  in  $\overline{G}$ ; say  $u$  if such a vertex exists. Let  $f$  be:  $f(u_0) = f(u_1) = 1$  (or  $f(u) = f(u_0) = f(u_1) = 1$  if  $u$  exists),  $f(x) = 0$  for  $x \in (V_1 \cup (V_0 \setminus \{u_0, u_1\}))$  (or  $x \in (V_1 \cup V_0) \setminus \{u_0, u_1, u\}$ ) and  $f(y) = 2$  for  $y \in V_2$ . Notice that by Claim 1 every vertex of  $V_0 \cup V_1$  is adjacent to  $V_2$  in  $G$ , and by the structure of  $H$  and the selection of  $u_1$  and

$u_2$ , every vertex of  $(V_0 \cup V_1) \setminus \{u, u_0, u_1\}$  is adjacent to  $\{u_0, u_1\}$  in  $G$ ;  $f$  is a 2RiDF of  $G$  of weight at most  $|V_2| + 3$ . This completes the proof of Claim 4.2.

By Claim 4.2, we have  $2 \leq |V_2| \leq 3$ . Let  $V_1 = \{w_1, w_2, w_3\}$  in the following.

**Claim 4.3.** *In  $\bar{G}$ , for  $\{i, j\} = [1, 2]$  every vertex in  $V_i$  has not more than one neighbor in  $V_j$ .*

If not, let  $v \in V_2$  be adjacent to two vertices of  $V_1$  in  $\bar{G}$ , say  $w_1, w_2$ . By Lemma 5 (1)  $u_1$  or  $u_2$  is not adjacent to  $v$  in  $\bar{G}$ , say  $u_1v \notin E(\bar{G})$ . If  $u_2w_3 \notin E(\bar{G})$ , define  $g'$  as:  $g'(u_i) = i$  for every  $i \in [0, 2]$ ,  $g'(w_1) = g'(w_2) = 0, g'(w_3) = 2, g'(v) = 1$ . If  $u_2w_3 \in E(\bar{G})$ , then  $u_1w_3 \notin E(\bar{G})$  and let  $g'$  be:  $g'(u_1) = g'(w_3) = 1, g'(w_1) = g'(w_2) = 0, g'(v) = 2$ ; further, let  $g'(u_2) = 0$  when  $u_2v \in E(\bar{G})$ , or let  $g'(u_2) = 2$  and  $g'(u_0) = 0$  when  $u_2v \notin E(\bar{G})$ . According to Lemma 2, in either case the  $g'$  defined above can be extended to a 2RiDF  $g$  of  $\bar{G}$  under which  $g(w_1) = g(w_2) = 0$  and  $g(u_0) = 0$  or  $g(u_2) = 0$ . Therefore, by Formula (3)  $w(g) \leq |V_0| - 1 + 3 = |V_0| + 2$ , a contradiction. With a similar discussion, there is also a contradiction if we assume  $V_1$  contains a vertex that has two neighbors in  $V_2$  in  $\bar{G}$ . This completes the proof of Claim 4.3.

Now, we consider  $|V_2|$ . Suppose that  $|V_2| = 3$  and let  $V_2 = \{w_4, w_5, w_6\}$ . According to Claim 4.1, we WLOG assume that  $u_1w_1 \in E(\bar{G})$ . This indicates that  $u_2w_1 \notin E(\bar{G})$  by Lemma 5 (1). If  $u_2$  has a neighbor in  $V_2$ , say  $u_2w_4 \in E(\bar{G})$ , then according to Lemma 5 (1),  $u_1w_4 \notin E(\bar{G}), w_1w_4 \in E(\bar{G})$ , and  $u_1$  (resp.  $u_2$ ) is not adjacent to  $\{w_2, w_3\}$  (resp.  $\{w_5, w_6\}$ ) in  $\bar{G}$  (otherwise  $w_4$  or  $w_1$  has two neighbors in  $V_1$  or  $V_2$  in  $\bar{G}$ , respectively. This contradicts to Claim 4.3). Let  $f$  be:  $f(u_1) = f(w_1) = 1, f(u_2) = f(w_4) = 2$  and  $f(x) = 0$  for  $x \in V(G) \setminus \{u_1, u_2, w_1, w_4\}$ . Observe that  $w_1$  (resp.  $w_4$ ) is not adjacent to  $\{w_5, w_6\}$  (resp.  $\{w_2, w_3\}$ ) in  $\bar{G}$  and by Lemma 5 (1)  $V_0 \setminus \{u_0, u_1, u_2\}$  contains no vertex adjacent to both  $u_i$  and  $w_i$  for some  $i \in [1, 2]$ . Hence,  $f$  is a 2RiDF of  $G[V(G) \setminus \{u_0\}]$  of weight 4 and we are able to extend  $f$  to a 2RiDF of  $G$  with weight at most  $5 < |V_1| + |V_2|$  according to Lemma 2, a contradiction. Therefore, we may assume that  $N_{\bar{G}}(u_2) \cap V_2 = \emptyset$ . In this case, when  $N_{\bar{G}}(u_2) \cap V_1 = \emptyset$ , let  $f$  be:  $f(u_2) = 2, f(u_0) = f(u_1) = 1$ . By Lemma 5 (1)  $V_1 \cup V_2$  has not more than one vertex  $w'$  adjacent to both  $u_0$  and  $u_1$  in  $\bar{G}$  and  $V_0 \setminus \{u_0\}$  has not more than one vertex  $u'$  adjacent to  $u_2$  in  $\bar{G}$ ; for  $x \in V(G) \setminus \{u_0, u_1, u_2, u', w'\}$  we further let  $f(x) = 0$ . Then,  $f$  is a 2RiDF of  $G[V(G) \setminus \{u', w'\}]$  of weight 3 and according to Lemma 2 we can extend  $f$  to a 2RiDF of  $G$  of weight at most  $5 < |V_1| + |V_2|$ , a contradiction. We therefore suppose that  $u_2$  has a neighbor in  $V_1$  in  $\bar{G}$ , say  $u_2w_2 \in E(\bar{G})$ . With the same argument as  $N_{\bar{G}}(u_2) \cap V_2 = \emptyset$ , we can show that  $N_{\bar{G}}(u_1) \cap V_2 = \emptyset$  as well.

Then, if  $w_3u_1 \notin E(\bar{G})$  and  $w_3u_2 \notin E(\bar{G})$ , the function  $f: f(u_1) = f(w_1) = 1, f(u_2) = f(w_4) = 2$  and  $f(x) = 0$  for  $x \in V(G) \setminus \{u_1, u_2, w_1, w_4, u_0\}$ , is a 2RiDF of  $G[V(G) \setminus \{u_0\}]$  with weight 4, and according to Lemma 2, we are able to extend  $f$  to a 2RiDF of  $G$  with weight at most  $5 < |V_1| + |V_2|$ , a contradiction. Therefore, we suppose that  $w_3u_1 \in E(\bar{G})$  by the symmetry. By Lemma 5 (1), it has that  $w_3u_2 \notin E(\bar{G})$ , and  $u_0w_1 \notin E(\bar{G})$  or  $u_0w_3 \notin E(\bar{G})$ , say  $u_0w_1 \notin E(\bar{G})$  by the symmetry. Let  $f$  be:  $f(u_0) = f(u_1) = 1, f(u_2) = f(w_2) = 2$  and  $f(x) = 0$  for  $x \in V(G) \setminus \{u_1, u_2, u_0, w_2, w_3\}$ . Since in  $G$  every vertex in  $V(G) \setminus \{u_1, u_2, u_0, w_2, w_3\}$  has a neighbor in  $\{u_0, u_1\}$  and also  $\{u_2, w_2\}$ ,  $f$  is a 2RiDF of  $G[V(G) \setminus \{w_3\}]$  of weight 4 and according to Lemma 2 we can extend  $f$  to a 2RiDF of  $G$  of weight at most  $5 < |V_1| + |V_2|$ , and a contradiction.

A similar line of thought leads to a contradiction if we assume that  $|V_2| = 2$ , and so Claim 4 holds.

By Claim 4, we see that  $\bar{G}[V_0]$  contains one component isomorphic to  $K_1$ . Let  $s$  be the vertex of the  $K_1$  component. We first show that  $|N_{\bar{G}}(s) \cap (V_1 \cup V_2)| \leq 1$ . If not, in  $\bar{G}$  we assume that  $s$  has two neighbors in  $V_1 \cup V_2$ , say  $s_1, s_2$ . By Lemma 5 (1) for  $i, j \in [1, 2]$ ,  $s_i$  (resp.  $u_j$ ) can not be adjacent to  $u_1$  and  $u_2$  (resp.  $s_1$  and  $s_2$ ) simultaneously in  $\bar{G}$ . This implies that either  $s_iu_i \notin E(\bar{G}), i \in [1, 2]$ , or  $s_1u_2 \notin E(\bar{G})$  and  $s_2u_1 \notin E(\bar{G})$ , which violates Lemma 5 (1) as well. Thus, by Claim 2  $|N_{\bar{G}}(s) \cap (V_1 \cup V_2)| = 1$  and the vertex  $s'$  adjacent to  $s$  in  $\bar{G}$  belongs to  $V_1$ . Let  $f$  be:  $f(x) = 1$  for  $x \in V_1, f(s) = 2, f(y) = 0$  for  $y \in V_2 \cup V(H)$ . Observe that by Claim 1 all vertices in  $V_2$  are adjacent to  $V_1$  in  $G$ . Hence, every vertex in  $V_2 \cup V(H)$  is adjacent to  $s$  and also  $V_1$  in  $G$ . Therefore,  $f$  is a 2RiDF of  $G$  with weight  $|V_1| + 1 < |V_1| + |V_2|$  (since  $|V_2| \geq 2$ ), a contradiction.

The foregoing discussion shows that there exists a contradiction if we assume that  $\gamma_{ri2}(\overline{G}[V_0]) = |V_0| - 1$ . In what remains, we handle the case when  $\gamma_{ri2}(\overline{G}[V_0]) = |V_0|$ .

**Case 2.**  $\gamma_{ri2}(\overline{G}[V_0]) = |V_0|$ . Then by Lemma 1 every component of  $\overline{G}[V_0]$  is isomorphic to  $K_1$  or  $K_2$ . Recall that  $|V_i| \geq 2$  for  $i \in [0, 2]$ . Take two vertices  $u, v$  in  $V_0$  s.t.  $uv \in E(\overline{G})$  if  $\overline{G}[V_0]$  contains a  $K_2$  component and  $u, v$  are isolated vertices in  $\overline{G}[V_0]$  otherwise. By Lemma 5 (1), we have

$$|(N_{\overline{G}}(u) \cap N_{\overline{G}}(v)) \cap (V_1 \cup V_2)| \leq 1 \tag{4}$$

We deal with two subcases in terms of the adjacency property of  $u$  and  $v$ .

**Case 2.1.**  $uv \in E(\overline{G})$ . Then in  $\overline{G}$ ,  $V_0 \setminus \{u, v\}$  contains no vertex adjacent to  $\{u, v\}$ .

**Claim 5.** In  $\overline{G}[V_1 \cup V_2]$ ,  $V_1 \cup V_2$  contains only vertices with degree at most  $|V_1| + |V_2| - 2$ . Suppose that  $V_1$  contains a vertex  $w$  such that  $ww' \in E(\overline{G})$  for every  $w' \in V_2$ . If  $uw \in E(\overline{G})$  (or  $vw \in E(\overline{G})$ ), define a 2RiDF  $g'$  of  $\overline{G}[\{u, v, w\}]$  as:  $g'(u) = 0$  (or  $g'(v) = 0$ ),  $g'(w) = 1$  and  $g'(v) = 2$  ( $g'(u) = 2$ ). According to Lemma 2 we can extend  $g'$  to a 2RiDF of  $\overline{G}$ , under which  $(V_1 \cup V_2) \setminus \{w\}$  contains at most two vertices not assigned 0. Thus,  $w(g) \leq |V_0| - 1 + 3 = |V_0| + 2$ , a contradiction. We therefore assume that  $uw \notin E(\overline{G})$  and  $vw \notin E(\overline{G})$ . By Lemma 5 (2), there are at least three vertices in  $(V_1 \cup V_2)$  that are adjacent to  $u$  or  $v$ . We WLOG assume that  $V_1 \cup V_2$  contains a vertex  $u'$  s.t.  $u'u \in E(\overline{G})$ . Construct a 2RiDF  $g'$  of  $\overline{G}[\{u, v, u', w\}]$  as follows:  $g'(u) = 0, g'(u') = 2$ , and  $g'(v) = g'(w) = 1$ . Then, by Lemma 2  $g'$  can be extended to a 2RiDF  $g$  of  $\overline{G}$ , under which  $(V_1 \cup V_2) \setminus \{w, u'\}$  contains at most one vertex not assigned value 0. Therefore,  $w(g) \leq |V_0| - 1 + 3 = |V_0| + 2$ , a contradiction. Similarly, we can also obtain a contradiction if we assume that  $V_2$  contains a vertex adjacent to every vertex of  $V_1$ . So, Claim 5 holds.

By Claim 5, for  $\{i, j\} = [1, 2]$ , each vertex of  $V_i$  is adjacent to a vertex of  $V_j$  in  $G$ . If  $V_1 \cap (N_{\overline{G}}(u) \cap N_{\overline{G}}(v)) = \emptyset$ , then in  $G$  all vertices of  $V_1$  are adjacent to  $\{u, v\}$ . Let  $f$  be:  $f(x) = 2$  for  $x \in V_2, f(y) = 0$  for  $y \in V_1 \cup (V_0 \setminus \{u, v\})$ , and  $f(u) = f(v) = 1$ . Obviously,  $f$  is a 2RiDF of  $G$  s.t.  $w(f) = |V_2| + 2 < |V_1| + |V_2|$ , a contradiction. We therefore assume that  $V_1$  contains a vertex  $s$  s.t.  $su \in E(\overline{G})$  and  $sv \in E(\overline{G})$ . Then, in  $\overline{G}$ , by Lemma 5 (1) no vertex in  $V_2 \cup (V_1 \setminus \{s\})$  is adjacent to  $u$  and  $v$  simultaneously. Analogously, the function  $f$ :  $f(v) = f(u) = 1, f(x) = 2$  for  $x \in V_1$ , and  $f(y) = 0$  for  $y \in V_2 \cup (V_0 \setminus \{u, v\})$  (and  $f(s) = f(v) = f(u) = 1, f(x) = 2$  for  $x \in V_2$ , and  $f(y) = 0$  for  $y \in (V_1 \setminus \{s\}) \cup (V_0 \setminus \{u, v\})$ ) is a 2RiDF of  $G$  with weight  $|V_1| + 2$  (and  $|V_2| + 3$ ). This implies that  $|V_1| = 3$  and  $|V_2| = 2$ . Let  $V_1 = \{s, s_1, s_2\}$  and  $V_2 = \{s_3, s_4\}$ . Then, in  $\overline{G}$ , neither  $u$  nor  $v$  is a neighbor of  $s_1$  and  $s_2$  simultaneously; otherwise, we, by the symmetry, suppose that  $us_1 \in E(\overline{G})$  and  $us_2 \in E(\overline{G})$ . Let  $g'$  be:  $g'(v) = g'(s_1) = g'(s_2) = 0, g'(u) = 1$ , and  $g'(s) = 2$ . Obviously,  $g'$  is a 2RiDF of  $\overline{G}[\{u, v, s, s_1, s_2\}]$  with weight 2. According to Lemma 2, we can extend  $g'$  to a 2RiDF of  $\overline{G}$  with weight at most  $|V_0| - 1 + |V_2| + 1 = |V_0| + 2$ , a contradiction. In addition, in  $\overline{G}$ ,  $s_i, i \in [1, 2]$ , is not adjacent to  $u$  and  $v$  simultaneously according to Lemma 5 (1). Therefore, we may assume, by the symmetry, that  $s_1v \notin E(\overline{G})$  and  $s_2u \notin E(\overline{G})$ .

If no edge between  $\{u, v\}$  and  $V_2$  in  $\overline{G}$  exists, then by Lemmas 5 (2),  $us_1 \in E(\overline{G})$  and  $vs_2 \in E(\overline{G})$ . Then, the function  $g'$  such that  $g'(s) = g'(s_1) = g'(v) = 0, g'(s_2) = 2$ , and  $g'(u) = 1$  is a 2RiDF of  $\overline{G}[\{u, v, s, s_1, s_2\}]$  with weight 2. According to Lemma 2, we can extend  $g'$  to a 2RiDF of  $\overline{G}$  with weight at most  $|V_2| + 1 + |V_0| - 1 = |V_0| + 2$ , a contradiction. We therefore assume that  $\overline{G}$  contains an edge connecting  $\{u, v\}$  and  $V_2$ , say  $vs_3 \in E(\overline{G})$  by the symmetry.

If  $s_4s \in E(\overline{G})$ , define  $g'$  as:  $g'(s_3) = 2, g'(s_4) = 0, g'(s) = 1, g'(v) = 0$ . Then,  $g'$  is a 2RiDF of  $\overline{G}[\{s, v, s_3, s_4\}]$  with weight 2. By Lemma 2 and Formula 3, we are able to extend  $g'$  to a 2RiDF of  $\overline{G}$  of weight at most  $|V_0| - 1 + 3 = |V_0| + 2$ , a contradiction. Consequently, we have  $s_4s \notin E(\overline{G})$ . Then, the function  $g'$  such that  $g'(s_3) = 0, g'(s_4) = g'(s) = 2, g'(v) = 1, g'(u) = 0$  is a 2RiDF of  $\overline{G}[\{s, u, v, s_3, s_4\}]$  with weight 3, and by Lemma 2 and Formula 3 we can extend  $g'$  to a 2RiDF of  $\overline{G}$  with weight at most  $|V_0| - 1 + 3 = |V_0| + 2$ . This contradicts the assumption.

**Case 2.2.**  $uv \notin E(\overline{G})$ . Then, by the selection of  $u, v$  and  $f_0, \overline{G}[V_0]$  contains only isolated vertices and  $G$  does not admit a  $\gamma_{ri2}(G)$ -function for which the induced subgraph of  $\overline{G}$  by vertices with value 0 contains  $K_2$  components.

For every  $x \in V_0$ , let  $U_i^x = N_{\overline{G}}(x) \cap V_i$  for  $i \in [1, 2]$ . Let  $f'$  be:  $f'(x) = 0$  for  $x \in ((V_1 \cup V_2) \setminus (U_1^u \cup U_2^u \cup U_1^v \cup U_2^v)) \cup (V_0 \setminus \{u, v\})$ ,  $f'(v) = 2$ , and  $f'(u) = 1$ . Apparently,  $f'$  is a 2RiDF of  $G - (U_1^u \cup U_2^u \cup U_1^v \cup U_2^v)$  with weight 2. According to Lemma 2, we can extend  $f'$  to a 2RiDF of  $G$  with weight at most  $|(U_1^u \cup U_2^u \cup U_1^v \cup U_2^v)| + 2$ . To ensure  $|(U_1^u \cup U_2^u \cup U_1^v \cup U_2^v)| + 2 \geq |V_1| + |V_2|$ , we have

$$|(V_1 \cup V_2) \setminus (U_1^u \cup U_2^u \cup U_1^v \cup U_2^v)| \leq 2 \tag{5}$$

**Claim 6.**  $|(V_1 \cup V_2) \setminus (U_1^u \cup U_2^u \cup U_1^v \cup U_2^v)| = 2$  and the two vertices in  $(V_1 \cup V_2) \setminus (U_1^u \cup U_2^u \cup U_1^v \cup U_2^v)$  are adjacent in  $\overline{G}$ . Define a 2RiDF  $g'$  of  $\overline{G}[V_0]$  as:  $g'(u) = g'(v) = 1$ . Suppose that  $|(V_1 \cup V_2) \setminus (U_1^u \cup U_2^u \cup U_1^v \cup U_2^v)| \leq 1$ . Since  $V_1$  and  $V_2$  are cliques in  $\overline{G}$  and every vertex in  $U_1^u \cup U_2^u \cup U_1^v \cup U_2^v$  is adjacent to  $u$  or  $v$  in  $\overline{G}$ , by Lemma 2 we are able to extend  $g'$  to a 2RiDF  $g$  of  $\overline{G}$  under which at most one vertex in  $V_i$ ,  $i \in [1, 2]$ , is not assigned value 0 (here if  $(V_1 \cup V_2) \setminus (U_1^u \cup U_2^u \cup U_1^v \cup U_2^v)$  contains a vertex, say  $w$ , then let  $g(w) = 2$ ). Clearly,  $w(g) = w(g') + 2 \leq |V_0| + 2$ , a contradiction. Moreover, if  $(V_1 \cup V_2) \setminus (U_1^u \cup U_2^u \cup U_1^v \cup U_2^v)$  contains two nonadjacent vertices in  $\overline{G}$ , say  $w_1, w_2$ , then  $w_1$  and  $w_2$  are not in the same set  $V_i$  for some  $i \in [1, 2]$ . Therefore, we can extend  $g'$  to a 2RiDF  $g$  of  $\overline{G}$  via letting  $g'(x) = 0$  when  $x$  is in  $(V_1 \cup V_2) \setminus \{w_1, w_2\}$  and  $g'(w_1) = g'(w_2) = 2$ . However,  $w(g) = w(g') + 2 \leq |V_0| + 2$ , a contradiction. This completes the proof of Claim 6.

By Claim 6,  $(V_1 \cup V_2) \setminus (U_1^u \cup U_2^u \cup U_1^v \cup U_2^v)$  contains two adjacent vertices in  $\overline{G}$ , say  $w_1, w_2$ . If there exists a  $z \in (V_0 \setminus \{u, v\})$  s.t.  $zw_1 \in E(\overline{G})$  (or  $zw_2 \in E(\overline{G})$ ), then set  $g'$  as:  $g'(z) = g'(u) = g'(v) = 1$ ,  $g'(w_1) = 0$  (or  $g'(w_2) = 0$ ),  $g'(w_2) = 2$  (or  $g'(w_1) = 2$ ). Since in  $\overline{G}$  every vertex in  $(V_1 \cup V_2) \setminus \{w_2\}$  has a neighbor in  $\{z, u, v\}$  and every vertex in  $V' \setminus \{w_2\}$  is a neighbor of  $w_2$ , where  $w_2 \in V'$  for some  $V' \in \{V_1, V_2\}$ , we can extend  $g'$  to a 2RiDF  $g$  of  $\overline{G}$  according to Lemma 2. Under  $g$ , every vertex in  $V' \setminus \{w_2\}$  is assigned value 0 and at most one vertex in  $\{V_1, V_2\} \setminus V'$  is not assigned value 0. Therefore,  $w(g) \leq |V_0| + 2$ , a contradiction. This demonstrates that in  $\overline{G}$  no vertex in  $V_0$  is adjacent to  $\{w_1, w_2\}$ . Furthermore, if there is a  $z \in V_0 \setminus \{u, v\}$ , then by Claim 6 we have  $(V_1 \cup V_2) \setminus (U_1^u \cup U_2^u \cup U_1^z \cup U_2^z) = \{w_1, w_2\}$  and  $(V_1 \cup V_2) \setminus (U_1^v \cup U_2^v \cup U_1^z \cup U_2^z) = \{w_1, w_2\}$ , which implies that  $N_{\overline{G}}(z) = U_1^u \cup U_2^u \cup U_1^v \cup U_2^v$ . Set  $g'$  as:  $g'(z) = 1$ ,  $g'(u) = g'(v) = 2$  and  $g'(x) = 0$  for  $x \in U_1^u \cup U_2^u \cup U_1^v \cup U_2^v$ . Then,  $g'$  is a 2RiDF of  $\overline{G} - (\{w_1, w_2\} \cup (V_0 \setminus \{u, v, z\}))$  with weight 3, and we can extend  $g'$  to a 2RiDF of  $\overline{G}$  with weight at most  $(|V_0| + 2 - 3) + 3 = |V_0| + 2$  according to Lemma 2, a contradiction. So far, we have shown that  $V_0 = \{u, v\}$ , that is,  $\gamma_{ri2}(G) = n - 2$ .

Now, we define a 2RiDF  $f'$  of  $G[\{u, v, w_1, w_2\}]$  as follows:  $f'(w_1) = f'(w_2) = 0$ ,  $f'(u) = 1$  and  $f'(v) = 2$ . According to Lemma 2, we can extend  $f'$  to a 2RiDF  $f$  of  $G$  with weight at most  $n - 2$ . To ensure  $w(f) \geq \gamma_{ri2}(G) = n - 2$ ,  $f$  must be a  $\gamma_{ri2}(G)$ -function (since  $w(f) = n - 2$ ). However,  $\overline{G}[\{w_1, w_2\}]$  is isomorphic to  $K_2$ . This contradicts the selection of  $f_0$ . Eventually, the proof of Theorem 3 is finished.  $\square$

Based on the foregoing analysis, we observed that the upper bound  $n + 2$  can be attained by graphs  $S_r (r \geq 2)$ ,  $S_r^+ (r \geq 2)$ , and  $S(r, 1) (r \geq 1)$ , while we did not find other graphs that possess this property. So, we propose a problem as follows.

**Question 1.** Is it enough to determine graphs  $G$  with  $\gamma_{ri2}(G) + \gamma_{ri2}(\overline{G}) = |V(G)| + 2$  by  $S_r (r \geq 2)$ ,  $S_r^+ (r \geq 2)$ , and  $S(r, 1) (r \geq 1)$ ?

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