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Abstract: Extensive research has been conducted on image augmentation, segmentation, detection, and classification based on plant images. Specifically, previous studies on plant image classification have used various plant datasets (fruits, vegetables, flowers, trees, etc., and their leaves). However, existing plant-based image datasets are generally small. Furthermore, there are limitations in the construction of large-scale datasets. Consequently, previous research on plant classification using small training datasets encountered difficulties in achieving high accuracy. However, research on plant image classification based on small training datasets is insufficient. Accordingly, this study performed classification by reducing the number of training images of plant-image datasets by 70%, 50%, 30%, and 10%, respectively. Then, the number of images was increased back through augmentation methods for training. This ultimately improved the plant-image classification performance. Based on the respective preliminary experimental results, this study proposed a plant-image classification convolutional neural network (PI-CNN) based on plant image augmentation using a plant-image generative adversarial network (PI-GAN). Our proposed method showed the higher classification accuracies compared to the state-of-the-art methods when the experiments were conducted using four open datasets of PlantVillage, PlantDoc, Fruits-360, and Plants.

Keywords: plant image classification; image augmentation; deep learning; PI-GAN; PI-CNN

MSC: 68T07; 68U10

# 1. Introduction

Numerous plant image-based classification methods exist [1–14]. However, existing plant-image datasets have few training image data [14]. This hinders the achievement of high classification accuracy. In addition, it is challenging to construct large-scale training datasets. Therefore, this study examined a method for obtaining a higher accuracy using fewer number of training image data. In this study, four open datasets including PlantVillage dataset [15], PlantDoc dataset [16], Fruits-360 dataset [17], and Plants dataset [18] were used in various experiments. The total number of image data of training sets in each plant dataset was reduced by 70%, 50%, 30%, and 10% for comparative experiments. The experiments demonstrated how the classification accuracy is reduced to a certain extent depending on the amount of data. In addition, the datasets in which the amount of data were reduced by 70%, 50%, 30%, and 10% were applied with conventional augmentation methods [19,20], tutorial on image data augmentation in Keras [21], and available libraries [22] to restore the amount of data to 100% for additional experiments. These experiments demonstrated how the classification accuracy improves accordingly. Furthermore, the accuracy could be improved further by augmenting the datasets through a plant-image augmentation method based on a generative adversarial network (GAN). Classification was performed using a variety of reduced datasets and the datasets that were augmented using various methods. Two types of conventional plant-image classification



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**Copyright:** © 2022 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). methods and the convolutional neural network (CNN)-based plant classification method proposed in this study were used. The experiments conducted in this study showed that the proposed CNN-based method achieved an accuracy higher than that achieved by the conventional methods.

# 2. Related Works

Conventional studies on plant image classification can be divided into large datasetbased methods and small dataset-based methods. The large dataset-based methods include the following. For an attention-based fruit classification method [1], a MobileNetV2-based lightweight deep learning model was proposed and a MobileNetV2 pre-trained model with ImageNet was used as a backbone. A trilinear convolutional neural network model (T-CNN) was proposed for a crop and crop-disease classification method [14]. Therein, the PlantVillage dataset [15], the PlantDoc dataset [16], and a pre-trained model with ImageNet were used for conducting diverse experiments. The ExtResnet model was proposed for a grape-variety recognition method [7]. Therein, a wine grape instance segmentation dataset (WGISD) [23] was used to conduct experiments. The Fruits-360 image dataset was used to conduct experiments with a fruit recognition method [10]. Furthermore, various feature extraction methods (Hu moments, Haralick texture, and color histogram) as well as machine learning methods (decision tree, k-nearest neighbors, linear discriminant analysis, logistic regression, naïve bayes, random forest, and support vector machine) were used to conduct experiments and compare the results. A multi-class CNN model was proposed for a fruit classification method [6]. The FIDS30 dataset [24] and Fruits-360 dataset were used to conduct experiments in that study. Two deep learning models (a light model and a pretrained model) were proposed for a fruit classification method [8]. A supermarket product dataset and an in-house dataset were used to conduct experiments in that study. EfficientNet-B0 and Fruit-360 were used to conduct experiments with a fruit recognition method [5]. Histogram of Oriented Gradient (HOG) was used to conduct a classification experiment with a fruit classification method [13]. A deep convolutional neural network (DCNN) was used to conduct a classification experiment with an autonomous fruit recognition method [4]. Bag of features (BoF), conventional CNN, and AlexNet were used to conduct a classification experiment with a fruit recognition method [9]. Furthermore, the accuracy of these methods was compared using the Fruit-360 dataset [17]. Inception v3 [25] and VGG16 [26] were used to conduct a classification experiment with a fruit image classification method [3]. Furthermore, the accuracy of these methods was compared using the Fruit-360 dataset. FruitNet was proposed for a fruit-image classification method [2]. Fourteen deep learning methods were compared in that study. However, the accuracy was compared using the Fruit-360 dataset. ShuffleNet V2 and Fruit-360 dataset were used for a fruit-image classification method [12]. CNN and Fruit-360 dataset were used with a fruit-variety classification method [11]. Furthermore, ROIs were generated from the original apple image using YOLO V3 [27].

In general, plant-based image datasets are small in size. Furthermore, it is difficult to construct large datasets. However, none of the above-mentioned methods considered small training datasets. Hence, this study proposed a new image augmentation and classification method for plant image classification based on small training datasets. Table 1 presents the advantages and disadvantages of the proposed method and conventional plant-image classification methods.

Table 1. Comparison between the proposed and previous methods for plant image classification.

Metho	ds	Advantages	Disadvantages
Large training set-based	[1-14]	High accuracy	Does not consider small training sets
Small training set-based	Proposed method	Considers various sizes of training sets. Considers various sizes of training sets	Lower accuracy than that achieved when a large training set is used

This study is novel compared with previous studies in terms of the following three aspects:

- Thereby, this study proposed a plant-image classification convolutional neural network (PI-CNN). It outperforms conventional plant-classification methods. The proposed PI-CNN was configured as a residual block-based shallow model to reduce the number of training parameters. It demonstrated high accuracy on datasets of various sizes.
- This study proposed a new plant-image augmentation method, namely, a plant-image generative adversarial network (PI-GAN). It uses two types of input images from which the features are aggregated to generate new training images.
- The models designed in this study are disclosed [28] for fair performance evaluation by other researchers.

The remaining parts of this paper are organized as follows. The proposed method is explained in detail in Section 3. The experimental results and analyses are presented in Section 4. Finally, the discussion and conclusions are presented in Sections 5 and 6, respectively.

#### 3. Materials and Methods

#### 3.1. Overall Procedure of Proposed Method

The proposed methods are explained in detail in this section. Figure 1 shows the flowcharts of the methods. As shown in Figure 1a, image augmentation by PI-GAN involves the use of two types of input images (different plant images) to augment plant images. Moreover, as shown in Figure 1b, the concepts of the visual geometry group network (VGG-Net) [26] and residual network (ResNet) [29] were combined for plant image classification by the PI-CNN proposed in this study. The size of input images and number of output classes vary across the four datasets used in this study. For example, the size of an input image in Figure 1a is  $100 \times 100 \times 3$  pixels, whereas the output of PI-GAN is  $100 \times 100 \times 3$  pixels. Furthermore, the size of an input image in Figure 1b is  $256 \times 256 \times 3$  pixels, whereas the output class of PI-CNN is 14. As shown in Figure 1a, the augmented plant image is used for training PI-CNN in Figure 1b.

#### 3.2. Detailed Structure of Proposed PI-GAN and PI-CNN

The detailed structure of the PI-GAN proposed in this study is presented in Tables 2–7 and Figure 2. The generator and discriminator networks of the PI-GAN method are shown in Tables 2 and 6. Furthermore, Table 8 and Figure 3 explain the proposed PI-CNN structure in detail. The structure shown in Tables 2–8 includes the input layer (input\_layer), convolutional layer (conv2d), max pooling layer (max\_pool), encoder block (encoder), decoder block (decoder), concatenate layer (concat), residual block (res\_block), rectified linear unit (lrelu), parametric rectified linear unit (prelu), up sampling layer (Up2), additional operation layer (add), discriminator block (disc\_block), and fully connected layer (FC). Furthermore, tanh and sigmoid represent activation functions. The stride and padding in Tables 2–5 are  $(1 \times 1)$  and  $(1 \times 1)$ , respectively. Meanwhile, the padding in Tables 6 and 7 is  $(1 \times 1)$ . The input of a generator network is a  $100 \times 100 \times 3$  plant image, as shown in Figure 2, whereas the output is a  $100 \times 100 \times 3$  augmented plant image. The input of a discriminator network is a  $100 \times 100 \times 3$  plant image as in Figure 2, whereas the output is  $1 \times 1$ . In addition, a  $100 \times 100 \times 3$  image is used as an input of the proposed PI-CNN, whereas the output comprises  $14 \times 1$  probabilities. The number of classes of output in Tables 6 and 8 is 2 and 14, respectively. However, as explained in Section 3.3, the size of an input image in Tables 2, 6 and 8 varies across the four types of datasets used in this study. Furthermore, the number of classes of output in Tables 6 and 8 vary. The "Times" columns in Tables 2 and 6 indicate the number of times each layer is used.



**Figure 1.** Overview of the methods designed in this study. (**a**) Proposed PI-GAN for plant image augmentation; (**b**) proposed PI-CNN for plant image classification.

Layer Number	Layer Type	Times	Number of Filters	Number of Parameters	Layer Connection (Connected to)
0	input_layer_1	$\times 1$	0	0	input_1
1	input_layer_2	$\times 1$	0	0	input_2
2	encoder_1	imes 4	128	605,696	input_layer_1
3	encoder_2	imes 4	128	605,696	input_layer_2
4	res_block_1	$\times 2$	128	590,592	encoder_1
5	res_block_2	$\times 2$	128	590,592	encoder_2
6	concat	$\times 1$	0	0	res_block_1 & res_block_2
7	res_block_3	$\times 3$	256	885,888	concat
8	decoder	imes 4	128	1,328,640	res_block_3
9	conv2d (tanh)	×1	3	3459	decoder

Table 2. Description of the generator network used in our PI-GAN.

Total number of trainable parameters: 4,610,563.

Table 3. Description of an encoder block of the generator network.

Layer Number	Layer Type	Layer Connection (Connected to)
1	conv2d_1	input
2	prelu_1	conv2d_1
3	conv2d_2	prelu_1
4	prelu_2	conv2d_2
5	max_pool	prelu_2

Layer Number	Layer Type	Layer Connection (Connected to)
1	conv2d_1	input
2	prelu_1	conv2d_1
3	conv2d_2	prelu_1
4	prelu_2	conv2d_2
5	Up2	prelu_2

 Table 4. Description of a decoder block of the generator network.

Table 5. Description of a residual block of the generator network.

Layer Number	Layer Type	Layer Connection (Connected to)
1	conv2d_1	input
2	prelu	conv2d_1
3	conv2d_2	prelu
4	add	conv2d_2 & input

Table 6. Description of the discriminator network of PI-GAN.

Layer Number	Layer Type	Times	Number of Filters	Number of Strides	Number of Parameters	Layer Connection (Connected to)
0	input layer	$\times 1$	0	0	0	input
1	conv2d	$\times 1$	128	1	3584	input layer
2	lrelu_1	$\times 1$	0	0	0	conv2d
3	disc_block	$\times 5$	128, 128 256, 256, 256	1, 1 2, 2, 2	1,770,496	lrelu_1
4	lrelu_2	$\times 1$	0	0	0	disc_block
5	FC (sigmoid)	$\times 1$	class#	0	173,057	lrelu_2

Total number of trainable parameters: 1,947,137.

**Table 7.** Description of a convolution block of the discriminator network.

Layer Number	Layer Type	Layer Connection (Connected to)
1	conv2d	input
2	lrelu	conv2d

Table 8. Description of the proposed PI-CNN.

Layer Number	Layer Type	Number of Filters	Number of Parameters	Layer Connection (Connected to)
1	input layer_1	0	0	input
2	conv2d_1	64	1792	input layer_1
3	conv2d_2	64	36,928	conv2d_1
4	max_pool_1	0	0	conv2d_2
5	res_block_1	64	73,920	max_pool_1
6	res_block_2	64	73,920	res_block_1
7	res_block_3	64	73,920	res_block_2
8	res_block_4	64	73,920	res_block_3
9	conv2d_3	128	73,856	res_block_4
10	conv2d_4	128	147,584	conv2d_3
11	max_pool_2	0	0	conv2d_4
12	res_block_5	128	295,296	max_pool_2
13	res_block_6	128	295,296	res_block_5
14	res_block_7	128	295,296	res_block_6
15	res_block_8	128	295,296	res_block_7

Table 6. Com.	Tab	le 8	3. (	Cont.	
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Layer Number	Layer Type	Number of Filters	Number of Parameters	Layer Connection (Connected to)
16	conv2d_5	128	147,584	res_block_8
17	conv2d_6	128	147,584	conv2d_5
18	max_pool_3	0	0	conv2d_6
19	res_block_9	128	295,296	max_pool_3
20	res_block_10	128	295,296	res_block_9
21	res_block_11	128	295,296	res_block_10
22	res_block_12	128	295,296	res_block_11
23	conv2d_7	128	147,584	res_block_12
24	conv2d_8	128	147,584	conv2d_7
25	max_pool_4	0	0	conv2d_8
26	res_block_13	128	295,296	max_pool_4
27	res_block_14	128	295,296	res_block_13
28	res_block_15	128	295,296	res_block_14
29	res_block_16	128	295,296	res_block_15
30	FC (softmax)	class#	258,062	res_block_16

Total number of trainable parameters: 4,947,790.



Figure 2. Example of the detailed structure of PI-GAN.



Figure 3. Example of the detailed structure of the proposed PI-CNN.

# 3.3. Dataset and Experimental Setup

In this study, the experiments were conducted using the PlantVillage dataset [15], PlantDoc dataset [16], Fruits-360 dataset [17], and Plants dataset [18]. The datasets comprise images of plants, fruits, and plant diseases acquired in different environments. The Fruits-360 dataset is composed of manually cropped images. The size and depth of the images are  $100 \times 100 \times 3$  pixels and 24 bits, respectively. The total number of images in the train and test sets are 41,322 and 13,877, respectively. Here, the test set is divided again to test and validation sets, and the number images of the test and validation sets are 12,877 and 1000, respectively. The PlantVillage dataset is also composed of manually cropped images. The size and depth of the images are  $256 \times 256 \times 3$  pixels and 24 bits, respectively. The total number of images is 54,305. Here, the dataset is divided to train, test, and validation sets, and each has 40,000, 13,305, and 1000 images, respectively. The PlantVillage dataset includes grayscale images and segmented images. The PlantDoc dataset is composed of manually cropped and original images. The size of images varies significantly: the smallest and largest sizes are  $150 \times 150 \times 3$  and  $5616 \times 3744 \times 3$ , respectively. The depth of images is 24 bits. The total number of images in the train and test sets are 2336 and 236, respectively. Here, the test set is divided again to test and validation sets, and the number images of the test and validation sets are 200 and 36, respectively. The Plants dataset is composed of manually cropped and original images. The depth and size of the images are similar to those for the PlantDoc dataset. This dataset consists of a training set, a test set, and validation sets, and each contains 13,149, 5218, and 1521 images, respectively. The above-mentioned information is summarized in Table 9.

Table 9. Summary of the datasets.

Datasets	<b>Training Sets</b>	Test Sets	Validation Sets	Dimension	Depth	Extension	Class#
Fruits-360	41,322	12,877	1000	$100 \times 100$	24	jpg	81
PlantVillage	40,000	13,305	1000	$256 \times 256$	24	jpg	38
PlantDoc	2336	200	36	$150\times1505616\times3744$	24	jpg	27
Plants	13,149	5218	1521	$104\times1043168\times4752$	24	jpg	99

Figures 4–7 show example images of the Fruits-360 dataset, PlantDoc dataset, PlantVillage dataset, and Plants dataset.



**Figure 4.** Example images of Fruits-360 dataset used in our experiment. Left to right: images of apple, banana, peach, and grape.

![](_page_6_Figure_9.jpeg)

**Figure 5.** Example images of PlantDoc dataset used in our experiment. Left to right: images of apple leaf, apple leaf with rust disease, healthy bell pepper leaf, and bell pepper leaf with spot disease.

![](_page_7_Picture_1.jpeg)

**Figure 6.** Example images of PlantVillage dataset used in our experiment. Left to right: images of healthy apple leaf, apple leaf with black rot disease, healthy cherry leaf, and cherry leaf with powdery mildew disease.

![](_page_7_Picture_3.jpeg)

**Figure 7.** Example images of Plants dataset used in our experiment. Left to right: images of aeonium, almond, asparagus, and sunflower.

The process of training and testing both image augmentation and classification algorithms was performed using a desktop computer equipped with an Intel Core i7-6700 CPU@3.40 GHz, an Nvidia GeForce GTX TITAN X graphics processing unit (GPU) card [30], and a random-access memory (RAM) of 32 GB. The proposed model and algorithm were implemented using OpenCV library (version 4.3.0) (Intel Corporation, CA, USA) [31], Python (version 3.5.4) (Rossum, G.V., DE, USA) [32], and the Keras application programming interface (API) (version 2.1.6-tf) (Chollet, F., CA, USA) with a TensorFlow backend engine (version 1.9.0) (Google, CA, USA) [33].

# 3.4. Data Augmentation

In this subsection, the augmented images obtained through the proposed plant-image augmentation method are explained in detail. As shown in Figure 2, when two images are input into PI-GAN and the features of input\_2 are mixed with input\_1 by using channel-wise concatenation as Layer 6 in Table 2 and L6 in Figure 2, a new image is generated through a decoder. The classification results were compared by conducting experiments wherein the number of images in the training set was increased from 70% to 100%, from 50% to 100%, from 30% to 100%, and from 10% to 100%. The experiments were conducted to compare the conventional augmentation methods and the PI-GAN-based augmentation methods. For example, a reduction in the total number of images in the training sets of the four datasets explained in Table 10 and Section 3.3 (Fruits-360 dataset: 41,322, PlantVillage dataset: 40,000, PlantDoc dataset: 2336, and Plants dataset: 13,149) by 70% results in 28,926, 28,000, 1635, and 9204 images, respectively. Furthermore, a reduction by 50% results in 20,661, 20,000, 1168, and 6574 images, respectively; a reduction by 30% results in 4132, 4000, 233, and 1314 images, respectively.

The reduced training sets were augmented back to 100% (41,322, 40,000, 2336, and 13,149) through conventional augmentation methods (shifting in eight directions, in-plane rotation, flipping, blurring, scaling, brightness, and contrast). The training datasets were also augmented to 100% through the PI-GAN-based method. The PlantVillage and Plant-Doc datasets were divided further into disease and crop sub-datasets in the experiment. Furthermore, training was performed by combining the training sets of the Plants and PlantDoc datasets (the numbers with '\*' in Table 11) in the training phase. Thus, the numbers of train subsets and test subsets are four (Fruits-360, PlantVillage crop, PlantVillage

disease, and PlantDoc crop + PlantDoc disease + Plants) and six (Fruits-360, PlantVillage crop, PlantVillage disease, PlantDoc crop, PlantDoc disease, and Plants), respectively, as in Table 11.

Table 10.	Detailed	explanations of	of train and	l test sets used	l in our experiments.
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Datasets		Т	raining Se	ts		<b>T</b> (0)	Validation Sets	
	100%	70%	50%	30%	10%	- lest Sets		
Fruits-360	41,322	28,926	20,661	12,396	4132	12,877	1000	
PlantDoc Plants	2336 13,149	1635 9204	1168 6574	700 3944	233 1314	200 5218	36 1521	

**Table 11.** Detailed explanations of train and test subsets used in our experiments. Training was performed by combining the training sets of the Plants and PlantDoc datasets (the numbers with '\*').

Datasets	Train Sets		Test	t Sets	Validation Sets		
	Crop	Disease	Crop	Disease	Crop	Disease	
Fruits-360	41,322		12,877		1000		
PlantVillage	11,222	28,778	3592	9713	270	730	
PlantDoc	757 *	1579 *	76	124	14	22	
Plants	13,149 *		5218		1521		

Furthermore, training subsets of the original datasets, reduced datasets, datasets augmented by the conventional method, and datasets augmented by PI-GAN were constructed. The numbers of training subsets are 4 (100% of Fruits-360, PlantVillage crop, PlantVillage disease, and Plant), 16 (70%, 50%, 30%, and 10% of the four training subsets), 16 (70%, 50%, 30%, and 10% of the subsets were increased to 100%, 100%, 100%, and 100% of them by the conventional method), and 16 (70%, 50%, 30%, and 10% of the subsets were increased to 100%, 100%, 100%, and 100% of them by PI-GAN), respectively. Thus, the total number of training subsets is 52, whereas the total number of test subsets is 6. Detailed explanations are presented in Tables 10 and 11.

In this study, the results from the original four training subsets (six test subsets) and the reduced 16 training subsets (6 test subsets) are compared in Section 4.2.1. In addition, the results obtained by augmenting the reduced datasets through conventional augmentation methods (16 training subsets and 6 test subsets) and through PI-GAN-based augmentation methods (16 training subsets and 6 test subsets) are compared in Sections 4.2.2 and 4.2.3, respectively.

### 4. Experimental Results

This section is divided into four subsections. These address the training setup, ablation study, comparisons with the state-of-the-art methods, and processing time. The training setup of the training phase such as hyperparameters and training loss are explained in Section 4.1. The results obtained from the ablation study are presented in Section 4.2. Furthermore, Section 4.2.1 compares the classification results by using 30 sub-datasets of different sizes, Section 4.2.2 compares the classification results by using 24 datasets augmented through conventional augmentation methods, and Section 4.2.3 compares the classification results by using 24 datasets the classification results by using 24 datasets augmented through the GAN-based augmentation methods. Section 4.3 compares the experimental results of 78 datasets obtained through the existing plant-image classification methods and the proposed method. Finally, the processing time is recommended in Section 4.4.

# 4.1. Training Setup

The training setups of the PI-GAN-based plant image augmentation method and proposed PI-CNN-based plant image classification method were as follows. The batch size,

training epoch, and learning rate were set to 8, 50, and 0.0001 for the PI-GAN and to 8, 40, and 0.0001 for the PI-CNN, respectively. Moreover, we used the binary cross-entropy loss [34] for both generator and discriminator losses and used the categorical cross-entropy loss [35] for the PI-CNN loss. Adaptive moment estimation (Adam) [36] was used as an optimizer in both PI-CNN and discriminator networks. Figure 8a,b show the training loss and validation loss curves of the proposed PI-GAN per epoch, respectively, whereas Figure 8c,d show the training and validation loss and accuracy curves of the proposed PI-GAN and PI-CNN were trained sufficiently with the training data. Furthermore, as shown by the convergences of the validation loss and validation loss and validation accuracy curves in Figure 8, the proposed PI-GAN and PI-CNN are not overfitted to the training data. Tables 12 and 13 show the search spaces and selected values of hyperparameters for PI-GAN and PI-CNN.

![](_page_9_Figure_2.jpeg)

Figure 8. Cont.

![](_page_10_Figure_1.jpeg)

**Figure 8.** Training loss, validation loss, and validation accuracy curves of PI-GAN and PI-CNN with Fruits-360 dataset. (**a**,**b**) training loss and validation loss curves, respectively, of PI-GAN; (**c**,**d**) show the training and validation loss and accuracy curves of the proposed PI-CNN, respectively.

Table 12. Search space and selected values of hyperparameters for PI-G.	AN	V
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Parameters	Weight Decay (Weight Regu- larization L2)	Loss	Kernel Initializer	Bias Initializer	Optimizer	Learning Rate	Beta_1	Beta_2	Epsilon	Epochs	Batch Size
Search Space	[0.001, 0.01, 0.1]	["binary cross-entropy," "VGG-19"]	"glorot uniform"	"zeros"	["SGD," "adam"]	[0.0001, 0.001, 0.01, 0.1]	[0.7, 0.8, 0.9]	[0.8, 0.9, 0.999]	$\begin{array}{c} [1 \times 10^{-9}, \\ 1 \times 10^{-8}, \\ 1 \times 10^{-7}] \end{array}$	[1–50]	[1, 4, 8, 16]
Selected Value	0.01	"binary cross-entropy"	"glorot uniform"	"zeros"	"adam"	0.0001	0.9	0.999	$1 imes 10^{-8}$	50	8

Table 13. Search space and selected values of hyperparameters for PI-CNN.

Parameters	Learning Rate Decay (for SGD)	Momentum (for SGD)	Loss	Metrics	Optimizer	Learning Rate	Epochs	Batch Size
Search Space	[0.000001, 0.00001, 0.0001]	[0.9, 0.8, 0.7]	"categorical cross-entropy"	["categorical_accuracy", "accuracy"]	["SGD," "adam"]	[0.0001, 0.001, 0.01, 0.1]	[1-40]	[1, 4, 8, 16]
Selected Value	0.00001	0.9	"categorical cross-entropy"	" accuracy"	"adam"	0.0001	30	8

# 4.2. Ablation Study

The results of various ablation studies are presented in this subsection. The accuracy of the plant classification was measured using four types of metrics in Equations (1)–(4). Here, the true positive rate (TPR), positive predictive values (PPV), accuracy (ACC) [37], and F1-score [38] are presented. In the equations given below, TP, FP, FN, and TN refer to true positive, false positive, false negative, and true negative, respectively. Here, "#" indicates "the number of".

$$\Gamma PR = \frac{\# \Gamma P}{\# TP + \# FN} \tag{1}$$

$$PPV = \frac{\#TP}{\#TP + \#FP}$$
(2)

$$ACC = \frac{\#TP + \#TN}{\#TP + \#TN + \#FP + \#FN}$$
(3)

$$F1 - score = 2 \cdot \frac{PPV \cdot TPR}{PPV + TPR}$$
(4)

# 4.2.1. Plant Image Classification

In this subsection, we conducted experiments to obtain a good generator structure for PI-GAN, and to obtain a classification structure for PI-CNN. Four different generator networks were compared by using Fruits-360 dataset and PI-CNN, as shown in Table 14. Moreover, four different networks were compared for PI-CNN by using Fruits-360 dataset and without using PI-GAN, as shown in Table 15. As shown in Table 14, a generator network with four encoder-decoders showed higher accuracy compared to others. Moreover, as shown in Table 15, a network with sixteen residual blocks showed higher accuracy compared to others. Therefore, we used a generator network with four encoder-decoders for PI-GAN and a network with sixteen residual blocks for PI-CNN.

**Table 14.** Comparison of accuracies by the proposed PI-GAN generator and variants of generators in the Fruits-360 training set of 50%.

Methods	TPR	PPV	ACC	F1-Score
encoder-decoder ×1	76.00	80.29	86.74	78.08
encoder-decoder $\times 2$	76.43	81.62	86.07	78.94
encoder-decoder ×3	76.56	80.40	87.49	78.43
encoder-decoder ×4 (proposed)	76.70	81.65	87.94	79.18
encoder-decoder ×5	75.85	81.12	86.62	78.40

**Table 15.** Comparison of accuracies by the proposed PI-CNN and variants of CNN in the Fruits-360 dataset.

Methods	TPR	PPV	ACC	F1-Score
res_block-14	95.01	93.15	97.38	94.07
res _block-15	95.24	92.43	98.53	93.81
res _block-16 (proposed)	95.40	94.33	98.59	94.87
res _block-17	95.14	92.46	96.94	93.78

In addition, four training sub-datasets (PlantVillage disease, PlantVillage crop, Plant-Doc disease + PlantDoc crop + Plants, and Fruits-360) were divided further according to five sizes (100%, 70%, 50%, 30%, and 10%), as explained in Section 3.4, to train the image classification models. The classification accuracy was measured using six testing sub-datasets (PlantVillage disease, PlantVillage crop, PlantDoc disease, PlantDoc crop, Plants, and Fruits-360). The results are compared in Tables 16–18. As shown in these tables, the classification accuracy decreases as the size of the training dataset decreases.

**Table 16.** Comparison of accuracies achieved by the proposed PI-CNN in the original and reduced datasets. The accuracies were achieved in the PlantVillage dataset (unit: %).

Dataset			Dis	ease			Сгор				
		TPR	PPV	ACC	F1-Score	TPR	PPV	ACC	F1-Score		
Original	100%	89.62	95.13	97.26	92.38	98.48	94.76	99.57	96.62		
0	70%	86.20	89.11	92.34	87.66	89.10	86.27	90.41	87.69		
D 1 1	50%	71.89	78.67	84.70	75.28	71.10	78.60	84.64	74.85		
Reduced	30%	52.80	47.48	65.54	50.14	53.20	45.87	63.13	49.54		
	10%	31.84	34.29	43.55	33.07	32.98	33.25	43.91	33.12		

**Table 17.** Comparison of accuracies achieved by the proposed PI-CNN in the original and reduced datasets. The accuracies were achieved in the PlantDoc dataset (unit: %).

Dataset			Dis	ease		Сгор				
		TPR	PPV	ACC	F1-Score	TPR	PPV	ACC	F1-Score	
Original	100%	66.13	67.87	80.36	67.00	78.26	74.66	86.57	76.46	
Ū	70%	59.54	54.48	74.08	57.01	70.37	64.78	80.81	67.58	
	50%	40.20	39.21	61.05	39.71	51.95	48.15	72.55	50.05	
Reduced	30%	36.62	29.31	45.21	32.97	41.62	35.31	53.14	38.47	
	10%	21.30	22.51	29.12	21.91	21.98	22.41	32.18	22.20	

Dataset			Plants	Dataset			Fruits-36	0 Dataset	
		TPR	PPV	ACC	F1-Score	TPR	PPV	ACC	F1-Score
Original	100%	90.10	86.11	96.52	88.11	95.40	94.33	98.59	94.87
0	70%	80.46	76.40	92.81	78.43	91.70	89.00	91.80	90.35
<b>D</b> 1 1	50%	62.71	60.22	84.10	61.47	72.47	78.63	83.63	75.55
Reduced	30%	49.74	41.50	60.34	45.62	52.68	45.86	65.44	49.27
	10%	30.66	31.33	40.85	31.00	39.37	36.19	48.31	37.78

**Table 18.** Comparison of accuracies achieved by the proposed PI-CNN in the original and reduced datasets. The accuracies were achieved in the Plants and Fruits-360 dataset (unit: %).

4.2.2. Plant Image Classification with Conventional Image Augmentation Methods

In this subsection, image classification was repeated using the training datasets augmented through conventional augmentation methods (image shifting to four directions, in-plane rotation, flipping, Gaussian blurring, scaling, and the adjustments of brightness and contrast) to improve the classification accuracy. Figure 9 shows an example of augmented image data. The results of the experiments are compared in Tables 19–21.

![](_page_12_Figure_5.jpeg)

**Figure 9.** Examples of images augmented by conventional augmentation methods. Images augmented by (a) flipping and in-plane rotation; (b) image shifting in four directions; (c) the adjustments of brightness and contrast; (d) Gaussian blurring; (e) scaling.

Dat	aset		Di	sease			Сгор			
Before Augmentation	After Augmentation	TPR	PPV	ACC	F1-Score	TPR	PPV	ACC	F1-Score	
100%	100%	89.62	95.13	97.26	92.38	98.48	94.76	99.57	96.62	
70%	100%	86.89	90.17	93.87	88.53	89.76	88.96	92.60	89.36	
50%	100%	71.66	79.88	86.97	75.77	73.58	80.84	84.82	77.21	
30%	100%	54.86	49.34	66.13	52.10	53.11	46.95	63.34	50.03	
10%	100%	32.71	36.21	44.28	34.46	32.80	34.97	45.29	33.89	

**Table 19.** Comparison of accuracies achieved by the proposed PI-CNN in the original and augmented datasets. The accuracies were achieved in the PlantVillage dataset (unit: %).

**Table 20.** Comparison of accuracies achieved by the proposed PI-CNN in the original and augmented datasets. The accuracies were achieved in the PlantDoc dataset (unit: %).

Dat	aset		Dis	sease		Сгор				
Before Augmentation	After Augmentation	TPR	PPV	ACC	F1-Score	TPR	PPV	ACC	F1-Score	
100%	100%	66.13	67.87	80.36	67.00	78.26	74.66	86.57	76.46	
70%	100%	60.12	56.23	76.82	58.18	71.86	65.80	82.40	68.83	
50%	100%	42.83	39.55	62.69	41.19	53.36	50.92	72.31	52.14	
30%	100%	38.87	33.71	48.8	36.29	44.53	38.2	58.57	42.87	
10%	100%	23.40	22.88	30.30	23.14	24.45	23.80	34.69	24.13	

**Table 21.** Comparison of accuracies achieved by the proposed PI-CNN in the original and augmented datasets. The accuracies were achieved in the Plants and Fruits-360 dataset (unit: %).

Dat	aset		Plants	Dataset		Fruits-360 Dataset			
Before Augmentation	After Augmentation	TPR	PPV	ACC	F1-Score	TPR	PPV	ACC	F1-Score
100%	100%	90.10	86.11	96.52	88.11	95.40	94.33	98.59	94.87
70%	100%	82.19	76.30	92.86	79.25	92.30	90.72	93.61	91.51
50%	100%	63.32	61.94	85.93	62.63	73.40	78.80	83.66	76.10
30%	100%	51.52	41.30	62.47	46.41	54.39	47.25	67.50	50.82
10%	100%	30.78	33.60	41.16	32.19	39.57	37.26	48.33	38.42

Additionally, we conducted experiments using random cropping [45] for augmentation and showed the results in Tables 22–24. A comparison between Tables 19–24 and Tables 16–18 reveals that the augmentation improved the classification accuracy.

**Table 22.** Comparison of accuracies achieved by the proposed PI-CNN in the original and augmented datasets. The accuracies were achieved in the PlantVillage dataset (unit: %).

Dat	aset		Dis	sease		Сгор			
Before Augmentation	After Augmentation	TPR	PPV	ACC	F1-Score	TPR	PPV	ACC	F1-Score
100%	100%	89.62	95.13	97.26	92.38	98.48	94.76	99.57	96.62
70%	100%	86.39	88.45	93.39	87.41	88.45	88.84	91.72	88.65
50%	100%	70.99	79.18	85.67	74.86	72.90	80.09	84.58	76.32
30%	100%	53.07	48.49	64.36	50.68	52.65	46.08	61.52	49.15
10%	100%	31.53	36.06	43.30	33.64	30.85	34.91	44.97	32.75

Dat	aset		Dis	sease	Сгор					
Before Augmentation	After Augmentation	TPR	PPV	ACC	F1-Score	TPR	PPV	ACC	F1-Score	
100%	100%	66.13	67.87	80.36	67.00	78.26	74.66	86.57	76.46	
70%	100%	59.60	55.72	76.40	57.59	70.63	64.46	80.96	67.40	
50%	100%	41.68	38.87	60.74	40.22	53.23	50.59	71.11	51.88	
30%	100%	37.30	33.55	47.83	35.33	43.47	38.00	57.32	40.55	
10%	100%	22.04	22.18	30.13	22.11	23.12	22.27	33.92	22.69	

**Table 23.** Comparison of accuracies achieved by the proposed PI-CNN in the original and augmented datasets. The accuracies were achieved in the PlantDoc dataset (unit: %).

**Table 24.** Comparison of accuracies achieved by the proposed PI-CNN in the original and augmented datasets. The accuracies were achieved in the Plants and Fruits-360 dataset (unit: %).

Dat	aset		Plants	dataset		Fruits-360 dataset				
Before Augmentation	After Augmentation	TPR	PPV	ACC	F1-Score	TPR	PPV	ACC	F1-Score	
100%	100%	90.10	86.11	96.52	88.11	95.40	94.33	98.59	94.87	
70%	100%	80.56	76.22	91.83	78.33	91.47	90.62	92.37	91.04	
50%	100%	61.37	61.07	84.32	61.22	73.14	78.61	82.78	75.78	
30%	100%	50.41	41.27	61.09	45.38	52.43	45.44	65.83	48.68	
10%	100%	29.18	33.11	40.93	31.02	37.95	35.84	48.22	36.87	

4.2.3. Plant Image Classification with PI-GAN-Based Augmentation Methods

In this subsection, image classification was performed using the training datasets augmented back by the PI-GAN-based augmentation method to improve the classification accuracy shown in Tables 16–18. Figure 10 shows an example of augmented image data. The results of the experiments are compared in Tables 25–27. A comparison of these tables with Tables 16–18 reveals that the augmentation improved the classification accuracy.

# input\_1 input\_2 output input\_1 input\_2 output

![](_page_14_Picture_8.jpeg)

![](_page_14_Picture_9.jpeg)

(b)

input\_1 input\_2 output input\_1 input\_2 output

(a)

![](_page_14_Figure_11.jpeg)

Figure 10. Examples of images augmented by PI-GAN. Left to right: (a) mango, date, and augmented image; (b) pear, mango, and augmented image; (c) huckleberry, date, and augmented image; (d) maracuja, tamarillo, and augmented image.

Figures 11–14 present a comparison of F1-scores between the reduced datasets and augmented datasets conveniently. The results in the figures are presented in the following order (top to bottom): original dataset (O), reduced dataset (R), dataset augmented by conventional method (T), and dataset augmented by PI-GAN (G).

Dataset	Division		Dis	sease		Сгор			
Before Augmentation	After Augmentation	TPR	PPV	ACC	F1-Score	TPR	PPV	ACC	F1-Score
100%	100%	89.62	95.13	97.26	92.38	98.48	94.76	99.57	96.62
70%	100%	88.66	93.36	96.40	91.01	93.61	90.92	93.65	92.27
50%	100%	74.50	81.23	87.67	77.87	74.30	82.49	88.10	78.40
30%	100%	56.00	51.36	68.33	53.68	56.15	48.46	66.62	52.31
10%	100%	34.34	38.60	47.59	36.47	36.81	36.20	46.45	36.51

**Table 25.** Comparison of accuracies achieved by the proposed PI-CNN in the original and PI-GAN-augmented datasets. The accuracies were achieved in the PlantVillage dataset (unit: %).

**Table 26.** Comparison of accuracies achieved by the proposed PI-CNN in the original and PI-GAN-augmented datasets. The accuracies were achieved in the PlantDoc dataset (unit: %).

Dataset	Division		Dis	sease		Сгор				
Before Augmentation	After Augmentation	TPR	PPV	ACC	F1-Score	TPR	PPV	ACC	F1-Score	
100%	100%	66.13	67.87	80.36	67.00	78.26	74.66	86.57	76.46	
70%	100%	63.68	57.10	78.50	60.39	73.16	68.17	83.62	70.67	
50%	100%	43.69	43.68	65.41	43.69	55.47	52.92	76.59	54.20	
30%	100%	41.15	36.24	50.4	38.51	47.73	42.3	62.28	45.57	
10%	100%	26.48	25.87	32.14	26.18	28.80	26.91	36.33	27.86	

**Table 27.** Comparison of accuracies achieved by the proposed PI-CNN in the original and PI-GAN-augmented datasets. The accuracies were achieved in the Plants and Fruits-360 datasets (unit: %).

Dataset	Division		Pl	ants		Fruits-360			
Before Augmentation	After Augmentation	TPR	PPV	ACC	F1-Score	TPR	PPV	ACC	F1-Score
100%	100%	90.10	86.11	96.52	88.11	95.40	94.33	98.59	94.87
70%	100%	84.52	80.66	96.18	82.59	94.53	92.31	94.48	93.42
50%	100%	66.64	64.53	88.52	65.59	76.70	81.65	87.94	79.18
30%	100%	53.28	45.34	63.93	49.31	55.12	49.62	69.89	52.37
10%	100%	34.52	35.96	44.31	35.24	43.40	39.70	51.90	41.55

![](_page_15_Figure_7.jpeg)

**Figure 11.** Comparison of F1-scores based on all the datasets (original dataset (O), reduced dataset (R), dataset augmented by conventional method (T), and dataset augmented by PI-GAN (G)). The datasets have been reduced to 70%.

![](_page_16_Figure_1.jpeg)

**Figure 12.** Comparison of F1-scores based on all the datasets (original dataset (O), reduced dataset (R), dataset augmented by conventional method (T), and dataset augmented by PI-GAN (G)). The datasets have been reduced to 50%.

![](_page_16_Figure_3.jpeg)

![](_page_16_Figure_4.jpeg)

![](_page_16_Figure_5.jpeg)

**Figure 14.** Comparison of F1-scores based on all the datasets (original dataset (O), reduced dataset (R), dataset augmented by conventional method (T), and dataset augmented by PI-GAN (G)). The datasets have been reduced to 10%.

As shown in Figures 11–14, lower F1-scores were obtained when the reduced datasets were used, whereas higher F1-scores were obtained when the datasets augmented by conventional methods were used. Furthermore, higher F1-scores were obtained when the datasets augmented by PI-GAN-based methods were used. In Tables 28–30, the existing augmentation method [46] based on conditional GAN is used to perform the classification for additional experiments. As shown in Tables 25–30, classification accuracies by using the proposed PI-GAN are higher compared to those using the existing method [46].

**Table 28.** Comparison of accuracies achieved by the proposed PI-CNN in the original and PI-GAN-augmented datasets. The accuracies were achieved in the PlantVillage dataset (unit: %).

Dataset	Division		Dis	sease		Сгор			
Before Augmentation	After Augmentation	TPR	PPV	ACC	F1-Score	TPR	PPV	ACC	F1-Score
100%	100%	89.62	95.13	97.26	92.38	98.48	94.76	99.57	96.62
70%	100%	87.34	92.10	95.29	89.66	92.43	90.85	92.64	91.63
50%	100%	73.26	80.76	86.81	76.83	73.98	82.28	87.92	77.91
30%	100%	55.32	50.13	68.27	52.60	55.74	47.68	65.46	51.40
10%	100%	33.88	38.60	46.43	36.09	36.39	35.92	45.56	36.15

**Table 29.** Comparison of accuracies achieved by the proposed PI-CNN in the original and PI-GAN-augmented datasets. The accuracies were achieved in the PlantDoc dataset (unit: %).

Dataset	Division		Dis	sease		Сгор			
Before Augmentation	After Augmentation	TPR	PPV	ACC	F1-Score	TPR	PPV	ACC	F1-Score
100%	100%	66.13	67.87	80.36	67.00	78.26	74.66	86.57	76.46
70%	100%	63.01	56.75	77.21	59.72	71.73	67.41	82.24	69.51
50%	100%	42.81	43.29	64.13	43.04	54.17	52.91	75.22	53.53
30%	100%	39.65	35.34	49.41	37.37	47.15	41.82	60.82	44.33
10%	100%	26.27	24.39	31.81	25.29	27.98	25.98	35.81	26.95

**Table 30.** Comparison of accuracies achieved by the proposed PI-CNN in the original and PI-GAN-augmented datasets. The accuracies were achieved in the Plants and Fruits-360 datasets (unit: %).

Dataset	Division		Pl	ants		Fruits-360			
Before Augmentation	After Augmentation	TPR	PPV	ACC	F1-Score	TPR	PPV	ACC	F1-Score
100%	100%	90.10	86.11	96.52	88.11	95.40	94.33	98.59	94.87
70%	100%	83.79	79.59	94.90	81.63	93.90	92.29	94.12	93.09
50%	100%	65.91	63.23	87.72	64.55	75.42	81.29	87.26	78.25
30%	100%	52.79	45.00	63.73	48.58	54.53	49.08	69.36	51.66
10%	100%	33.55	35.12	43.36	34.32	43.15	38.70	50.53	40.80

As shown in Tables 16–18, we achieved higher accuracy when using a bigger dataset. Moreover, we achieved higher accuracy when using image augmentation, as shown in Tables 19–30. Thus, increasing the size of the dataset will not be detrimental to PI-CNN.

#### 4.3. Comparisons with State-of-the-Art Methods

In this subsection, existing plant-image classification methods are compared with the proposed PI-CNN, as shown in Tables 31–33. As shown in Tables 31–33, the proposed PI-CNN achieved a high classification accuracy compared with the state-of-the-art methods.

Mathada	Detect		Dis	ease			C	rop	
Methods	Dataset	TPR	PPV	ACC	F1-Score	TPR	PPV	ACC	F1-Score
	100%	88.31	94.02	96.34	91.17	97.15	93.54	98.35	95.35
	70%	85.65	88.84	91.48	87.25	88.84	85.74	89.98	87.29
Wang [14]	50%	70.85	77.64	84.04	74.25	70.58	77.51	83.24	74.05
0	30%	51.56	46.33	64.92	48.95	52.84	44.17	63.84	48.51
	10%	30.54	33.89	43.12	32.22	32.01	32.98	43.54	32.50
	100%	89.32	94.53	96.94	91.93	97.93	94.32	98.12	96.13
	70%	85.84	88.17	91.74	87.01	88.17	83.44	89.24	85.81
Shahi [1]	50%	69.45	76.17	82.61	72.81	70.82	76.32	82.42	73.57
	30%	51.52	46.44	63.14	48.98	51.43	43.66	62.45	47.55
	10%	29.14	33.48	42.78	31.31	31.52	32.74	42.49	32.13
	100%	88.90	94.86	97.10	91.79	97.73	94.51	98.40	96.10
	70%	84.90	88.25	91.12	86.55	89.00	85.35	88.92	87.14
Srivastava [5]	50%	70.86	77.80	83.69	74.17	69.66	77.58	84.14	73.40
	30%	52.30	46.91	65.13	49.46	52.35	45.69	63.13	48.80
	10%	31.08	33.02	43.37	32.02	31.52	32.24	42.66	31.88
	100%	88.20	94.12	96.91	91.06	97.10	93.85	98.62	95.45
	70%	86.06	89.01	91.37	87.51	89.01	85.48	90.05	87.21
Jordan [46]	50%	71.39	78.65	84.35	74.85	70.01	78.36	84.58	73.95
	30%	52.07	47.11	65.20	49.46	52.12	45.25	62.67	48.44
	10%	31.38	33.70	42.53	32.50	32.96	32.79	42.93	32.87
	100%	89.62	95.13	97.26	92.38	98.48	94.76	99.57	96.62
	70%	86.20	89.11	92.34	87.66	89.10	86.27	90.41	87.69
Ours	50%	71.89	78.67	84.70	75.28	71.10	78.60	84.64	74.85
	30%	52.80	47.48	65.54	50.14	53.20	45.87	63.13	49.54
	10%	31.84	34.29	43.55	33.07	32.98	33.25	43.91	33.12

**Table 31.** Comparison of accuracies achieved by the proposed PI-CNN and the existing methods in the original and reduced datasets. The accuracies were achieved in the PlantVillage dataset (unit: %).

**Table 32.** Comparison of accuracies achieved by the proposed PI-CNN and the existing methods in the original and reduced datasets. The accuracies were achieved in the PlantDoc dataset (unit: %).

	Defeed		Dis	sease		Сгор				
Methods	Dataset	TPR	PPV	ACC	F1-Score	TPR	PPV	ACC	F1-Score	
	100%	65.12	66.49	79.82	65.81	77.37	73.54	84.95	75.46	
	70%	58.26	54.39	73.07	56.33	68.50	64.74	79.89	66.62	
Wang [14]	50%	38.30	38.20	60.67	38.25	51.25	47.82	71.31	49.54	
	30%	35.85	28.38	44.18	32.12	40.17	34.88	52.69	37.53	
	10%	19.42	21.31	27.68	20.37	20.26	21.29	30.21	20.78	
	100%	64.58	66.66	78.83	65.62	77.79	73.42	86.02	75.61	
	70%	58.90	53.72	72.76	56.31	68.85	63.69	80.42	66.27	
Shahi [1]	50%	38.79	38.64	59.73	38.72	50.41	47.96	71.07	49.19	
	30%	35.79	28.59	44.50	32.19	39.76	34.05	51.50	36.91	
	10%	21.03	22.06	28.33	21.55	21.58	21.01	31.35	21.30	
	100%	65.66	67.43	79.03	66.53	77.25	74.00	85.97	75.59	
	70%	58.55	53.13	73.70	55.71	69.11	64.49	79.72	66.72	
Srivastava [5]	50%	39.90	38.93	60.88	39.41	50.83	47.19	72.07	48.95	
	30%	36.11	29.00	44.13	32.17	40.40	34.81	52.33	37.40	
	10%	19.99	21.04	27.69	20.50	20.76	21.71	31.72	21.22	
	100%	65.12	67.64	79.78	66.36	76.78	74.64	86.28	75.69	
	70%	58.16	53.72	72.98	55.85	70.33	64.06	80.59	67.05	
Jordan [46]	50%	39.56	37.77	60.27	38.64	50.92	46.96	72.37	48.86	
	30%	35.60	28.92	43.78	31.91	40.23	33.95	51.92	36.83	
	10%	19.92	21.46	27.93	20.66	20.59	21.26	31.63	20.92	
	100%	66.13	67.87	80.36	67.00	78.26	74.66	86.57	76.46	
	70%	59.54	54.48	74.08	57.01	70.37	64.78	80.81	67.58	
Ours	50%	40.20	39.21	61.05	39.71	51.95	48.15	72.55	50.05	
	30%	36.62	29.31	45.21	32.97	41.62	35.31	53.14	38.47	
	10%	21.30	22.51	29.12	21.91	21.98	22.41	32.18	22.20	

Methods	Dataset	Plants				Fruits-360			
		TPR	PPV	ACC	F1-Score	TPR	PPV	ACC	F1-Score
Wang [14]	100%	89.72	85.29	95.63	87.51	94.47	94.04	97.88	94.26
	70%	80.25	76.35	92.23	78.30	91.24	88.77	90.87	90.01
	50%	62.55	59.85	84.10	61.20	72.24	78.49	82.93	75.37
	30%	48.89	40.71	59.51	44.80	52.06	45.20	65.16	48.63
	10%	29.68	30.60	40.61	30.14	38.84	35.24	47.54	37.04
Shahi [1]	100%	89.35	84.47	94.75	86.91	93.53	93.74	97.17	93.64
	70%	80.04	76.30	91.66	78.17	90.77	88.54	89.94	89.66
	50%	62.39	59.48	84.09	60.94	72.01	78.35	82.23	75.18
	30%	48.03	39.93	58.67	43.98	51.45	44.55	64.88	48.00
	10%	28.70	29.86	40.37	29.28	38.32	34.30	46.77	36.31
Srivastava [5]	100%	89.74	85.96	95.60	87.81	94.26	93.10	98.25	93.68
	70%	79.98	75.47	92.57	77.66	90.91	88.15	90.60	89.51
	50%	61.43	59.79	82.97	60.60	72.40	77.95	82.62	75.07
	30%	49.53	40.93	58.93	44.82	51.60	45.84	64.34	48.55
	10%	30.00	31.06	40.28	30.52	38.95	35.71	48.00	37.26
Jordan [46]	100%	89.34	85.20	95.71	87.22	94.22	93.88	97.43	94.05
	70%	79.53	75.59	92.58	77.51	91.61	88.34	90.54	89.95
	50%	61.84	58.88	82.77	60.33	72.30	78.34	83.10	75.20
	30%	49.30	40.41	60.03	44.41	52.08	45.12	65.00	48.35
	10%	30.24	30.34	40.50	30.29	39.37	35.31	48.11	37.23
Ours	100%	90.10	86.11	96.52	88.11	95.40	94.33	98.59	94.87
	70%	80.46	76.40	92.81	78.43	91.70	89.00	91.80	90.35
	50%	62.71	60.22	84.10	61.47	72.47	78.63	83.63	75.55
	30%	49.74	41.50	60.34	45.62	52.68	45.86	65.44	49.27
	10%	30.66	31.33	40.85	31.00	39.37	36.19	48.31	37.78

**Table 33.** Comparison of accuracies achieved by the proposed PI-CNN and the existing methods in the original and reduced datasets. The accuracies were achieved in the Plants and Fruits-360 datasets (unit: %).

# 4.4. Processing Time

The processing time of the PI-GAN method and classification method (PI-CNN) in the testing phase is shown in Table 34. The processing time was measured in the environments explained in Section 3.3. As shown in Table 34, the frame rate of the PI-GAN method is approximately 21.78 frames per second (fps) (=1000/45.92). Moreover, the frame rate of the proposed PI-CNN is approximately 19.29 fps (=1000/51.85). The total frame rate including both image augmentation and classification method is approximately 10.23 fps (1000/97.77).

Table 34. Processing time of the methods per image (unit: ms).

Methods	Processing Time			
Image augmentation by PI-GAN	45.92			
Classification by PI-CNN	51.85			
Total	97.77			

### 5. Discussion

In general, the plant-image classification performance is affected by the structure of the classification model, as well as the image quality and number of images in the datasets. Existing plant-image open datasets are typically small in size compared with open datasets of other fields. Furthermore, only a small number of open datasets exist. Therefore, previous models trained using a small number of plant images demonstrate a lower classification accuracy. This study verified that image classification performed using a small number of training images yielded a lower classification accuracy as the number of images decreased. Accordingly, experiments were conducted in this study by increasing the number of images by using the augmentation method involving PI-GAN. The experimental results obtained with four open databases demonstrated that the classification accuracy was improved compared with those of the state-of-the-art methods for all the reduced datasets. However, there are error cases when augmenting images using PI-GAN, as shown in Figure 15. For example, augmented image is presented in Figure 15a, where the date, carambula, and augmented date image are presented. In the case of the augmented date image, the pattern of the date in the image was lost and looks more like a rock. Moreover, as shown in Figure 15b, pineapple, cactus fruit, and augmented pineapple are presented. Here, the augmented pineapple image became blurry. These errors arise because the two input images are only combined by using a channel-wise concatenation operation rather than by analyzing a pattern style of an input image and applying the pattern style of one image to another input image.

![](_page_20_Figure_2.jpeg)

![](_page_20_Figure_3.jpeg)

**Figure 15.** Error cases by the proposed PI-GAN. From the left to right: (**a**) date, carambula, and augmented date; (**b**) pineapple, cactus fruit, and augmented pineapple.

Moreover, example images misclassified by the proposed PI-CNN are presented in Figure 16. For example, apple, peach, and augmented apple images are presented from the left to right in Figure 16a, and peach, apple, and augmented peach images are presented in the same way in Figure 16b. In case of Figure 16a, an apple image (input\_1) is augmented based on a peach image (input\_2). Thus, the augmented apple (output) image looks a little bit like a peach image. On the contrary, a peach image (input\_1) is augmented based on an apple image (input\_2) in Figure 16b. So, the augmented peach image looks similar to the apple image. That is, the classification errors occur due to the loss of class information in the augmented images used for the training of PI-CNN in both Figure 16a,b.

We obtained the PI-GAN and PI-CNN structures based on experimental results through ablation studies (Section 4.2). For the model structure adjustment and optimization for the specific domain of plant images, we conducted experiments by using various parameters (Tables 12 and 13) and structures (Tables 14 and 15).

Compared to previous GAN-based image augmentation methods [47–49], the proposed PI-GAN is novel as follows:

- Inputs are random numbers (noise data) in [47–49], whereas inputs of the PI-GAN are plant images.
- The methods [47–49] use a single input, whereas the proposed PI-GAN uses two images as inputs.
- The generators of the methods [47–49] were designed based on up-sampling networks, whereas the generator of the proposed PI-GAN was designed based on an encoder-decoder network.
- In the methods [47–49], a generator network is trained to generate an image from noise data, whereas the generator network in the proposed PI-GAN extracts fea-

![](_page_21_Picture_1.jpeg)

tures from two different plant images and generates a plant image by combining the extracted features.

**Figure 16.** Error cases by the proposed PI-CNN. From the left to right: (**a**) apple, peach, and augmented apple; (**b**) peach, apple, and augmented peach.

Using a small dataset causes the overfitting problem. In the training phase, we can monitor overfitting based on the curves of the loss of validation dataset. For example, we can identify overfitting when validation loss stops decreasing after a certain number of training epochs while the training loss keeps decreasing. The overfitting occurs in cases where the training metric keeps searching for the best fit only for the training dataset. The solution to overcome the overfitting is to increase the number of training data.

In this paper, we conducted various experiments by using training datasets with different sizes. Training and validation loss curves of the experiments are presented in the following figures to determine whether the models were overfitted.

As shown in Figure 17d, the training loss is decreased more than those in Figure 17a–c. This is because the number of training images is too small in Figure 17d, and the model is fitted easily. However, the validation loss in Figure 17d is not decreased after epoch 10, which confirms overfitting caused by a small training set. Similarly, overfitting occurs in Figure 17c owing to the same reason. The testing accuracies with the augmented data of Figure 17g,h become higher than those with the original data of Figure 17c,d as shown in Tables 25–27. In addition, the validation losses with the augmented data of Figure 17g,h are a little lower than those with the original data of Figure 17c,d.

There have been various experiments conducted based on different numbers of parameters and layers [26,29]. As shown in [29], the error rates by the CNN model usually increase when the number of layers and parameters decrease. The experimental results with VGG Net in [26] confirm that the error rates by the CNN model usually increase when the number of layers decrease. Therefore, we confirm that other conventional CNN models usually achieve worse results by reducing the parameters.

![](_page_22_Figure_2.jpeg)

**Figure 17.** Training and validation loss curves. Curves obtained by using training sets with size of (a) 70%, (b) 50%, (c) 30%, (d) 10%. Curves obtained by using training sets augmented from training sets with size of (e) 70%, (f) 50%, (g) 30%, (h) 10%.

# 6. Conclusions

This study proposed plant-image augmentation and classification methods and performed various experiments. New plant images were obtained by combining two types of input plant images in the plant image augmentation by PI-GAN. The proposed classification by PI-CNN involved the classification of images using augmented and original images. Moreover, the accuracy in a case where a small number of training datasets were used was compared with that in a case where a large number of training datasets were used. The size of the original dataset was set to 100%, and the dataset size was reduced by 70%, 50%, 30%, and 10% to compare the difference in accuracy. Subsequently, the accuracy was compared again by augmenting the training datasets that had been reduced by 70%, 50%, 30%, and 10%, back to 100%, using conventional and PI-GAN-based augmentation methods. As shown in Tables 25–27, classification accuracies obtained by using the proposed PI-GAN augmentation method are higher compared to those obtained by using conventional data augmentation methods as in Tables 19–24. This confirms that the proposed PI-GAN outperforms the conventional augmentation methods. To augment a plant image, the proposed PI-GAN extracts feature from two different plant images and combines them to generate a new plant image, whereas conventional augmentation methods change a plant image by in-plane rotation, shifting, flipping, and adjustments of brightness and contrasts to generate different images. In addition, as shown in Tables 25–27, classification accuracies obtained by using PI-CNN with PI-GAN are higher compared to those obtained by using PI-CNN with conventional augmentation methods. Moreover, classification accuracies obtained by using PI-CNN with PI-GAN are higher compared to those obtained by using PI-CNN with the existing conditional GAN-based method [46], as shown in Tables 28–30. This confirms that the proposed PI-GAN works better with the proposed PI-CNN. The results of the experiments performed using four open datasets (namely, PlantDoc, PlantVillage, Plants, and Fruits-360) verified that the proposed PI-GAN and PI-CNN achieved a high classification accuracy compared with the state-of-the-art methods in all the cases.

In future studies, a variety of explainable artificial intelligence (XAI) methods [39–44] will be considered to examine the methods further to improve the accuracy of PI-CNN methods for image classification. In addition, we will conduct further research into methods for maintaining the class information in the augmented images in order to minimize the classification errors.

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