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Numerical Analysis of Fourier Finite Volume Element Method for Dirichlet Boundary Optimal Control Problems Governed by Elliptic PDEs on Complex Connected Domains

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Abstract: In this research, we investigate an optimal control problem governed by elliptic PDEs with Dirichlet boundary conditions on complex connected domains, which can be utilized to model the cooling process of concrete dam pouring. A new convergence result for two-dimensional Dirichlet boundary control is proven with the Fourier finite volume element method. The Lagrange multiplier approach is employed to find the optimality systems of the Dirichlet boundary optimal control problem. The discrete optimal control problem is then obtained by applying the Fourier finite volume element method based on Galerkin variational formulation for optimality systems, that is, using Fourier expansion in the azimuthal direction and the finite volume element method in the radial direction, respectively. In this way, the original two-dimensional problem is reduced to a sequence of one-dimensional problems, with the Dirichlet boundary acting as an interval endpoint at which a quadratic interpolation scheme can be implemented. The convergence order of state, adjoint state, and Dirichlet boundary control are therefore proved. The effectiveness of the method is demonstrated numerically, and numerical data is provided to support the theoretical analysis.

Keywords: dirichlet boundary control; complex connected domain; fourier finite volume element method; error estimates; L^2 norm

MSC: 65N12; 65N22; 35J67; 49M25



Citation: Su, M.; Xie, L.; Zhang, Z. Numerical Analysis of Fourier Finite Volume Element Method for Dirichlet Boundary Optimal Control Problems Governed by Elliptic PDEs on Complex Connected Domains. *Mathematics* **2022**, *10*, 4779. <https://doi.org/10.3390/math10244779>

Academic Editors: Shujin Laima, Yong Cao, Xiaowei Jin, Hehe Ren and Ioannis K. Argyros

Received: 10 November 2022

Accepted: 12 December 2022

Published: 15 December 2022

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1. Introduction

Partial differential equations are commonly used to describe two-dimensional plane stable field equations like steady concentration distributions, stable temperature distributions, electrostatic field equations, steady current field equations without rotation, and steady flow equations without rotation [1–4]. The optimal control problems governed by elliptic partial differential equations also play an important role in physical engineering, biological engineering, and social sciences areas, see [5–10]. Since the 1970s, the numerical approximation methods for optimal control problems have all received increasing attention. The main research methods are the finite difference method [11], finite element method [12–15], finite volume element method [16], and spectral method [17–19].

The optimal control problem [6,7] is a mathematical problem in which the minimum value of an objective function is determined under differential equation constraints. Finding a numerical solution is essential because it is challenging to find an analytical solution to a problem of this nature. In this paper, we look at a Dirichlet boundary optimal control problem on a complex connected domain that is governed by elliptic equations. The form is as follows:

$$\min_{u \in U_{ad}} J(y, u) := \frac{1}{2} \|y - y_d\|_{L^2(\Omega)}^2 + \frac{\alpha}{2} \|u\|_{L^2(\partial\Omega_1)}^2, \quad (1)$$

subject to

$$\begin{cases} -\Delta y = f, & \text{in } \Omega, \\ y = u, & \text{on } \partial\Omega_1, \\ \frac{\partial y}{\partial n_2} = 0, & \text{on } \partial\Omega_2. \end{cases} \tag{2}$$

The set $\Omega \subset R^2$ denotes a bounded complex connected domain with boundary $\Gamma = \partial\Omega_1 \cup \partial\Omega_2$. Equation (2) is a state equation, y is the state variable, $u \in \partial\Omega_1$ is the Dirichlet boundary control variable, $\alpha > 0$ is a regularization parameter, and $y_d \in H^1(\Omega)$, $f \in H^1(\Omega)$. n_2 is the outer unit normal of the boundary $\partial\Omega_2$. U_{ad} is the admissible control set which is assumed to be of box type

$$u \in U_{ad} := \left\{ u \in H^{1/2}(\partial\Omega_1) : u_a \leq u \leq u_b \right\}$$

with $u_a < u_b$ denoting constants. The domain is shown as Figure 1.

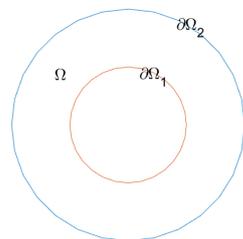


Figure 1. Domain.

This optimal Dirichlet boundary control problem can be used to describe the cooling process of concrete dam pouring [20]. $\partial\Omega_1$ is the pipe boundary on which the cold water enters and is therefore a Dirichlet boundary control condition. Ω indicates the region where the cold water acts, and y_d is the ideal state; the goal is to control the temperature of the cold water so that the temperature $y \in \Omega$ is infinitely close to y_d . Note that there is no heat exchange on $\partial\Omega_2$ and hence a homogeneous Neumann boundary condition.

Dirichlet boundary control problems are more challenging than Neumann control or distributed control problems in general, both theoretically and numerically. However, the Dirichlet situation is attracting an increasing number of researchers. For example, the semilinear elliptic Dirichlet boundary control problem with pointwise control constraints in a convex, polygonal, open domain is studied and the $1 - 1/p$ convergence order of control is derived in [21]. The authors in [22] considered Dirichlet boundary control problems posed on smooth domains and obtained that the error order of control is $h|\ln h|^{1/2}$. Based on a mixed variation scheme, the authors in [23] used a mixed finite element method to approximate the optimal control problem posed on both polygonal and general smooth domains. The authors in [24] used local mesh refinement toward the boundary by standard finite element discretizations and arrived second-order convergence. [25] also derived second-order convergence for elliptic Dirichlet boundary control but in finite dimensional control space. Numerical analysis for elliptic control problems can be found in [21,26–28]. For more details, one may refer to [12,29,30] and the references therein. In addition, for finite element approximations of distributed and Neumann boundary optimal control problems one can see [31–35], and for other numerical methods, one may refer to [36–39].

The finite volume element method (FVEM) is a discretization technique for partial differential equations. It is widely employed in the numerical approximation of some problems for partial differential equations because of its local conservative property and other appealing properties, such as robustness with unstructured meshes. For instance, the authors in [40] analyzed the spatially semidiscrete piecewise linear finite volume element method for parabolic equations in a convex polygonal domain in the plane. Two-grid finite volume element discretization techniques were presented for the two-dimensional second-order nonself-adjoint and indefinite linear elliptic problems and the two-dimensional

second-order nonlinear elliptic problems in [41]. A priori error estimates for a semidiscrete piecewise linear finite volume element approximation to a second-order wave equation in a two-dimensional convex polygonal domain is discussed in [42]. More applications of the finite volume element method can be referred to [43–50] and the references therein.

The combination of the finite volume element method with other numerical methods can generate competitive numerical methods for solving partial differential equation problems. For example, an immersed finite volume element method was used to solve the elliptic interface problems in [51]. A one-parameter family of discontinuous Galerkin finite volume element methods was applied to approximate the solution of a class of second-order linear elliptic problems in [52]. The Fourier finite volume element method was employed to study two-dimensional quasigeostrophic equations on a sphere in [53]. The authors in [54] utilized the Fourier finite volume element method to give the numerical experiments of two classes of Dirichlet and distributed optimal control problems driven by elliptic PDEs on complex connected domains. The main concept behind the Fourier finite volume element approach is to employ the finite volume element method in the radial direction while applying the Fourier expansion in the azimuthal direction. In the radial direction, choose linear finite element and piecewise constant function spaces for the trial and test function spaces, respectively. The control for the variational inequality is generated employing a variational discretization technique (see [55]). As a result, the two-dimensional optimal control problem can be simplified to a sequence of one-dimension problems. A desired result can be obtained by this procedure.

Generally, the Dirichlet boundary optimal control problem is typically challenging to achieve a high order in two-dimensional environments. The purpose of this article is to provide a related theoretical explanation of this problem, as a prior work on numerical simulation [54] demonstrates that the Fourier finite element approach can reduce the error order of Dirichlet boundary control on complex connected domains. In addition, it is worth noting that, in contrast to [25], the Dirichlet boundary control space in this work possesses infinite dimensions.

To the best of our knowledge, this is the first study to estimate the convergence order of the Dirichlet boundary control problem using the Fourier finite volume element approach on complex connected domains. The error of Dirichlet boundary control consists of two parts: the Fourier truncation error and the one-dimensional finite volume element error. The Fourier finite volume element approach is applied to reduce a two-dimensional optimal control problem to a group of one-dimensional problems, with the Dirichlet boundary acting as an interval endpoint at which a quadratic interpolation scheme can be implemented so that Dirichlet boundary control can be reached to higher order convergence. It is pointed out here that this proving method can also be extended to the parabolic problem.

The outline for this paper is provided below. In Section 2, we deduce the optimal control problem and corresponding optimality conditions. In order to solve the elliptic problem, the Fourier finite volume element method is introduced in Section 3. In Section 4, the Fourier finite volume element method is used to demonstrate the convergence order of the Dirichlet boundary control. A few examples are given in Section 5 to help illustrate the theoretical analysis. Some recommendations are made toward the end of Section 6.

2. Optimality System

For $m \geq 0$ and $1 \leq s \leq \infty$, applying the standard notation $W^{m,s}$ for Sobolev space on Ω with $\|\cdot\|_{m,s,\Omega}$ and denoting by $H^m(\Omega)$ with norm $\|\cdot\|_{m,\Omega}$ and seminorm $|\cdot|_{m,\Omega}$ for $s = 2$. That is,

$$W^{m,s}(\Omega) := \{v \in L^s(\Omega), |D^\alpha v \in L^s(\Omega), \forall \alpha \in \mathbb{Z}_+^n, |\alpha| \leq m\},$$

and

$$\|v\|_{W^{m,s}(\Omega)} = \left(\sum_{|\alpha| \leq m} \int_{\Omega} |D^{\alpha} v|^s dx \right)^{\frac{1}{s}}, \quad |v|_{W^{m,s}(\Omega)} = \left(\sum_{|\alpha|=m} \int_{\Omega} |D^{\alpha} v|^s dx \right)^{\frac{1}{s}},$$

where $D^{\alpha} v$ denotes the α -th order weak derivative of v .

We use (\cdot, \cdot) for the $L^2(\Omega)$ -inner product, $\langle \cdot, \cdot \rangle_{\partial\Omega_1}$ for the $L^2(\partial\Omega_1)$ -inner product, $(\cdot, \cdot)_Q$ for the $L^2(Q)$ -inner product, $\langle \cdot, \cdot \rangle_{\Gamma_1}$ for the $L^2(\Gamma_1)$ -inner product, and $(\cdot, \cdot)_I$ for the $L^2(I)$ -inner product.

Let $Y := \{v \in H^1(\Omega), v|_{\partial\Omega_1} = u\}$ and $V := \{v \in H^1(\Omega), v|_{\partial\Omega_1} = 0\}$; the weak formulation of the state Equation (2) reads: find $y \in Y$ such that

$$a(y, v) = (f, v), \forall v \in V, \tag{3}$$

where the bilinear form $a(\cdot, \cdot)$ is given by

$$a(y, v) = \int_{\Omega} \nabla y \cdot \nabla v dx.$$

Then, the optimal control problem (1) and (2) can be described as: Find $(y, u) \in Y \times U_{ad}$

$$(P) \begin{cases} \min_{u \in U_{ad}} J(y, u) := \frac{1}{2} \|y - y_d\|_{L^2(\Omega)}^2 + \frac{\alpha}{2} \|u\|_{L^2(\partial\Omega_1)}^2, \\ \text{s.t. } a(y, v) = (f, v), \forall v \in V. \end{cases} \tag{4}$$

Suppose that (\bar{y}, \bar{u}) is the optimal solution. This is due to the fact that the control problem is strictly convex and satisfies the existence and uniqueness requirements of the solution in the constrained state Equation (2). Then, using the Lagrange multiplier method [5,7] to construct Lagrange function

$$L(y, u, p) = J(y, u) - \int_{\Omega} (-\Delta y - f) p dx - \int_{\partial\Omega_1} (y - u) p ds - \int_{\partial\Omega_2} \left(\frac{\partial y}{\partial n_2} \right) p ds.$$

We take the Fréchet derivative with respect to the state y and choose a special $p(u)$, such that

$$L_y(y(u), u, p) = 0. \tag{5}$$

The adjoint state equation can be obtained as follows:

$$\begin{cases} -\Delta p = \bar{y} - y_d, & \text{in } \Omega, \\ p = 0, & \text{on } \partial\Omega_1, \\ \frac{\partial p}{\partial n_2} = 0, & \text{on } \partial\Omega_2. \end{cases} \tag{6}$$

The weak form of the adjoint state equation reads:

$$a(p, v) = (\bar{y} - y_d, v), \forall v \in V. \tag{7}$$

We take the Fréchet derivative with respect to u . Then, the variation inequality, that is, the optimality condition is obtained:

$$\left\langle \alpha \bar{u} - \frac{\partial \bar{p}}{\partial n_1}, u - \bar{u} \right\rangle_{\partial\Omega_1} \geq 0, \forall u \in U_{ad}, \tag{8}$$

where \bar{p} is the solution of adjoint state Equation (6) and n_1 is the outer unit normal of the boundary $\partial\Omega_1$.

That is, the necessary and sufficient condition for control $\bar{u} \in U_{ad}$ to be optimal is that it satisfies the variation inequality (8).

Taken together, the following theorem holds.

Theorem 1 ([56]). *Assuming that the objective functional is in the form of (1), the optimal control of the problem (P) exists and is unique, and the control $u \in U_{ad}$ is determined by the solution $\{\bar{y}, \bar{p}, \bar{u}\}$ of the optimality system, that is,*

$$a(\bar{y}, v) = (f, v), \quad \forall v \in V, \tag{9a}$$

$$a(\bar{p}, w) = (\bar{y} - y_d, w), \quad \forall w \in V, \tag{9b}$$

$$\left\langle \alpha \bar{u} - \frac{\partial \bar{p}}{\partial n_1}, u - \bar{u} \right\rangle_{\partial \Omega_1} \geq 0, \quad \forall u \in U_{ad}. \tag{9c}$$

If $y \in H^2(\Omega)$ and $p \in H^2(\Omega)$, then the optimality systems (9) can be written by

$$\begin{cases} -\Delta \bar{y} = f, \quad -\Delta \bar{p} = \bar{y} - y_d, & \text{in } \Omega, \\ \bar{y} = \bar{u}, \quad \bar{p} = 0, & \text{on } \partial \Omega_1, \\ \frac{\partial \bar{y}}{\partial n_2} = 0, \quad \frac{\partial \bar{p}}{\partial n_2} = 0, & \text{on } \partial \Omega_2, \\ \int_{\partial \Omega_1} \left(\alpha \bar{u} - \frac{\partial \bar{p}}{\partial n_1} \right) (u - \bar{u}) ds \geq 0, & \forall u \in U_{ad}. \end{cases}$$

The variation inequality (8) is equivalent to

$$\bar{u} = P_{U_{ad}} \left(\frac{1}{\alpha} \frac{\partial \bar{p}}{\partial n_1} \right) \Big|_{\partial \Omega_1},$$

and the action of the orthogonal projection $P_{U_{ad}}: H^{1/2}(\partial \Omega_1) \mapsto U_{ad}$ is given by

$$P_{U_{ad}}(g(x)) = \max\{u_a, \min\{g(x), u_b\}\}.$$

Then, the optimality system (9) can be written as

$$a(\bar{y}, v) = (f, v), \quad \forall v \in V, \tag{10a}$$

$$a(\bar{p}, w) = (\bar{y} - y_d, w), \quad \forall w \in V, \tag{10b}$$

$$\bar{u} = P_{U_{ad}} \left(\frac{1}{\alpha} \frac{\partial \bar{p}}{\partial n_1} \right) \Big|_{\partial \Omega_1}. \tag{10c}$$

3. Fourier Finite Volume Element Method

3.1. Polar Coordinates Transform

Given the definition of the arbitrary bounded annular domain

$$\Omega = \left\{ (x_1, x_2) : g_1(x_1, x_2) \leq x_1^2 + x_2^2 \leq g_2(x_1, x_2) \right\},$$

where g_1 and g_2 are the functions defined on $\bar{\Omega}$ satisfying

$$\partial \Omega_1 = \left\{ (x_1, x_2) : x_1^2 + x_2^2 = g_1(x_1, x_2) \right\}, \quad \partial \Omega_2 = \left\{ (x_1, x_2) : x_1^2 + x_2^2 = g_2(x_1, x_2) \right\},$$

let $x_1 = r \cos \theta$ and $x_2 = r \sin \theta$, then, in the polar coordinates (r, θ) ,

$$\partial \Omega_1 \rightarrow \Gamma_1 : r = R_1(\theta), \quad \partial \Omega_2 \rightarrow \Gamma_2 : r = R_2(\theta), \quad \theta \in [0, 2\pi),$$

$$\frac{\partial}{\partial x_1} = \cos \theta \frac{\partial}{\partial r} - \frac{\sin \theta}{r} \frac{\partial}{\partial \theta}, \quad \frac{\partial}{\partial x_2} = \sin \theta \frac{\partial}{\partial r} + \frac{\cos \theta}{r} \frac{\partial}{\partial \theta}.$$

The Laplacian operator Δ in the polar coordinates is

$$\Delta = \frac{\partial^2}{\partial r^2} + \frac{1}{r} \frac{\partial}{\partial r} + \frac{1}{r^2} \frac{\partial^2}{\partial \theta^2},$$

and

$$n_1 = - \left(\frac{\cos \theta + \frac{R_1'(\theta)}{R_1(\theta)} \sin \theta}{\sqrt{1 + \left(\frac{R_1'(\theta)}{R_1(\theta)}\right)^2}}, \frac{\sin \theta - \frac{R_1'(\theta)}{R_1(\theta)} \cos \theta}{\sqrt{1 + \left(\frac{R_1'(\theta)}{R_1(\theta)}\right)^2}} \right), \quad n_2 = \left(\frac{\cos \theta + \frac{R_2'(\theta)}{R_2(\theta)} \sin \theta}{\sqrt{1 + \left(\frac{R_2'(\theta)}{R_2(\theta)}\right)^2}}, \frac{\sin \theta - \frac{R_2'(\theta)}{R_2(\theta)} \cos \theta}{\sqrt{1 + \left(\frac{R_2'(\theta)}{R_2(\theta)}\right)^2}} \right),$$

$$\frac{\partial y(x_1, x_2)}{\partial n_1} = \left(\frac{\partial y(x_1, x_2)}{\partial x_1}, \frac{\partial y(x_1, x_2)}{\partial x_2} \right) \cdot n_1 = - \frac{\frac{\partial y(r \cos \theta, r \sin \theta)}{\partial r} - \frac{R_1'(\theta)}{R_1^2(\theta)} \frac{\partial y(r \cos \theta, r \sin \theta)}{\partial \theta}}{\sqrt{1 + \left(\frac{R_1'(\theta)}{R_1(\theta)}\right)^2}},$$

where n_1 denotes the outer unit normal of the boundary Γ_1 .

Assumption 1. *There exist positive constants $\gamma_1, \gamma_2, \gamma_3$ and γ_4 such that*

$$0 < \gamma_1 \leq R_1(\theta) < R_2(\theta) \leq \gamma_2 < \infty,$$

$$\left| R_1'(\theta) \right| \leq \gamma_3 < \infty, \quad \left| R_2'(\theta) \right| \leq \gamma_4 < \infty.$$

Setting $y := y(r, \theta), f := f(r, \theta), y_d := y_d(r, \theta)$, on the boundary $u = u(\theta)|_{\Gamma_1}$. The form of (2) in polar coordinates is

$$\begin{cases} - \left(\frac{\partial^2 y}{\partial r^2} + \frac{1}{r} \frac{\partial y}{\partial r} + \frac{1}{r^2} \frac{\partial^2 y}{\partial \theta^2} \right) = f, & \text{in } Q, \\ y = u, & \text{on } \Gamma_1, \\ \frac{\frac{\partial y}{\partial r} - \frac{R_2'(\theta)}{R_2^2(\theta)} \frac{\partial y}{\partial \theta}}{\sqrt{1 + \left(\frac{R_2'(\theta)}{R_2(\theta)}\right)^2}} = 0, & \text{on } \Gamma_2, \end{cases} \tag{11}$$

where $Q = (R_1(\theta), R_2(\theta)) \times (0, 2\pi)$.

Remark 1. *The boundary condition on Γ_2 of (11) can be written as $\frac{\partial y}{\partial r} = 0, \frac{R_2'(\theta)}{R_2^2(\theta)} \frac{\partial y}{\partial \theta} = 0$, the derivation process will be given later.*

Let $Y_f := \{v \in H^1(Q), v|_{\Gamma_1} = u(\theta)\}$, $U_{adf} := \{u \in H^{1/2}(\Gamma_1) : u_a \leq u \leq u_b\}$, $V_f := \{v \in H^1(Q), v|_{\Gamma_1} = 0\}$. Define that

$$\|v\|_{L^2(Q)} = (v, v)_{\frac{1}{2}Q} := \left(\int_0^{2\pi} \int_{R_1(\theta)}^{R_2(\theta)} r |v(r, \theta)|^2 dr d\theta \right)^{\frac{1}{2}}, \forall v \in V_f,$$

$$\|u\|_{L^2(\Gamma_1)} = (u, u)_{\frac{1}{2}\Gamma_1} := \left(\int_0^{2\pi} R_1(\theta) |u(R_1(\theta), \theta)|^2 d\theta \right)^{\frac{1}{2}}, \forall u \in U_{adf}.$$

Obviously, there holds that $\|v(r, \theta)\|_{L^2(Q)} = \|v(x_1, x_2)\|_{L^2(\Omega)}, \|u(R_1(\theta), \theta)\|_{L^2(\Gamma_1)} = \|u(x_1, x_2)\|_{L^2(\partial\Omega_1)}$.

In the polar coordinates, the optimal control problem (4) can be redescribed as: Find $(y, u) \in Y_f \times U_{adf}$ such that

$$(P(r, \theta)) \begin{cases} \min_{u \in U_{adf}} J(y, u) := \frac{1}{2} \|y - y_d\|_{L^2(Q)}^2 + \frac{\alpha}{2} \|u\|_{L^2(\Gamma_1)}^2, \\ \text{s.t. } A(y, v) = (f, v)_Q, \forall v \in V_f, \end{cases} \tag{12}$$

where the bilinear form $A(\cdot, \cdot)$ and $(f, v)_Q$ are given by

$$A(y, v) = \int_Q r \frac{\partial y}{\partial r} \frac{\partial v}{\partial r} dr d\theta - \int_Q \frac{1}{r} \frac{\partial^2 y}{\partial \theta^2} v dr d\theta,$$

$$(f, v)_Q = \int_Q f v r dr d\theta,$$

respectively.

The optimality system (9) can be written as

$$A(\bar{y}, v) = (f, v)_Q, \forall v \in V_f, \tag{13a}$$

$$A(\bar{p}, w) = ((\bar{y} - y_d), w)_Q, \forall w \in V_f, \tag{13b}$$

$$\left\langle \alpha \bar{u} \sqrt{R_1'(\theta)^2 + R_1^2(\theta)} + \left(\frac{\partial \bar{p}}{\partial r} - \frac{R_1'(\theta)}{R_1^2(\theta)} \frac{\partial \bar{p}}{\partial \theta} \right) R_1(\theta), u - \bar{u} \right\rangle_{\Gamma_1} \geq 0, \forall u \in U_{adf}. \tag{13c}$$

3.2. Fourier Expansion and Truncation

Since the solution $y(r, \theta)$ of (11) is periodic in θ , it can be written as:

$$y(r, \theta) = \sum_{|m|=0}^{\infty} y_m(r) e^{im\theta}, \tag{14}$$

where $y_m(r) = \frac{1}{2\pi} \int_0^{2\pi} y(r, \theta) e^{-im\theta} d\theta$.

Taking an truncation [57] to (14),

$$y_f(r, \theta) = \sum_{|m|=0}^M y_m(r) e^{im\theta}. \tag{15}$$

Substitute (15) into (11), we can obtain

$$\begin{cases} -\left(\frac{\partial^2 y_f}{\partial r^2} + \frac{1}{r} \frac{\partial y_f}{\partial r} + \frac{1}{r^2} \frac{\partial^2 y_f}{\partial \theta^2} \right) = f_f, & \text{in } Q, \\ y_f = u_f, & \text{on } \Gamma_1, \\ \frac{\partial y_f}{\partial r} = 0, \frac{R_2'(\theta)}{R_2^2(\theta)} \frac{\partial y_f}{\partial \theta} = 0, & \text{on } \Gamma_2, \end{cases} \tag{16}$$

with $f_f = \sum_{|m|=0}^M f_m(r) e^{im\theta}$ and $u_f = \sum_{|m|=0}^M u_m(r) e^{im\theta}$.

The optimal control problem (P) can be redescribed as: Find $(y_f, u_f) \in Y_f \times U_{adf}$ such that

$$(P_f) \begin{cases} \min_{u_f \in U_{adm}} J(y_f, u_f) := \frac{1}{2} \|y_f - y_d\|_{L^2(Q)}^2 + \frac{\alpha}{2} \|u_f\|_{L^2(\Gamma_1)}^2, \\ \text{s.t. } A(y_f, v_f) = (f_f, v_f)_Q, \forall v_f \in V_f, \end{cases} \tag{17}$$

where $y_{d_f} = \sum_{|m|=0}^M y_{d_m}(r)e^{im\theta}$.

Obviously, (16) can be rewritten as:

$$\begin{cases} -\left(\frac{d^2y_m}{dr^2} + \frac{1}{r}\frac{dy_m}{dr} - \frac{m^2}{r^2}y_m\right) = f_m, & \text{in } Q, \\ y_m = u_m, & \text{on } \Gamma_1, \\ \frac{dy_m}{dr} = 0, \frac{R'_2(\theta)}{R_2^2(\theta)}y_m = 0, & \text{on } \Gamma_2, \end{cases} \tag{18}$$

where $m = 0, \pm 1, \pm 2, \dots, \pm M$.

We now give the process of deriving the boundary conditions on Γ_2 of (11), (16) and (18):

Proof. The initial form of the boundary condition on $\partial\Omega_2$ of the Cartesian coordinate system is

$$\frac{\partial y}{\partial n_2} = 0, \quad \text{on } \partial\Omega_2.$$

After a polar coordinate transformation, it becomes

$$\frac{\frac{\partial y}{\partial r} - \frac{R'_2(\theta)}{R_2^2(\theta)}\frac{\partial y}{\partial \theta}}{\sqrt{1 + \left(\frac{R'_2(\theta)}{R_2(\theta)}\right)^2}} = 0, \quad \text{on } \Gamma_2.$$

According to Assumption 1, it is the equivalent to

$$\frac{\partial y}{\partial r} - \frac{R'_2(\theta)}{R_2^2(\theta)}\frac{\partial y}{\partial \theta} = 0, \quad \text{on } \Gamma_2.$$

Expanded and truncated by Fourier series, then, we have

$$\frac{\partial y_f}{\partial r} - \frac{R'_2(\theta)}{R_2^2(\theta)}\frac{\partial y_f}{\partial \theta} = 0, \quad \text{on } \Gamma_2,$$

namely

$$\sum_{|m|=0}^M \left(\frac{dy_m}{dr} - im\frac{R'_2(\theta)}{R_2^2(\theta)}y_m \right) e^{im\theta} = 0, \quad \text{on } \Gamma_2.$$

According to the orthogonality of the trigonometric system and Assumption 1, we derive

$$0 = -2\pi\frac{\gamma_4}{\gamma_2}\int_0^{2\pi} e^{im\theta}e^{-in\theta}d\theta \leq \int_0^{2\pi} \frac{R'_2(\theta)}{R_2^2(\theta)}e^{im\theta}e^{-in\theta}d\theta \leq 2\pi\frac{\gamma_4}{\gamma_1}\int_0^{2\pi} e^{im\theta}e^{-in\theta}d\theta = 0, \quad n \neq m,$$

then, we have

$$\int_0^{2\pi} \left(\frac{dy_m}{dr} - im\frac{R'_2(\theta)}{R_2^2(\theta)}y_m \right) e^{im\theta}e^{-im\theta}d\theta = \int_0^{2\pi} \left(\frac{dy_m}{dr} - im\frac{R'_2(\theta)}{R_2^2(\theta)}y_m \right) d\theta = 0, \quad \text{on } \Gamma_2.$$

From $R_2(2\pi) = R_2(0) \neq 0$, we get

$$\frac{dy_m}{dr} = 0, \frac{R'_2(\theta)}{R_2^2(\theta)}y_m = 0, \quad \text{on } \Gamma_2.$$

Thus, we have

$$\frac{\partial y_f}{\partial r} = 0, \frac{R'_2(\theta)}{R_2^2(\theta)}\frac{\partial y_f}{\partial \theta} = 0, \quad \text{on } \Gamma_2,$$

and

$$\frac{\partial y}{\partial r} = 0, \frac{R_2'(\theta)}{R_2^2(\theta)} \frac{\partial y}{\partial \theta} = 0, \quad \text{on } \Gamma_2.$$

□

Correspondingly, the adjoint state equation of (18) is

$$\begin{cases} -\left(\frac{d^2 p_m}{dr^2} + \frac{1}{r} \frac{dp_m}{dr} - \frac{m^2}{r^2} p_m\right) = f_m, & \text{in } Q, \\ p_m = 0, & \text{on } \Gamma_1, \\ \frac{dp_m}{dr} = 0, \frac{R_2'(\theta)}{R_2^2(\theta)} p_m = 0, & \text{on } \Gamma_2, \end{cases} \quad (19)$$

where $m = 0, \pm 1, \pm 2, \dots, \pm M$.

The weak forms of (18) and (19) are as follows:

$$A_m(\bar{y}_m, v_m) = (f_m, v_m)_Q, \forall v_m \in V_f, \quad (20a)$$

$$A_m(\bar{p}_m, w_m) = ((\bar{y}_m - y_{d_m}), w_m)_Q, \forall w_m \in V_f, \quad (20b)$$

where $f_m, v_m, w_m, p_m, y_{d_m}$ are defined like y_m with $m = 0, \pm 1, \pm 2, \dots, \pm M$ and the bilinear form $A_m(\cdot, \cdot)$ is given by

$$A_m(y_m, v_m) = \int_Q r \frac{dy_m}{dr} \frac{dv_m}{dr} dr d\theta + \int_Q \frac{m^2}{r} y_m v_m dr d\theta.$$

From the above, $\theta \in [0, 2\pi)$, and $y_m(r) = \frac{1}{2\pi} \int_0^{2\pi} y(r, \theta) e^{-im\theta} d\theta$. Consider the Fourier interpolation at point $\theta_k = \frac{2\pi \cdot k}{M}$ ($k = 1, 2, 3, \dots, M$):

$$y_m(r) = \frac{1}{2\pi} \int_0^{2\pi} y(r, \theta) e^{-im\theta} d\theta \sim \frac{1}{M} \sum_{k=1}^M y_m(r, \theta_k) e^{-im\theta_k}, \quad (21)$$

where M is the number of grid lines in the θ direction. It applies to $f_m, y_{d_m}, v_m, w_m, p_m, u_m$. Denoting $y_{m_k}(r) = y_m(r, \theta_k)$, correspondingly, (15) becomes

$$y_{f_\tau} = \sum_{|m|=0}^M \left(\frac{1}{M} \sum_{k=1}^M y_{m_k}(r) e^{-im\theta_k} \right) e^{im\theta}, \quad (22)$$

where y_{f_τ} is the approximation of y_f and the definition of f_{f_τ} and $y_{d_{f_\tau}}$ are similar.

3.3. Finite Volume Element Method

For any fixed $k = 1, 2, \dots, M$, $\Gamma_1 = R_1(\theta) \times (0, 2\pi)$ becomes to $\Gamma_{1_k} = R_1(\theta_k)$, $\Gamma_2 = R_2(\theta) \times (0, 2\pi)$ to $\Gamma_{2_k} = R_2(\theta_k)$, and $I = (R_1(\theta), R_2(\theta))$ to $I_k = (R_1(\theta_k), R_2(\theta_k))$. Let $Y_{m_k} := \{v \in H^1(I_k), v = u_{m_k} \text{ on } \Gamma_{1_k}\}$, $U_{adm_k} := \{u \in H^{1/2}(\Gamma_{1_k}) : u_a \leq u \leq u_b\}$, $V_{m_k} := \{v \in H^1(I_k), v = 0 \text{ on } \Gamma_{1_k}\}$, and $Y_{f_\tau} := \bigotimes_{k=1}^M Y_{m_k}$, $U_{adf_\tau} := \bigotimes_{k=1}^M U_{adm_k}$, $V_{f_\tau} := \bigotimes_{k=1}^M V_{m_k}$. Define some norms and seminorm as follows:

$$\|v\|_{L^2(I_k)} = (v, v)_{I_k}^{\frac{1}{2}} := \left(\int_{R_1(\theta_k)}^{R_2(\theta_k)} r |v|^2 dr \right)^{\frac{1}{2}}, \forall v \in V_{m_k},$$

$$|v|_{H^1(I_k)} = \left(\frac{\partial v}{\partial r}, \frac{\partial v}{\partial r} \right)_{I_k}^{\frac{1}{2}} := \left(\int_{R_1(\theta_k)}^{R_2(\theta_k)} r \left| \frac{\partial v}{\partial r} \right|^2 dr \right)^{\frac{1}{2}}, \forall v \in V_{m_k},$$

respectively, and $\|v\|_{H^1(I_k)}^2 = \|v\|_{L^2(I_k)}^2 + |v|_{H^1(I_k)}^2, \forall v \in V_{m_k}$.

$$\|u\|_{L^2(\Gamma_{1_k})} = (u, u)_{\Gamma_{1_k}}^{\frac{1}{2}} := \left(R_1(\theta_k) |u(R_1(\theta_k), (\theta_k))|^2 \right)^{\frac{1}{2}}, \forall u \in U_{adm_k}.$$

It is easy to prove that these norms are well defined. There holds:

$$\|v_{f_\tau}\|_{L^2(Q), \tau} = \left(\frac{1}{M} \sum_{k=1}^M \|v\|_{L^2(I_k)}^2 \right)^{\frac{1}{2}}, \forall v_{f_\tau} \in Y_{f_\tau},$$

$$\|u_{f_\tau}\|_{L^2(\Gamma_1), \tau} = \left(\frac{1}{M} \sum_{k=1}^M \|u\|_{L^2(\Gamma_{1_k})}^2 \right)^{\frac{1}{2}}, \forall u_{f_\tau} \in U_{adf_\tau}.$$

The corresponding discrete norms are defined as follows:

$$\|v_h\|_{L^2(I_k)} = (v_h, v_h)_{I_k}^{\frac{1}{2}} := \left(\sum_{i=1}^N \int_{r_{i-1}(\theta_k)}^{r_i(\theta_k)} r |v_h|^2 dr \right)^{\frac{1}{2}}, \forall v_h \in Y_{m_k}^h,$$

$$\|u_h\|_{L^2(\Gamma_{1_k})} = (u_h, u_h)_{\Gamma_{1_k}}^{\frac{1}{2}} := \left(R_1(\theta_k) |u_h(R_1(\theta_k), (\theta_k))|^2 \right)^{\frac{1}{2}}, \forall u_h \in U_{adm_k}^h.$$

There holds:

$$\|v_{f_h}\|_{L^2(Q), \tau} = \left(\frac{1}{M} \sum_{k=1}^M \|v_h\|_{L^2(I_k)}^2 \right)^{\frac{1}{2}}, \forall v_{f_h} \in Y_{f_\tau}^h,$$

$$\|u_{f_h}\|_{L^2(\Gamma_1), \tau} = \left(\frac{1}{M} \sum_{k=1}^M \|u_h\|_{L^2(\Gamma_{1_k})}^2 \right)^{\frac{1}{2}}, \forall u_{f_h} \in U_{ad}^h.$$

The definition of discrete functions and discrete spaces will be given later.

The cost functional with state Equation (18) becomes an optimal control problem over a one-dimensional fixed interval. From (18), it can be inferred that:

$$\begin{cases} -\left(\frac{d^2 y_{m_k}}{dr^2} + \frac{1}{r} \frac{dy_{m_k}}{dr} - \frac{m^2}{r^2} y_{m_k} \right) = f_{m_k}, & \text{in } I_k = (R_1(\theta_k), R_2(\theta_k)), \\ y_{m_k} = u_{m_k}, & \text{on } \Gamma_{1_k}, \\ \frac{dy_{m_k}}{dr} = 0, \frac{R_2'(\theta_k)}{R_2^2(\theta_k)} y_{m_k} = 0, & \text{on } \Gamma_{2_k}, \end{cases} \tag{23}$$

where $m = 0, \pm 1, \pm 2, \dots, \pm M$.

The optimality system can be rewritten as:

$$A_{m_k}(\bar{y}_{m_k}, v_{m_k}) = (f_{m_k}, v_{m_k})_{I_k}, \forall v_{m_k} \in V_{m_k}, \tag{24a}$$

$$A_{m_k}(\bar{p}_{m_k}, w_{m_k}) = \left((\bar{y}_{m_k} - y_{d_{m_k}}), w_{m_k} \right)_{I_k}, \forall w_{m_k} \in V_{m_k}, \tag{24b}$$

$$\left\langle \alpha \bar{u}_{m_k} \sqrt{R_1'(\theta_k)^2 + R_1^2(\theta_k)} + \frac{d\bar{p}_{m_k}}{dr} R_1(\theta_k), u_{m_k} - \bar{u}_{m_k} \right\rangle_{\Gamma_{1_k}} \geq 0, \forall u_{m_k} \in U_{adm_k}, \tag{24c}$$

where the bilinear form $A_{m_k}(\cdot, \cdot)$ is given by

$$A_{m_k}(y_{m_k}, v_{m_k}) = \int_{I_k} r \frac{dy_{m_k}}{dr} \frac{dv_{m_k}}{dr} dr + m^2 \int_{I_k} \frac{1}{r} y_{m_k} v_{m_k} dr.$$

The optimal control problem (P_f) can be redescribed as: Find $(y_{f_\tau}, u_{f_\tau}) \in Y_{f_\tau} \times U_{adf_\tau}$ such that

$$(P_{f_\tau}) \begin{cases} \min_{u_{f_\tau} \in U_{adf_\tau}} J(y_{f_\tau}, u_{f_\tau}) := \frac{1}{2} \|y_{f_\tau} - y_{d_{f_\tau}}\|_{L^2(Q), \tau}^2 + \frac{\alpha}{2} \|u_{f_\tau}\|_{L^2(\Gamma_1), \tau}^2, \\ \text{s.t. } A_{f_\tau}(y_{f_\tau}, v_{f_\tau}) = (f_{f_\tau}, v_{f_\tau})_{Q, \tau}, \forall v_{f_\tau} \in V_{f_\tau}, \end{cases} \quad (25)$$

where $y_{f_\tau} := \sum_{|m|=0}^M \left(\frac{1}{M} \sum_{k=1}^M y_{m_k}(r) e^{-im\theta_k} \right) e^{im\theta}$, the definition of $u_{f_\tau}, y_{d_{f_\tau}}, v_{f_\tau}, f_{f_\tau}$ are similar.

For the purpose of finite volume element approximation of (24a), discretizing the interval $[R_1(\theta_k), R_2(\theta_k)]$ into a grid T_h with nodes

$$R_1(\theta_k) = r_0(\theta_k) < r_1(\theta_k) < r_2(\theta_k) < \dots < r_{N-1}(\theta_k) < r_N(\theta_k) = R_2(\theta_k).$$

Denoting the mesh size $h_i(\theta_k) = r_i(\theta_k) - r_{i-1}(\theta_k)$, and writing $I_{k_i} = [r_{i-1}(\theta_k), r_i(\theta_k)]$. Placing a dual grid T_h^* with nodes

$$R_1(\theta_k) = r_0(\theta_k) < r_{1/2}(\theta_k) < r_{3/2}(\theta_k) < \dots < r_{N-1/2}(\theta_k) < r_N(\theta_k) = R_2(\theta_k),$$

write $I_{k_i}^* = [r_{i-1/2}(\theta_k), r_{i+1/2}(\theta_k)]$, $I_{k_0}^* = [r_0(\theta_k), r_{1/2}(\theta_k)]$ and $I_{k_N}^* = [r_{N-1/2}(\theta_k), r_N(\theta_k)]$.

Typical basis function $\phi_i(r)$ on I_{k_i} and $\psi_j(r)$ on $I_{k_j}^*$ are shown below

$$\phi_i(r) = \begin{cases} 1 - h_i^{-1}|r - r_i(\theta_k)|, & r_{i-1}(\theta_k) \leq r \leq r_i(\theta_k), \\ 1 - h_{i+1}^{-1}|r - r_i(\theta_k)|, & r_i(\theta_k) \leq r \leq r_{i+1}(\theta_k), \\ 0, & \text{elsewhere.} \end{cases} \quad (26)$$

$$\psi_j(r) = \begin{cases} 1, & r \in I_j^*, \\ 0, & r \notin I_j^*. \end{cases} \quad (27)$$

Now, let $Y_{m_k}^h$ be the standard linear finite element space defined on the T_h :

$$Y_{m_k}^h = \{v \in C(I_k) : v|_{I_k} \text{ is piecewise linear function for all } I_k \in T_h\}$$

and its dual volume element space $Y_{m_k}^{h*}$

$$Y_{m_k}^{h*} = \{v \in L^2(I_k) : v|_{I_k^*} \text{ is piecewise constant function for all } I_k^* \in T_h^*\}.$$

Obviously, $Y_{m_k}^h = \text{span}\{\phi_j(r)\}_{j=0}^N$ and $Y_{m_k}^{h*} = \text{span}\{\psi_j(r)\}_{j=0}^N$.

Two interpolation projection operators $\Pi_h : Y_{m_k} \rightarrow Y_{m_k}^h$ and $\Pi_h^* : Y_{m_k} \rightarrow Y_{m_k}^{h*}$ are defined and satisfy $\Pi_h^* Y_{m_k}^h = Y_{m_k}^{h*}$,

$$\Pi_h y_{m_k} = \sum_{i=0}^N y_{m_k,i} \phi_i(r), \quad \Pi_h^* v_{m_k} = \sum_{j=0}^N v_{m_k,j} \psi_j(r),$$

where $y_{m_k,i} = y_{m_k}(r_i(\theta_k))$ and $v_{m_k,j} = v_{m_k}(r_j(\theta_k))$. Denoting $y_{m_k}^h := y_{m_k}^h(u_{m_k})$, the finite volume element approximation corresponding to the state equation in problem (P_{m_k}) is defined by the function $y_{m_k}^h \in Y_{m_k}^h$ such that

$$A_{m_k}(y_{m_k}^h, \Pi_h^* v_{m_k}) = (f_{m_k}, \Pi_h^* v_{m_k})_{I_k}, y_{m_k}^h|_{\Gamma_{1k}} = u_{m_k}, \forall \Pi_h^* v_{m_k} \in Y_{m_k}^{h*}, \quad (28)$$

where

$$\left\{ \begin{aligned}
 A_{m_k} \left(y_{m_k}^h, \psi_j(r) \right) &= \int_{r_{j-1/2}(\theta_k)}^{r_{j+1/2}(\theta_k)} r \frac{dy_{m_k}^h}{dr} \frac{d\psi_j(r)}{dr} dr + m^2 \int_{r_{j-1/2}(\theta_k)}^{r_{j+1/2}(\theta_k)} \frac{1}{r} y_{m_k}^h dr \\
 &= r_{j-1/2}(\theta_k) \frac{y_{m_k,j} - y_{m_k,j-1}}{h_j} - r_{j+1/2}(\theta_k) \frac{y_{m_k,j+1} - y_{m_k,j}}{h_{j+1}} \\
 &\quad + m^2 \left(\ln \left(r_{j+1/2}(\theta_k) \right) - \ln \left(r_{j-1/2}(\theta_k) \right) \right) y_{m_k,j} \\
 &= -\frac{r_{j-1/2}(\theta_k)}{h_j} y_{m_k,j-1} - \frac{r_{j+1/2}(\theta_k)}{h_{j+1}} y_{m_k,j+1} \\
 &\quad + \left(\frac{r_{j-1/2}(\theta_k)}{h_j} - \frac{r_{j+1/2}(\theta_k)}{h_{j+1}} + m^2 \left(\ln \left(r_{j+1/2}(\theta_k) \right) - \ln \left(r_{j-1/2}(\theta_k) \right) \right) \right) y_{m_k,j} \\
 (f_{m_k}, \psi_j(r))_{I_k} &= \int_{r_{j-1/2}(\theta_k)}^{r_{j+1/2}(\theta_k)} f_{m_k}(r) r dr.
 \end{aligned} \right. \tag{29}$$

The formulation (28) is reduced to the linear system

$$K_{m_k} y_{m_k}^h = f_{m_k},$$

the elements of K_{m_k} with

$$\left\{ \begin{aligned}
 a_{j,j} &= \frac{r_{j-1/2}(\theta_k)}{h_j} + \frac{r_{j+1/2}(\theta_k)}{h_{j+1}} + m^2 \left(\ln \left(r_{j+1/2}(\theta_k) \right) - \ln \left(r_{j-1/2}(\theta_k) \right) \right), \quad j = 1, 2, \dots, N-1, \\
 a_{0,0} &= \frac{r_{1/2}(\theta_k)}{h_1} + m^2 \left(\ln \left(r_{1/2}(\theta_k) \right) - \ln \left(r_0(\theta_k) \right) \right), \\
 a_{N,N} &= \frac{r_{N-1/2}(\theta_k)}{h_N} + m^2 \left(\ln \left(r_N(\theta_k) \right) - \ln \left(r_{N-1/2}(\theta_k) \right) \right), \\
 a_{j,j-1} &= -\frac{r_{j-1/2}(\theta_k)}{h_j}, \quad j = 1, 2, \dots, N, \\
 a_{j,j+1} &= -\frac{r_{j+1/2}(\theta_k)}{h_{j+1}}, \quad j = 0, 1, 2, \dots, N-1,
 \end{aligned} \right.$$

where $y_{m_k}^h = (y_{m_k,0}^h, y_{m_k,1}^h, \dots, y_{m_k,N}^h)^T$, $f_{m_k} = (f_{m_k,0}, f_{m_k,1}, \dots, f_{m_k,N})^T$. The numerical solution of the Equation (23) is obtained by solving this linear system.

Now, the optimality system is:

$$A_{m_k} \left(\bar{y}_{m_k}^h, \Pi_h^* v_{m_k} \right) = (f_{m_k}, \Pi_h^* v_{m_k})_{I_k}, \forall \Pi_h^* v_{m_k} \in Y_{m_k}^{h*}, \tag{30a}$$

$$A_{m_k} \left(\bar{p}_{m_k}^h, \Pi_h^* w_{m_k} \right) = \left(\left(\bar{y}_{m_k}^h - y_{d_{m_k}} \right), \Pi_h^* w_{m_k} \right)_{I_k}, \forall \Pi_h^* w_{m_k} \in Y_{m_k}^{h*}, \tag{30b}$$

$$\left\langle \alpha \bar{u}_{m_k} \sqrt{R_1'(\theta_k)^2 + R_1^2(\theta_k)} + \frac{d\bar{p}_{m_k}^h}{dr} R_1(\theta_k), u_{m_k} - \bar{u}_{m_k} \right\rangle_{\Gamma_{1k}} \geq 0, \forall u_{m_k} \in U_{adm_k}. \tag{30c}$$

Let $Y_{f_\tau}^h := \bigotimes_{k=1}^M Y_{m_k}^h$, $Y_{f_\tau}^{h*} := \bigotimes_{k=1}^M Y_{m_k}^{h*}$. The semidiscrete optimal control problem can be described as: Find $(y_{f_\tau}^h, u_{f_\tau}) \in Y_{f_\tau}^h \times U_{adf_\tau}$ such that

$$(P_{f_\tau}^h) \left\{ \begin{aligned}
 \min_{u_{f_\tau} \in U_{adf_\tau}} J(y_{f_\tau}^h, u_{f_\tau}) &:= \frac{1}{2} \|y_{f_\tau}^h - y_{d_{f_\tau}}\|_{L^2(Q),\tau}^2 + \frac{\alpha}{2} \|u_{f_\tau}\|_{L^2(\Gamma_1),\tau}^2 \\
 \text{s.t. } A_{f_\tau}(y_{f_\tau}^h, \Pi_h^* v_{f_\tau}) &= (f_{f_\tau}, \Pi_h^* v_{f_\tau})_{Q,\tau}, \forall \Pi_h^* v_{f_\tau} \in Y_{f_\tau}^{h*},
 \end{aligned} \right. \tag{31}$$

where $y_{f_\tau}^h := \sum_{|m|=0}^M \left(\frac{1}{M} \sum_{k=1}^M y_{m_k}^h e^{-im\theta_k} \right) e^{im\theta}$, $A_{f_\tau}(y_{f_\tau}^h, \Pi_h^* v_{f_\tau}) := \sum_{|m|=0}^M \left(\frac{1}{M} \sum_{k=1}^M (A_{m_k}(\bar{y}_{m_k}^h, \Pi_h^* v_{m_k})) e^{-im\theta_k} \right) e^{im\theta}$.

Last, the variational discretization method is used to discretize u_{m_k} , denoting $u_h := u_{m_k}^h, y_h := y_{m_k}^h (u_{m_k}^h)$; then, the optimality system is

$$A_{m_k}(\bar{y}_h, \Pi_h^* v_{m_k}) = (f_{m_k}, \Pi_h^* v_{m_k})_{I_k}, \forall \Pi_h^* v_{m_k} \in Y_{m_k}^{h*}, \tag{32a}$$

$$A_{m_k}(\bar{p}_h, \Pi_h^* w_{m_k}) = ((\bar{y}_h - y_{d_{m_k}}), \Pi_h^* w_{m_k})_{I_k}, \forall \Pi_h^* w_{m_k} \in Y_{m_k}^{h*}, \tag{32b}$$

$$\left\langle \alpha \bar{u}_h \sqrt{R_1'(\theta_k)^2 + R_1^2(\theta_k)} + \frac{d\bar{p}_h}{dr} R_1(\theta_k), u_h - \bar{u}_h \right\rangle_{\Gamma_{1k}} \geq 0, \forall u_h \in U_{adm_k}. \tag{32c}$$

The full-discrete optimal control problem can be described as: Find $(y_{f_h}, u_{f_h}) \in Y_{f_k}^h \times U_{ad}^h$ such that

$$(P_{f_h}) \begin{cases} \min_{u_{f_h} \in U_{ad}^h} J_h(y_{f_h}, u_{f_h}) := \frac{1}{2} \|y_{f_h} - y_{d_{f_\tau}}\|_{L^2(Q), \tau}^2 + \frac{\alpha}{2} \|u_{f_h}\|_{L^2(\Gamma_{1, \tau})}^2, \\ \text{s.t. } A_{f_\tau}(y_{f_h}, \Pi_h^* v_{f_\tau}) = (f_{f_\tau}, \Pi_h^* v_{f_\tau})_{Q, \tau}, \forall \Pi_h^* v_{f_\tau} \in Y_{f_k}^{h*}, \end{cases} \tag{33}$$

where $U_{ad}^h := U_{ad_{f_k}}, y_{f_h} := \sum_{|m|=0}^M \left(\frac{1}{M} \sum_{k=1}^M y_h e^{-im\theta_k} \right) e^{im\theta}$ and the definition of p_{f_h}, u_{f_h} are similar.

Theorem 2. *The discrete optimal control of the problem (P_{f_h}) exists and is unique, and the control $u_{f_h} \in U_{ad}^h$ is determined by the solution $\{\bar{y}_{f_h}, \bar{p}_{f_h}, \bar{u}_{f_h}\}$ of the optimality system, that is,*

$$A_{f_\tau}(\bar{y}_{f_h}, \Pi_h^* v_{f_\tau}) = (f_{f_\tau}, \Pi_h^* v_{f_\tau})_{Q, \tau}, \forall \Pi_h^* v_{f_\tau} \in Y_{f_k}^{h*}, \tag{34a}$$

$$A_{f_k}(\bar{p}_{f_h}, \Pi_h^* w_{f_\tau}) = ((\bar{y}_{f_h} - y_{d_{f_\tau}}), \Pi_h^* w_{f_\tau})_{Q, \tau}, \forall \Pi_h^* w_{f_\tau} \in Y_{f_k}^{h*}, \tag{34b}$$

$$\left\langle \alpha \bar{u}_{f_h} \sqrt{R_1'(\theta_k)^2 + R_1^2(\theta_k)} + \frac{\partial \bar{p}_{f_h}}{\partial r} R_1(\theta_k), u_{f_h} - \bar{u}_{f_h} \right\rangle_{\Gamma_{1, \tau}} \geq 0, \forall u_{f_h} \in U_{ad}^h. \tag{34c}$$

4. A Priori Error Estimates

In this section, the error estimate between continuous optimal control problem (P) and discrete optimal control problem (P_h) are obtained.

Here and in what follows, we use “ $a \lesssim b$ ” to denote that there exists a positive generic constant C , which is independent of M and h , such that “ $a \leq Cb$ ”. “ $a \approx b$ ” means that “ $a \lesssim b \lesssim a$ ”.

Before giving the main result, some Lemmas need to be listed.

Lemma 1 ([17]). *For arbitrary $v \in H^l((0, 2\pi))$, $l \geq \frac{1}{2}$,*

$$\|v - v_{f_\tau}\| \lesssim M^{-l} |v|_l, \tag{35}$$

where $v_{f_\tau} = \sum_{|m|=0}^M \left(\frac{1}{M} \sum_{k=1}^M v_{m_k}(r) e^{-im\theta_k} \right) e^{im\theta}$.

From the results of [16], we can derive the next two Lemmas similarly.

Lemma 2. *It holds*

$$A_{m_k}(y_{m_k}^h, \Pi_h^* v_{m_k}^h) \lesssim \|y_{m_k}^h\|_{H^1(I_k)} \|v_{m_k}^h\|_{H^1(I_k)}, \forall y_{m_k}^h, v_{m_k}^h \in Y_{m_k}^h. \tag{36}$$

$$A_{m_k} \left(y_{m_k}^h, \Pi_h^* y_{m_k}^h \right) \gtrsim \left| y_{m_k}^h \right|_{H^1(I_k)}^2, \quad \forall y_{m_k}^h \in Y_{m_k}^h. \tag{37}$$

Lemma 3. *It holds*

$$\left(y_{m_k}^h, \Pi_h^* v_{m_k}^h \right)_{I_k} = \left(v_{m_k}^h, \Pi_h^* y_{m_k}^h \right)_{I_k}, \quad \forall y_{m_k}^h, v_{m_k}^h \in Y_{m_k}^h. \tag{38}$$

$$A_{m_k} \left(y_{m_k}^h, \Pi_h^* v_{m_k}^h \right) = A_{m_k} \left(v_{m_k}^h, \Pi_h^* y_{m_k}^h \right), \quad \forall y_{m_k}^h, v_{m_k}^h \in Y_{m_k}^h. \tag{39}$$

Set $\left\| \left\| y_{m_k}^h \right\| \right\| = \left(y_{m_k}^h, \Pi_h^* y_{m_k}^h \right)_{L^2(I_k)}^{1/2}$, then $\left\| \left\| \cdot \right\| \right\|$ is equivalent to $\| \cdot \|_{I_k}$ on $Y_{m_k}^h$, that is

$$\left\| y_{m_k}^h \right\|_{L^2(I_k)} \lesssim \left\| \left\| y_{m_k}^h \right\| \right\| \lesssim \left\| y_{m_k}^h \right\|_{L^2(I_k)}, \quad \forall y_{m_k}^h \in Y_{m_k}^h. \tag{40}$$

Lemma 4. *Let $\bar{y}_{m_k} \in H^2(I_k)$ be the solution of Equation (23) and $\bar{y}_{m_k}^h \in Y_{m_k}^h$ be the solution of Equation (28); then, the following estimate holds:*

$$\left| \bar{y}_{m_k} - \bar{y}_{m_k}^h \right|_{H^1(I_k)} \lesssim h \left| \bar{y}_{m_k} \right|_{H^2(I_k)}. \tag{41}$$

The proof refers to [16].

Lemma 5 ([58]). *Let $\bar{y}_{m_k}^h \in Y_{m_k}^h$ be the solution of Equation (28), and \bar{y}_{m_k} be the solution of Equation (23) with $\bar{y}_{m_k} \in Y_{m_k} \cap H^2(I_k)$, and $\bar{y}_{m_k} \in H^2(I_k)$, $f_{m_k} \in H^1(I_k)$; then, the following estimate holds:*

$$\left\| \bar{y}_{m_k} - \bar{y}_{m_k}^h \right\|_{L^2(I_k)} \lesssim h^2 \left(\left\| \bar{y}_{m_k} \right\|_{H^2(I_k)} + \left\| f_{m_k} \right\|_{H^1(I_k)} \right). \tag{42}$$

The proof refers to Theorem 3.5 in [58].

Remark 2. *Similarly, for $\bar{p}_{m_k}^h \in Y_{m_k}^h$ and $\bar{p}_{m_k} \in Y_{m_k} \cap H^2(I_k)$ as the solution of corresponding adjoint state equation of (28) and (23), respectively, and $y_{d_{m_k}} \in H^1(I_k)$, it holds*

$$\left\| \bar{p}_{m_k} - \bar{p}_{m_k}^h \right\|_{L^2(I_k)} \lesssim h^2 \left(\left\| \bar{p}_{m_k} \right\|_{H^2(I_k)} + \left\| \bar{y}_{m_k} - y_{d_{m_k}} \right\|_{H^1(I_k)} \right). \tag{43}$$

Lemma 6 ([7]). *Let $(\bar{y}_{m_k}, \bar{u}_{m_k}, \bar{p}_{m_k}) \in (Y_{m_k} \times U_{adm_k} \times V_{m_k})$ be the solutions of (24), $(\bar{y}_h, \bar{u}_h, \bar{p}_h) \in (Y_{m_k}^h \times U_{adm_k} \times Y_{m_k}^h)$ be the solutions of (32) and $(\bar{y}_{m_k}^h, \bar{p}_{m_k}^h) \in (Y_{m_k}^h \times Y_{m_k}^h)$ be the solution of (30), respectively. Then, the following estimates hold*

$$\left\langle R_1(\theta_k) \left(\frac{d\bar{p}_h}{dr} - \frac{d\bar{p}_{m_k}}{dr} \right), \bar{u}_{m_k} - \bar{u}_h \right\rangle_{\Gamma_{1_k}} \lesssim - \left\| \bar{y}_{m_k}^h - \bar{y}_h \right\|_{L^2(I_k)}^2 + \left\| \bar{y}_{m_k}^h - \bar{y}_{m_k} \right\|_{L^2(I_k)}^2, \tag{44}$$

$$\begin{aligned} & \alpha c_0 \left\| \bar{u}_{m_k} - \bar{u}_h \right\|_{L^2(\Gamma_{1_k})}^2 + \left\| \bar{y}_{m_k}^h - \bar{y}_h \right\|_{L^2(I_k)}^2 \\ & \lesssim \left\| \bar{u}_{m_k} - \bar{u}_h \right\|_{L^2(\Gamma_{1_k})} \left\| \frac{d\bar{p}_{m_k}}{dr} - \frac{d\bar{p}_{m_k}^h}{dr} \right\|_{L^2(\Gamma_{1_k})} + \left\| \bar{y}_{m_k} - \bar{y}_{m_k}^h \right\|_{L^2(I_k)}^2, \end{aligned} \tag{45}$$

where $c_0 = \min_{k=1,2,3,\dots,L} \sqrt{(R_1'(\theta_k))^2 + R_1^2(\theta_k)}$.

Proof. We first show (44). Using $\Pi_h^*(\bar{p}_{m_k}^h)$ and $\Pi_h^*(\bar{p}_h)$ time the state equations about $\bar{y}_{m_k}^h$ and \bar{y}_h , $\Pi_h^*(\bar{y}_{m_k}^h)$ and $\Pi_h^*(\bar{y}_h)$ time the adjoint state equations about $\bar{p}_{m_k}^h$ and \bar{p}_h and integrate on interval I_k , respectively, we can obtain that

$$A_{m_k}(\bar{y}_{m_k}^h, \Pi_h^*(\bar{p}_{m_k}^h)) = (f_{m_k}, \Pi_h^*(\bar{p}_{m_k}^h))_{I_k},$$

$$A_{m_k}(\bar{p}_{m_k}^h, \Pi_h^*(\bar{y}_{m_k}^h)) = (\bar{y}_{m_k} - y_{d_{m_k}}, \Pi_h^*(\bar{y}_{m_k}^h))_{I_k} - \left\langle \left(\frac{d\bar{p}_{m_k}^h}{dr} \right), \bar{u}_{m_k} R_1(\theta_k) \right\rangle_{\Gamma_{1_k}}, \tag{46}$$

$$A_{m_k}(\bar{y}_{m_k}^h, \Pi_h^*(\bar{p}_h)) = (f_{m_k}, \Pi_h^*(\bar{p}_h))_{I_k},$$

$$A_{m_k}(\bar{p}_h, \Pi_h^*(\bar{y}_{m_k}^h)) = (\bar{y}_h - y_{d_{m_k}}, \Pi_h^*(\bar{y}_{m_k}^h))_{I_k} - \left\langle \left(\frac{d\bar{p}_h}{dr} \right), \bar{u}_{m_k} R_1(\theta_k) \right\rangle_{\Gamma_{1_k}}, \tag{47}$$

$$A_{m_k}(\bar{y}_h, \Pi_h^*(\bar{p}_{m_k}^h)) = (f_{m_k}, \Pi_h^*(\bar{p}_{m_k}^h))_{I_k},$$

$$A_{m_k}(\bar{p}_{m_k}^h, \Pi_h^*(\bar{y}_h)) = (\bar{y}_{m_k} - y_{d_{m_k}}, \Pi_h^*(\bar{y}_h))_{I_k} - \left\langle \left(\frac{d\bar{p}_{m_k}^h}{dr} \right), \bar{u}_h R_1(\theta_k) \right\rangle_{\Gamma_{1_k}}, \tag{48}$$

$$A_{m_k}(\bar{y}_h, \Pi_h^*(\bar{p}_h)) = (f_{m_k}, \Pi_h^*(\bar{p}_h))_{I_k},$$

$$A_{m_k}(\bar{p}_h, \Pi_h^*(\bar{y}_h)) = (\bar{y}_h - y_{d_{m_k}}, \Pi_h^*(\bar{y}_h))_{I_k} - \left\langle \left(\frac{d\bar{p}_h}{dr} \right), \bar{u}_h R_1(\theta_k) \right\rangle_{\Gamma_{1_k}}. \tag{49}$$

From Lemma 3 and the above four formulas, it can be deduced that

$$(f_{m_k}, \Pi_h^*(\bar{p}_{m_k}^h))_{I_k} - (\bar{y}_{m_k} - y_{d_{m_k}}, \Pi_h^*(\bar{y}_{m_k}^h))_{I_k} + \left\langle \left(\frac{d\bar{p}_{m_k}^h}{dr} \right), \bar{u}_{m_k} R_1(\theta_k) \right\rangle_{\Gamma_{1_k}} = 0,$$

$$(f_{m_k}, \Pi_h^*(\bar{p}_h))_{I_k} - (\bar{y}_h - y_{d_{m_k}}, \Pi_h^*(\bar{y}_{m_k}^h))_{I_k} + \left\langle \left(\frac{d\bar{p}_h}{dr} \right), \bar{u}_{m_k} R_1(\theta_k) \right\rangle_{\Gamma_{1_k}} = 0, \tag{50}$$

$$(f_{m_k}, \Pi_h^*(\bar{p}_{m_k}^h))_{I_k} - (\bar{y}_{m_k} - y_{d_{m_k}}, \Pi_h^*(\bar{y}_h))_{I_k} + \left\langle \left(\frac{d\bar{p}_{m_k}^h}{dr} \right), \bar{u}_h R_1(\theta_k) \right\rangle_{\Gamma_{1_k}} = 0,$$

$$(f_{m_k}, \Pi_h^*(\bar{p}_h))_{I_k} - (\bar{y}_h - y_{d_{m_k}}, \Pi_h^*(\bar{y}_h))_{I_k} + \left\langle \left(\frac{d\bar{p}_h}{dr} \right), \bar{u}_h R_1(\theta_k) \right\rangle_{\Gamma_{1_k}} = 0. \tag{51}$$

Then, from the above two formulas, we have

$$(f_{m_k}, \Pi_h^*(\bar{p}_{m_k}^h - \bar{p}_h))_{I_k} - (\bar{y}_{m_k} - \bar{y}_h, \Pi_h^*(\bar{y}_{m_k}^h))_{I_k} + \left\langle \left(\frac{d\bar{p}_{m_k}^h}{dr} - \frac{d\bar{p}_h}{dr} \right), \bar{u}_{m_k} R_1(\theta_k) \right\rangle_{\Gamma_{1_k}} = 0,$$

$$(f_{m_k}, \Pi_h^*(\bar{p}_{m_k}^h - \bar{p}_h))_{I_k} - (\bar{y}_{m_k} - \bar{y}_h, \Pi_h^*(\bar{y}_h))_{I_k} + \left\langle \left(\frac{d\bar{p}_{m_k}^h}{dr} - \frac{d\bar{p}_h}{dr} \right), \bar{u}_h R_1(\theta_k) \right\rangle_{\Gamma_{1_k}} = 0. \tag{52}$$

Subtracting the two equations in (52), we can derive that

$$(\bar{y}_{m_k} - \bar{y}_h, \Pi_h^*(\bar{y}_{m_k}^h - \bar{y}_h))_{I_k} + \left\langle \left(\frac{d\bar{p}_h}{dr} - \frac{d\bar{p}_{m_k}^h}{dr} \right), (\bar{u}_{m_k} - \bar{u}_h) R_1(\theta_k) \right\rangle_{\Gamma_{1_k}} = 0. \tag{53}$$

Hence, using Young’s inequality and the definition of $\|\cdot\|$, we have

$$\begin{aligned}
 & \left\langle R_1(\theta_k) \left(\frac{d\bar{p}_h}{dr} - \frac{d\bar{p}_{m_k}^h}{dr} \right), \bar{u}_{m_k} - \bar{u}_h \right\rangle_{\Gamma_{1_k}} \\
 &= \left(\bar{y}_h - \bar{y}_{m_k}, \Pi_h^*(\bar{y}_{m_k}^h - \bar{y}_h) \right)_{I_k} \\
 &= \left(\bar{y}_h - \bar{y}_{m_k}^h, \Pi_h^*(\bar{y}_{m_k}^h - \bar{y}_h) \right)_{I_k} + \left(\bar{y}_{m_k}^h - \bar{y}_{m_k}, \Pi_h^*(\bar{y}_{m_k}^h - \bar{y}_h) \right)_{I_k} \tag{54} \\
 &\leq \varepsilon \cdot c \left\| \Pi_h^*(\bar{y}_{m_k}^h - \bar{y}_h) \right\|_{L^2(I_k)}^2 + C(\varepsilon) \left\| \bar{y}_{m_k} - \bar{y}_{m_k}^h \right\|_{L^2(I_k)}^2 - \left\| \bar{y}_h - \bar{y}_{m_k}^h \right\|_{L^2(I_k)}^2 \\
 &\leq \varepsilon \cdot c \left\| \Pi_h^*(\bar{y}_{m_k}^h - \bar{y}_h) \right\|_{L^2(I_k)}^2 + C(\varepsilon) \left\| \bar{y}_{m_k} - \bar{y}_{m_k}^h \right\|_{L^2(I_k)}^2 - \left\| \bar{y}_h - \bar{y}_{m_k}^h \right\|_{L^2(I_k)}^2,
 \end{aligned}$$

where $c > 0$ is a bounded constant. Let $\varepsilon \rightarrow 0$, we complete the proof of (44).

Next, we show (45). Let $u_{m_k} = \bar{u}_h$ in (24c) and $u_h = \bar{u}_{m_k}$ in (32c), respectively. Then, adding the two inequalities, we obtain

$$\alpha \sqrt{(R_1'(\theta_k))^2 + R_1^2(\theta_k)} \left\| \bar{u}_{m_k} - \bar{u}_h \right\|_{L^2(\Gamma_{1_k})}^2 \leq \left\langle R_1(\theta_k) \left(\frac{d\bar{p}_h}{dr} - \frac{d\bar{p}_{m_k}}{dr} \right), \bar{u}_{m_k} - \bar{u}_h \right\rangle_{\Gamma_{1_k}}. \tag{55}$$

According to (44) and Cauchy inequality, we get

$$\begin{aligned}
 & \left\langle R_1(\theta_k) \left(\frac{d\bar{p}_h}{dr} - \frac{d\bar{p}_{m_k}}{dr} \right), \bar{u}_{m_k} - \bar{u}_h \right\rangle_{\Gamma_{1_k}} \\
 &= \left\langle R_1(\theta_k) \left(\frac{d\bar{p}_h}{dr} - \frac{d\bar{p}_{m_k}^h}{dr} \right), \bar{u}_{m_k} - \bar{u}_h \right\rangle_{\Gamma_{1_k}} + \left\langle R_1(\theta_k) \left(\frac{d\bar{p}_{m_k}^h}{dr} - \frac{d\bar{p}_{m_k}}{dr} \right), \bar{u}_{m_k} - \bar{u}_h \right\rangle_{\Gamma_{1_k}} \tag{56} \\
 &\lesssim \left\| \bar{y}_{m_k} - \bar{y}_{m_k}^h \right\|_{L^2(I_k)}^2 - \left\| \bar{y}_h - \bar{y}_{m_k}^h \right\|_{L^2(I_k)}^2 + \left\| \bar{u}_{m_k} - \bar{u}_h \right\|_{L^2(\Gamma_{1_k})} \left\| \frac{d\bar{p}_{m_k}^h}{dr} - \frac{d\bar{p}_{m_k}}{dr} \right\|_{L^2(\Gamma_{1_k})}.
 \end{aligned}$$

Combining (55) and (56), we complete the proof. \square

Lemma 7. Let the conditions of Lemma 6 be fulfilled, also, $\bar{p}_{m_k} \in H^{2+\beta}(I_k)$, $\frac{1}{2} < \beta < 1$. Then, there holds

$$\left\| \frac{d\bar{p}_{m_k}}{dr} - \frac{d\bar{p}_{m_k}^h}{dr} \right\|_{L^2(\Gamma_{1_k})} \lesssim h^2.$$

Proof. For any fixed $k = 1, 2, 3, \dots, M$, $R_1(\theta_k)$ is a fixed point. From the Sobolev embedding theorem [16]: $H^{2+\beta}(I_k) \hookrightarrow C^2(\bar{I}_k)$ for $\frac{1}{2} < \beta < 1$. Then, using quadratic interpolation scheme, we have

$$\frac{d\bar{p}_{m_k}^h}{dr}(R_1(\theta_k)) = -\frac{3\bar{p}_{m_k}(R_1(\theta_k)) - 4\bar{p}_{m_k}(R_1(\theta_k) + h) + \bar{p}_{m_k}(R_1(\theta_k) + 2h)}{2h},$$

by the Taylor expansion,

$$\left\| \frac{d\bar{p}_{m_k}}{dr} - \frac{d\bar{p}_{m_k}^h}{dr} \right\|_{L^2(\Gamma_{1_k})} = \left| \frac{d\bar{p}_{m_k}}{dr}(R_1(\theta_k)) - \frac{d\bar{p}_{m_k}^h}{dr}(R_1(\theta_k)) \right| \lesssim h^2.$$

\square

Remark 3. Based on the regularity of the right-hand side of the adjoint Equation (24b), we assume that $\bar{p}_{m_k} \in H^{2+\beta}(I_k)$, $\frac{1}{2} < \beta < 1$ is reasonable.

Proof. Since $f_{m_k} \in H^1(I_k)$, we have $\bar{y}_{m_k} \in H^2(I_k)$. From $\bar{y}_{m_k} - y_{d_{m_k}} \in H^1(I_k)$ and elliptic regularity, it implies that $\bar{p} \in H^3(I) \cap V_{m_k} \subset H^{2+\beta}(I_k) \cap V_{m_k}$, $\frac{1}{2} < \beta < 1$, using the trace theorem with this together, and the relation of \bar{u}_{m_k} and \bar{p}_{m_k} , we obtain $\bar{u}_{m_k} \in H^1(I_k) \subset H^{\frac{1}{2}}(I_k)$, which combining with $f_{m_k} \in H^1(I_k)$ in turns gives $\bar{y}_{m_k} \in H^2(I_k) \cap Y_{m_k}$. \square

Lemma 8. Let $(\bar{y}_{m_k}, \bar{p}_{m_k}) \in (Y_{m_k} \times V_{m_k})$ be the solutions of (24) and $(\bar{y}_h, \bar{p}_h) \in (Y_{m_k}^h \times Y_{m_k}^h)$ be the solution of (32), respectively. Then, there holds

$$\|\bar{p}_{m_k} - \bar{p}_h\|_{L^2(I_k)} \lesssim \|\bar{y}_{m_k} - \bar{y}_h\|_{L^2(I_k)} + \|\bar{p}_{m_k} - \bar{p}_{m_k}^h\|_{L^2(I_k)}. \tag{57}$$

Proof. Combined with (30b) and (32b), then

$$A_{m_k}(\bar{p}_{m_k}^h - \bar{p}_h, \Pi_h^* w) = (\bar{y}_{m_k} - \bar{y}_h, \Pi_h^* w)_{I_k}, \forall w \in Y_{m_k}^h. \tag{58}$$

Taking $w = \bar{p}_{m_k}^h - \bar{p}_h$ in (58) to get

$$A_{m_k}(\bar{p}_{m_k}^h - \bar{p}_h, \Pi_h^*(\bar{p}_{m_k}^h - \bar{p}_h)) = (\bar{y}_{m_k} - \bar{y}_h, \Pi_h^*(\bar{p}_{m_k}^h - \bar{p}_h))_{I_k}, \forall w \in Y_{m_k}^h. \tag{59}$$

By Lemma 2 and Young’s inequality,

$$A_{m_k}(\bar{p}_{m_k}^h - \bar{p}_h, \Pi_h^*(\bar{p}_{m_k}^h - \bar{p}_h)) \gtrsim \|\bar{p}_{m_k}^h - \bar{p}_h\|_{H^1(I_k)}^2 \gtrsim \|\bar{p}_{m_k}^h - \bar{p}_h\|_{L^2(I_k)}^2, \tag{60}$$

$$\begin{aligned} (\bar{y}_{m_k} - \bar{y}_h, \Pi_h^*(\bar{p}_{m_k}^h - \bar{p}_h))_{I_k} &\leq \frac{1}{2} \|\bar{y}_{m_k} - \bar{y}_h\|^2 + \frac{1}{2} \|\bar{p}_{m_k}^h - \bar{p}_h\|^2 \\ &\lesssim \|\bar{y}_{m_k} - \bar{y}_h\|_{L^2(I_k)}^2 + \|\bar{p}_{m_k}^h - \bar{p}_h\|_{L^2(I_k)}^2, \end{aligned} \tag{61}$$

which, together with the triangle inequality, leads to the estimate (57). \square

Based on the above Lemmas, we can immediately obtain the following main results of optimal error estimates.

Theorem 3. Let $(\bar{y}, \bar{p}, \bar{u}) \in (H^2(\Omega) \cap Y) \times (H^{2+\beta}(\Omega) \cap V) \times U_{ad}$ and $(\bar{y}_{f_h}, \bar{p}_{f_h}, \bar{u}_{f_h}) \in (H^2(Q) \cap Y_{f_k}^h) \times (H^{2+\beta}(Q) \cap Y_{f_k}^h) \times U_{ad}^h$, ($\frac{1}{2} < \beta < 1$) be the solutions of the problems (P) and (P_{f_h}) respectively. Then we have

$$\|\bar{u} - \bar{u}_{f_h}\|_{L^2(\partial\Omega_1)} \lesssim M^{-\frac{1}{2}} + Mh^2, \tag{62}$$

$$\|\bar{y} - \bar{y}_{f_h}\|_{L^2(\Omega)} \lesssim M^{-\frac{1}{2}} + Mh^2, \tag{63}$$

$$\|\bar{p} - \bar{p}_{f_h}\|_{L^2(\Omega)} \lesssim M^{-\frac{1}{2}} + Mh^2. \tag{64}$$

Proof. Combined with the above Lemmas, there holds:

$$\begin{aligned}
 \|\bar{u} - \bar{u}_{f_h}\|_{L^2(\partial\Omega_1)} &\leq \|\bar{u} - \bar{u}_{f_\tau}\|_{L^2(\Gamma_1)} + \|\bar{u}_{f_\tau} - \bar{u}_{f_h}\|_{L^2(\Gamma_1)} \\
 &\lesssim M^{-\frac{1}{2}}|\bar{u}|_{H^{\frac{1}{2}}(\Gamma_1)} + \sum_{|m|=0}^M \left\| \frac{1}{M} \sum_{k=1}^M (\bar{u}_{m_k} - \bar{u}_h) e^{-im\theta_k} \right\|_{L^2(\Gamma_1)} \\
 &\lesssim M^{-\frac{1}{2}} + \sum_{|m|=0}^M \left\| \frac{1}{M} \sum_{k=1}^M \left(\frac{d\bar{p}_{m_k}}{dr} - \frac{d\bar{p}_{m_k}^h}{dr} \right) e^{-im\theta_k} \right\|_{L^2(\Gamma_1)} \\
 &\quad + \sum_{|m|=0}^M \left\| \frac{1}{M} \sum_{k=1}^M (\bar{y}_{m_k} - \bar{y}_{m_k}^h) e^{-im\theta_k} \right\|_{L^2(Q)} \\
 &\lesssim M^{-\frac{1}{2}} + Mh^2,
 \end{aligned} \tag{65}$$

$$\begin{aligned}
 \|\bar{y} - \bar{y}_{f_h}\|_{L^2(\Omega)} &\leq \|\bar{y} - \bar{y}_{f_\tau}\|_{L^2(Q)} + \|\bar{y}_{f_\tau} - \bar{y}_{f_h}\|_{L^2(Q)} \\
 &\lesssim M^{-\frac{1}{2}}|\bar{y}|_{H^{\frac{1}{2}}(I_k)} + \sum_{|m|=0}^M \left\| \frac{1}{M} \sum_{k=1}^M (\bar{y}_{m_k} - \bar{y}_h) e^{-im\theta_k} \right\|_{L^2(Q)} \\
 &\lesssim M^{-\frac{1}{2}} + \sum_{|m|=0}^M \left\| \frac{1}{M} \sum_{k=1}^M (\bar{y}_{m_k} - \bar{y}_{m_k}^h) e^{-im\theta_k} \right\|_{L^2(Q)} \\
 &\lesssim M^{-\frac{1}{2}} + \frac{1}{M} \sum_{|m|=0}^M \sum_{k=1}^M h^2 \\
 &\lesssim M^{-\frac{1}{2}} + Mh^2,
 \end{aligned} \tag{66}$$

$$\begin{aligned}
 \|\bar{p} - \bar{p}_{f_h}\|_{L^2(\Omega)} &\leq \|\bar{p} - \bar{p}_{f_\tau}\|_{L^2(Q)} + \|\bar{p}_{f_\tau} - \bar{p}_{f_h}\|_{L^2(Q)} \\
 &\lesssim M^{-\frac{1}{2}}|\bar{p}|_{H^{\frac{1}{2}}(I_k)} + \sum_{|m|=0}^M \left\| \frac{1}{M} \sum_{k=1}^M (\bar{p}_{m_k} - \bar{p}_h) e^{-im\theta_k} \right\|_{L^2(Q)} \\
 &\lesssim M^{-\frac{1}{2}} + \sum_{|m|=0}^M \left\| \frac{1}{M} \sum_{k=1}^M (\bar{y}_{m_k} - \bar{y}_h) e^{-im\theta_k} \right\|_{L^2(Q)} + \sum_{|m|=0}^M \left\| \frac{1}{M} \sum_{k=1}^M (\bar{p}_{m_k} - \bar{p}_{m_k}^h) e^{-im\theta_k} \right\|_{L^2(Q)} \\
 &\lesssim M^{-\frac{1}{2}} + \frac{1}{M} \sum_{|m|=0}^M \sum_{k=1}^M h^2 + \frac{1}{M} \sum_{|m|=0}^M \sum_{k=1}^M h^2 \\
 &\lesssim M^{-\frac{1}{2}} + Mh^2,
 \end{aligned} \tag{67}$$

where M can be controlled artificially so as not to affect the error order. \square

5. Numerical Experiments

In this section, numerical experiments are presented for the Fourier finite volume element method to confirm the theoretical results. The numbers of grid points are $N + 1$ and L in the radial and azimuthal direction, respectively. So the total number of computational nodes is $NL = (N + 1) \times L$. Here, fixed $M = L = 64$. In the numerical experiments, L^2 and L^∞ norms are defined as follows (see [59]):

$$E_2 = \sqrt{\frac{1}{NL} \sum_{i=1}^{NL} |(u_{f_h})_i - (u_{exa})_i|^2}, \quad E_2^b = \sqrt{\frac{1}{L} \sum_{i=1}^L |(u_{f_h})_i - (u_{exa})_i|^2},$$

$$E_\infty = \max\{|(u_{f_h})_i - (u_{exa})_i|, i = 1, 2, \dots, NL\},$$

$$E_\infty^b = \max\{|(u_{f_h})_i - (u_{exa})_i|, i = 1, 2, \dots, L\}.$$

For the error functional, the experimental order of convergence is defined by

$$\text{Order} = \frac{\log E(h_1) - \log E(h_2)}{\log h_1 - \log h_2}.$$

The Algorithm 1 is as follows:

Algorithm 1: Algorithm for the solution of optimal control problem.

- 1: Provide an initial u_0 of the control function u ;
- 2: Solve the equation of state in y using the above u_0 ;
- 3: Solve the adjoint equation for p , being known y and y_d ;
- 4: Compute the new control function u_1 using the above p ;
- 5: If $|u_0 - u_1| \leq 1.0 \times 10^{-10}$, setting $u_{f_h} = u_1$, else setting $u_0 = u_1$ and goto step 2;
- 6: Take the last computed control function u_{f_h} to compute the y_{f_h} and p_{f_h} .

5.1. Experiment 1

The first experiment is an unconstrained problem defined on the domain $\Omega = \{1 < x_1^2 + x_2^2 < 2\}$ with

$$f = \left(\frac{\pi^2}{16} + \frac{1}{r^2}\right) \sin\left(\frac{\pi}{4}r\right) \sin \theta - \frac{\pi}{4r} \cos\left(\frac{\pi}{4}r\right) \sin \theta,$$

$$y_d = \sin\left(\frac{\pi}{4}r\right) \sin \theta - \sqrt{2}\alpha \left[\left(\frac{\pi}{4} - \frac{1}{\pi r^2}\right) \cos\left(\frac{\pi}{2}r\right) \sin \theta - \frac{1}{2r} \sin\left(\frac{\pi}{4}r\right) \sin \theta\right].$$

The optimal solution is given by

$$y = \sin\left(\frac{\pi}{4}r\right) \sin \theta,$$

$$p = \alpha \frac{\sqrt{2}}{\pi} \cos\left(\frac{\pi}{2}r\right) \sin \theta,$$

$$u = \frac{\sqrt{2}}{2} \sin \theta,$$

where $\alpha = 1.3$ is selected.

The corresponding numerical results of grid refinement analysis for experiment 1 are presented in Table 1, which contains the error and convergence order of the control u , the state y and the adjoint state p in the sense of both L^2 -norm and L^∞ -norm. Figure 2 depicts the convergence orders by slopes. It is apparent that the second order convergence rates of u, y, p are achieved with this methods.

The numerical solution u_{f_h} versus exact solution u with $N = 64$ is shown in Figure 3a, and the error of control u is plotted in Figure 3b. Figures 4–6 show the numerical solution and the exact solution for the state and adjoint the error between them, respectively. From Figure 6, we find that both errors are of the scale of 10^{-4} at $N = 64$, which indicates that the numerical method has a good approximation.

Table 1. Error of control u , state y , and adjoint state p for experiment 1 with fixed L .

(a) L^2 -norm						
N	$\ u_{f_h} - u\ _{L^2(\partial\Omega_1)}$	Order	$\ y_{f_h} - y\ _{L^2(\Omega)}$	Order	$\ p_{f_h} - p\ _{L^2(\Omega)}$	Order
8	1.2641×10^{-2}		1.0022×10^{-2}		6.1839×10^{-3}	
16	2.9821×10^{-3}	2.12	2.3802×10^{-3}	2.11	1.4400×10^{-3}	2.15
32	7.2104×10^{-4}	2.07	5.7755×10^{-4}	2.06	3.4628×10^{-4}	2.08
64	1.7706×10^{-4}	2.04	1.4208×10^{-4}	2.03	8.4826×10^{-5}	2.04
128	4.3852×10^{-5}	2.02	3.5227×10^{-5}	2.02	2.0988×10^{-5}	2.02
256	1.0914×10^{-5}	2.01	8.7656×10^{-6}	2.01	5.2182×10^{-6}	2.01
512	2.7245×10^{-6}	2.00	2.1842×10^{-6}	2.01	1.3001×10^{-6}	2.01
(b) L^∞ -norm						
N	$\ u_{f_h} - u\ _{L^\infty(\partial\Omega_1)}$	Order	$\ y_{f_h} - y\ _{L^\infty(\Omega)}$	Order	$\ p_{f_h} - p\ _{L^\infty(\Omega)}$	Order
8	1.7877×10^{-2}		1.6492×10^{-2}		1.1750×10^{-2}	
16	4.2173×10^{-3}	2.12	4.0400×10^{-3}	2.04	2.8245×10^{-3}	2.08
32	1.0197×10^{-3}	2.07	9.9734×10^{-4}	2.03	6.9079×10^{-4}	2.04
64	2.5040×10^{-4}	2.04	2.4758×10^{-4}	2.01	1.7070×10^{-4}	2.02
128	6.2016×10^{-5}	2.02	6.1673×10^{-5}	2.01	4.2423×10^{-5}	2.01
256	1.5435×10^{-5}	2.01	1.5383×10^{-5}	2.00	1.0571×10^{-5}	2.01
512	3.8531×10^{-6}	2.00	3.8379×10^{-6}	2.00	2.6369×10^{-6}	2.00

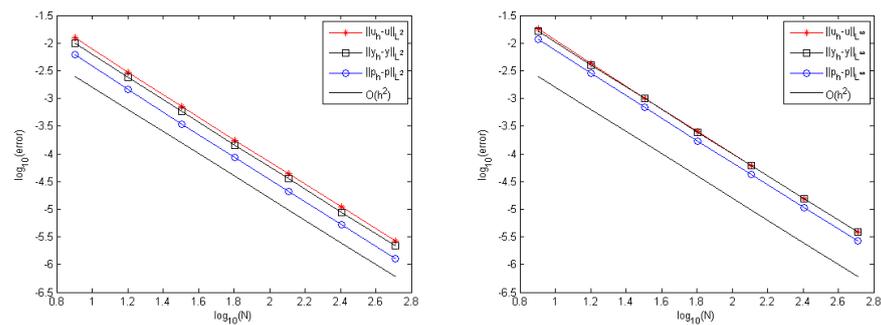


Figure 2. Convergence orders of $u_{f_h} - u$, $y_{f_h} - y$, and $p_{f_h} - p$ in different norms.

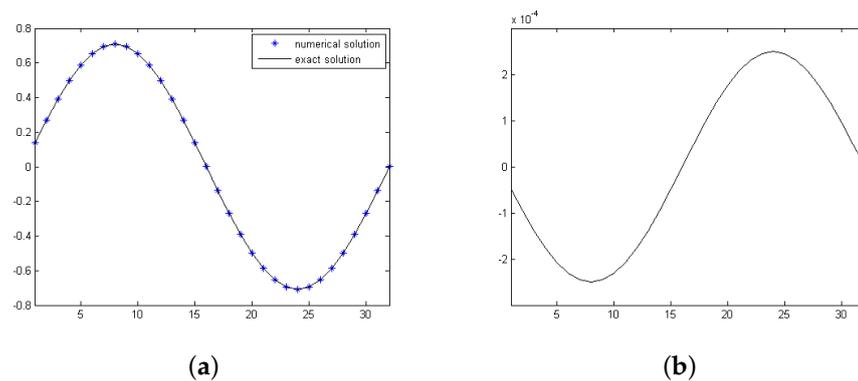


Figure 3. The numerical solution u_{f_h} versus exact solution u (a), error $u_{f_h} - u$ (b) for experiment 1 with $N = 64$.

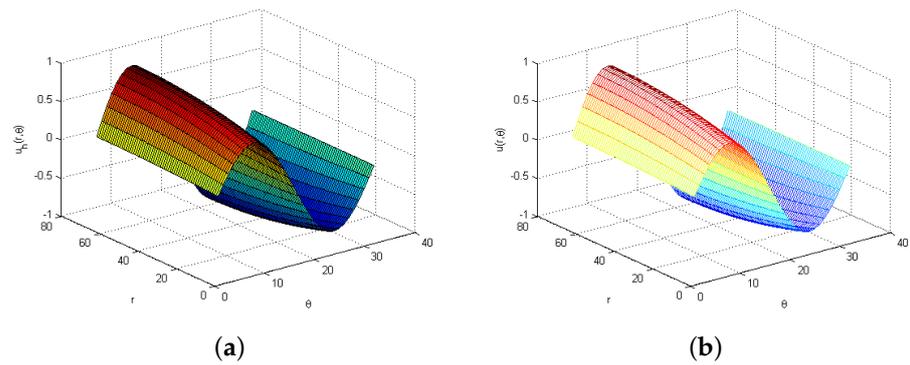


Figure 4. Numerical solution y_{f_h} (a) and exact solution y (b) with $N = 64$.

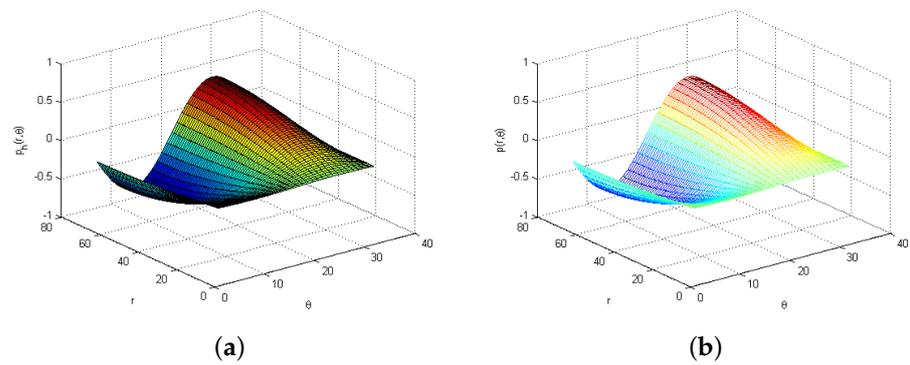


Figure 5. Numerical solution p_{f_h} (a) and exact solution p (b) with $N = 64$.

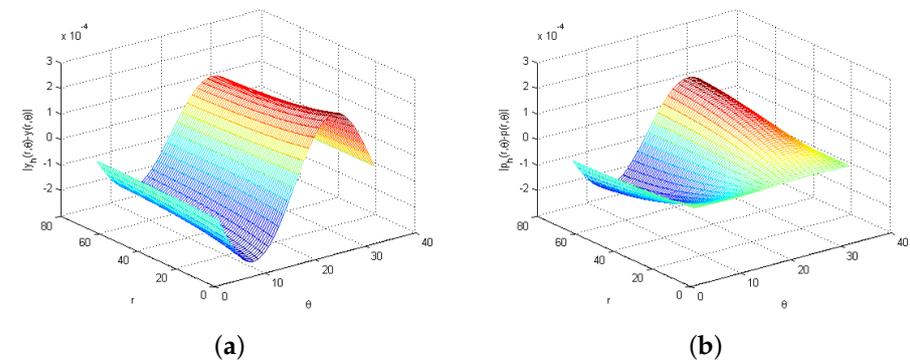


Figure 6. Error for state y (a) and adjoint state p (b) with $N = 64$.

5.2. Experiment 2

The second experiment is an constrained control problem defined on the $\Omega = \{1 < x_1^2 + x_2^2 < 2\}$ with

$$f = \frac{1}{\alpha} \left(\frac{5}{r^2} - 3 \right) \max(0, \sin \theta),$$

$$y_d = \left(\frac{1}{\alpha} r^2 - \frac{4}{\alpha} r - \frac{3}{r^2} + \frac{5}{\alpha} + 3 \right) \max(0, \sin \theta),$$

the optimal solution is given by

$$\begin{aligned}
 y &= \frac{1}{\alpha} (r^2 - 4r + 5) \max(0, \sin \theta), \\
 p &= (r^2 - 4r + 3) \max(0, \sin \theta), \\
 u &= \frac{2}{\alpha} \max(0, \sin \theta),
 \end{aligned}$$

where $\alpha = 1.3$ is selected.

Table 2 presents the error and convergence order of the control u , the state y , and the adjoint state p in the sense of both L^2 -norm and L^∞ -norm, the corresponding profiles of their convergence order are shown in Figure 7. From them, we derive that the convergence order of these three variables are second.

Table 2. Error of control u , state y and adjoint state p for experiment 2 with fixed L .

(a) L^2 -norm						
N	$\ u_{f_h} - u\ _{L^2(\partial\Omega_1)}$	Order	$\ y_{f_h} - y\ _{L^2(\Omega)}$	Order	$\ p_{f_h} - p\ _{L^2(\Omega)}$	Order
8	1.8638×10^{-3}		7.5762×10^{-4}		1.4328×10^{-3}	
16	4.6715×10^{-4}	1.99	2.0419×10^{-4}	1.86	3.4342×10^{-4}	2.09
32	1.1707×10^{-4}	2.00	5.2882×10^{-5}	1.93	8.4040×10^{-5}	2.04
64	2.9414×10^{-5}	1.99	1.3358×10^{-5}	1.98	2.0831×10^{-5}	2.02
128	7.5096×10^{-6}	1.96	3.2515×10^{-6}	2.05	5.2401×10^{-6}	1.99
256	2.0341×10^{-6}	1.85	7.1071×10^{-7}	2.29	1.3680×10^{-6}	1.92
(b) L^∞ -norm						
N	$\ u_{f_h} - u\ _{L^\infty(\partial\Omega_1)}$	Order	$\ y_{f_h} - y\ _{L^\infty(\Omega)}$	Order	$\ p_{f_h} - p\ _{L^\infty(\Omega)}$	Order
8	3.7278×10^{-3}		2.9252×10^{-3}		4.4435×10^{-3}	
16	9.3417×10^{-4}	2.00	8.2853×10^{-4}	1.77	1.1098×10^{-3}	2.00
32	2.3401×10^{-4}	2.00	2.2003×10^{-4}	1.88	2.7740×10^{-4}	2.00
64	5.8703×10^{-5}	1.99	5.6482×10^{-5}	1.95	6.9430×10^{-5}	2.00
128	1.4881×10^{-5}	1.97	1.4089×10^{-5}	2.00	1.7464×10^{-5}	1.99
256	3.9240×10^{-6}	1.90	3.3027×10^{-6}	2.13	4.4741×10^{-6}	1.95

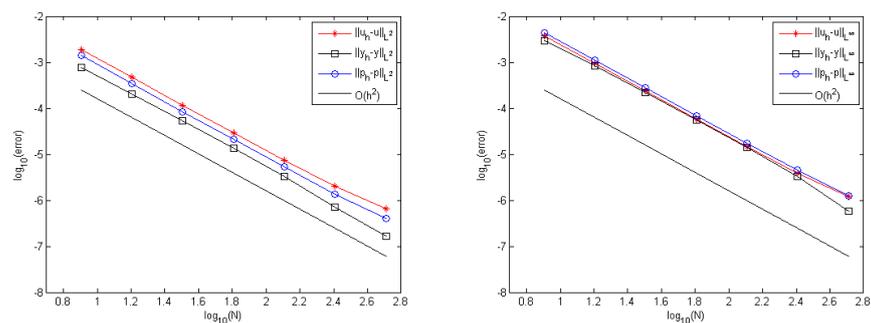


Figure 7. Convergence orders of $u_{f_h} - u$, $y_{f_h} - y$, and $p_{f_h} - p$ in different norms.

Figure 8a,c describe the profile of the exact and numerical solution of control u , while Figure 8b,d display its numerical error with $N = 64$ and $N = 128$, respectively. From this figure, we can also observe that the convergence order is second. The numerical solution and the exact solution of the state and adjoint are presented in Figures 9–11 and error between them is showed in Figure 11. Figure 12 depicts the continuous Dirichlet boundary control u and discrete Dirichlet boundary control u_{f_h} together with their active sets, it is clear to see that the discrete active set is approximate to active set. These numerical results demonstrate the efficiency of our proposed method.

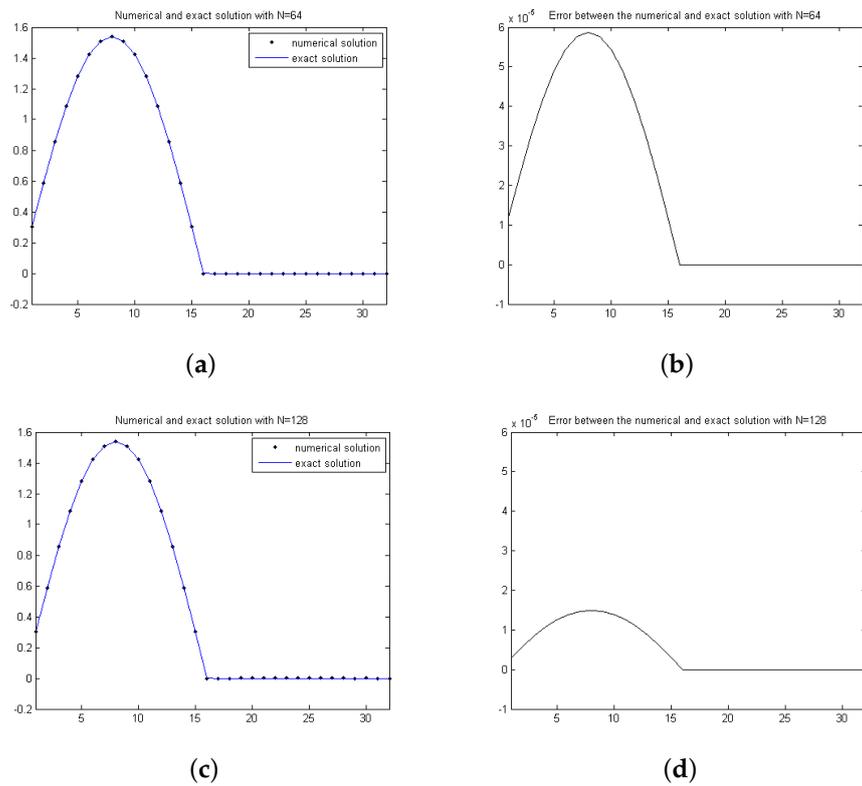


Figure 8. The numerical solution u_{f_h} versus exact solution u (a,c), error $u_{f_h} - u$ (b,d) for experiment 2.

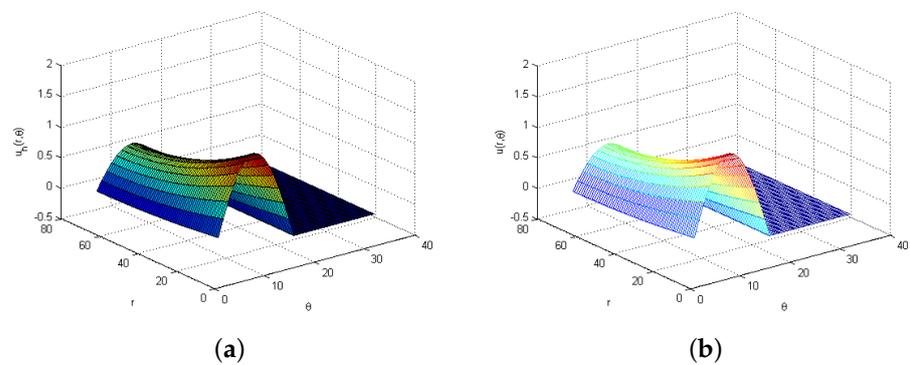


Figure 9. Numerical solution y_{f_h} (a) and exact solution y (b) with $N = 64$.

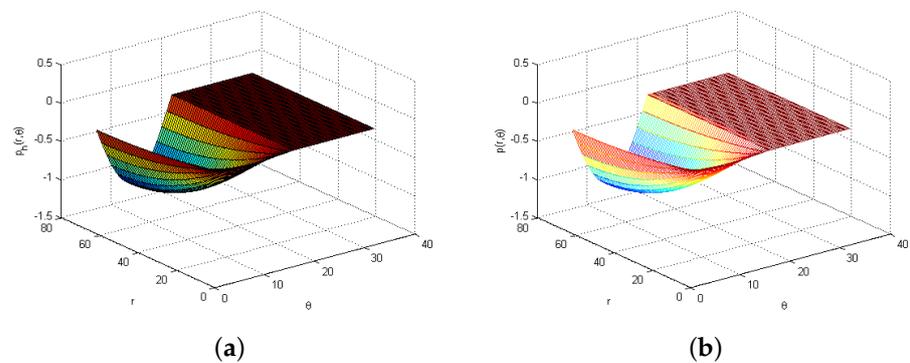


Figure 10. Numerical solution p_{f_h} (a) and exact solution p (b) with $N = 64$.

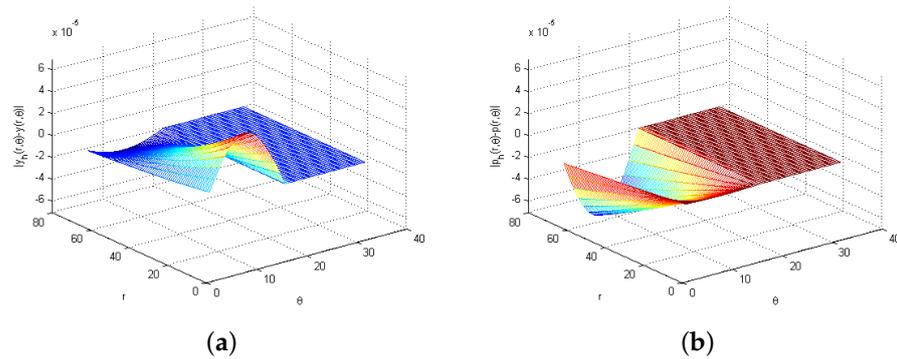


Figure 11. Error for state y (a) and adjoint state p (b) with $N = 64$.

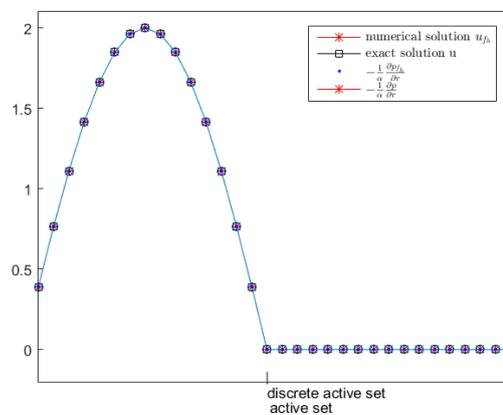


Figure 12. Discrete active set and active set of Dirichlet boundary control u .

6. Concluding Remarks

This research explored an optimal control problem on a complex connected domain governed by elliptic PDEs with Dirichlet boundary conditions. First, the optimality system for the optimal control problem is determined. Then, using the Fourier finite volume element approach to convert this problem into polar coordinates and discretize the optimal control problem. Next, the convergence order of the Dirichlet boundary control, the state, and the adjoint state are proven. This error estimate contains two components: the Fourier truncation error and the one-dimensional finite volume element error. Finally, numerical experiments are shown to back up the theoretical findings.

Author Contributions: Conceptualization, L.X.; Methodology, Z.Z.; Formal analysis, M.S.; Investigation, M.S.; Data curation, L.X.; Supervision, Z.Z. All authors have read and agreed to the published version of the manuscript.

Funding: This work was funded by the National Natural Science Foundation of China grant number 11971241, the first author also funded by Postgraduate Research and Practice Innovation Program in Jiangsu Province grant number KYCX21-1317.

Data Availability Statement: The data used to support the findings of this study are available from the corresponding author upon request.

Conflicts of Interest: The authors declare no conflict of interest.

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