

Supplementary Information for “Bucket Fuser: statistical signal extraction for 1D ^1H NMR metabolomics data”

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2 The Python implementation of the Bucket Fuser algorithm

The Python implementation of the Bucket Fuser algorithm and a comprehensive tutorial are available in supplementary file S1.

3 Supplementary Tables

Table S1: Spearman’s correlations to absolutely quantified metabolite concentrations for BF with $\lambda = 1$, BF with $\lambda = 2.5$, BF with $\lambda = 5$, JBA, SRV, and equidistant binnings with bin sizes 0.01 ppm and 0.02 ppm. Here, 3-HB = 3-hydroxybutyrate. Further provided are the spectral positions of the bins with largest absolute Spearman’s correlation coefficients and the corresponding metabolite identities.

	BF ($\lambda = 1$)			BF ($\lambda = 2.5$)			BF ($\lambda = 5$)		
	correlation	spectral position	metabolite	correlation	spectral position	metabolite	correlation	spectral position	metabolite
3-HB	0.708	ppm.2.3974-ppm.2.3954	3-HB,	0.720	ppm.1.8094-ppm.1.8064	3-HB,	0.689	ppm.4.1564-ppm.4.1544	3-HB,
Acetate	0.757	ppm.1.9244-ppm.1.9194	acetylne, glutamate	0.983	ppm.1.9274-ppm.1.9244	lactate, proline	0.989	ppm.1.9274-ppm.1.9224	acetate, proline
Acetoacetate	0.670	ppm.2.3814-ppm.2.3794	3-hydroxyisovalerate, pyruvate, succinate	0.664	ppm.2.3794-ppm.2.3774	3-hydroxyisovalerate, pyruvate, succinate	0.610	ppm.2.3794-ppm.2.3744	3-hydroxyisovalerate, pyruvate, succinate
Acetone	0.728	ppm.2.3314-ppm.2.3294	2-hydroxyglutarate, glutamate, proline	0.748	ppm.2.2354-ppm.2.2334	acetone, macromolecules	0.568	ppm.2.2374-ppm.2.2314	acetone, macromolecules
Alanine	0.689	ppm.1.4874-ppm.1.4844	alanine	0.927	ppm.1.4934-ppm.1.4914	alanine	0.917	ppm.1.4814-ppm.1.4784	alanine
Asparagine	0.685	ppm.2.1444-ppm.2.1424	glutamine, glutamine	0.662	ppm.1.1444-ppm.1.1394	glutamine, glutamine	0.635	ppm.2.1514-ppm.2.1484	glutamine, glutamine
Betaine	0.500	ppm.3.3814-ppm.3.3694	glucose, betaine, myo-inositol, trimethylamine-N-oxide	0.637	ppm.3.2784-ppm.3.2704	glucose, betaine, myo-inositol, trimethylamine-N-oxide	0.480	ppm.3.2784-ppm.3.2724	glucose, betaine, myo-inositol, trimethylamine-N-oxide
Carnitine	0.678	ppm.2.4484-ppm.2.4464	glutamine, carnitine	0.419	ppm.2.3114-ppm.3.1994	macromolecule (?)	0.427	ppm.3.0504-ppm.3.0434	creatine, creatine
Creatine	0.929	ppm.3.0394-ppm.3.0374	creatine	0.907	ppm.3.0404-ppm.3.0374	creatine	0.884	ppm.3.0504-ppm.3.0434	creatine, creatine
Creatinine	0.772	ppm.3.0394-ppm.3.0374	creatine	0.863	ppm.3.0624-ppm.3.0594	creatine	0.881	ppm.3.0634-ppm.3.0604	creatine
Diamine	0.900	ppm.3.4624-ppm.3.4584	phenylalanine	0.900	ppm.3.4724-ppm.3.4674	phenylalanine	0.889	ppm.3.4884-ppm.3.4834	phenylalanine
Glucose	0.873	ppm.2.4674-ppm.2.4654	glutamine, 3-hydroxyisobutyrate, carnitine	0.870	ppm.2.4554-ppm.2.4524	glutamine, 3-hydroxyisobutyrate, carnitine	0.890	ppm.2.4684-ppm.2.4614	glucose
Glycine	0.838	ppm.3.5714-ppm.3.5654	glycine, 3-hydroxyisobutyrate	0.808	ppm.3.5694-ppm.3.5674	glycine, 3-hydroxyisobutyrate	0.741	ppm.3.5704-ppm.3.5604	carnitine
Histidine	0.438	ppm.2.1444-ppm.2.1424	glutamine, glutamine	0.764	ppm.2.1694-ppm.2.1604	histidine	0.523	ppm.2.1514-ppm.2.1484	glutamine, glutamine
Isoleucine	0.866	ppm.1.1014-ppm.1.1004	3-hydroxyisobutyrate	0.911	ppm.1.0224-ppm.1.0204	isoleucine, 3-hydroxyisobutyrate	0.898	ppm.1.0554-ppm.1.0524	3-hydroxyisobutyrate
Lactate	0.988	ppm.4.1294-ppm.4.1274	lactate	0.980	ppm.4.1184-ppm.4.1164	lactate	0.889	ppm.4.1294-ppm.4.1154	lactate
Phenylalanine	0.850	ppm.7.3424-ppm.7.3394	phenylalanine	0.874	ppm.7.3114-ppm.7.3074	phenylalanine	0.888	ppm.7.4344-ppm.7.4294	phenylalanine
Proline	0.754	ppm.2.3434-ppm.2.3384	proline, glutamate	0.937	ppm.4.1524-ppm.4.1504	proline	0.609	ppm.3.3504-ppm.3.3344	proline, glutamate
Pyruvate	0.884	ppm.2.3814-ppm.2.3794	pyruvate, 3-hydroxyisovalerate, succinate	0.968	ppm.2.3794-ppm.2.3774	pyruvate, 3-hydroxyisovalerate, succinate	0.941	ppm.2.3794-ppm.2.3744	pyruvate, proline, glutamate
Trimethylamine N-Oxide	0.822	ppm.3.4814-ppm.3.4764	glutamine, trimethylamine-N-oxide	0.902	ppm.3.4764-ppm.3.4714	tyrosine, trimethylamine-N-oxide	0.941	ppm.3.4764-ppm.3.4714	tyrosine, trimethylamine-N-oxide
Tyrosine	0.931	ppm.6.9004-ppm.6.8854	tyrosine	0.941	ppm.7.1924-ppm.7.1894	tyrosine	0.941	ppm.6.9114-ppm.6.8944	tyrosine
Valine	0.811	ppm.0.9674-ppm.0.9654	leucine, macromolecule	0.947	ppm.1.0544-ppm.1.0524	valine	0.961	ppm.1.0434-ppm.1.0404	valine
	JBA			SRV			EB (0.01 ppm)		
	correlation	spectral position	metabolite	correlation	spectral position	metabolite	correlation	spectral position	metabolite
3-HB	0.708	2.3964	3-HB	0.600	ppm.2.3694-2.3624	3-HB	0.447	ppm.2.285	3-HB
Acetate	0.960	1.9254	carnitine, glutamate	0.908	ppm.1.9344-1.9184	carnitine, glutamate	0.946	ppm.1.925	acetate
Acetoacetate	0.670	2.3804	3-hydroxyisovalerate, pyruvate, succinate	0.611	ppm.4.2844-4.2754	noise	0.614	ppm.4.285	noise
Acetone	0.530	2.4324	glutamine	0.472	ppm.2.4344-2.4294	glutamine, 3-HB, 3-hydroxyisobutyrate, carnitine	0.455	ppm.2.235	acetone, macromolecules
Alanine	0.722	1.4859	3-hydroxyisobutyrate, carnitine	0.915	ppm.1.5054-1.4794	alanine	0.926	ppm.1.495	alanine
Asparagine	0.563	2.4559	glutamine, glutamine	0.698	ppm.2.4474-2.4414	glutamate, glutamine	0.683	ppm.2.145	glutamine, glutamine
Betaine	0.689	3.2724	3-hydroxyisobutyrate, carnitine	0.481	ppm.3.9104-3.9064	glucose, betaine	0.630	ppm.3.275	glucose, betaine, myo-inositol, trimethylamine-N-oxide
Carnitine	0.692	2.4464	glutamine, carnitine	0.412	ppm.1.8284-1.8164	noise	0.444	ppm.3.045	creatine, creatine
Creatine	0.930	3.0379	creatine	0.812	ppm.3.0434-3.0384	creatine	0.783	ppm.3.065	creatine, creatine
Creatinine	0.743	3.0379	creatine	0.782	ppm.3.0624-3.0574	creatine	0.783	ppm.3.065	creatine, creatine
Diamine	0.908	3.4579	phenylalanine	0.882	ppm.3.4724-3.4674	phenylalanine	0.887	ppm.3.475	phenylalanine
Dihydroxyacetone	0.508	1.7729	noise, macromolecules	0.684	ppm.2.7324-2.7244	dihydroxyacetone	0.587	ppm.1.765	noise, macromolecules
Glucose	0.987	3.4599	glucose	0.989	ppm.3.4924-3.4774	glucose	0.900	ppm.3.475	glucose
Glutamine	0.612	2.4559	glutamine, 3-hydroxyisobutyrate, carnitine	0.779	ppm.2.4474-2.4414	glutamate, glutamine	0.897	ppm.2.455	glutamine, 3-hydroxyisobutyrate, carnitine
Glycine	0.322	3.6579	creatine, creatinine	0.778	ppm.3.3724-3.3634	glycine, 3-hydroxyisobutyrate	0.655	ppm.3.365	glycine, 3-hydroxyisobutyrate
Histidine	0.445	1.7244	glutamine, glutamine	0.687	ppm.1.0734-1.0664	histidine	0.618	ppm.1.085	isobutyrate, 3-hydroxyisobutyrate
Isoleucine	0.735	0.9724	macromolecules, unknown (?)	0.702	ppm.1.0594-1.0314	valine	0.792	ppm.1.015	valine
Lactate	0.979	4.1204	lactate	0.983	ppm.4.1434-4.1404	lactate	0.900	ppm.4.115	lactate
Phenylalanine	0.819	7.3424	phenylalanine	0.818	ppm.7.4594-7.4424	phenylalanine	0.810	ppm.7.385	phenylalanine
Proline	0.676	3.3434	proline, unknown (?)	0.871	ppm.2.1534-2.1484	proline	0.880	ppm.3.345	proline, xylitol
Pyruvate	0.908	2.3794	pyruvate, 3-hydroxyisovalerate, succinate	0.908	ppm.2.3794-2.3774	pyruvate, 3-hydroxyisovalerate, succinate	0.908	ppm.2.345	pyruvate, 3-hydroxyisovalerate, succinate
Threonine	0.426	2.2014	tyrosine	0.472	ppm.6.9174-6.8884	tyrosine	0.474	ppm.6.880	tyrosine
Trimethylamine N-Oxide	0.849	3.2724	trimethylamine-N-oxide	0.279	ppm.2.3.614-3.1554	dimethyl sulfone (?), Cs-EDTA2+	0.400	ppm.3.275	trimethylamine-N-oxide
Tyrosine	0.816	7.1944	tyrosine	0.935	ppm.6.9174-6.8864	tyrosine	0.939	ppm.6.895	tyrosine
Valine	0.725	0.9724	leucine, macromolecule	0.924	ppm.1.0594-1.0314	valine	0.952	ppm.1.055	valine

Table S2: Number of metabolite features extracted from 1D ^1H NMR spectra of (a) urinary and (b) plasma AKI data sets for different binning approaches. ^aNumber of bins after exclusion of 21 bins corresponding to filter residues and free EDTA. ^bNumber of bins after exclusion of 16 bins corresponding to filter residues and free EDTA. ^cNumber of bins after exclusion of 29 bins corresponding to filter residues and free EDTA. ^dNumber of bins after exclusion of 12 bins corresponding to filter residues and free EDTA. ^eNumber of bins after exclusion of 28 bins corresponding to filter residues and free EDTA. ^fNumber of bins after exclusion of 12 bins corresponding to filter residues and free EDTA. ^gNumber of bins after exclusion of 20 bins corresponding to filter residues and free EDTA. ^hNumber of bins after exclusion of 36 bins corresponding to filter residues and free EDTA. ⁱNumber of bins after exclusion of 28 bins corresponding to filter residues and free EDTA. ^jNumber of bins after exclusion of 15 bins corresponding to filter residues and free EDTA.

(a) Urinary AKI data set.										
	BF ($\lambda = 1$) plateaus	BF ($\lambda = 1$) non-plateaus	BF ($\lambda = 2.5$) plateaus	BF ($\lambda = 2.5$) non-plateaus	BF ($\lambda = 5$) plateaus	BF ($\lambda = 5$) non-plateaus	SRV	JBA	EB (0.01 ppm)	EB (0.02 ppm)
No. regions	180	268	498	383	574	309	585	731	700	350
(b) Plasma AKI data set.										
	BF ($\lambda = 1$) plateaus	BF ($\lambda = 1$) non-plateaus	BF ($\lambda = 2.5$) plateaus	BF ($\lambda = 2.5$) non-plateaus	BF ($\lambda = 5$) plateaus	BF ($\lambda = 5$) non-plateaus	SRV	JBA	EB (0.01 ppm)	EB (0.02 ppm)
No. regions	333 ^a	273 ^b	503 ^c	249 ^d	723 ^e	224 ^f	533 ^g	520 ^h	712 ⁱ	355 ^j

4 Supplementary Figures

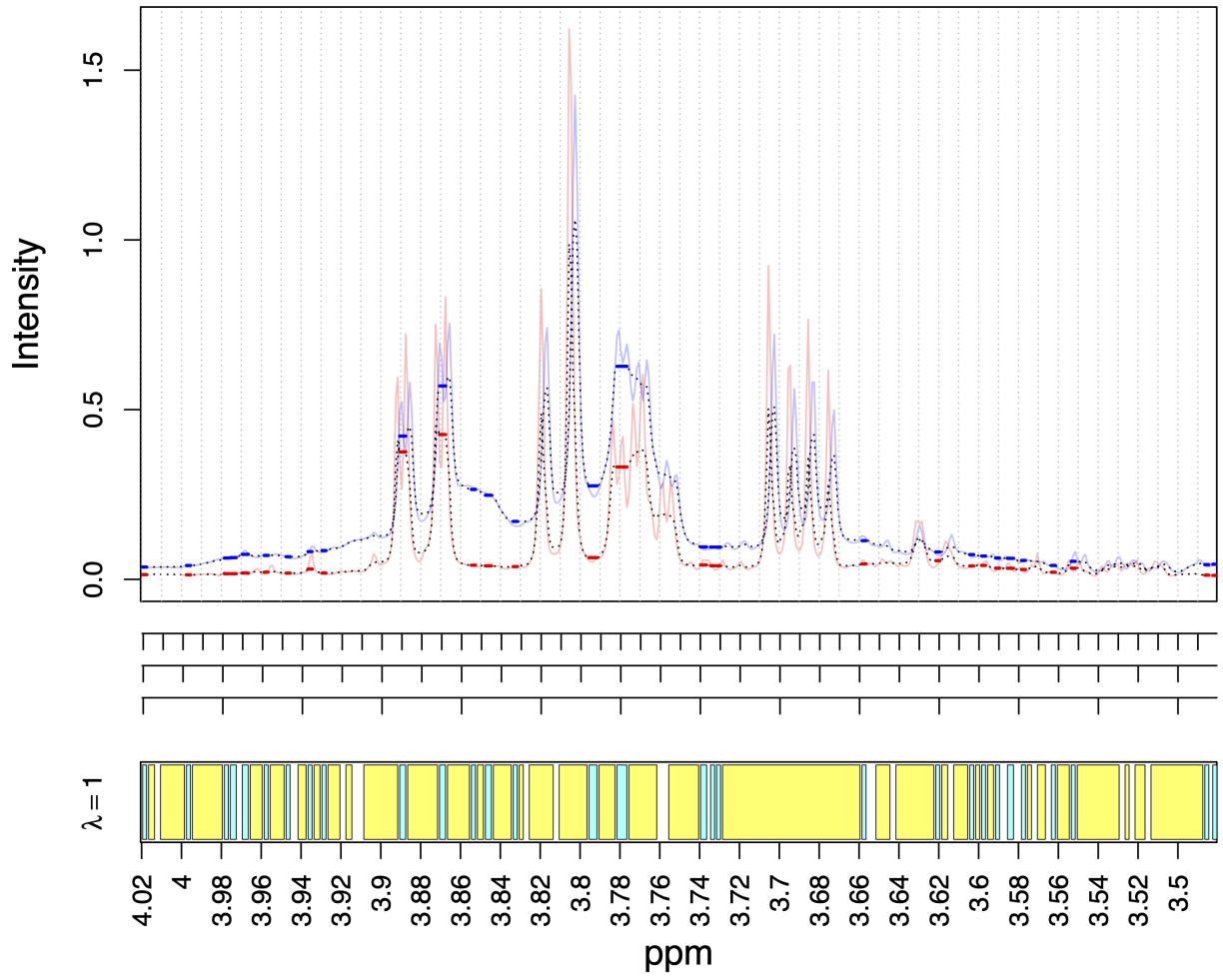


Figure S1: Exemplary NMR spectral regions ranging from 4 ppm to 3.5 ppm together with their corresponding BF fits for $\lambda = 1$. The Figure can be understood analogous to Figure 1a and b in the main article.

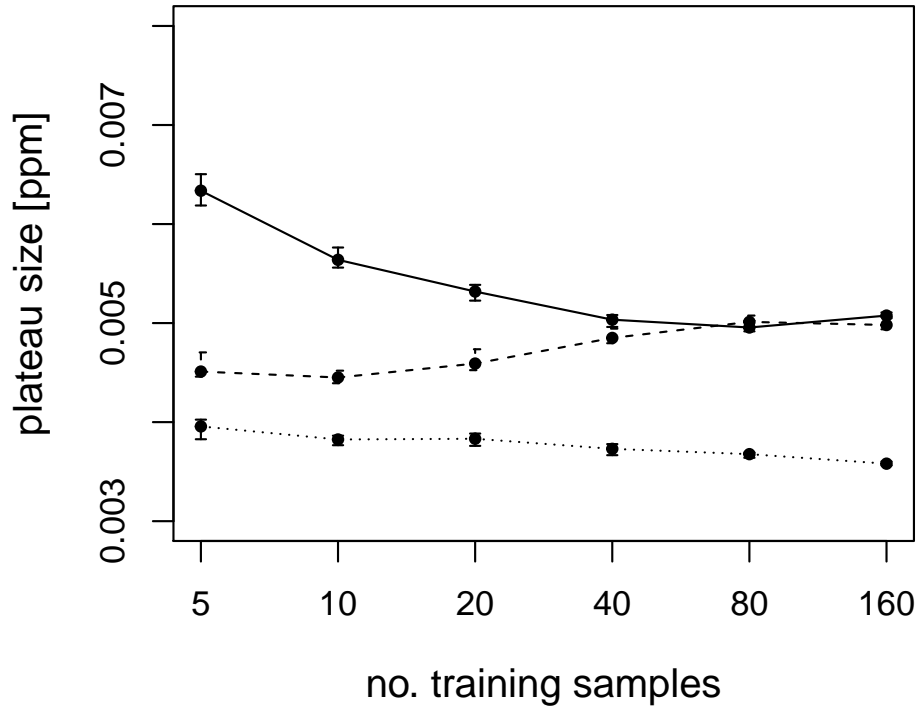


Figure S2: Average plateau widths versus the number of training samples for $\lambda = 1$ (dotted line), $\lambda = 2.5$ (dashed line), $\lambda = 5$ (solid line) in the GCKD data set. The error bars indicate the 25% to 75% percentiles and the dots the corresponding median values across 50 simulation runs.

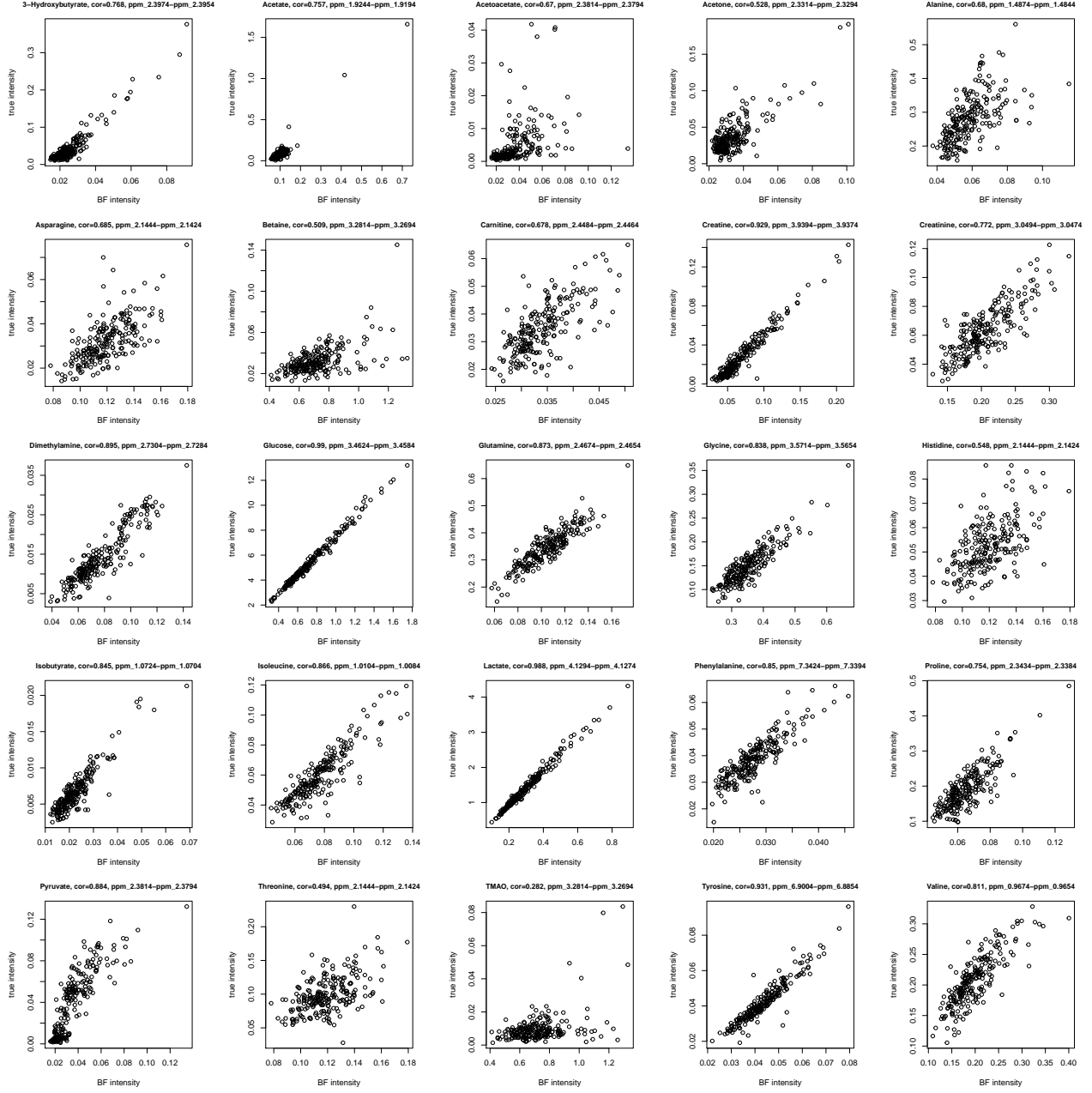


Figure S3: Comparison of the integrals of spectral features constructed by the Bucket Fuser (BF) using $\lambda = 1$ with absolutely quantified metabolite concentrations for 25 metabolites. The x -axis gives the spectral intensities returned by the Bucket Fuser which correlate best with the absolute concentrations shown on the y -axis. The headings indicate the investigated metabolites, followed by Spearman's correlation, and the selected spectral region from the BF binning in ppm. Abbr.: TMAO, trimethylamine-N-oxide.

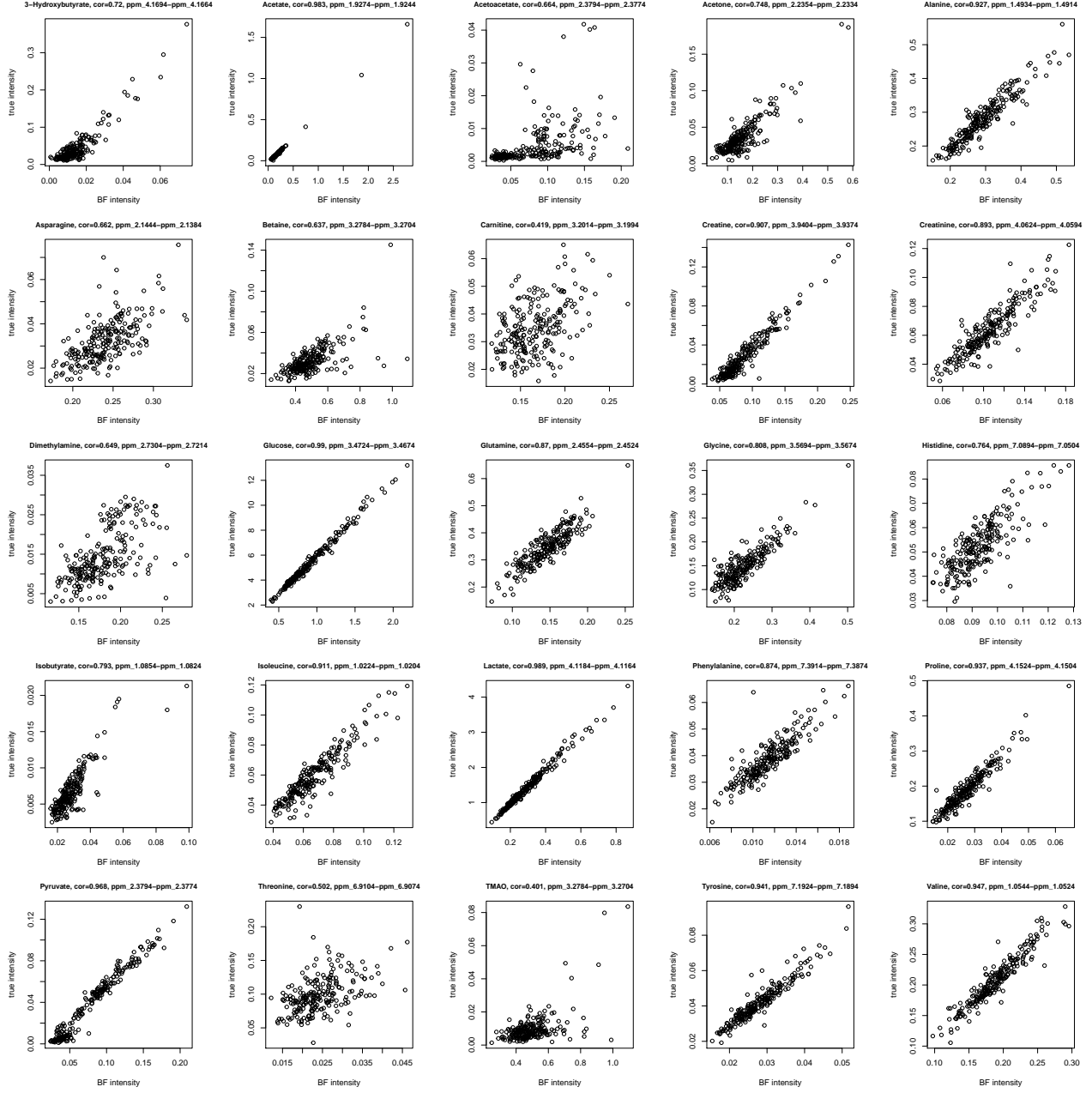


Figure S4: Comparison of the integrals of spectral features constructed by the Bucket Fuser (BF) using $\lambda = 2.5$ with absolutely quantified metabolite concentrations for 25 metabolites. The x -axis gives the spectral intensities returned by the Bucket Fuser which correlate best with the absolute concentrations shown on the y -axis. The headings indicate the investigated metabolites, followed by Spearman's correlation, and the selected spectral region from the BF binning in ppm. Abbr.: TMAO, trimethylamine-N-oxide.

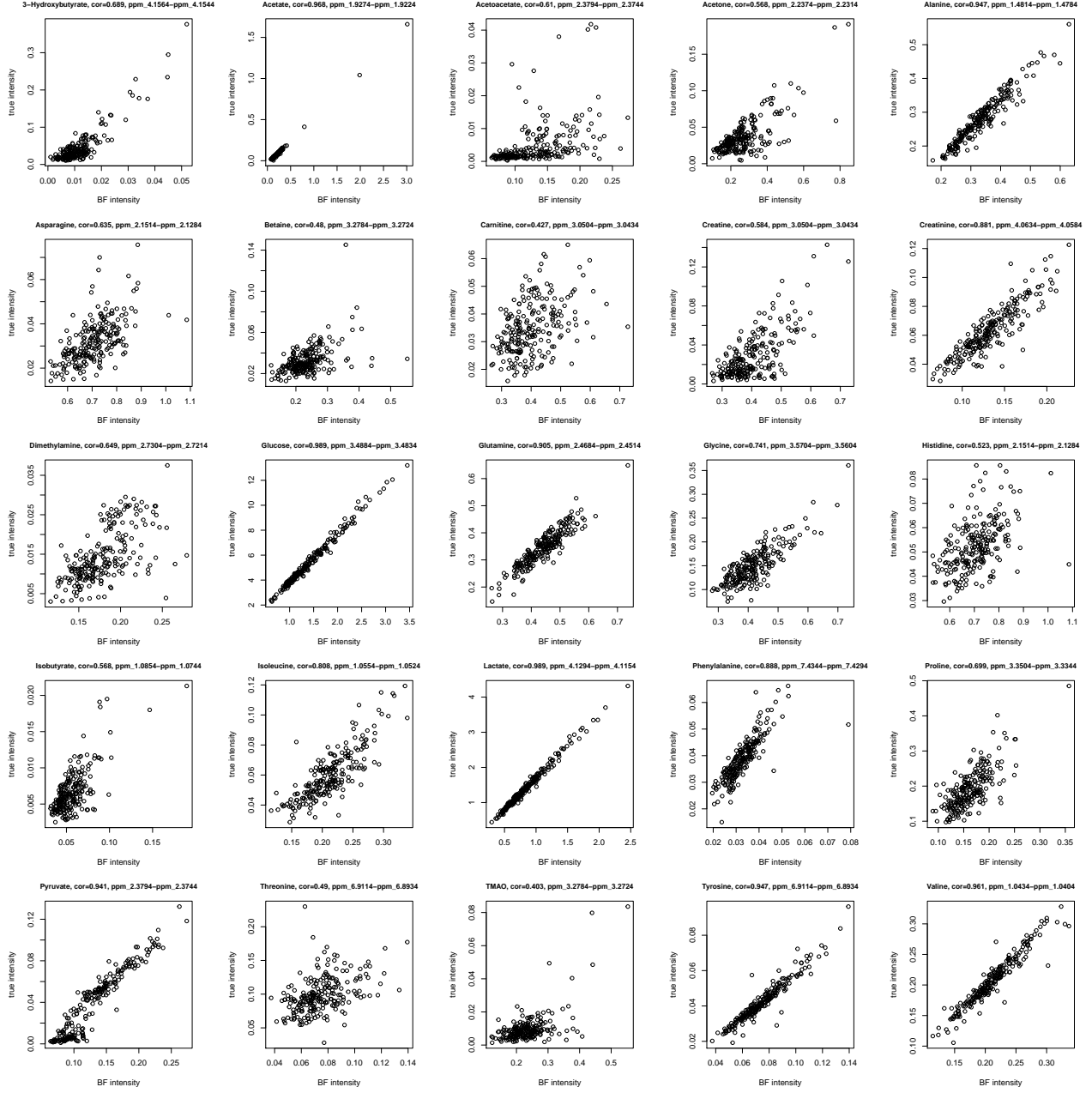


Figure S5: Comparison of the integrals of spectral features constructed by the Bucket Fuser (BF) using $\lambda = 5$ with absolutely quantified metabolite concentrations for 25 metabolites. The x -axis gives the spectral intensities returned by the Bucket Fuser which correlate best with the absolute concentrations shown on the y -axis. The headings indicate the investigated metabolites, followed by Spearman's correlation, and the selected spectral region from the BF binning in ppm. Abbr.: TMAO, trimethylamine-N-oxide.

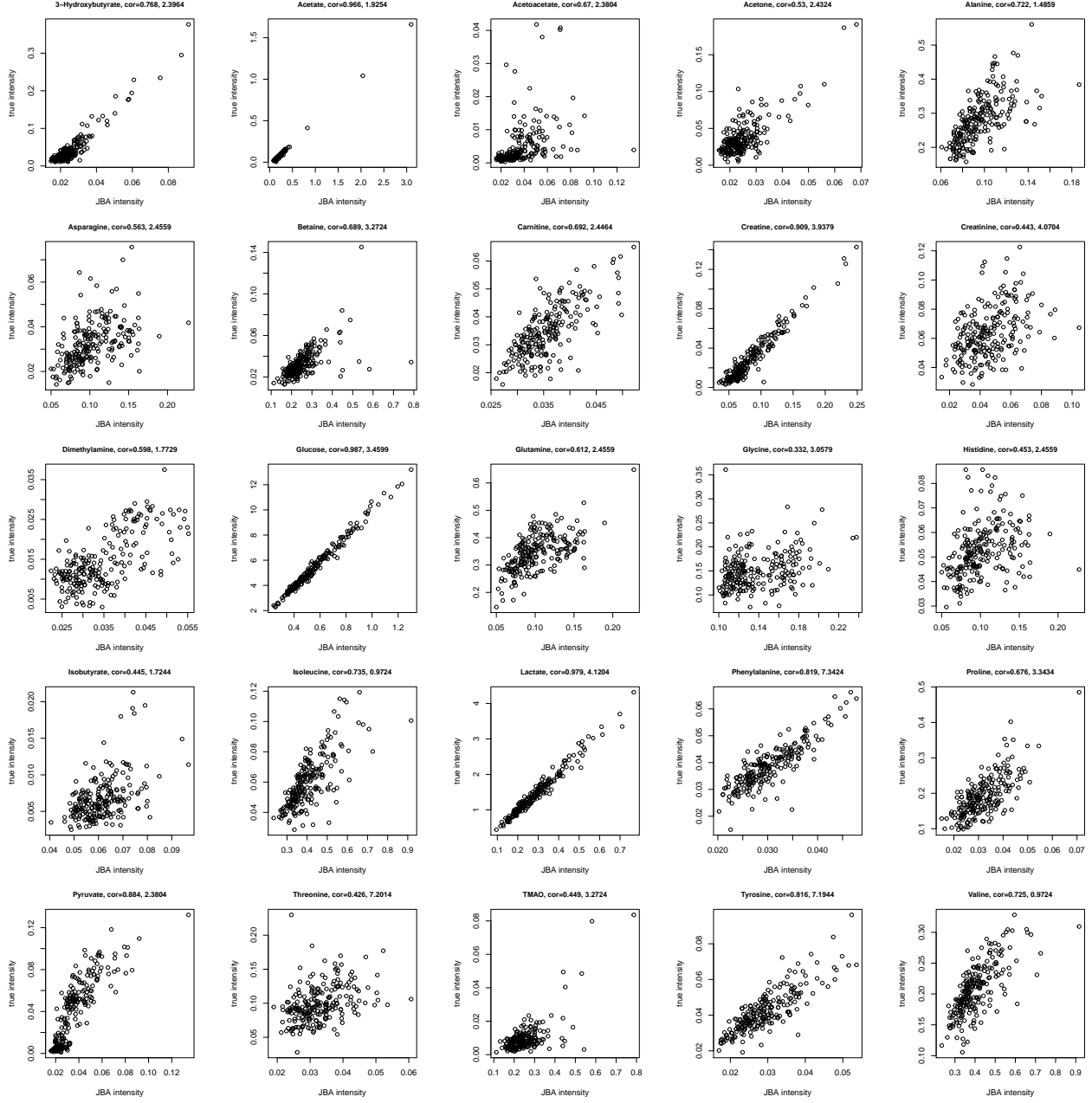


Figure S6: Comparison of the integrals of spectral features constructed by JBA with absolutely quantified metabolite concentrations for 25 metabolites. The x -axis gives the spectral intensities returned by JBA which correlate best with the absolute concentrations shown on the y -axis. The headings indicate the investigated metabolites, followed by Spearman's correlation, and the selected spectral region in ppm. Abbr.: TMAO, trimethylamine-N-oxide.

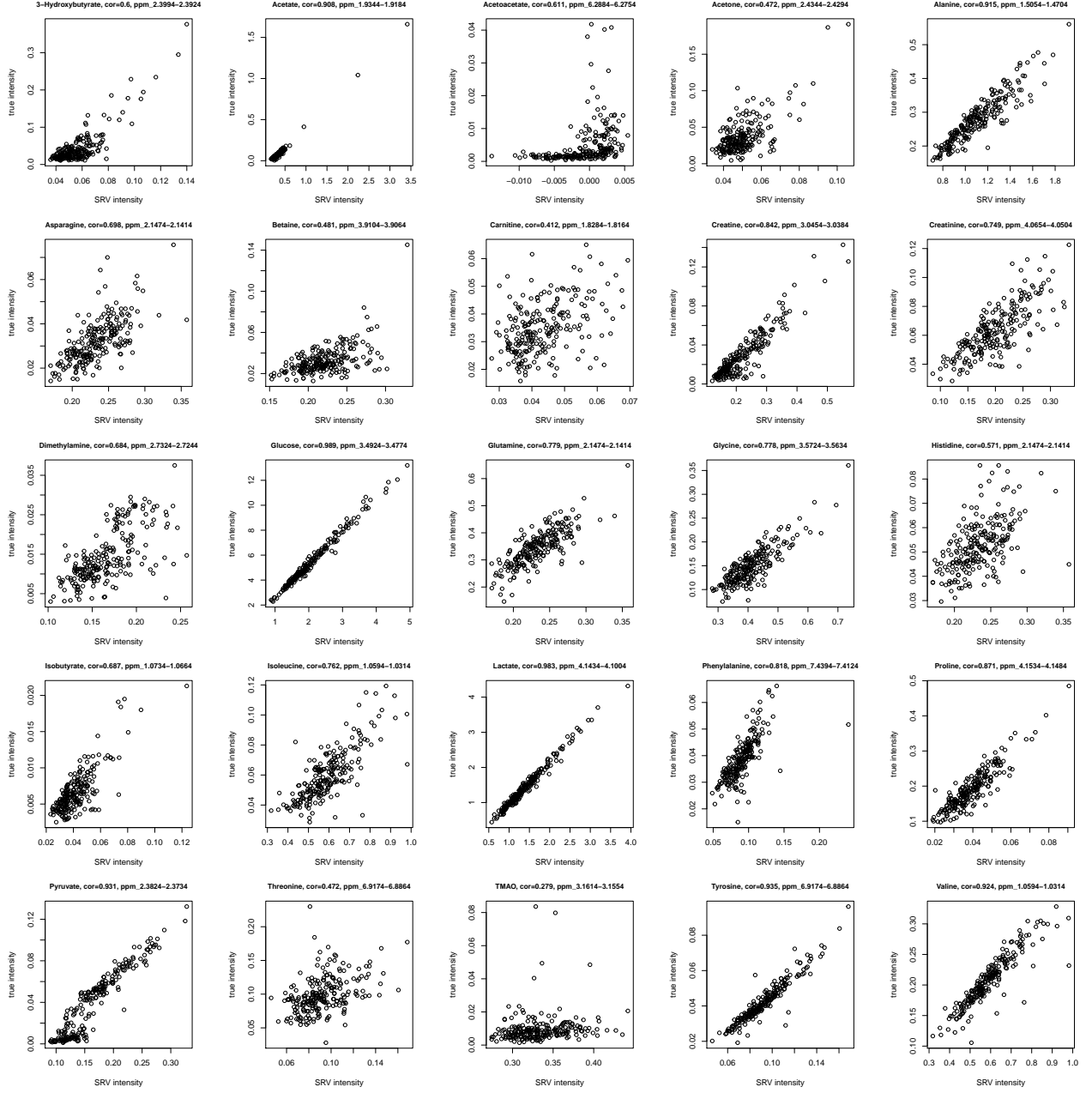


Figure S7: Comparison of the integrals of spectral features constructed by SRV with absolutely quantified metabolite concentrations for 25 metabolites. The x -axis gives the spectral intensities returned by the Bucket Fuser which correlate best with the absolute concentrations shown on the y -axis. The headings indicate the investigated metabolites, followed by Spearman's correlation, and the selected spectral region from the BF binning in ppm. Abbr.: TMAO, trimethylamine-N-oxide.

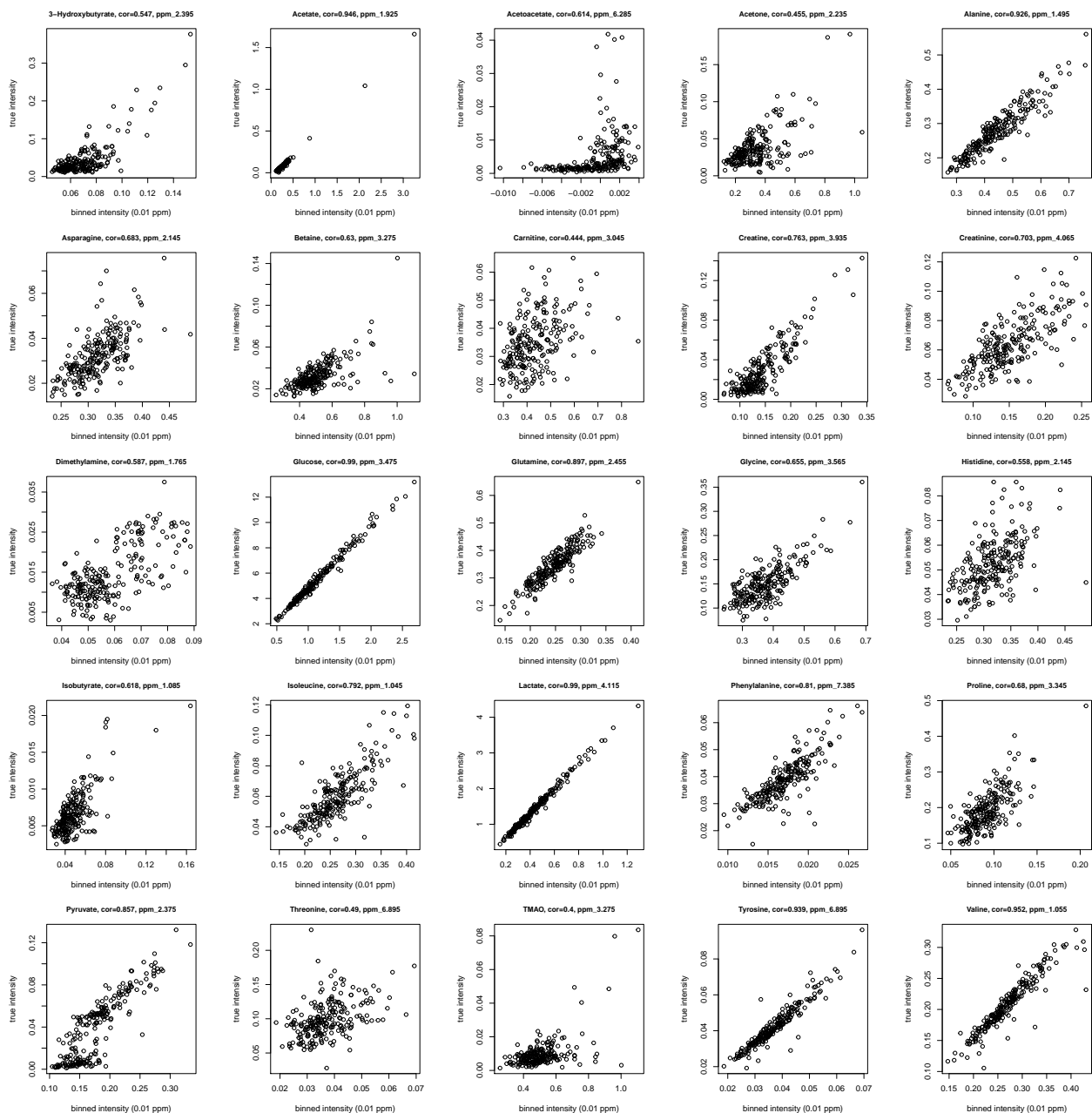


Figure S8: Comparison of the integrals of spectral features from an equidistant binning (bin size = 0.01 ppm) with absolutely quantified metabolite concentrations for 25 metabolites. The x -axis gives the spectral intensities returned by the binning which correlate best with the absolute concentrations shown on the y -axis. The headings indicate the investigated metabolites, followed by Spearman's correlation, and the selected spectral region in ppm. Abbr.: TMAO, trimethylamine-N-oxide.

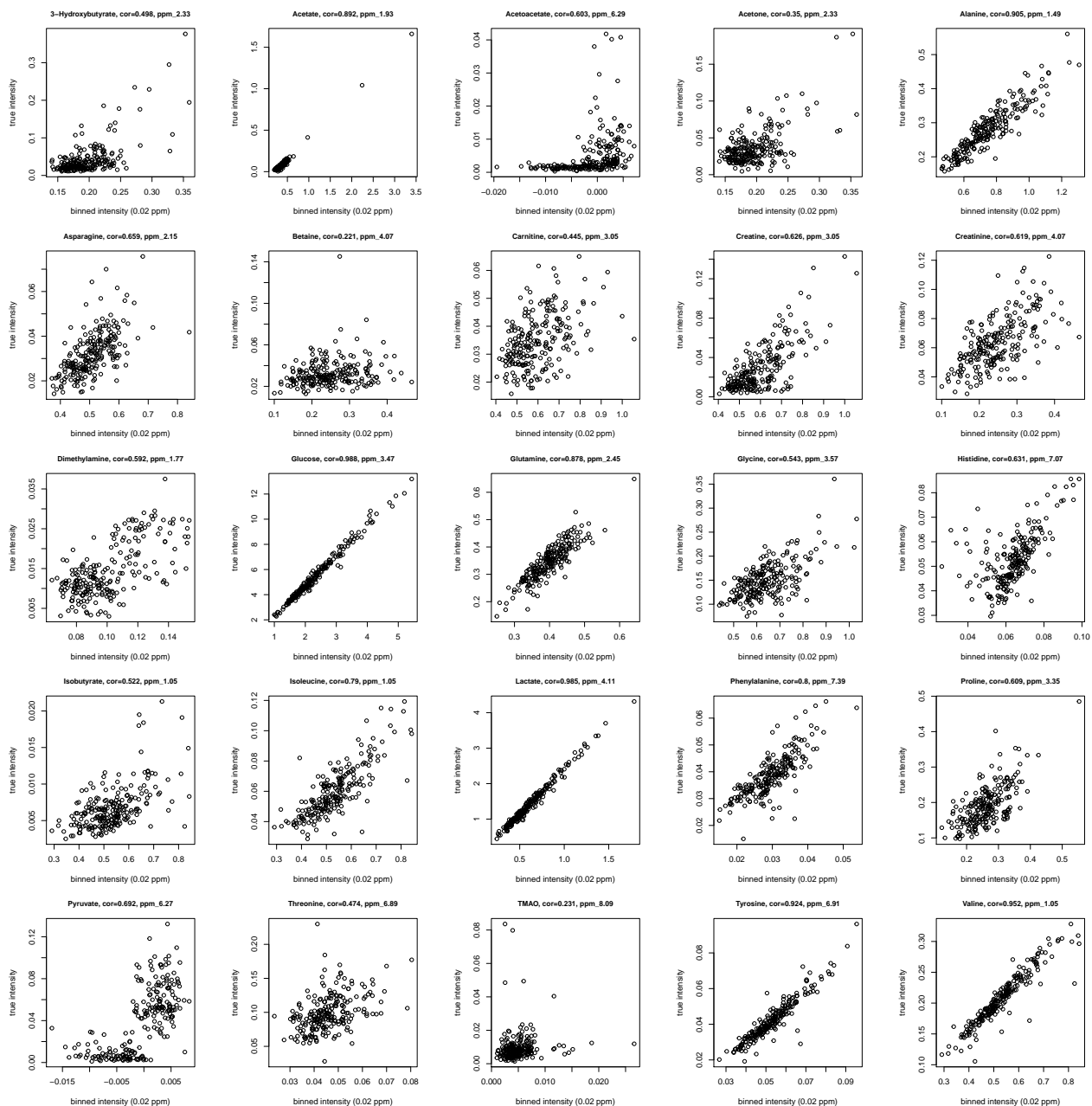


Figure S9: Comparison of the integrals of spectral features from an equidistant binning (bin size = 0.02 ppm) with absolutely quantified metabolite concentrations for 25 metabolites. The x -axis gives the spectral intensities returned by the binning which correlate best with the absolute concentrations shown on the y -axis. The headings indicate the investigated metabolites, followed by Spearman's correlation, and the selected spectral region in ppm. Abbr.: TMAO, trimethylamine-N-oxide.

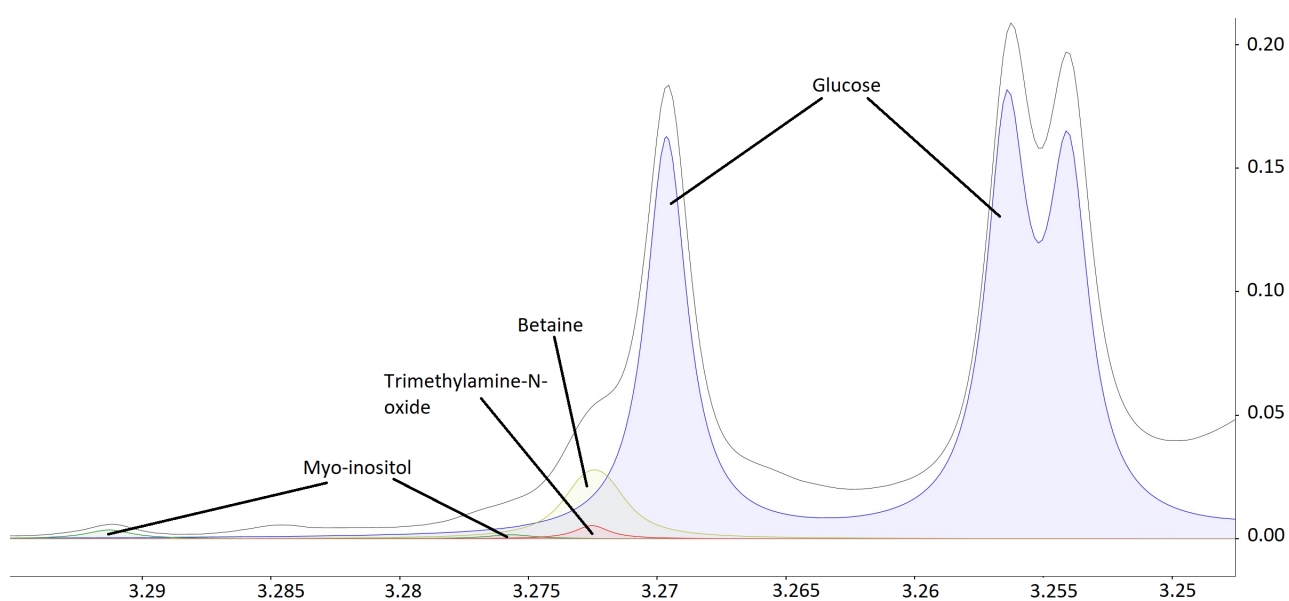


Figure S10: Exemplary NMR spectral region from 3.29 to 3.25 ppm, comprising metabolite signals of D-glucose, betaine, trimethylamine-N-oxide, and myo-inositol. One exemplary GCKD NMR spectrum is shown in black, reference spectra of the pure compounds D-glucose, betaine, trimethylamine-N-oxide, and myo-inositol manually fitted to the GCKD NMR spectrum, are shown in blue, light green, red, and dark green, respectively.

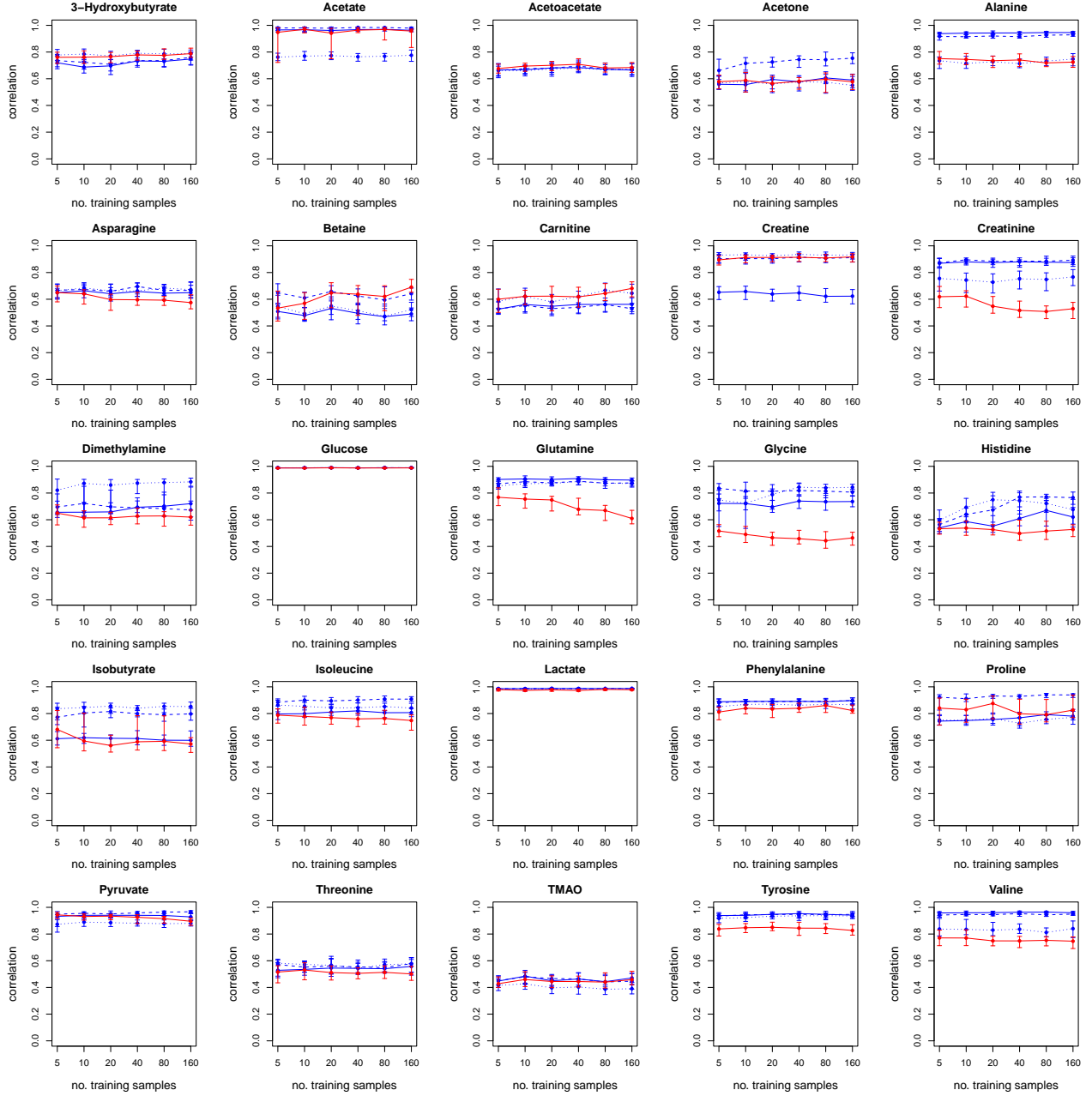


Figure S11: Spearman's correlations between absolutely quantified metabolite concentrations and integrals of corresponding spectral features from different binning approaches in dependence of the number of training samples n for 25 absolutely quantified metabolites in the GCKD data set. The points give the median Spearman's correlation obtained on test data and the whiskers the corresponding 25% and 75% quartiles. The dotted, dashed, and solid blue lines correspond to BF with $\lambda = 1$, $\lambda = 2.5$, and $\lambda = 5$, respectively, the solid red line to JBA. The metabolite identity is given in the header of the plots. Abbr.: TMAO, trimethylamine-N-oxide.

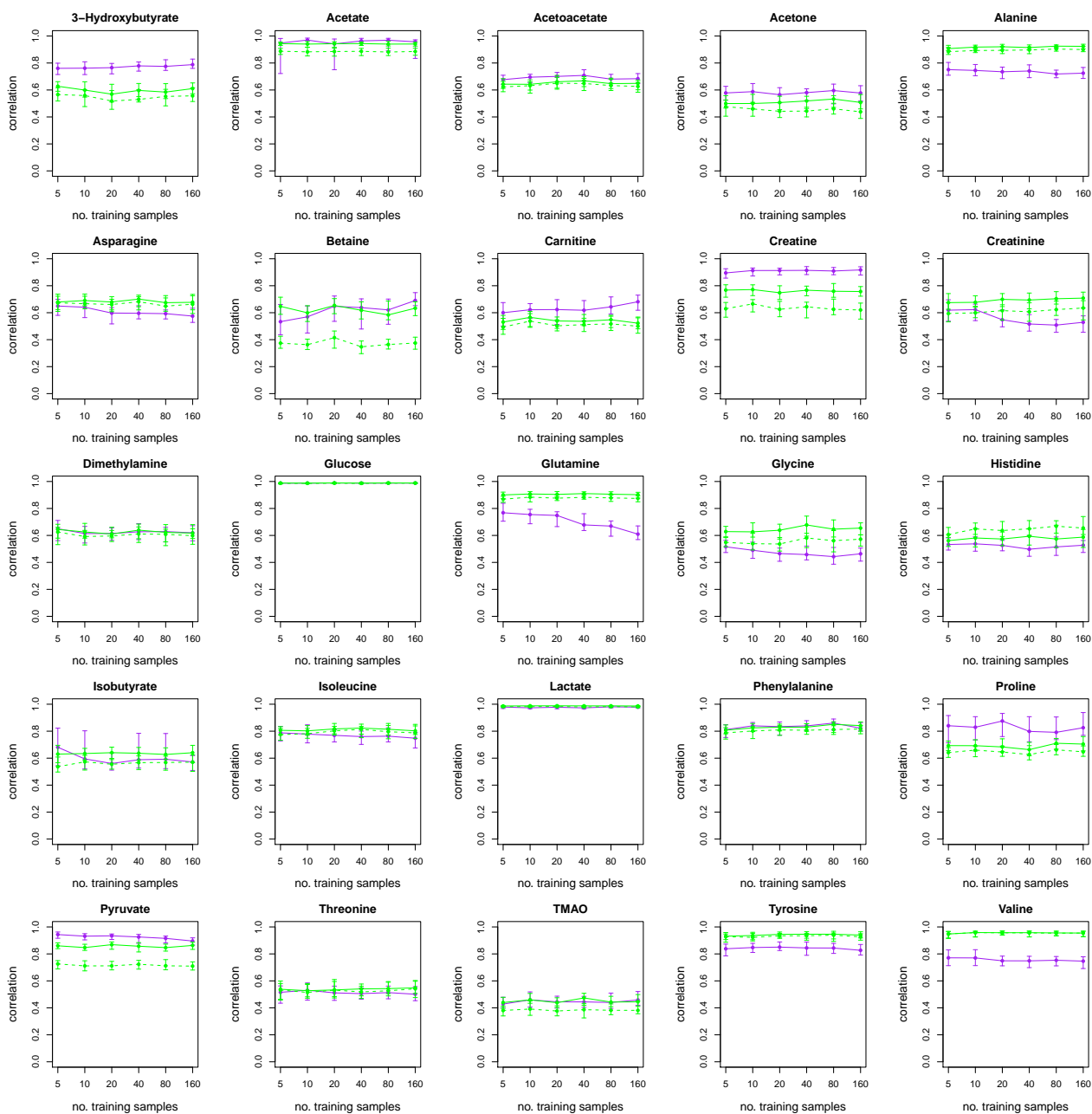


Figure S12: Spearman's correlations between absolutely quantified metabolite concentrations and integrals of corresponding spectral features from different binning approaches in dependence of the number of training samples n for 25 absolutely quantified metabolites in the GCKD data set. The points give the median Spearman's correlation obtained on test data and the whiskers the corresponding 25% and 75% quartiles. The solid purple lines correspond to SRV and the green solid and dashed lines to an equidistant binning with bin size 0.01 ppm and 0.02 ppm, respectively. The metabolite identity is given in the header of the plots. Abbr.: TMAO, trimethylamine-N-oxide.

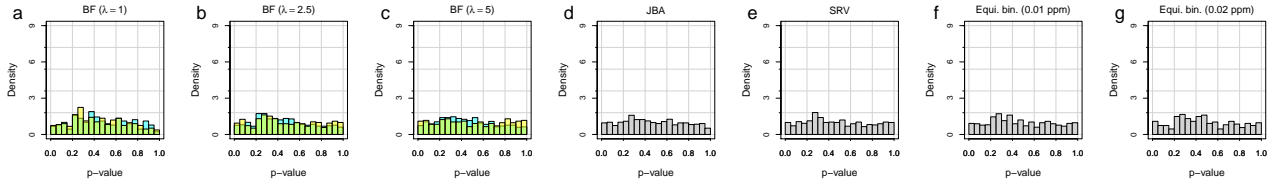


Figure S13: P -value distributions for the comparison AKI versus non-AKI after cardiac surgery based on permuted urine data. Figure (a) to (g) correspond to the different binning approaches, BF with $\lambda = 1$, BF with $\lambda = 2.5$, BF with $\lambda = 5$, JBA, SRV, equidistant binning with bin size 0.01 ppm, and equidistant binning with bin size 0.02 ppm, respectively. For the BF method, the same color coding as, e.g., in Figure 1 was applied. Please note that light green bars correspond to the overlap of cyan and yellow bars.

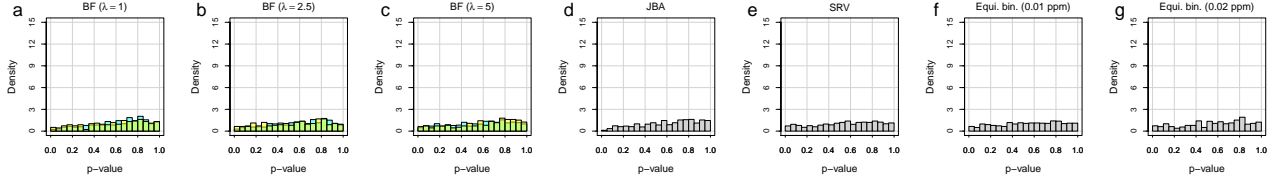


Figure S14: P -value distributions for the comparison AKI versus non-AKI after cardiac surgery based on permuted plasma data. Figure (a) to (g) correspond to the different binning approaches, BF with $\lambda = 1$, BF with $\lambda = 2.5$, BF with $\lambda = 5$, JBA, SRV, equidistant binning with bin size 0.01 ppm, and equidistant binning with bin size 0.02 ppm, respectively. For the BF method, the same color coding as, e.g., in Figure 1 was applied. Please note that light green bars correspond to the overlap of cyan and yellow bars.

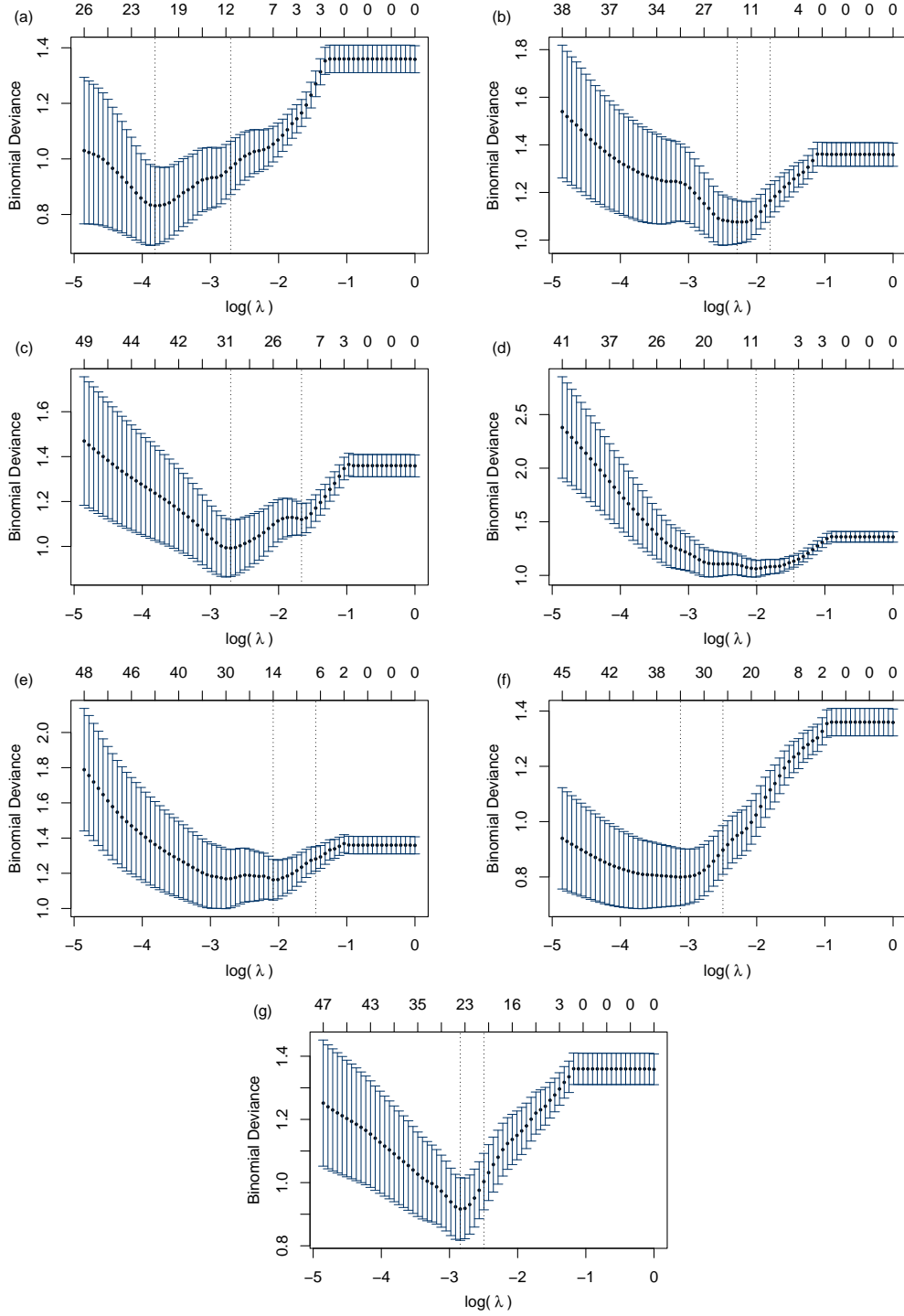


Figure S15: Hyper-parameter calibration of binomial zero-sum regression for the plasma AKI data set. Figures correspond to BF ($\lambda = 1$) (a), BF ($\lambda = 2.5$) (b), BF ($\lambda = 5$) (c), JBA (d), SRV (e), EB (0.01 ppm) (f), and EB (0.02 ppm) (g). The x -axis gives $\log(\lambda)$ corresponding to the size of the l_1 regularization term of zero-sum regression (not to be confused with the BF regularization) and the y -axis gives the binomial deviance in a leave-one-out cross validation. The values at the top of the figures give the number of selected NMR features.

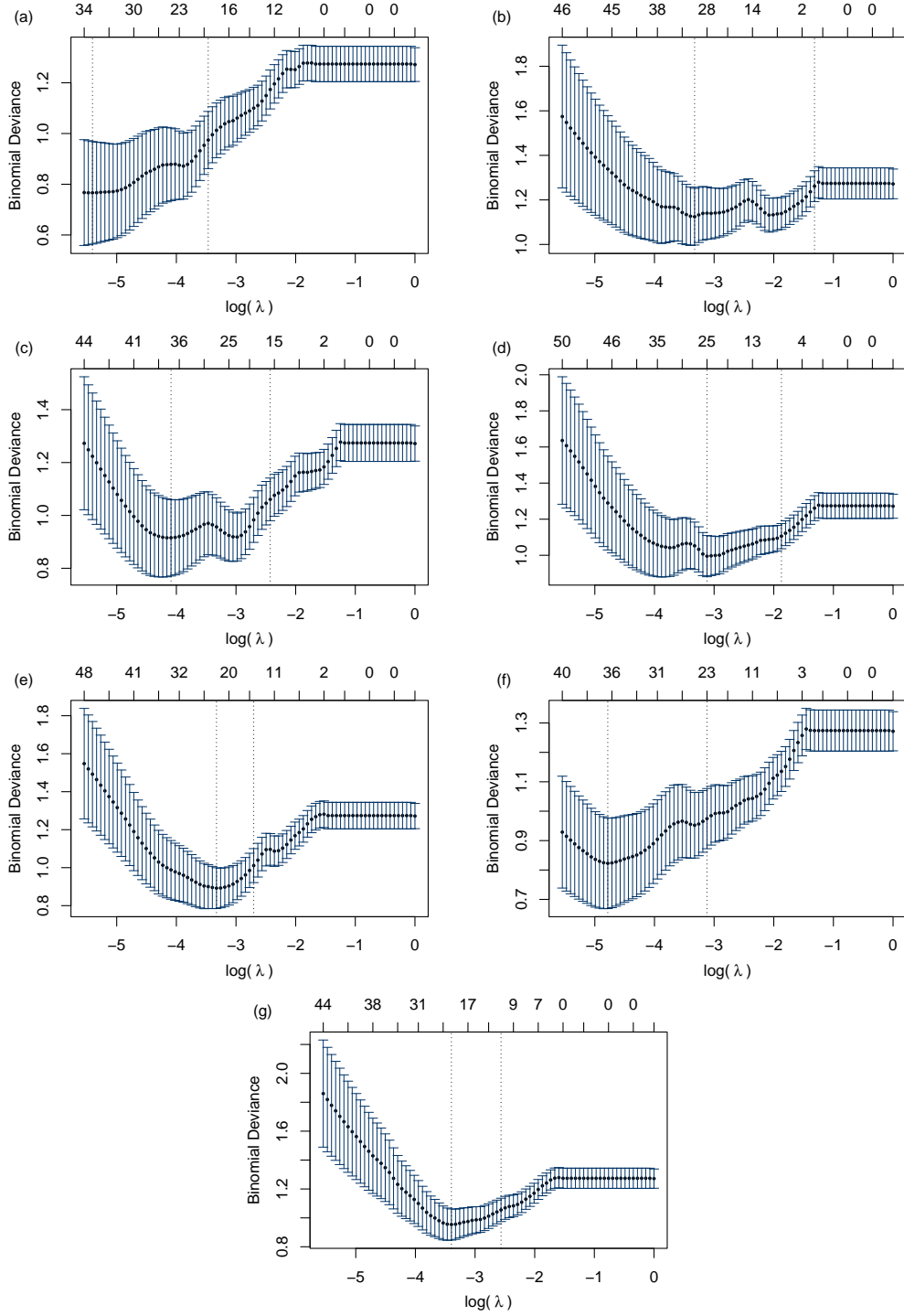


Figure S16: Hyper-parameter calibration of binomial zero-sum regression for the urinary AKI data set. Figures correspond to BF ($\lambda = 1$) (a), BF ($\lambda = 2.5$) (b), BF ($\lambda = 5$) (c), JBA (d), SRV (e), EB (0.01 ppm) (f), and EB (0.02 ppm) (g). The x -axis gives $\log(\lambda)$ corresponding to the size of the l_1 regularization term of zero-sum regression (not to be confused with the BF regularization) and the y -axis gives the binomial deviance in a leave-one-out cross validation. The values at the top of the figures give the number of selected NMR features.

5 Relationship between the choice of logarithmic base and the regularization parameter λ

Data values on a logarithmic scale with base b can be translated to base b' by

$$\log_b(y_{ij}) = \frac{\log_{b'}(y_{ij})}{\log_{b'}(b)}.$$

With $Y_{ij} = \log_b(y_{ij})$, $Y'_{ij} = \log_{b'}(y_{ij})$ and $c = \log_{b'}(b)$, we obtain

$$Y_{ij} = \frac{1}{\log_{b'}(b)} Y'_{ij} = \frac{1}{c} Y'_{ij}.$$

Therefore, Eq. (1) from the main manuscript becomes

$$\begin{aligned} \hat{B} &= \arg \min_B \left\{ \|Y - B\|_F^2 + \lambda \sqrt{n} \sum_{j=1}^{p-1} \|B_{\cdot j} - B_{\cdot j+1}\|_2 \right\} \\ &= \arg \min_B \left\{ \left\| \frac{1}{c} Y' - B \right\|_F^2 + \lambda \sqrt{n} \sum_{j=1}^{p-1} \|B_{\cdot j} - B_{\cdot j+1}\|_2 \right\} \\ &= \arg \min_B \left\{ \|Y' - cB\|_F^2 + c^2 \lambda \sqrt{n} \sum_{j=1}^{p-1} \|B_{\cdot j} - B_{\cdot j+1}\|_2 \right\} \\ &= \arg \min_B \left\{ \|Y' - cB\|_F^2 + c \lambda \sqrt{n} \sum_{j=1}^{p-1} \|cB_{\cdot j} - cB_{\cdot j+1}\|_2 \right\} \end{aligned} \tag{S1}$$

Thus, an estimate of B' which corresponds to the input data Y' can be obtained via

$$\hat{B}' = \arg \min_B \left\{ \|Y' - B'\|_F^2 + \lambda' \sqrt{n} \sum_{j=1}^{p-1} \|B'_{\cdot j} - B'_{\cdot j+1}\|_2 \right\} \tag{S2}$$

with $\lambda' = c\lambda = \log_{b'}(b)\lambda$. As outlined in the main manuscript, regularization values of $\lambda = \{1, 2.5, 5\}$ performed well given the specific input data (of given resolution and quality) and that the data were \log_2 transformed. If data are provided using a different logarithmic base, a re-adjustment of regularization parameters might be necessary. In our case this suggests $\lambda' = \log_{10}(2)\{1, 2.5, 5\} = \{0.301, 0.753, 1.505\}$ for \log_{10} -transformed data.

6 Convergence analyses of the BF algorithm

We confirmed the convergence of the BF algorithm for different step sizes ρ (Suppl. Fig. S17) and evaluated respective residuals between model fits (Suppl. Fig. S18) on the GCKD plasma data set. Details are summarized in the figure captions.

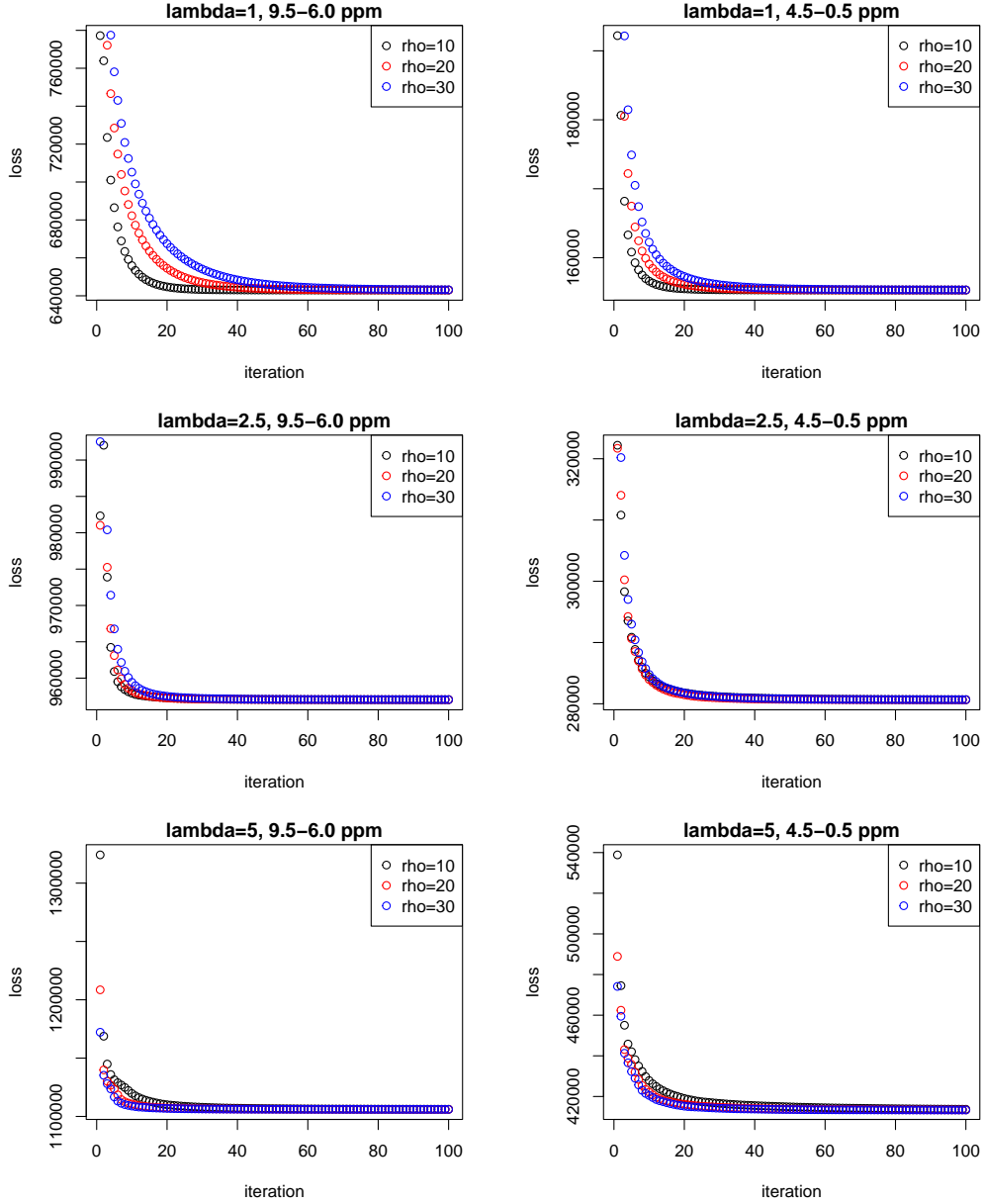


Figure S17: Losses (y -axis) versus iteration steps (x -axis). The left column corresponds to the Bucket Fuser optimization using data in the spectral region from 9.5 to 6.0 ppm and the right column to the region from 4.5 to 0.5 ppm. The rows correspond to different regularization parameters λ ; $\lambda = 1$ (first row), $\lambda = 2.5$ (second row), $\lambda = 5$ (third row). The colors correspond to different step sizes ρ ; $\rho = 10$ (black circles), $\rho = 20$ (red circles), and $\rho = 30$ (blue circles).

