



Article Branch-and-Bound and Heuristic Algorithms for Group Scheduling with Due-Date Assignment and Resource Allocation

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Abstract: Green scheduling that aims to enhance efficiency by optimizing resource allocation and job sequencing concurrently has gained growing academic attention. To tackle such problems with the consideration of scheduling and resource allocation, this paper considers a single-machine group scheduling problem with common/slack due-date assignment and a controllable processing time. The objective is to decide the optimized schedule of the group/job sequence, resource allocation, and due-date assignment. To solve the generalized case, this paper proves several optimal properties and presents a branch-and-bound algorithm and heuristic algorithms. Numerical experiments show that the branch-and-bound algorithm is efficient and the heuristic algorithm developed based on the analytical properties outruns the tabu search.

Keywords: scheduling; single machine; resource allocation; group technology; due-date assignment

MSC: 90B35

1. Introduction

Due to the reflection on the balance between resource allocation costs and efficiency, the scheduling problem with a controllable processing time (CPSP) has received a considerable amount of attention. In contrast to the conventional scheduling problem with a constant processing time, the controllable processing time varies according to the allocated resources, especially those represented by energy. Since the essential objective of the green scheduling problem (GSP) was to maximize the environmental benefits by deciding the energy usage allocation and schedule, the CPSP could be extended to deal with the GSP (Foumani and Smith [1]). Early research on the CPSP introduced the idea that the processing time often varied and could be reduced with the cost of more allocations of production resources (Shabtay and Steiner [2]; Manier and Bloch [3]; Kuntay et al. [4]). Uruk et al. [5] considered flexible operations and resource allocation in a two-machine flowshop environment. Mor and Mosheiov [6] integrated batch scheduling into the CPSP. The jobs inside a certain batch were modeled as identical jobs. For the CPSP with scenario-based demands, Akhoondi and Lotfi [7] developed heuristic algorithms for master production scheduling. Li and Wang [8] combined the deteriorating effects with the CPSP. The problem of minimizing the weighted sum of the makespan and resource cost was proved to be polynomially solvable. The CPSP with learning effects was considered by Sun et al. [9]. For a two-machine flowshop CPSP with common due-date assignment and no-wait constraints, they proved that the proposed problem could be solved in polynomial time. Sun et al. [9]



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Copyright: © 2023 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). studied the CPSP with slack due-date assignment and no-wait constraints and proved that the irregular objective can be polynomially solvable.

Group technology and due-date assignment are concurrently integrated into the CPSP, which is widely reflected in real manufacturing environments and attracts an increasing amount of academic attention (Shabtay et al. [10]; Zhu et al. [11]). For green scheduling with the consideration of carbon-emission supervision and reduction, the group of jobs is usually divided according to periodical carbon-emission demand and endowed with different workloads. In terms of group scheduling, Webster and Baker [12] were among the pioneers that introduced the idea of group technology to the single-machine scheduling problem. Li et al. [13] integrated the due-date assignment problem into the group scheduling problem and proved that irregular minimization can be solved in polynomial time. Liu et al. [14] considered a single-machine group scheduling problem with deteriorating effects and developed composite solution methods for the objective of makespan minimization. The due-date assignment problem is usually proposed to integrate with group scheduling and was recently covered by Yang et al. [15] and Yin et al. [16–19]. As for scheduling with the combined considerations of the controllable processing time and group technology, Shabtay et al. [10] dealed with the single-machine CPSP with group technology and due-date assignment. Yan et al. [20] studied the integrated problem with learning effects and a total resource limitation. For the special cases, the problem was proved to be polynomially solvable. Liu and Wang [21] considered a new group scheduling model with due-date assignment and a controllable processing time. They proved the special cases where the job numbers of different groups were identical were polynomially solvable.

In light of the significance of the CPSP with group technology in real manufacturing environments, this paper continues the study of an integrated model of group scheduling with a controllable processing time under CON/slack due-date assignment (Liu and Wang [21]) and extends the work to a general case where the job numbers of different groups are variable. This paper considers an integrated solution method to tackle the open problem of Liu and Wang [21]. The objective is to minimize the weighted earliness and tardiness. Our main contributions include the following: (1) the incorporation of the generality of the integrated CPSP model; (2) the proposition of optimal properties; (3) the analysis of the lower bound strategy; and (4) composite solution algorithms to solve the general case of the problem.

The remainder of this paper is organized as follows. Section 2 makes notations and assumptions. Section 3 presents several preliminary properties and shows the lower bound analysis for general cases. Section 4 proposes the solution algorithms of an exact method and heuristics. Section 5 displays numerical experiment results. Finally, Section 6 makes the summary.

2. Problem Formulation

In this section, notations used throughout this whole paper will first be introduced as follows (see Abbreviation section).

Investigate a set of O jobs grouped into Q groups F_1, F_2, \ldots, F_Q . All the jobs are to be processed on a single machine and are available at time zero. Let the number of jobs in F_g be O_g ; it follows that $O_1 + O_2 + \ldots + O_Q = O$. A setup time s_g has to be required before the processing of jobs in group F_g . Let $G_{g,k}$ denote the *k*th job in F_g , where $g = 1, 2, \ldots, Q; k = 1, 2, \ldots, O_g$. As in Liu and Wang [21], the processing time of $G_{g,k}$ is

$$p_{g,k}^{A} = \left(\frac{\omega_{g,k}}{u_{g,k}}\right)^{\sigma},\tag{1}$$

where $\omega_{g,k}$ is the workload of $G_{g,k}$, $\sigma > 0$ is a constant, and $u_{g,k}$ is the amount of resources allocated to $G_{g,k}$.

Let $E_{g,k} = \max\{0, d_{g,k} - C_{g,k}\}$ (resp. $T_{g,k} = \max\{0, C_{g,k} - d_{g,k}\}$) be the earliness (resp. tardiness) of $G_{g,k}$ in F_g , where $d_{g,k}$ (resp. $C_{g,k}$) is the due date (resp. completion time) of $G_{g,k}$. Under the *CON* assignment, it is assumed that $d_{g,k} = d_g(g = 1, \dots, Q, k = k = 1)$

1,2,..., O_g), where d_g is a decision variable. Under the *SLK* assignment, it is assumed that $d_{g,k} = p_{g,k}^A + q_g(g = 1,...,Q,k = k = 1,2,...,O_g)$, where q_g denotes the common flow allowance in group F_g and q_g is a decision variable. Denote [*z*] as some job (or group) scheduled in the *z*th position; the objective is to determine a group schedule χ and an internal job schedule ψ_g within F_g , a set of $\mathbf{d} = \{d_g | g = 1,...,Q\}$ ($\mathbf{q} = \{q_g | g = 1,...,Q\}$) and a set of $\mathbf{u} = \{u_{g,k} | g = 1,...,Q; k = 1,...,O_g\}$, such that the optimization objective

$$\widetilde{OF}(CON) = \sum_{g=1}^{Q} \sum_{k=1}^{O_g} (\alpha_{g,k} E_{g,[k]} + \beta_{g,k} T_{g,[k]} + \xi d_g) + \sum_{g=1}^{Q} \sum_{k=1}^{O_g} v_{g,k} u_{g,k}$$
(2)

$$\widetilde{OF}(SLK) = \sum_{g=1}^{Q} \sum_{k=1}^{O_g} (\alpha_{g,k} E_{g,[k]} + \beta_{g,k} T_{g,[k]} + \xi q_g) + \sum_{g=1}^{Q} \sum_{k=1}^{O_g} v_{g,k} u_{g,k}$$
(3)

is minimized, where $\alpha_{g,k}$ (resp. $\beta_{g,k}$) is a position-dependent weight for the earliness (resp. tardiness) cost, $v_{g,k}$ is the unit consumption cost, and $\xi \ge 0$ is a given constant. As in Liu and Wang [21], the problem can be denoted by

$$1 \left| X, p_{g,k}^{A} = \left(\frac{\omega_{g,k}}{u_{g,k}} \right)^{\sigma}, \widetilde{GT} \right| \widetilde{OF}(X)$$
(4)

where $X \in \{CON, SLK\}$ and \widetilde{GT} denotes group technology. For a special case, i.e., where the number of jobs in F_g is identical, Liu and Wang [21] proved that the problem can be solved in $O(\ddot{O}^3)$ time. This paper will consider how to solve the general problem $1 \left| X, p_{g,k}^A = \left(\frac{\omega_{g,k}}{u_{g,k}} \right)^{\sigma}, \widetilde{GT} \right| \widetilde{OF}(X) \ (X \in \{CON, SLK\}).$

3. Preliminary Properties

From Liu and Wang [21], the following results are given:

Lemma 1 (Lemma 2, Liu and Wang [21]). For a given job sequence ψ_g within F_g (g = 1, ..., Q), under a CON (resp. SLK) assignment, there exists an optimal $d_g = C_{g,[h_g]}$ (resp. $q_g = C_{g,[h_g-1]}$) where h_g satisfies the following inequality: $\sum_{l=h_g+1}^{O_g} \beta_{g,l} - \sum_{l=1}^{h_g} \alpha_{g,l} \leq \xi O_g \leq \sum_{l=h_g}^{O_g} \beta_{g,l} - \sum_{l=1}^{h_g-1} \alpha_{g,l}$.

Lemma 2 (Lemma 6, Liu and Wang [21]). Under the given group and job sequences

$$1\left|X, p_{g,k}^{A} = \left(\frac{\omega_{g,k}}{u_{g,k}}\right)^{\sigma}, \widetilde{GT}\left|\widetilde{OF}(X)\right|,$$

the optimal resource allocation $\mathbf{u}^*(\chi, \psi_g | g = 1, ..., Q)$ is

$$u_{[g],[k]}^* = \left(\frac{\sigma\left(B_{[g]k} + \xi \sum_{r=g+1}^Q O_{[r]}\right)}{v_{[g],[k]}}\right)^{\frac{1}{\sigma+1}} \times \left(\omega_{[g],[k]}\right)^{\frac{\sigma}{\sigma+1}},\tag{5}$$

where, for the CON assignment,

$$B_{[g],k} = \begin{cases} \sum_{l=1}^{k-1} \alpha_{[g],l} + \xi O_{[g]}, & k = 1, 2, \dots, h_g, \\ \sum_{l=k}^{O_g} \beta_{[g],l}, & k = h_g + 1, h_g + 2, \dots, O_g, \end{cases}$$
(6)

and for the SLK assignment,

$$B_{[g],k} = \begin{cases} \sum_{l=1}^{k} \alpha_{[g],l} + \xi O_{[g]}, & k = 1, 2, \dots, h_g - 1, \\ \sum_{l=k+1}^{O_g} \beta_{[g],l}, & k = h_g, h_g + 1, \dots, O_g - 1, \\ 0, & k = O_g. \end{cases}$$
(7)

As in Liu and Wang [21], it follows that

$$OF(X)(\chi, \psi_{g}|g = 1, ..., Q, \mathbf{u}^{*}) = \left(\sigma^{\frac{-\sigma}{\sigma+1}} + \sigma^{\frac{1}{\sigma+1}}\right) \sum_{g=1}^{Q} \sum_{k=1}^{O_{[g]}} \left(B_{[g],k} + \xi \sum_{z=g+1}^{Q} O_{[z]}\right)^{\frac{1}{\sigma+1}} \left(v_{[g],[k]} \mathcal{O}_{[g],[k]}\right)^{\frac{\sigma}{\sigma+1}} + \xi \sum_{g=1}^{Q} \left(O_{[g]} \times \sum_{z=1}^{g} s_{[z]}\right)$$

$$(8)$$

Lemma 3 (Lemma 7, Liu and Wang [21]). *Given group order* χ , the optimal job sequence ψ_g^* (g = 1, ..., Q) within F_g can be obtained by matching the smallest (resp. second smallest) $B_{g,k}$ to the job with the largest (resp. second largest) $v_{g,k}\omega_{g,k}$, and so on, where, for the CON assignment,

$$B_{g,k} = \begin{cases} \sum_{l=1}^{k-1} \alpha_{g,l} + \xi O_g, & k = 1, 2, \dots, h_g, \\ \sum_{l=k}^{O_g} \beta_{g,l}, & k = h_g + 1, h_g + 2, \dots, O_g, \end{cases}$$
(9)

and for the SLK assignment,

$$B_{g,k} = \begin{cases} \sum_{l=1}^{k} \alpha_{g,l} + \xi O_{[g]}, & k = 1, 2, \dots, h_g - 1, \\ \sum_{l=k+1}^{O_g} \beta_{g,l}, & k = h_g, h_g + 1, \dots, O_g - 1, \\ 0, & k = O_g. \end{cases}$$
(10)

By using the group interchanging technique, the following results can be obtained.

Lemma 4. The term
$$\sum_{g=1}^{Q} \left(O_{[g]} \times \sum_{z=1}^{g} s_{[z]} \right)$$
 is minimized if $\frac{O_{[1]}}{s_{[1]}} \ge \frac{O_{[2]}}{s_{[2]}} \ge \ldots \ge \frac{O_{[Q]}}{s_{[Q]}}$

Proof. This is proved using the group interchanging technique. \Box

If the optimal job sequence ψ_g^* (g = 1, ..., Q) within F_g is given (by Lemma 3), the term $\sum_{g=1}^Q \sum_{k=1}^{O_{[g]}} \left(B_{[g],k} + \xi \sum_{z=g+1}^Q O_{[z]} \right)^{\frac{1}{\sigma+1}} \left(v_{[g],[k]} \omega_{[g],[k]} \right)^{\frac{\sigma}{\sigma+1}}$ can not be minimized by the non-increasing (LPT) order of O_g and the non-decreasing (SPT) order of O_g .

Example 1. Q = 2, $O_1 = 3$, $O_2 = 2$, $\sigma = \xi = 1$, $B_{1,1} = 40$, $B_{1,2} = 42$, $B_{1,3} = 43$, $B_{2,1} = 40$, $B_{2,2} = 50$, $v_{1,1}\omega_{1,1} = 23$, $v_{1,2}\omega_{1,2} = 29$, $v_{1,3}\omega_{1,3} = 13$, $v_{2,1}\omega_{2,1} = 13$, $v_{2,2}\omega_{2,2} = 13$.

According to Lemma 3, the optimal job sequence within F_1 (resp. F_2) is $G_{1,1} \rightarrow G_{1,2} \rightarrow G_{1,3}$ (resp. $G_{2,1} \rightarrow G_{2,2}$). According to the LPT order of O_g (i.e., $F_1 \rightarrow F_2$), it follows that

$$\sum_{g=1}^{Q} \sum_{k=1}^{O_{[g]}} \left(B_{[g],k} + \xi \sum_{z=g+1}^{Q} O_{[z]} \right)^{\frac{1}{\sigma+1}} \left(v_{[g],[k]} \varpi_{[g],[k]} \right)^{\frac{\sigma}{\sigma+1}}$$

$$= (40+2)^{0.5} * 23^{0.5} + (42+2)^{0.5} * 29^{0.5} + (43+2)^{0.5} * 13^{0.5} + 40^{0.5} * 13^{0.5} + 50^{0.5} * 13^{0.5}$$

$$= 139.2871.$$

If the group order is $F_2 \rightarrow F_1$, the following formula can be obtained.

$$\begin{split} &\sum_{g=1}^{Q} \sum_{k=1}^{O_{[g]}} \left(B_{[g],k} + \xi \sum_{z=g+1}^{Q} O_{[z]} \right)^{\frac{1}{\sigma+1}} \left(v_{[g],[k]} \omega_{[g],[k]} \right)^{\frac{\sigma}{\sigma+1}} \\ &= (40+3)^{0.5} * 13^{0.5} + (50+3)^{0.5} * 13^{0.5} + 40^{0.5} * 23^{0.5} + 42^{0.5} * 29^{0.5} + 43^{0.5} * 13^{0.5} \\ &= 138.7665. \end{split}$$

Therefore, the LPT order of O_g *is not an optimal group schedule.*

Example 2. Q = 2, $O_1 = 3$, $O_2 = 2$, $\sigma = \xi = 1$, $B_{1,1} = 40$, $B_{1,2} = 42$, $B_{1,3} = 43$, $B_{2,1} = 40$, $B_{2,2} = 50$, $v_{1,1}\omega_{1,1} = 23$, $v_{1,2}\omega_{1,2} = 29$, $v_{1,3}\omega_{1,3} = 13$, $v_{2,1}\omega_{2,1} = 33$, $v_{2,2}\omega_{2,2} = 33$.

According to Lemma 3, the optimal job sequence within F_1 (resp. F_2) is $G_{1,1} \rightarrow G_{1,2} \rightarrow G_{1,3}$ (resp. $G_{2,1} \rightarrow G_{2,2}$). According to the SPT order of O_g (i.e., $F_2 \rightarrow F_1$), it follows that

$$\sum_{g=1}^{Q} \sum_{k=1}^{O_{[g]}} \left(B_{[g],k} + \xi \sum_{z=g+1}^{Q} O_{[z]} \right)^{\frac{1}{\sigma+1}} \left(v_{[g],[k]} \omega_{[g],[k]} \right)^{\frac{\sigma}{\sigma+1}}$$

= $(40+3)^{0.5} * 13^{0.5} + (50+3)^{0.5} * 13^{0.5} + 40^{0.5} * 23^{0.5} + 42^{0.5} * 29^{0.5} + 43^{0.5} * 13^{0.5}$
= $168.3652.$

If the group order is $F_1 \rightarrow F_2$ *, the function is given as follows:*

$$\begin{split} &\sum_{g=1}^{Q}\sum_{k=1}^{O_{[g]}} \left(B_{[g],k} + \xi \sum_{z=g+1}^{Q} O_{[z]} \right)^{\frac{1}{\sigma+1}} \left(v_{[g],[k]} \varpi_{[g],[k]} \right)^{\frac{\sigma}{\sigma+1}} \\ &= (40+2)^{0.5} * 23^{0.5} + (42+2)^{0.5} * 29^{0.5} + (43+2)^{0.5} * 13^{0.5} + 40^{0.5} * 33^{0.5} + 50^{0.5} * 33^{0.5} \\ &= 167.9405. \end{split}$$

Therefore, the SPT order of O_g is not an optimal group schedule.

4. Solution Methods

4.1. Lower Bound Analysis

For a special case (i.e., $O_1 = O_2 = \ldots = O_Q = \overline{O}$), Liu and Wang [21] demonstrated that $1 | X, O_g = \overline{O}, p_{g,k}^A = \left(\frac{\omega_{g,k}}{u_{g,k}}\right)^{\sigma}, \widetilde{GT} | \widetilde{OF}(X)$ can be solved in $O(\ddot{O}^3)$ time. For the general case of

$$1\left|X, p_{g,k}^{A} = \left(\frac{\omega_{g,k}}{u_{g,k}}\right)^{c}, \widetilde{GT}\right| \widetilde{OF},$$

the complexity is an open question. To solve the general case of this problem, some heuristic and branch-and-bound (B and B) algorithms will be proposed.

From Lemma 3 (Lemma 7, Liu and Wang [21]), the optimal job sequence ψ_g^* (g = 1, ..., Q) within F_g can be obtained. Let $\chi = (\chi^s, \chi^u)$ be a group sequence, where χ^s (resp. χ^u) is the scheduled (resp. unscheduled) part, and suppose there are *r* groups in χ^s . From Equation (8), the following formula can be obtained.

$$\begin{split} \widetilde{OF}(X)(\chi^{s},\chi^{u}) &= \left(\sigma^{\frac{-\sigma}{\sigma+1}} + \sigma^{\frac{1}{\sigma+1}}\right) \sum_{g=1}^{r} \sum_{k=1}^{O_{[g]}} \left(B_{[g],k} + \xi \sum_{z=g+1}^{Q} O_{[z]}\right)^{\frac{1}{\sigma+1}} \left(v_{[g],[k]} \varpi_{[g],[k]}\right)^{\frac{\sigma}{\sigma+1}} \\ &+ \left(\sigma^{\frac{-\sigma}{\sigma+1}} + \sigma^{\frac{1}{\sigma+1}}\right) \sum_{g=r+1}^{Q} \sum_{k=1}^{O_{[g]}} \left(B_{[g],k} + \xi \sum_{z=g+1}^{Q} O_{[z]}\right)^{\frac{1}{\sigma+1}} \left(v_{[g],[k]} \varpi_{[g],[k]}\right)^{\frac{\sigma}{\sigma+1}} \quad (11) \\ &+ \xi \sum_{g=1}^{r} \left(O_{[g]} \times \sum_{z=1}^{g} s_{[z]}\right) + \xi \sum_{g=r+1}^{Q} \left(O_{[g]} \times \left(\sum_{z=1}^{r} s_{[z]} + \sum_{z=r+1}^{g} s_{[z]}\right)\right) \right) \end{split}$$

From Equation (11), the terms $\xi \sum_{g=1}^{r} \left(O_{[g]} \times \sum_{z=1}^{g} s_{[z]}\right)$, $\sum_{z=1}^{r} s_{[z]}$, and $\left(\sigma^{\frac{-\sigma}{\sigma+1}} + \sigma^{\frac{1}{\sigma+1}}\right) \sum_{g=1}^{r} \sum_{k=1}^{O_{[g]}} \left(B_{[g],k} + \xi \sum_{z=g+1}^{Q} O_{[z]}\right)^{\frac{1}{\sigma+1}} \times \left(v_{[g],[k]} \varpi_{[g],[k]}\right)^{\frac{\sigma}{\sigma+1}}$ are constants. $\xi \sum_{g=r+1}^{Q} \left(O_{[g]} \times \left(\sum_{z=1}^{r} s_{[z]} + \sum_{z=r+1}^{g} s_{[z]}\right)\right)$ can be minimized by Lemma 4. Then the following inequality can be obtained: $\left(\sigma^{\frac{-\sigma}{\sigma+1}} + \sigma^{\frac{1}{\sigma+1}}\right) \sum_{g=r+1}^{Q} \sum_{k=1}^{O_{[g]}} \left(B_{[g],k} + \xi \sum_{z=g+1}^{Q} O_{[z]}\right)^{\frac{1}{\sigma+1}} \left(v_{[g],[k]} \varpi_{[g],[k]}\right)^{\frac{\sigma}{\sigma+1}} \ge \left(\sigma^{\frac{-\sigma}{\sigma+1}} + \sigma^{\frac{1}{\sigma+1}}\right) \sum_{g=r+1}^{Q} \sum_{k=1}^{O_{[g]}} \left(B_{[g],k}\right)^{\frac{1}{\sigma+1}} \left(v_{[g],[k]} \varpi_{[g],[k]}\right)^{\frac{\sigma}{\sigma+1}}$. Hence, the lower bound is given as follows:

$$LB(OF) = \left(\sigma^{\frac{-\sigma}{\sigma+1}} + \sigma^{\frac{1}{\sigma+1}}\right) \sum_{g=1}^{r} \sum_{k=1}^{O_{[g]}} \left(B_{[g],k} + \xi \sum_{z=g+1}^{Q} O_{[z]}\right)^{\frac{1}{\sigma+1}} \left(v_{[g],[k]} \varpi_{[g],[k]}\right)^{\frac{\sigma}{\sigma+1}} + \left(\sigma^{\frac{-\sigma}{\sigma+1}} + \sigma^{\frac{1}{\sigma+1}}\right) \sum_{g=r+1}^{Q} \sum_{k=1}^{O_{\leq g>}} \left(B_{[g],k}\right)^{\frac{1}{\sigma+1}} \left(v_{[g],[k]} \varpi_{[g],[k]}\right)^{\frac{\sigma}{\sigma+1}} + \xi \sum_{g=1}^{r} \left(O_{[g]} \times \sum_{z=1}^{g} s_{[z]}\right) + \xi \sum_{g=r+1}^{Q} \left(O_{\leq g>} \times \left(\sum_{z=1}^{r} s_{[z]} + \sum_{z=r+1}^{g} s_{\leq z>}\right)\right)\right)$$

$$(12)$$

where $\frac{O_{< r+1>}}{s_{< r+1>}} \ge \frac{O_{< r+2>}}{s_{< r+2>}} \ge \ldots \ge \frac{O_{<Q>}}{s_{<Q>}}$.

4.2. Upper Bound Algorithms

Algorithm 1: Upper bound

From the above analysis and Nawaz et al. [22], the following Algorithm 1 can be proposed as an upper bound for $1 | X, p_{g,k}^A = \left(\frac{\omega_{g,k}}{u_{g,k}}\right)^{\sigma}, \widetilde{GT} | \widetilde{OF}(X).$

Phase 1

Step (a1). Sequence groups in non-decreasing order of s_g .

Step (a2). Sequence groups in non-increasing order of $\frac{O_g}{s_a}$.

Step (a3). Sequence groups in non-increasing order of \mathcal{O}_{g} .

Step (a4). Choose the better solution from Steps (a1), (a2), and (a3).

Phase 2

Step (b1). Let χ^0 be the group sequence obtained from Phase 1.

Step (b2). Set $\lambda = 2$. Select the first two groups from the sorted list and select the better of the two possible sequences. Do not change the relative positions of these two jobs with respect to each other in the remaining steps of the algorithm. Set $\lambda = 3$.

Step (b3). Pick the job in the λ th position of the list generated in Step (b1) and find the best group sequence by placing it at all possible λ positions in the partial sequence found

in the previous step, without changing the relative positions to each other of the already assigned groups. The number of enumerations at this step equals λ .

Step (b4). If $\lambda = Q$, STOP; otherwise, set $\lambda = \lambda + 1$ and go to Step (b3).

Algorithm 2: Tabu search

As in Noman et al. [23], a tabu search (TS) algorithm is an effective method for the difficult scheduling problems; hence, the TS algorithm incorporating the analytical properties of the CPSP is designed to reach the near-optimal solution of $1 | X, p_{g,k}^A = \left(\frac{\omega_{g,k}}{u_{g,k}}\right)^{\sigma}$, $\widetilde{GT} | \widetilde{OF}(X)$. The initial group sequence of the TS algorithm is decided in the non-decreasing order of s_i , and the maximum number of iterations for the TS algorithm is set at 200Q (as in Yan et al. [24], in general, the maximum number of iterations is 2000; here, it is set to 200Q).

Step (1). Let the tabu list be empty and the iteration number be zero.

Step (2). Set the initial group sequence of the TS algorithm, calculate its objective cost (by Equation (8)), and set the current group sequence as the best solution χ^* .

Step (3). Search the associated neighborhood of the current group sequence and resolve if there is a group sequence χ^{**} with the smallest objective cost in the associated neighborhood and it is not in the tabu list.

Step (4). If χ^{**} is better than χ^* , then let $\chi^* = \chi^{**}$. Update the tabu list and the iteration number.

Step (5). If there is not a group sequence in the associated neighborhood but it is not in the tabu list or the maximum number of iterations is reached (i.e., 200*Q*), then output the final group sequence. Otherwise, update the tabu list and go to Step (3).

4.3. Exact Method

From the lower bound (see Equation (10)) and upper bound (see Algorithm 1), the following branch-and-bound (B and B) algorithm can be proposed to solve $1 | X, p_{g,k}^A = \left(\frac{\omega_{g,k}}{u_{g,k}}\right)^{\sigma}$,

 $\widetilde{GT}|\widetilde{OF}(X)$ optimally.

Algorithm 3: B and B algorithm

Step (1) (find the upper bound). Obtain an initial solution (upper bound) using Algorithm 1.

Step (2) (bounding). Calculate the lower bound (see Equation (10)) for the node. If the lower bound for an unfathomed partial group sequence is larger than or equal to the objective value of the initial solution (see Equation (8)), eliminate the node and all the nodes following it in the branch. Calculate the objective value of the completed group sequence (see Equation (8)). If it is less than the initial solution, replace it as the new solution; otherwise, eliminate it.

Step (3) (termination). Continue until all nodes have been explored.

Remark 1. For small-sized instances, the B and B algorithm (i.e., Algorithm 3) is an exact algorithm and Algorithms 1 and 2 are heuristic algorithms. For large-sized instances, the B and B algorithm is disabled.

5. Numerical Study

The algorithms (i.e., Algorithms 1, 2, and 3) were executed in Microsoft Visual Studio Professional 2019 (6.11.24) and carried out on a HUAWEI PC with an Inter core i5-8250U 1.4 GHz CPU and 8.00 GB of RAM. This section considers the *CON* assignment, where ξ =10, and other parameters are given as follows:

- (1) $\ddot{O} = 100, 120, 140, 160, 180, 200;$
- (2) Q=10, 12, 14, 16 (each group must contain at least one job);
- (3) s_g were drawn from a discrete uniform distribution in [1, 10];
- (4) $v_{g,k} \omega_{g,k}$ were drawn from a discrete uniform distribution in [1, 50], [50, 100], and [1, 100];
- (5) $\alpha_{g,k}$ and $\beta_{g,k}$ were drawn from a discrete uniform distribution in [1, 50];
- (6) $\sigma = 1, 3, 5.$

To avoid the contingency, each problem instance is conducted 15 times. To analyze the effectiveness of Algorithms 1 and 2, they are compared with the B and B algorithm. The error of the solution produced by Algorithms 1 and 2 is calculated as

$$\frac{\widetilde{OF}(H) - \widetilde{OF}^*}{\widetilde{OF}^*} \times 100\%,\tag{13}$$

where $H \in \{\text{Algorithm 1, Algorithm 2}\}, \widetilde{OF}^*$ is the optimal objective value (see Equation (8)) generated by Algorithm 3. The running time (ms) of Algorithms 1-3 is defined. The results are summarized in Tables 1–3.

From Tables 1–3, it is found that Algorithm 1 based on the analytical properties of the problem apparently performs better than Algorithm 2 for each scale of the numerical experiments, and the maximum relative error percentage of Algorithm 1 is less than 0.214% with $\ddot{O} \leq 200$. The performance of the B and B algorithm is shown to be efficient, leading to the optimal solvency in terms of the cases with a large job number scale. It is also presented that the coefficient of σ has a noticeable impact on the complexity of the problem, implying that the smaller σ tends to generate more complex cases. For the smaller σ , the B and B algorithm needs more CPU time. However, for any σ , the gap in the CPU time between Algorithms 1 and 2 is not too big.

In Table 4, statistical hypothesis tests are conducted to compare the mean percentage errors of Algorithm 1 and Algorithm 2. For a representative display, the instances where $\ddot{O} = 100, 120, 140, 160, 180, 200, \sigma = 3$, and $\omega_{g,k} \in [1, 100]$ are examined. The *t*-test is used for the tests:

$$t = \frac{\overline{Error_{Algorithm1}} - \overline{Error_{Algorithm2}}}{S_w \sqrt{1/n_{Algorithm1} + 1/n_{Algorithm2}}}$$

where $S_w^2 = \frac{(n_{Algorithm1}-1)S_{Algorithm1}^2 + (n_{Algorithm2}-1)S_{Algorithm2}^2}{n_{Algorithm1} + n_{Algorithm2} - 2}$ and \overline{Error} denotes the mean error percentage. As the results in Tables 1–3 potentially show that the effectiveness performances of the two algorithms are ranked as Algorithm1>Algorithm3, the corresponding statistical hypothesis test is set as $H_0: \mu_{Algorithm2} > \mu_{Algorithm1}, H_1: \mu_{Algorithm2} \leq \mu_{Algorithm1}$. Type *I* error of 1% is used, and thus $t_{critical} = 2.5$. Further experiment results in Table 4 show that for all instances the hypothesis that $H_0: \mu_{Algorithm2} > \mu_{Algorithm1}$ with a type *I* error of 1% is supported.

Table 1. Results for $v_{g,k} \omega_{g,k} \in [1, 50]$.

			CPU Tin Algor	CPU Time (ms) of No Algorithm 3		Node Number of CP Algorithm 3		CPU Time (ms) of Algorithm 1		r of thm 1	CPU Time (ms) of Algorithm 2		Error of Algorithm 2	
Ö	Q	σ	Mean	Max	Mean	Max	Mean	Max	Mean	Max	Mean	Max	Mean	Max
100	10	1 3	175.83 7.9	257 22	10,627.67 482.7	14,886 1384	3.67 3.6	5 4	0 0	0 0	2272.83 2203.5	2295 2352	6.22 9.89	8.71 26.39
		5	10.17	20	598.5	1298	3.67	5	0	0	2499.17	2530	8.09	13.147
100	12	1 3 5	2037.17 88.5 30	6671 386 84	119,460.33 4671.33 1530.5	401,171 19,941 4356	5.5 5.83 5.5	7 7 6	0.01 0 0	0.037 0 0	4291.5 4773.5 4596.33	4319 4835 4768	9.29 10.94 10.1	12.55 15.38 21.27
100	14	1 3 5	64,659.4 1536 338.4	269,953 2981 973	3,365,621.6 78,535 16,728.4	14,105,756 152,347 48,324	8.4 9 8.4	10 10 9	0.00035 0 0.0002	0.0017 0 0.0007	8621.8 8639.8 8313.2	8693 8846 8723	9.15 10.26 13.66	16.2 18.78 19.14
100	16	1 3 5	199,804.1 5518 401.8	1,210,619 21,077 967	9,729,114.6 296,168.8 18,365.5	59,289,573 1,051,335 43,727	12.2 11.6 12.2	14 13 14	0.002 0.004 0.00008	0.013 0.03 0.0008	69,604.5 69,253.5 71,538.2	70,978 70,416 75,239	9.8 13.09 15.98	14.32 17.76 25.17
120	10	1 3 5	216.17 13.8 11	563 54 34	11,452.5 729.6 582.17	29,391 2843 1695	4 3.8 4.17	4 4 6	0.008 0 0	0.048 0 0	2450.83 2348.9 2683.17	2488 2385 2756	3.35 8.51 6.12	7.59 12.64 9.39
120	12	1 3 5	2899.67 68.5 31.17	7265 132 59	147,748.5 3220.17 1550.5	375,004 6246 3073	6.33 6.67 6.83	7 8 8	0.024 0 0.0008	0.122 0 0.0053	4651.5 5039.67 5054.83	4690 5143 5122	8.66 9.82 10.64	9.96 16.13 19.2

umber of	CPU Tim	e (ms) of	Erro	or of	CPU Time	(ms) of	Error of		
rithm 3	Algori	ithm 1	Algor	ithm 1	Algorit	hm 2	Algorithm 2		
Max	Mean	Max	Mean	Max	Mean	Max	Mean	Max	
835,108	9.2	10	0.0008	0.0042	9323.2	9437	8.26	12.21	
41,005	9.4	10	0	0	9080.8	9165	11.89	18.96	
4404	9.6	11	0.0024	0.0121	8774	8883	14.31	24.01	
121,153,291	14.5	17	0	0	15,476	15,622	9.848	14.03	
3,843,414	19.5	20	0.0021	0.0084	19,312.75	19,635	14.45	18.35	
17,094	14.5	17	0.0007	0.002	15,875.83	15,895	20.06	23.59	
71,768	4 83	5	0.0004	0.0021	2682	2718	4 01	7 83	

Table 1. Cont.

			CPU Tim Algori	CPU Time (ms) of Algorithm 3		Node Number of Algorithm 3		CPU Time (ms) of Algorithm 1		or of ithm 1	CPU Time (ms) of Algorithm 2		Error of Algorithm 2	
Ö	Q	σ	Mean	Max	Mean	Max	Mean	Max	Mean	Max	Mean	Max	Mean	Max
		1	10,006.6	19,412	435,290.8	835,108	9.2	10	0.0008	0.0042	9323.2	9437	8.26	12.21
120	14	3	530.2	977 98	22,433.6	41,005	9.4	10	0	0	9080.8 8774	9165 8883	11.89 14 31	18.96 24.01
		1	842 804 25	2 892 896	25 422 282 5	121 152 201	14.5	17	0.0024	0.0121	15 476	15 622	0.949	14.02
120	16	3	61,141.5	142,735	1,644,191.5	3,843,414	14.5	20	0.0021	0.0084	19,312.75	19,635	9.848 14.45	14.03
		5	271.08	419	10,762.33	17,094	14.5	17	0.0007	0.002	15,875.83	15,895	20.06	23.59
		1	455.5	1599	20,615.5	71,768	4.83	5	0.0004	0.0021	2682	2718	4.01	7.83
140	10	3	10.6	28 32	502.1 650 5	1323	4.3	5	0	0	2545.1 2094.67	2600	8.12 8.16	13.45 17.48
		1	4105.92	10.400	180 284 5	465 705	7.22	0	0.002	0.016	4070.22	4000	4.0	0.7
140	12	3	47.67	73	1968	2973	7.55	9	0.003	0.018	4979.33 5411.83	4999 5548	4.0 9.9	0.7 19.9
		5	23.83	30	964	1217	7.33	8	0	0	5429	5556	10.24	13.75
		1	50,553.4	117,757	1,993,660	4,706,960	10.8	11	0.007	0.034	9784.2	9811	6.26	10.17
140	14	3	1339.6 64	3279 142	52,678 2457 2	134,425	10.6 10	11 11	0	0	9811.4 9349 4	10,039 9740	14.3 15.43	17.4 20.12
		1	1((0(0	447.404	(11()==	1(282 202	16 75	20	0.000	0.000	16 406 25	1676	10.40	20.12
140	16	1 3	2232.5	447,424 5255	6,116,255	16,383,293	16.75	20 20	0.006	0.023	16,496.25 18,515.75	16,766	12.31 19.1	22.12
		5	332.25	499	11,752	18,263	15.25	16	0	0	16,794	16,983	15.8	19.51
		1	227.33	373	9236.33	15,118	5.17	6	0.003	0.01	2878	2913	5.02	6.32
160	10	3	11	34	453.9	1416	5.7	9	0	0	2717.8	2789	9.23	14.22
		3	9.07	20	363.67	912	4.65	3	0	0	50/6.17	5156	0.00	14
160	12	1	17,965.17 159.67	94,241 384	675,544 5693.5	3,524,123 13,875	8	8	0.02	0.12	5342.83 5705.33	5488 5751	7.17 10.51	13.31 22
		5	110.17	524	3884.5	18,778	7.67	8	0	0	5776.5	5833	7.26	10.37
		1	79,640	251,562	2,795,280	8,997,594	12	14	0.0005	0.0029	10,322.4	10,453	9.68	12.55
160	14	3	4694	17,685	156,976	597,877	11.8	13	0	0	10,389	10,577	10	12.36
		5	138.8	281	5010	10,482	11	12	0	0	9447	9533	12.26	14.11
160	16	1	570,198 5860 75	1,476,613 11 937	18,462,293	47,409,312	17 18 25	18 19	0.0006	0.0027	16,597 17.415	17,459 17,824	9.05 14.37	11.94 22.25
100	10	5	328.75	601	10,467	19,738	18.25	19	0	0	17,673	17,814	19.79	30.48
		1	356.17	806	12,843.67	28,269	5.5	7	0.006	0.03	3068.17	3112	4.58	12.58
180	10	3	12.6	45	462.5	1699	5.3	6	0	0	2920.6	2964	7.87	13.99
		5	24	30	797	1018	5.5	6	0	0	3288.5	3334	5.7	9.44
180	12	1	6426.33 332 5	12,934 563	237,213	500,166 16 754	8.67 9	9 10	0.009	0.05	5354.9 6104 1	5658 6217	5.45 9.6	6.72 16 2
100	12	5	39.33	90	1274.33	2982	9	10	0	0	6089.3	6179	13.81	18.33
		1	74,008.6	157,651	2,211,136	4,730,406	14	16	0	0	10,878	11,003	6.23	10.57
180	14	3	1262	2,338	39,928.2	74,721	13.8	15	0.003	0.016	11,011	11,450	13.76	23.6
		5	248.8	542	7692.6	17,820	13.6	15	0	0	10,355.8	10,860	13.38	20.15
180	16	1	1,874,526 6445 75	6,582,648 21 324	57,396,522 221,697	202,311,764	19.5 15.75	20 17	0.0004	0.0019	17,192 16 556	17,441	10.04 15.83	16.74 18.75
100	10	5	3281	10,783	94,828	313,272	19.5	22	0.01	0.050	18,737	18,926	14.1	17.05
		1	481.83	766	16,095.5	25,248	6.5	8	0	0	3227.67	3288	3.49	6.01
200	10	3	12	25	407.5	810	5.9	6	0	0	3123.5	3190	6.92	13.73
		5	11.17	16	354.33	541	5.83	6	0	0	3481.5	3580	8.09	12.45
200	12	1	14,715 114.67	34,002	505,003 3510.67	1,171,235	8.83 9.83	9 12	0	0	5663.5 6272	5829 6485	4.39 10.68	6.4 15.74
200	14	5	53.67	99	1612.83	2969	9.5	11	0	0	6431.17	6513	13.89	21.51
		1	68,228	106,308	1,988,507	3,107,931	14.75	16	0.001	0.0059	28,108	28,783	10.1	12.3
200	14	3	1867	10,405	63,026	298,133	15.33	19	0	0	28,039	28,709	12.93	22.43
		5	334.8	1466	9071.7	40,078	15	16	U	U	11,087	11,404	13.58	23.88
200 16	16	1 3	1,033,181 2709 5	2,735,173 7421	29,163,754 114 889	77,121,970 314 102	20 13 25	21 15	0.005 0	0.02	17,884 14 930	18,201 15 927	10.65 20 5	12.97 28 3
	10	5	1232.5	2125	32,467.25	55,554	20.25	22	0	0	18,227	18,930	18.34	21.67

			CPU Tim Algor	ne (ms) of ithm 3	Node N Algo	lumber of rithm 3	CPU Tin Algor	ne (ms) of ithm 1	Error Algorit	of hm 1	CPU Time Algorit	(ms) of hm 2	Erro Algori	r of ithm 2	
Ö	Q	σ	Mean	Max	Mean	Max	Mean	Max	Mean	Max	Mean	Max	Mean	Max	
100	10	1 3	692.67 68.67	1837 121	42,941 3997.17	112,869 6986	3.67 4	6 5	0 0.0009	0 0.0052	2101.5 2299.7	2215 2471	2.36 5.66	4.05 10.47	
100	12	1 3	12,155.6 1421.2	29,096 4734	668,219 80.143	1,584,498 269,116	5.6 5.8	6	0	0	4658 4449.2	4756 4704	5.37 8.84	8.94 15.5	
		5	210.25	390	11,495.75	21,383	6.25	8	0	0	4640.75	4745	5.79	6.78	
100	14	1 3 5	212,081 9621.6 1211.4	609,272 27,407 2583	10,779,171 502,216.5 59,440	30,865,791 1,471,563 128,597	8.2 8.2 8.4	9 9 10	0.005 0.0011	0.0235	8636 7882 8769	8692 7917 8898	8.02 7.33 9.06	15.15 11.5 15.87	
		1	11 244 229	E2 800 080	00 471 207	1 202 004 200	12.6	10	0 0002	0 002	72 770	74 104	7.00	14.06	
100	16	3 5	29,703.8 14,915.4	97,818 55,930	1,337,187 687,413.2	4,463,297 2,532,746	12.0 11.6 12.1	14 14 14	0.0003 0 0	0.003 0 0	71,341.5 69,521.8	72,994 72,567	11.69 12.2	14.90 17.82 18	
		1	493.67	920	26,844	50,616	4.5	5	0	0	2316.17	2352	3.28	4.89	
120	10	3 5	133 21.33	358 52	6781 973.17	18,100 2250	4.16 4.17	5 5	0.001 0	0.006 0	2499 2456.67	2525 2495	4.37 5.8	9.34 8.99	
		1	28,551.8	99,908	1,381,873.4	4,948,904	6.4	7	0	0	4937.2	5128	3.99	6.77	
120	12	3 5	701 177.75	1224 454	32,003.2 7831	54,431 19,741	6 6	7 6	0.0009 0	0.0045 0	4897.6 5006	4949 5072	5.69 10.1	7.61 12.13	
		1	366,480	716,135	15,927,240	31,275,408	9.8	11	0.004	0.02	9311	9465	4.68	5.58	
120	14	3 5	30,233.2 2044.6	89,204 5891	1,372,230 85,747	3,969,285 245,514	8.6 9.8	10 11	0 0	0 0	8449 9380	8514 9481	7.35 10.2	15.97 14.2	
		1	7.572.497	24,249,379	317.472.513	1.018.104.727	13.6	17	0.008	0.055	77.026	79,544	8.38	20	
120	16	3	60,707.88 12,429,25	246,895 44 304	2,504,289	10,502,543	16 14	28 16	0	0	77,911	79,407 78,184	12.43 14 9	14.86 27.9	
		1	966 33	2528	45 752 67	121 293	4.83	5	0	0	2503	2538	2 38	37	
140	10	3	63	106	2868	4721	4.83	5	0	0	2715.5	2793	5.56	10.62	
		5	71.5	164	3162.17	7601	4.5	5	0	0	2642.33	2801	6.43	10.78	
140	12	1 3	20,983.8 866.2	36,950 2444	890,651 35,304	1,586,799 99,934	6.8 7.2	8 8	0.002 0.015	0.01 0.06	5433 5303.8	5555 5337	4.65 6.78	6.52 9.16	
110		5	219.75	373	8811.25	14,336	7.5	9	0	0	5328	5414	5.6	11.43	
		1	180,479	337,237	7,144,871	13,413,322	10	11	0	0	9954	10,115	4.92	7.12	
140	14	3 5	2341.8 1285.2	6683 2532	97,818 46,758.6	285,987 93,674	9.8 10.6	11	0	0	8392.4 9893.2	8412 10,008	10.44 10.01	14.15 13.25	
		1	3,116,283	6,214,264	113,724,235	226,772,280	16.13	18	0.008	0.053	79,908	80,898	6.44	8.4	
140	16	3 5	79,115.5 10,535.9	236,950 30,773	2,675,645 370,875	8,194,853 1,088,717	16 15.6	17 16	0 0	0 0	82,224 81,393	83,284 82,736	11.66 11.6	18.9 14.28	
		1	1662.5	3989	69,675.5	164,478	5.33	6	0	0	2697.3	2783	2.72	4.29	
160	10	3 5	432.67 52.67	1462 73	17,212.17 2060.67	58,095 2822	5.33 5.16	6 6	0 0	0 0	2899.67 2833.17	2939 2872	3.17 5.4	6.14 7.4	
		1	4559.6	10,545	172,079.8	392,283	8.2	9	0	0	5778	5934	4.09	5.44	
160	12	3	1515.4	4496 742	56,064.8 9671 25	166,260 26 713	7.6 7.75	8	0	0	5632.8 5712 5	5690 5893	5.7 7.08	10.22	
		1	358 318	872 836	12 219 505	20,713	12	13	0.006	0 032	10.410	10 566	7.00	10.92	
160	14	3	6759.2	16,794	259,990	637,153	11	12	0	0	8906.2	8921	9.29	17.04	
		5	1621.4	3155	52,268.6	100,539	11.6	13	0	0	10,228.8	10,369	10.72	14.66	
160	16	1 3	15,875,052 169,494	25,972,880 541,659	533,903,012 5,628,651	852,604,283 18,430,108	18 17	19 18	0	0	80,650 79,216	81,123 80,004	10.2	7.9 14.3	
		5	77,583.5	351,324	2,594,059	11,773,483	17.6	20	0	0	82,318.4	87,191	14.7	26.25	
180	10	1	2453.67 217.83	5127 364	93,880 7643	194,697 12 718	5.33 5.33	6	0	0	2882.5	2991 3135	3.23	6.79 5.68	
100	10	5	112.83	328	4174.3	12,325	5.16	6	0	0	3046.67	3094	5.74	10.89	
	4.5	1	8435	24,694	284,680	828,457	9	10	0	0	6147.6	6210	4.65	6.66	
180	12	3 5	3219.8 135.75	9641 319	105,989 4632	315,723 11,256	8.8 8.75	9 9	0 0	0 0	5991 5980.75	6107 6040	4.44 10.71	7.73 12.35	
		1	245,846	629,740	7,714,735	19,988,982	13.8	15	0	0	10,770	11,037	7.24	11.34	
180	14	3 5	6039.5 12,808.6	11,594 44,504	213,514 355.851	422,817 1,181,280	12.8 14.8	14 19	0 0.0014	0 0.0071	9421.4 10 <i>.</i> 937	9513 11,120	7.45 9.94	8.65 17.94	
		1	1.005.534	8,958 852	30,089,268	88,896,652	20	22	0	0	88.412.2	89,852	10.5	16.5	
180	16	3	112,166.7	282,983	3,613,833	9,101,955	18.25	19	0	0	81,956	82,750	14.37	23.7	
		5	19,860.2	76,605	5/5,351	2,151,625	18.8	- 21	0	0	83,174	84,424	11.8	16.78	
200	10	1 3	1900 108.83	3525 222	66,931 3596.5	123,429 7678	6.3 6.17	7 7	0	0	3035.5 3286.5	3088 3349	2.75 5.73	4 9.48	
		5	58.17	134	1959.5	4291	6	7	0	0	3219	3280	6.17	15	

Table 2. Results for $v_{g,k} \omega_{g,k} \in [50, 100]$.

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			CPU Tin Algor	ne (ms) of rithm 3	Node N Algo	umber of rithm 3	CPU Tim Algori	e (ms) of ithm 1	Erro Algor	or of ithm 1	CPU Tim Algori	e (ms) of thm 2	Erro Algor	or of ithm 2
Ö	Q	σ	Mean	Max	Mean	Max	Mean	Max	Mean	Max	Mean	Max	Mean	Max
		1	64,804.8	169,620	1,967,479	5,081,959	9.6	10	0.002	0.012	6421	6476	3.78	7.52
200	12	3	3398.4	7321	102,159	217,628	9.8	10	0.003	0.014	6310	6420	7.36	12.5
		5	132.5	384	4049.75	11,995	9.75	10	0	0	6311.75	6399	6.04	9.76
		1	220,451	293,846	6,409,273	9,303,055	15.5	17	0	0	11,524	11,638	5	5.63
200	14	3	10,268.8	25,866	319,452	811,783	13.4	14	0	0	9965.2	10,025	6.24	13.62
		5	2007.6	7062	16,010	196,268	14.8	16	0.001	0.006	11,582	11,649	11.38	18.63
		1	842,391	1,058,692	24,820,918	156,925,987	24	25	0	0	87,907	90,125	10.64	15.69
200	16	3	78,060	163,149	2,026,217	4,221,889	20.25	21	0	0	93,710.5	95,524	10.99	13.41
		5	28,925	48,010	785,538	1,310,844	20.5	21	0	0	93,008	93,784	15	20.1

Table 2. Cont.

Table 3. Results for $v_{g,k} \omega_{g,k} \in [1, 100]$.

			CPU Tim Algor	CPU Time (ms) of Algorithm 3		umber of ithm 3	CPU Tin Algor	ne (ms) of rithm 1	Erro Algor	or of ithm 1	CPU Time (ms) of Algorithm 2		Error of Algorithm 2	
Ö	Q	σ	Mean	Max	Mean	Max	Mean	Max	Mean	Max	Mean	Max	Mean	Max
		1	329.83	824	19,328.17	48,734	3.67	4	0.014	0.079	2140.17	2155	4.64	8.26
100	10	3	50.83	87	2968.5	4918	3.67	4	0	0	2264.33	2319	4.5	8.02
		5	18.83	58	1096	3502	3.5	4	0	0	2118	2138	3.78	6.28
		1	4367.67	9686	240,672.33	540,128	6	7	0.01	0.043	4705	4762	5.76	9.03
100	12	3	181.67	399	9496.83	21,206	5.67	6	0.007	0.044	4523.33	4734	7.16	11.97
		5	46.83	107	2435.5	5610	5.83	6	0	0	4713.33	4818	8.31	14.59
		1	24,737.4	35,572	1,209,171.6	1,698,917	8.4	9	0.005	0.023	8615.4	8775	8.7	14.28
100	14	3	1625.2	4206	81,509.4	211,300	8.4	9	0	0	8503.4	8703	10.47	16.5
		5	526.4	1020	27,985	55,886	8	9	0	0	8060	8116	11.05	16.53
		1	2,222,533	9,200,131	112,623,096	468,724,389	11.8	14	0.0005	0.005	68,698	72,010	8.86	19
100	16	3	13,294.2	37,569	614,326	17,99,811	12.7	18	0	0	71,314	73,597	11.68	16.17
		5	6338	25,535	291,936	1,145,754	11.4	12	0.002	0.03	71,188.5	72,424	11.87	19
		1	247.67	500	13,054.5	26,234	4	4	0	0	2326.67	2343	3.32	6.8
120	10	3	41.5	70	2202	3779	4.17	5	0	0	2482.5	2515	4.64	10
		5	21	45	1114.83	2544	4.33	5	0	0	2289	2302	5.96	9.2
		1	4983	9675	237,658	461,795	6.83	8	0.029	0.178	5083	5122	4.73	7.49
120	12	3	720.67	2898	33,675.3	137,482	6.5	7	0.06	0.214	5122	5197	9.36	13.12
		5	256	1165	12,260.17	56,351	6.5	7	0	0	5074.67	5144	8.92	14.35
		1	164,821	704,140	7,320,790	31,318,766	9.6	10	0.013	0.027	9125.2	9352	12.13	16.22
120	14	3	2159.2	5882	94,720	262,132	10	11	0.001	0.005	9187.6	9385	9.3	12
		5	408	964	18,181.2	42,851	9	9	0.001	0.007	8608	8656	12.1	14.18
		1	4,849,519	9,598,825	52,989,654	112,559,558	13.2	15	0	0	15,865	15,997	10.56	16.98
120	16	3	12,306.25	29,416	490,665	1,151,196	13.5	15	0	0	15,620.2	15,761	12.65	16.87
		5	3802.5	10,468	162,910	448,843	13.25	14	0.001	0.004	14,382	15,439	16.78	18.53
		1	481	685	22,047	31,800	5	5	0.004	0.023	2540	2632	3.43	4.68
140	10	3	130.5	416	5846.33	18,224	4.66	5	0	0	3694.83	2770	4.7	7.76
		5	17.17	29	765.67	1227	4.5	5	0	0	2483	2479	6.23	11
		1	17,779.17	53,234	745,586.17	2,239,041	7.17	8	0.008	0.029	5417.67	5509	4.4	6.71
140	12	3	518	1222	20,120	48,454	7.67	9	0.01	0.06	5590.17	5694	7.55	8.36
		5	101.5	165	4229.83	6889	7.5	8	0	0	5413.67	5487	7.8	11.7
		1	230,487	820,439	9,048,553.6	32,169,283	11.4	12	0	0	9604	9685	6.29	9.28
140	14	3	5087	18,172	194,122.4	689,592	10	11	0	0	9630.2	9722	12.6	16.74
		5	683	2720	28,079.2	112,672	10.6	12	0	0	9117	9288	11.35	16.13
		1	2,052,347	3,993,328	73,874,865	143,687,994	17	18	0.0239	0.236	16,395	16,818	7.53	17.59
140	16	3	23,583	34,352	894,455	1,202,496	15	16	0.003	0.01	16,495	16,802	14.85	17.4
		5	4328.75	8953	147,934	302,143	16	17	0.004	0.015	16,214	16,248	13.43	24.17
		1	399.83	851	16,425.17	34,669	5.17	6	0	0	2703	2722	2.7	3.95
160	10	3	162.67	363	6408.5	14,658	5.17	6	0	0	2895.5	2974	4.48	9
		5	34.83	58	1443.5	2365	5	5	0	0	2654.67	2674	4.32	9.49
4.10	15	1	17,007.5	68,790	623,283	2,495,037	7.83	9	0.002	0.01	5767.83	5854	3.84	5.61
160	12	3	809.17	1142	28,503.83	41,524	8.17	9	0	0	5939.17	6082	6.15	7.21
		5	296.5	559	10,005.83	18,744	8.17	9	0.001	0.003	5753.13	5836	6.53	11.7
1.00		1	141,663	343,831	4,923,899.6	11,776,362	11.8	13	0.025	0.057	10,320.4	10,385	6.9	8.52
160	14	3	6089.8	20,396	205,664	688,699	12.2	15	U 0.001	0	10,127.4	10,591	9.83	14.82
		5	563	2003	19,840.8	/1,533	12.2	13	0.001	0.006	10,234.6	10,594	11.36	17.32
4.10		1	625,439	881,041	19,927,201	28,658,146	17.67	18	0.01	0.034	16,658	17,092	9.39	10.53
160	16	3	241,470	923,629	7,816,964	29,922,040	18	19	0	0	41,347	42,603	11.1	13.48
		5	2321.75	3659	75,544.45	112,519	17.5	19	0	0	41,609	42,607	14.47	18.46

			CPU Tim Algori	ie (ms) of ithm 3	Node N Algor	umber of ithm 3	CPU Tin Algor	ne (ms) of ithm 1	Erro Algor	or of ithm 1	CPU Time Algorit	(ms) of hm 2	Erro Algor	or of ithm 2
Ö	Q	σ	Mean	Max	Mean	Max	Mean	Max	Mean	Max	Mean	Max	Mean	Max
180	10	1 3	2058.17 56.33	7629 97	76,555.33 2013.5	280,949 3024	6 5.83	7 6	0.03 0	0.126 0	2883.17 3072.17	2934 3147	3.58 7.15	6.44 11.33
		5	92.5	201	3590.33	7950	5.67	7	0	0	2850.5	2883	5	12.26
180	12	1 3 5	34,678.17 3099.83 172.33	100,101 10,978 551	1,148,668.8 98,262.5 5601.33	3,279,576 353,058 17,282	9.17 9 8.5	11 10 9	0.001 0 0	0.009 0 0	6053 6273.5 6116.5	6124 6361 6171	6.16 7.39 11.02	9.66 11 17.11
180	14	1 3 5	361,279 4352.2 386.4	1,297,340 10,639 726	11,276,602 131,942 11,725	4,020,950 306,106 22,077	13.6 15.4 13.2	15 20 14	0.005 0.002 0	0.03 0.012 0	10,951 10,404 11,059.2	11,032 10,446 11,221	7.1 8.76 12.17	11.2 10.35 17.82
180	16	1 3 5	482,350.67 44,507.75 3550.75	668,148 164,236 4468	15,140,423 1,305,440.7 104,111.7	21,101,587 4,818,838 125,232	20 18.75 21	21 20 24	0 0 0.003	0 0 0.0122	44,180 44,716 43,519	44,475 45,632 44,053	15.4 13.57 12.5	20.13 18.81 20.78
200	10	1 3 5	1318.5 204.67 56.83	3141 528 152	44,526.17 6627 1995.83	106,290 17,212 5488	5.83 5.83 6	6 6 7	0 0 0	0 0 0	2991.5 3170.33 3042.83	3067 3215 3055	2.94 6.33 5.8	5.53 12.04 13.07
200	12	1 3 5	95,516.3 2094.17 400	182,438 7031 1214	2,935,710 62,325.67 12,172.17	5,581,330 208,191 36,799	9.17 10 10.83	10 11 15	0.01 0 0.003	0.063 0 0.02	6344 6470.33 6039	6468 6530 6144	3.64 7.08 8.21	6.82 13.13 14.75
200	14	1 3 5	122,358.4 21,235.6 652.4	388,192 68,264 1653	3,518,271 601,329 18,068.6	11,078,822 1,945,511 45,031	15.6 14.8 14.8	16 16 17	0.004 0 0	0.02 0 0	11,509 11,224.8 11,560.4	11,714 11,480 11,717	8.18 9.91 11.29	11.7 13.44 18.83
200	16	1 3 5	3,835,773 3614.75 2333.5	7,916,941 4964 3668	116,880,870 96,473.25 60,853	236,468,665 129,904 98,033	20 20.25 22	22 22 23	0.025 0 0	0.055 0 0	40,431 47,094.75 46,873	40,872 48,138 47,311	10.61 14.26 14.06	12.29 16.92 18.6

Table 3. Cont.

Table 4. *t*-values for $v_{g,k}\omega_{g,k} \in [1, 100]$.

Ö	Q	σ	t
100	10	3	4.5484
100	12	3	5.2164
100	14	3	5.0474
100	16	3	4.5728
120	10	3	4.9150
120	12	3	5.2457
120	14	3	4.7943
120	16	3	4.5306
140	10	3	4.6981
140	12	3	4.8486
140	14	3	4.5247
140	16	3	5.1256
160	10	3	4.7880
160	12	3	4.7529
160	14	3	5.0211
160	16	3	4.8972
180	10	3	4.7066
180	12	3	4.9331
180	14	3	4.6207
180	16	3	4.8987
200	10	3	4.9730
200	12	3	5.2078
200	14	3	4.8210
200	16	3	5.1509

6. Conclusions

A group scheduling problem with common/slack due-date assignment and resource allocation was investigated in this paper. Under the generalization of CON/SLK assignments and the job numbers of each group, this paper was intended to decide the job/group sequence, resource allocation, and due-date assignment. To build systematic solution algorithms, heuristics and a branch-and-bound method incorporating the optimal properties and lower and upper bounds are proposed. Numerical experiments showed that the lower bound developed in this paper is efficient and Algorithm 1 outruns Algorithm 2. As for future study, a general case of a multi-objective flowshop will be introduced. For tackling the complexity, a well-designed solution framework incorporating an upper bound and lower bound strategy will also be explored.

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Abbreviations

The following abbreviations are used in this manuscript:

Q	the number of groups ($Q \ge 2$)
Ö	the number of jobs
F_g	<i>g</i> th group ($g = 1, 2,, Q$)
0 _g	the number of jobs in F_g (i.e., $O_1 + O_2 + \ldots + O_Q = \ddot{O}$)
Sg	setup time in group F_g
$G_{g,k}$	the <i>k</i> th job in F_g ($k = 1, 2, \ldots, O_g$)
$\omega_{g,k}$	the workload of $G_{g,k}$
$u_{g,k}$	the amount of resources allocated to $G_{g,k}$
$E_{g,k}$ (resp. $T_{g,k}$)	the earliness (resp. tardiness) of $G_{g,k}$ in F_g
$d_{g,k}$ (resp. $C_{g,k}$)	the due date (resp. completion time) of $G_{g,k}$
X	a group schedule within F_g
ψ_g	an internal job schedule within F_g
$\sigma\left(\xi ight)$	the given constant
$v_{g,k}$	the unit consumption cost
$\alpha_{g,k}$ (resp. $\beta_{g,k}$)	a position-dependent weight for the earliness (resp. tardiness) cost
CON (resp. SLK)	the common (resp. slack) due date
d_g	the common due date in group F_g
q_g	the common flow allowance in group F_g

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