

Article

# Issues and New Results on Bandpass Sampling

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**Abstract:** This study presents issues and new results on bandpass sampling. First, some issues on the relationships between the range of allowable sampling frequencies and the guard bands are highlighted. The root cause of these issues was determined. Second, given a specified sampling frequency tolerance and the guard bands for carrier frequency tolerance, a new and simple formula for determining the maximum integer, which is a key number in determining the allowable range of sampling frequencies, has been derived. Two numerical examples were used to demonstrate the above issues and new results.

**Keywords:** bandpass sampling; communication; signal processing; Nyquist sampling; radars; sonars

## 1. Introduction

Bandpass signals have been widely used in communications, sonars, radars, and software-defined radios. Since bandpass signals can have very high carrier frequencies, it is impractical to apply the Nyquist sampling rate to them. For instance, the Global Positioning System (GPS) uses the L1 carrier frequency of 1575.42 MHz for a signal bandwidth of 2 MHz. A direct sampling would require a Nyquist sampling rate of 3.2 GHz, which is impractical for current analog-to-digital (ADC) converters. Moreover, the processing of such a high data rate for a signal bandwidth of 2 MHz is a serious waste of power and processing resources. One widely used approach to represent a bandpass signal involves the use of in-phase and quadrature signals to represent the equivalent low-pass (ELP) signal. Then, a carrier is applied to represent the bandpass signal. Sampling is only performed on the ELP signals; hence, only two times the bandwidth of the ELP signals (Nyquist rate) is needed for sampling. However, mixers and low-pass filters (LPFs) are needed, which may increase the overall system complexity. In addition, the mixers may have DC offsets, phase and gain mismatches, and other nonlinearities. An alternative method is to directly sample bandpass signals without down-conversion to the baseband. Instead of using the Nyquist sampling for the bandpass signal, which may require extremely high sampling rates, some clever sampling methods have been developed [1,2]. Details can be found in various textbooks [3,4]. One may say that the direct sampling method is a clever use of aliasing by deliberately under-sampling the bandpass signals [5].

After the publication of [1], a few papers [5–11] have focused on bandpass sampling for multiple bandpass signals. In [5], an iterative algorithm was presented to find the sampling rate for multiple bandpass signals. No guard bands between bandpass signals were taken into account. In [6], a graphical approach was presented for determining the sampling rate for multiple bandpass signals. Guard bands were taken into account. Refs. [7–10] are all search-based algorithms for finding the sampling rates for multiple bandpass signals. It is interesting to note that an efficient algorithm was presented in [10] that can achieve the same results as [9], but at a much lower computational cost. In [11], a bandpass sampling strategy was presented for single side-band bandpass signals. In [12], the algorithm presented in [11] was applied to a system that operates over the whole range of Global Navigation Satellite System (GNSS) frequencies (1164 MHz to 1615.5 MHz). In [13–16], some de-aliasing and sampling jitter mitigation techniques were presented in



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the realm of bandpass sampling. In [17,18], some interesting techniques using two-channel non-uniform sampling were developed for bandpass sampling.

In practice, the digital sampler may not function perfectly and requires some sampling tolerance. Sampling tolerance means that the sampler may not be accurate due to some hardware constraints. For example, one may require the sampling rate to be 2 MHz. However, the hardware sampler can only generate a sampling frequency that is between 1.995 and 2.005 MHz. It should be noted that, in these papers [5–11], the sampling tolerance was not explicitly taken into account in the design. Explicitly taking into account the sampling tolerance will be one future research direction in bandpass sampling.

Similar to bandpass sampling in [1–4], in this study, we focus on bandpass sampling for a single bandpass signal. For sampling rate determination, we explicitly take into account the sampling tolerance and the guard bands. In addition, we address some confusing issues in bandpass sampling. This paper first summarizes those confusing issues and then explains the root cause of those issues. Moreover, a new formula for determining the allowable range of sampling frequencies is presented. In the new formula, we have explicitly taken into account the sampling tolerance as well as guard bands.

The contributions of our study are as follows:

- We pointed out some confusing issues with respect to bandpass sampling. In particular, the specified guard bands are in disagreement with the actual calculated guard bands. This issue has been around since 1991 and is still unresolved up to the present day.
- We determined the root cause for the above issues. The reason is due to some incorrect formulae in [1,3,4].
- We derived a correct formula for determining a key parameter in bandpass sampling, and we have explicitly taken into account the sampling tolerance and the guard bands.

This paper is organized as follows. Section 2 first reviews the basics of sampling and aliasing effects, the bandpass signal representations, and ways to sample the bandpass signals. We then state the problem, some confusing issues in direct bandpass sampling, and the root cause of those issues. A new formula that explicitly takes into account the sampling tolerance and guard bands is presented. Section 3 includes two examples to illustrate the performance of the proposed method. Finally, some concluding remarks and future research directions are included in Section 4.

## 2. Research Methods and Key Results

### 2.1. Sampling and Bandpass Signals

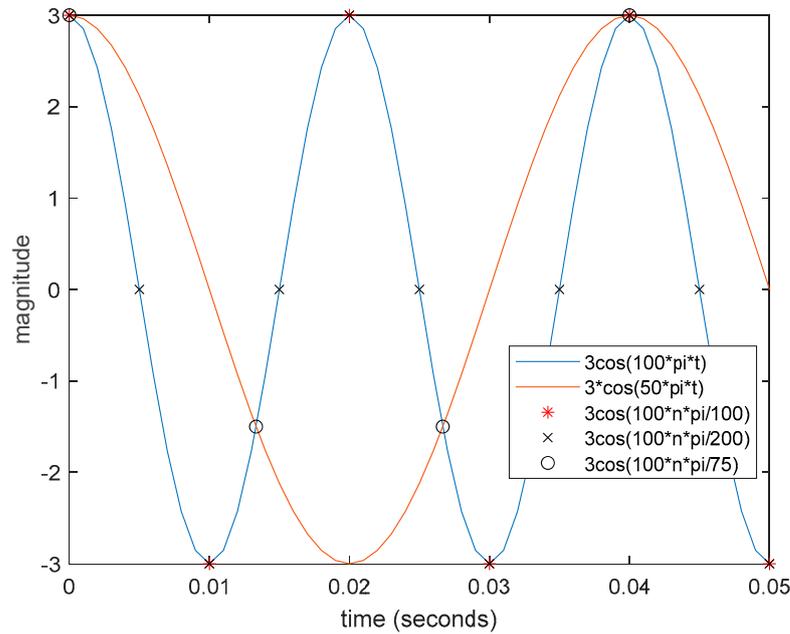
#### 2.1.1. Nyquist Sampling

Sampling is required in many communications and signal processing applications because analog signals are continuous. In addition, many processors can only take in discrete values. Sampling takes snap shots of continuous signals, and the sampling frequency is critical. Over-sampling a bandlimited signal consumes more processor memory and causes processing delays; under-sampling can lose important high frequency signals and, most importantly, can cause aliasing problems.

Let us use an example in [3] to illustrate Nyquist sampling rate and aliasing. Given an analog signal  $x_a(t) = 3\cos(100\pi t)$ , the frequency of this signal is 50 Hz. This signal is plotted as a blue line in Figure 1. According to the Nyquist sampling theory, the minimum sampling rate required to avoid aliasing is 100 Hz. For the sampling rate of 100 Hz, the discrete signal is given as follows:

$$\begin{aligned}
 x(n) &= x_a(nT) \\
 &= 3\cos(100\pi nT) \\
 &= 3\cos\left(\frac{100\pi n}{100}\right) \\
 &= 3\cos(\pi n)
 \end{aligned} \tag{1}$$

where  $T = 1/100$  s. The discrete signal shown in (1) is shown as a red “\*” in Figure 1.



**Figure 1.** Illustration of sampling and aliasing phenomena.

If the sampling rate is 200 Hz, then we have

$$\begin{aligned} x(n) &= 3\cos\left(\frac{100\pi n}{200}\right) \\ &= 3\cos\left(\frac{\pi}{2}n\right) \end{aligned} \tag{2}$$

The discrete signal in (2) is shown in Figure 1 as a black “x”. We can see that the discrete signals with 100 Hz and 200 Hz all lie on the blue continuous line in Figure 1. In this example, if the sampling rate satisfies the Nyquist frequency, then we can reconstruct the original signal.

If the sampling rate is 75 Hz, then we have

$$\begin{aligned} x(n) &= 3\cos\left(\frac{100\pi n}{75}\right) \\ &= 3\cos\left(\frac{4\pi}{3}n\right) \\ &= 3\cos\left(\left(2\pi - \frac{4\pi}{3}\right)n\right) \\ &= 3\cos\left(\frac{2\pi}{3}n\right) \end{aligned} \tag{3}$$

Now, this signal has a discrete frequency  $f$  of  $1/3$ , which has a corresponding analog frequency of 25 Hz. That is, for an under-sampling rate of 75 Hz, the sampled signal (black “o”) is lying on top of an analog signal of  $3\cos(50\pi t)$ , which is plotted as a red line in Figure 1. This is known as aliasing. For aliased signals, it is impossible to reconstruct the original continuous signal based on the discrete samples because of the loss of high-frequency contents.

### 2.1.2. Bandpass Signal

What is a bandpass signal? Figure 2 shows a bandpass signal with a baseband bandwidth of  $B$ . The carrier frequency for this bandpass signal is  $F_c$ , and the upper and lower limit of the bandpass signal are  $F_H$  and  $F_L$ , respectively.

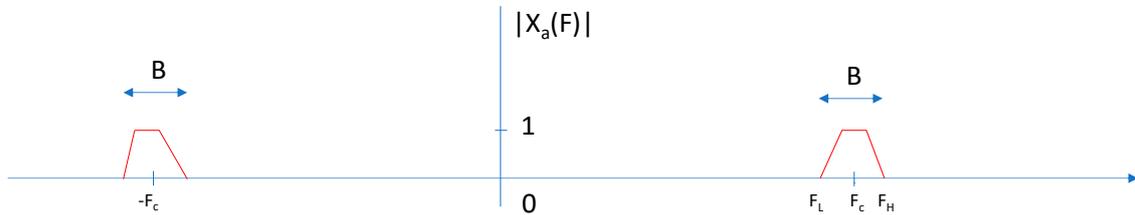


Figure 2. Bandpass signals.

### 2.1.3. Sampling of Bandpass Signals

Due to the high carrier frequency in bandpass signals, a direct sampling will require extremely high sampling rate according to the Nyquist sampling criterion. As mentioned earlier, the direct sampling of the L1 carrier of a GPS signal will require 3.2 GHz sampling, which is difficult for ADC, and it also requires more memory and more computational power.

In the literature, there are two common approaches to sampling bandpass signals [3]. The first approach is to use mixers and in-phase and quadrature phase signals for sampling. The idea is shown in Figure 3. One key advantage of this sampling approach is that the Nyquist sampling rate can be used, meaning low-cost ADC, low memory requirements, and low computational power requirements. On the other hand, the mixers may have DC offsets, phase and gain mismatches, and other nonlinearities.

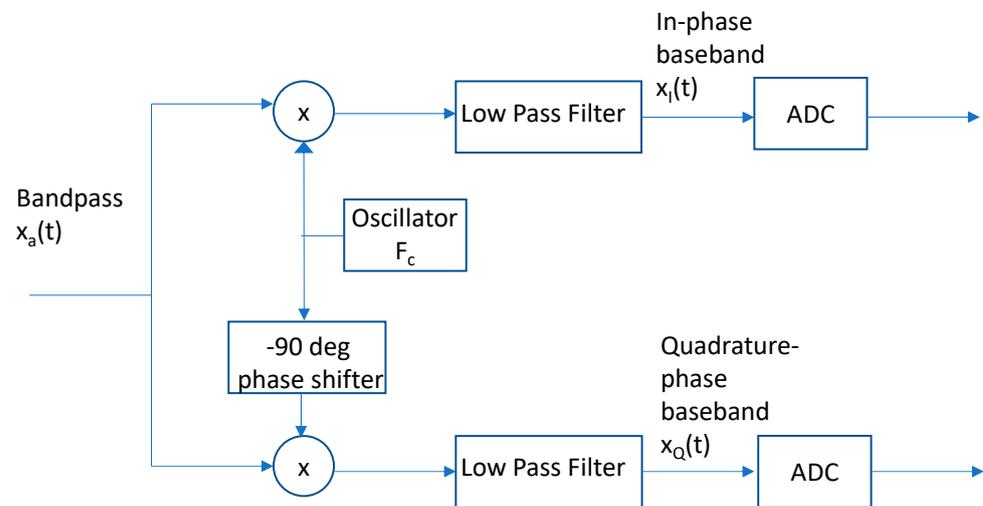


Figure 3. Bandpass sampling using mixers and in-phase and quadrature phase signals.

The other approach is to use direct sampling, which can be thought of as a clever and deliberate under-sampling idea [5]. By appropriately selecting the sampling rates, the replicas of the bandpass signals can be down-converted to low frequency regions and recovered there. One key advantage of this direct approach is that mixers and their limitations are all eliminated. However, due to aliasing, background noises in high frequency ranges are all folded into the low-frequency band. As shown in Figure 4, to counteract the noise issue, high-Q bandpass filters are needed to remove out-of-band noises.



Figure 4. Direct bandpass sampling without mixers.

2.1.4. Basic Idea of Direct Sampling of Bandpass Signals

Figure 5 shows the definitions of some important parameters in direct bandpass sampling. Here, we briefly summarize the direct sampling idea described in pages 412–415 of [3]. This is for the case where there is no guard band. Referring to Figure 5, in order to avoid aliasing, the sampling frequency should be selected so that the  $(k - 1)$ th and the  $k$ th shifted replicas of the negative spectral band do not overlap with the positive spectral band. This is achievable if the following conditions are satisfied:

$$2F_H \leq kF_s \tag{4}$$

$$(k - 1)F_s \leq 2F_L \tag{5}$$

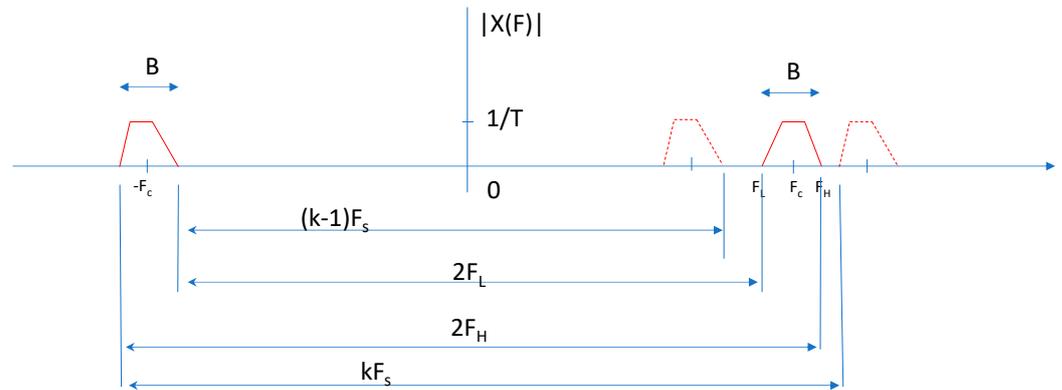


Figure 5. Definition of some parameters in direct bandpass sampling.

From (4) and (5), it can be easily seen that

$$\frac{2F_H}{k} \leq kF_s \leq \frac{2F_L}{(k - 1)} \tag{6}$$

Rewriting (4) and (5) yields

$$\frac{1}{F_s} \leq \frac{k}{2F_H} \tag{7}$$

$$(k - 1)F_s \leq 2F_L = 2(F_H - B) \tag{8}$$

Multiplying (7) and (8) gives

$$(k - 1) \leq \frac{k(2F_H - 2B)}{2F_H} = k - kB/F_H \tag{9}$$

Rearranging (8) produces

$$k \leq \frac{F_H}{B} \tag{10}$$

The maximum value for  $k$  is the number of bands that we can fit in the range between 0 and  $F_H$ .

## 2.2. Problem Statement

When one applies sampling to real applications in communications, radar, and sonar systems, one may face some confusing issues in bandpass sampling. Engineers may have problems in understanding the confusing issues. We will provide details for those issues in Section 2.3.1.

In addition to the above issues, another issue is that there was no explicit formula to compute an integer for determining the allowable sampling frequencies if a specified sampling frequency tolerance is given. Qi et al. [2] proposed a solution for this problem. However, it was not clear how Equation (6) in Qi's paper was derived. Moreover, the notations in Qi's paper [2] are quite different from those in [3], making it difficult for engineers to understand.

The purpose of this study is to address the aforementioned issues. Similar to [1–4], we focus on a single bandpass signal. First, we would like to explain why the issues raised earlier occurred. It is surprising to see that no one has published any papers addressing the above issues since the publication of [1] in 1991. The explanations will help engineers and practitioners avoid some wrong usage of some formulae in bandpass sampling. Second, we address a practical problem in which we are given a specified sampling frequency tolerance and the guard bands in carrier frequency, and we need to determine an appropriate integer for determining the allowable sampling frequencies. We derived a simple and new analytic expression to determine the maximum integer, which plays a critical role in determining the minimum and maximum allowable sampling frequencies. The tolerances in sampling frequency and carrier frequency are important in dealing with oscillator imprecision in analog-to-digital converters (ADC). Explicitly determining the allowable sampling frequencies based on those tolerances will enable fast and easy determination of sampling rates for bandpass applications.

## 2.3. Root Cause of the Issues and New Results in Bandpass Sampling

### 2.3.1. Issues

Let us use one example to illustrate the issues. In one example in Chapter 6 of [3], a bandpass signal with a bandwidth of 25 kHz, guard bands of 2.5 kHz, and a carrier frequency of 10,715 kHz needs to be sampled. Following the standard steps in the textbook, one can obtain the minimum and maximum allowable sampling frequencies, which are given as 60.1120 kHz and 60.1124 kHz, respectively. The maximum integer for determining the range of allowable sampling frequencies is 357. It can be seen that the range of allowable sampling frequencies is 0.4 kHz. However, according to Equations (6.4.22) and (6.4.23) in [3], the guard bands can be calculated, which are 35.6 kHz and 35.7 kHz, respectively. These guard band numbers are much larger than the original guard band value of 2.5 kHz in the specification. Engineers and practitioners may be confused and could not understand the root cause of the inconsistencies. These issues or inconsistencies have not been resolved since the publication of [1] in 1991; are unresolved in the 4th edition of [3], which came out in 2007; and still remain unresolved even in the most recent 5th edition that just came out in March 2021 [4].

### 2.3.2. Root Cause of the Issues

Throughout this paper, we will adopt the notations in Proakis and Manolakis' book [3], which is one of the most popular textbooks in digital signal processing. Figure 6 shows the various parameters.  $F_L$  and  $F_H$  denote the lowest and highest frequencies, respectively, of the bandpass signal, which has a bandwidth of  $B$ ;  $\Delta B_L$  and  $\Delta B_H$  are the guard bands that are used to tolerate some variations in the carrier frequency. Using the above notations, the augmented band locations and bandwidth are given by

$$F'_L = F_L - \Delta B_L, \quad (11)$$

$$F'_H = F_H + \Delta B_H, \quad (12)$$

$$B' = B + \Delta B_L + \Delta B_H. \tag{13}$$

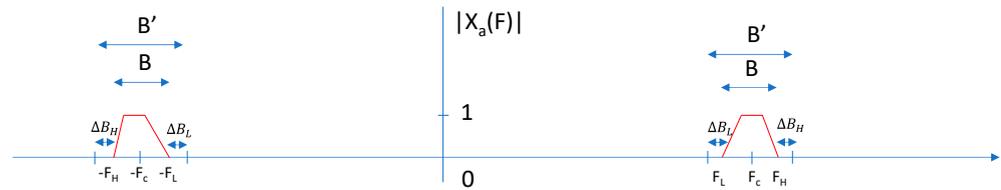


Figure 6. Bandpass signal with guard bands.

According to [1,3], the allowable sampling frequency range is given by

$$\frac{2F'_H}{k'} \leq F_s \leq \frac{2F'_L}{k' - 1}, \text{ where } k' = \left\lfloor \frac{F'_H}{B'} \right\rfloor. \tag{14}$$

Here,  $k'$  in (14) is a critical integer in avoiding aliasing in bandpass sampling, and  $\lfloor \cdot \rfloor$  denotes the flooring operation. From (14), the difference between the maximum and minimum allowable frequencies is then given by

$$\Delta F_s = \frac{2F'_L}{k' - 1} - \frac{2F'_H}{k'} \tag{15}$$

From [1,3], the following relationships were obtained:

$$\Delta F_s = \frac{2F'_L}{k' - 1} - \frac{2F'_H}{k'} = \Delta F_{sL} + \Delta F_{sH}, \tag{16}$$

$$\Delta B_L = \frac{k' - 1}{2} \Delta F_{sH}, \tag{17}$$

$$\Delta B_H = \frac{k'}{2} \Delta F_{sL}. \tag{18}$$

We would like to first point out that Equations (16)–(18) are incorrect. The correct expression for  $\Delta F_s$  (Equation (16)) should have been

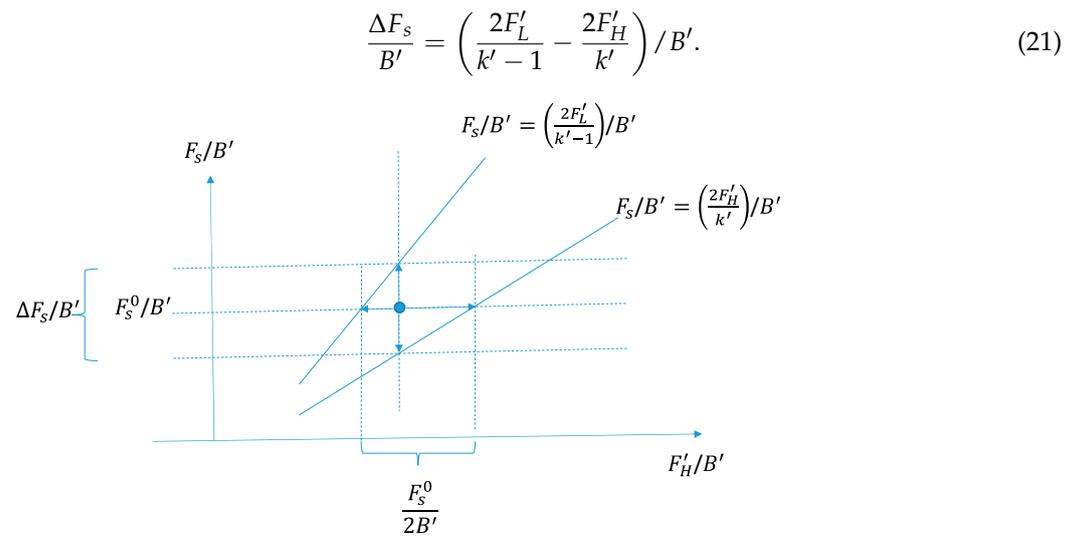
$$\begin{aligned} \Delta F_s &= \frac{2F'_L}{k' - 1} - \frac{2F'_H}{k'} \\ &= \frac{2F_L}{k' - 1} - \frac{2F_H}{k'} - \frac{2\Delta B_L}{k' - 1} - \frac{2\Delta B_H}{k'}. \end{aligned} \tag{19}$$

In general,  $\frac{2F_L}{k' - 1} - \frac{2F_H}{k'} \neq 0$ . Hence, it is incorrect to assume  $\Delta F_s = \Delta F_{sL} + \Delta F_{sH}$  in (16). Because of the above error, (17) and (18) are also incorrect. The above errors were the root cause of the issues raised earlier. In a nutshell, (16)–(18) are incorrect and should not have been used.

It turns out that the graph in Figure 6.4.4 of [3] is also incorrect. If one chooses the operating sampling frequency to be

$$\begin{aligned} F_s^o &= \frac{\frac{2F'_L}{k' - 1} + \frac{2F'_H}{k'}}{2} \\ &= \frac{F'_L}{k' - 1} + \frac{F'_H}{k'}, \end{aligned} \tag{20}$$

then the correct graphical relationship for the various quantities should be those relations shown in Figure 7. That is, the vertical range in sampling frequency between the two slanted lines at the operating sampling frequency is given by



**Figure 7.** Graphical illustration of the various relationships between the operating sampling frequency and other variables.

The horizontal range between the two slanted lines at the operating sampling frequency is given by

$$\begin{aligned} \frac{\Delta F}{B'} &= \frac{k'F_s^0}{2B'} - \frac{(k' - 1)F_s^0}{2B'} \\ &= \frac{F_s^0}{2B'}. \end{aligned} \tag{22}$$

2.4. New Results

Now, we provide new results for the derivation of  $k'$  to satisfy a specific sampling frequency tolerance  $\Delta F_s$  and guard bands for tolerating carrier frequency imprecision.

Suppose  $\Delta F_s$  is specified by an engineer to provide tolerance for oscillator errors related to sampling. Moreover, the guard bands are given by those Equations in (11)–(13). Let us denote  $\Delta F_s = 2\Delta_s$ . Now, Equation (15) becomes

$$\Delta F_s = \frac{2F'_L}{k' - 1} - \frac{2F'_H}{k'} = 2\Delta_s. \tag{23}$$

Rewriting (13) yields the following quadratic equation;

$$\frac{k'F'_L}{\Delta_s} - \frac{(k' - 1)F'_H}{\Delta_s} = k'(k' - 1). \tag{24}$$

Solving (24) for  $k'$ , we can easily obtain the solution for a positive  $k'$ , which is given by

$$k' = \left\lceil \frac{-b + \sqrt{b^2 - 4c}}{2} \right\rceil \tag{25}$$

where

$$\begin{aligned} b &= -1 - \frac{F'_L}{\Delta_s} + \frac{F'_H}{\Delta_s}, \\ c &= -\frac{F'_H}{\Delta_s}. \end{aligned}$$

The other solution of (24) gives a negative number for  $k'$  and hence is discarded. Using the  $k'$  from (25), we can then use Equation (24) to determine the range of allowable sampling frequencies that satisfy all the specifications related to the guard bands ( $\Delta B_L$  and  $\Delta B_H$ ) and sampling frequency tolerance ( $\Delta F_s$ ).

It is emphasized that the equation in (15) is new and different from that of [2].

### 3. Examples

In this section, we will include two examples to illustrate the raised issues and the new results.

#### 3.1. Example 1

Let us assume  $B = 200$  kHz,  $F_L = 103.4$  MHz,  $F_H = 103.6$  MHz,  $\Delta B_L = \Delta B_H = 20$  kHz, and  $\Delta_s = 10$  kHz. From (25), we obtain  $k' = 90$ . From (14), we then obtain the following range of sampling frequencies:

$$2302.6667 \text{ kHz} \leq F_s \leq 2323.1461 \text{ kHz}. \quad (26)$$

From (26), the allowable sampling frequency range is  $\Delta F_s = 20.4794$  kHz. Using (20), we can select the operating sampling frequency to be

$$F_s^0 = 2312.9064 \text{ kHz}.$$

Using the given parameters mentioned earlier, we can also calculate  $\frac{2F_L}{k'-1} - \frac{2F_H}{k'}$ , which is nonzero and equals 21.373 kHz. Comparing (16) and (19), one can easily deduce that (16) is incorrect. To demonstrate that the formulae (17) and (18) are also incorrect, we first calculate  $\Delta F_{sH}$  and  $\Delta F_{sL}$  using (17) and (18), which gives

$$\Delta F_{sH} = 449.4382 \text{ Hz}$$

$$\Delta F_{sL} = 444.44 \text{ Hz}.$$

In this example, one can easily see that  $\Delta F_s$  is actually 20.4794 kHz, not (449.4382 + 444.44 Hz). Hence,  $\Delta F_s \neq \Delta F_{sH} + \Delta F_{sL}$ .

The horizontal frequency range in Figure 7 is calculated as  $\frac{F_s^0}{2B'} = 4.8186$ . On the other hand, if we use the formulae in the textbook for the range of horizontal frequency, we obtain  $\frac{\Delta B_L}{B'} + \frac{\Delta B_H}{B'} = \frac{40 \text{ kHz}}{240 \text{ kHz}} = 0.1667$ , which is clearly incorrect.

From the above calculations, we observe that the allowable operating sampling frequency without causing aliasing is nearly 10 times the bandpass signal bandwidth. This example clearly shows that, for practical applications where there may be oscillator imprecision, bandpass sampling will require a much higher sampling frequency (at least in this example) than that of using the mixer and in-phase and quadrature phase approach, in which only two times the bandwidth of the ELP signal is required. It should be noted that the selected sampling frequency depends on the sampling tolerance. For small sampling tolerance (precise and accurate sampler), the required sampling frequency will be smaller.

#### 3.2. Example 2

Here, we would like to use the same example in [2] for illustration. In terms of the notations of [2], the carrier frequency ( $F_c$ ) is 140 MHz, the bandwidth ( $B$ ) of the ELP signal is 12.5 MHz, the tolerance for carrier frequency ( $\Delta_c$ ) is 900 kHz, and the tolerance for sampling frequency ( $\Delta_s$ ) is 14 kHz. To be compatible with the notations in this paper, we first convert the above numbers into the following:

$$F_L = F_c - \frac{B}{2} = 133.75 \text{ MHz},$$

$$F_H = F_c + \frac{B}{2} = 146.25 \text{ MHz},$$

$$\Delta B_L = \Delta B_H = \frac{\Delta_c}{2} = 450 \text{ kHz},$$

$$\Delta_s = 14 \text{ kHz},$$

$$F'_L = F_L - \Delta B_L = 133.3 \text{ MHz},$$

$$F'_H = F_H + \Delta B_H = 146.7 \text{ MHz},$$

$$B' = B + \Delta B_L + \Delta B_H = 13.4 \text{ MHz}.$$

Using (25), we obtain  $k' = 10$ . Consequently, the range of allowable sampling frequencies is given by

$$29.34 \text{ MHz} \leq F_s \leq 29.62 \text{ MHz}. \quad (27)$$

If we choose the operating sampling frequency to be the average of the above frequencies in (27), we obtain  $F_s^o = 29.48 \text{ MHz}$ , which is very close to the results in [2] (29.43 MHz). The difference between the maximum and minimum sampling frequency is  $29.62 - 29.34 = 0.28 \text{ MHz}$ , which is much larger than the originally specified value of  $2\Delta_s = 2 \times 14 \text{ kHz}$ , meaning that we satisfy the design specification by a big margin. The main reason for this excessive margin is attributed to the floor operation in obtaining  $k'$ . The exact root obtained by solving the quadratic equation is 10.836. The flooring operation gives a larger range than necessary. Actually, the chosen  $k'$  can tolerate close to 140 kHz of the oscillator error.

In this example, we can also see that (17) and (18) are incorrect because using (17) and (18) yields

$$\Delta F_{sH} = 100 \text{ kHz}$$

$$\Delta F_{sL} = 90 \text{ kHz}.$$

We  $\Delta F_s = 0.28 \text{ MHz}$  obtained from (27) does not equal  $\Delta F_{sH} + \Delta F_{sL} = 190 \text{ kHz}$ , indicating that those noted in Equations (16)–(18) are incorrect.

It will be interesting to plot the  $k'$  values for different tolerance of  $\Delta_s$  in this example. Because of the floor operation, it can be seen from Figure 8 that a single  $k'$  can be used to tolerate a range of  $\Delta_s$ . Codes for generating Figure 8 are included in Appendix A.

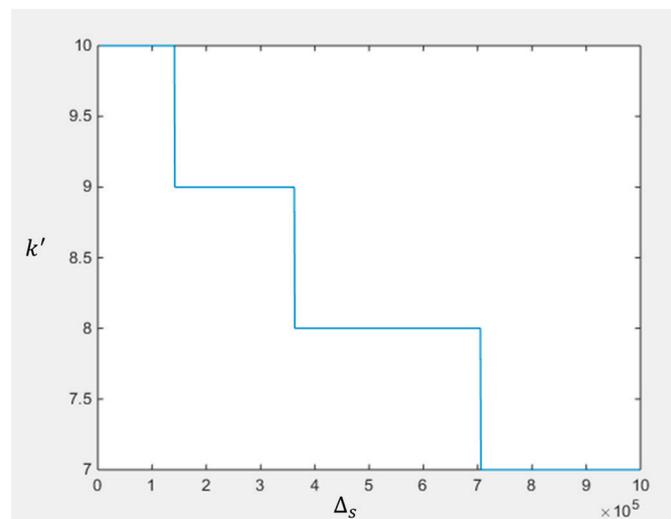


Figure 8. Plot of sampling tolerance  $\Delta_s$  vs.  $k'$ .

#### 4. Conclusions and Future Directions

In this study, we first pointed out some errors related to bandpass sampling in [1,3,4]. It is emphasized that these errors went un-noticed since 1991. The root cause for the errors was determined and explained. A correct graphical illustration was then presented. Second, given a sampling frequency tolerance ( $\Delta_s$ ) and some guard bands for the bandpass signal, we presented a simple and new analytic formula for determining an integer that is critical in determining the allowed range of sampling frequencies. This is an important contribution to bandpass sampling, as engineers and practitioners can correctly determine the allowable sampling frequencies based on some specified guard bands and sampling frequency tolerance. Examples were provided to illustrate the proposed solutions as well as those raised errors in the literature.

There are two future research directions. First, it will be an important contribution to explicitly incorporate sampling rate uncertainties into those iterative methods in [5–11]. Second, it will be also important to extend the proposed method in this paper to multiple bandpass signals.

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**Conflicts of Interest:** Author Chiman Kwan was employed by the company Applied Research LLC. The author declares that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

## Appendix A

```
% Matlab script for generating Figure 8
% System parameters and requirements
%
B = 12.5e6; % Specified bandwidth of the bandpass signal
FL = 133.75e6; % Lower bound for the bandpass signal
FH = FL + B; % Upper bound for the bandpass signal
%
% Guard band requirements
%
delB_L = 0.45e6; % Guard band for the lower bound of the bandpass signal
delB_H = 450e3; % Guard band for the lower bound of the bandpass signal
%
% Sampling rate tolerance
%
del_s = 14e3; % This is defined as one half of the sampling frequency tolerance
%
FLP = FL - delB_L; % This value of 133.3 MHz is the actual lower bound of the bandpass
signal
FHP = FH + delB_H; % This value of 146.7 MHz is the actual upper bound of the bandpass
signal
BP = B + delB_L + delB_H; % This value of 13.4 MHz is actual bandpass signal bandwidth
b = -1 - (FLP)/del_s + (FHP)/del_s; % = -1 + BP/del_s; % Coefficient in the quadratic
Equation (24)
c = -FHP/del_s; % Coefficient in the quadratic Equation (24)
% Compute the integer
kP = fix((-b + sqrt(b*b - 4*c))/2); % Positive solution in Equation (24)
Fsmax = 2*FLP/(kP - 1); % Maximum of the vertical frequency range
Fsmin = 2*FHP/kP; % Minimum of the of the vertical frequency range
FsO = 0.5*(Fsmax + Fsmin); % Operating sampling frequency
Verti_range = Fsmax - Fsmin; % Range in the vertical frequency
Hori_range = FsO/BP/2; % Range in the horizontal frequency
%
% Plot the results
%
Figure (1); % New figure
x = 5e3:1e2:1e6; % x = del_s
```

```

b = -1 - (FLP)./x + (FHP)./x; % Coefficient values in Equation (24)
c = -FHP./x; %
y = fix((-b + sqrt(b.*b - 4*c))/2); % Positive solution in Equation (24)
plot(x,y); % Coefficient values in Equation (24)
title('Plot of k_p vs. del_s')
xlabel('Integer k_p')
ylabel('Tolerance del_s')

```

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