



Article Comparison of Self-Assembled Monolayers on SiO₂ and Porous SiOCH Dielectrics by Decyltrimethoxysilane Vapor Treatment

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Abstract: Self-assembled monolayers (SAMs) are emerging as materials that are candidates of barriers used in back-end-of-line interconnects of integrated circuits for future generations. In this study, SAMs were formed on the SiO₂ and porous SiOCH (p-SiOCH) films by using decyltrimethoxysilane (DTMOS) precursor in vapor phase at a temperature of 100 °C. The effects of the formation of SAMs at the surfaces of SiO₂ and p-SiOCH films on the electrical characteristics were characterized and compared. With O₂ plasma irradiation, SAMs could successfully form on both SiO₂ and p-SiOCH films, thereby enhancing the adhesion and dielectric breakdown field. In the p-SiOCH films, SAMs sealed the surface pores and had higher coverage, promoting the effectiveness of the Cu barrier. In the Cu/porous low-*k* integrated interconnects for advanced technological nodes, therefore, SAMs are promising emerging materials acting as a barrier and adhesive. On the other hand, for SiO₂ films, SAMs weakened the barrier; however, they can act as an interfacial adhesion enhancer.

Keywords: self-assembled monolayers; SiO₂; porous film; breakdown; Cu barrier; adhesion

1. Introduction

In the back-end-of-line (BEOL) Cu interconnects, a barrier is required to prevent Cu diffusion into the adjacent dielectric and to form a suitable, high-quality interface with Cu to limit vacancy diffusion to achieve acceptable reliability [1-3]. A widely used barrier material is Ta(N), which is generally deposited by physical-vapor-deposition (PVD). However, the higher resistance and poor step-coverage of PVD Ta(N) limit the scaling of Cu interconnects [4–6]. As a result, more efforts have been made to develop new barrier materials. Among the potential candidates, self-assembled monolayers (SAMs) are emerging as materials for integrated circuits in future generations because SAMs are a convenient, simple and versatile technology for building ordered bi-dimensional nanoscale structures [7–10]. Generally, SAMs are formed on a surface of a solid by using organosilane molecules in the liquid or vapor phase. The used molecules are comprised of head, backbone, and terminal groups. The head group must be tailored to the surface by means of the chemical bonding. The head group is typically silane-based for the silicon-containing substrates. Typically, the backbone is a hydrocarbon-based chain, which provides Van der Waals forces to self-align the SAMs, forming a well-ordered molecular layer. By altering the length of the hydrocarbon chain, the thickness of SAMs can be wellcontrolled. The terminal group enables the forming of strong chemical bonding to another substrate [10–15]. By choosing proper constituents of molecules, SAMs can act as a pore sealer, liner, adhesion promoter, and barrier.

SAMs technology has been started since 1946 and is now available for probing molecular recognition at interfaces, analyzing the binding of proteins and other biologically



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Copyright: © 2022 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). relevant molecules, and spatially tailoring the chemical properties of a solid [16]. Additionally, SAM is a promising material for advanced microelectronics and other complex molecular-scale devices because it provides a method for building up molecular-level microstructures. However, the application of SAMs to the BEOL interconnects of integrated circuits is still in the development stage, and the related research is limited [15,17]. In such applications, SAMs must have a high compatibility with BEOL processing. As a result, the underlying substrate also plays an important role in the efficiency of SAMs. The underlying substrate in the BEOL interconnects is typically the dielectric insulator, such as SiO₂, SiOCH, and porous SiOCH (p-SiOCH). More studies about the formation of SAMs on SiO₂ or SiOCH substrate have been reported [18–20]; however, investigations on the comparison of SAMs formed on the SiO₂ and SiOCH substrates have been rare.

Therefore, in this study, SAMs were deposited at the surface of SiO_2 and SAMs at the surface of SiO_2 and p-SiCOH films by using decyltrimethoxysilane (DTMOS) in the vapor phase. The formation mechanism, electrical characteristics, and reliability were characterized and compared.

2. Experimental Section

In this study, two dielectric films were used: SiO₂ and porous SiOCH (p-SiOCH) films. SiO₂ films were grown by thermal oxidation method, and p-SiOCH films were deposited using plasma-enhanced chemical-vapor-deposition (PE-CVD) [21,22]. The dielectric constant of SiO₂ was 4.05 ± 0.03 . The p-SiOCH films have a dielectric constant of 2.56 ± 0.05 and are regarded as low-dialectic-constant (low-*k*) materials. The porosity and pore diameter of the p-SiOCH film were 15.5% and 1.35 nm, respectively. SiO₂ and p-SiOCH films were deposited on the p-type and *n*-type silicon substrates, respectively. The thickness was controlled at 130 ± 10 nm for both films.

Each dielectric sample was split into two conditions before SAMs formation. One part was treated by O_2 plasma in a capacitance-coupled reactor and was then put in decyltrimethoxysilane (DTMOS) vapor environments for the formation of SAMs. The other part was put in DTMOS vapor environments without O_2 plasma treatment. During O_2 plasma irradiation, the radio frequency (RF) power (13.45 MHz), treatment time, and O_2 flow rate were 50 W, 30 s, and 10 sccm, respectively. In the DTMOS vapor treatment, the DTMOS precursor was placed in a vacuum oven, which was heated at 100 °C. Then, the vaporized DTMOS molecules were transported to the reaction chamber by argon gas with a total flow of 30 sccm, while the working pressure was kept at 0.5 Torr. The reaction time was fixed at 15 min.

Following this, the Cu film was deposited onto both samples using a thermal evaporation method through a shadow mask for the fabrication of metal-insulator-silicon (MIS) capacitors, which were used for the electrical and reliability characterization. The deposition thickness of the Cu film was ~100 nm, and the formation electrode was square with an area of 9.0×10^{-4} cm².

The film thickness was determined by using an optical-probe system with an ellipsometer (Film TekTM 3000SE, Keithley, 6517A, Austin, TX, USA). The surface hydrophilicity was measured by the water contact angle (WCA; Reme Hardt, Mode-100-00-230). Five measurements were made. The capacitance–voltage (*C*–*V*) and current–voltage (*I*–*V*) were characterized on the fabricated MIS capacitors. The *C*–*V* measurement was operated at a frequency of 1 MHz by using a semiconductor parameter analyzer (HP4280A, Agilent technologies, Santa Clara, CA, USA). During the *I*–*V* measurement, the voltage was swept with a rate of 0.1 V/s, and the response leakage current was monitored by using an electrometer (Keithley 6517A, Austin, TX, USA). Electrical stress was applied with various fields in the positive-polarity and a fixed time of 100 s. All measurements were performed at room temperature (25 °C).

3. Results and Discussion

The WCA values were 57.5° and 79.8° for the pristine SiO₂ and p-SiOCH films, respectively. A high WCA value for the p-SiOCH is attributed to the hydrophobic Si-CH₃ bonds at the film's surface [21]. In order to verify the mechanism of SAMs formation, both samples with and without O_2 plasma irradiation were subjected to DTMOS vapor environments. The exposure temperature and time were fixed at 100 °C and 15 min, respectively. Figures 1 and 2 compare the changes of film thickness and WCA value, respectively, for SiO₂ and p-SiOCH films with and without O₂ plasma irradiation after being subjected to DTMOS vapor treatment. After O₂ plasma irradiation, the WCA values largely dropped to 15.2° and 26.4° for SiO₂ and p-SiOCH films, respectively, representing both films becoming more hydrophilic. Additionally, a slight decrease in the thickness was detected for both films due to the bombardment effect [23]. It can be found that p-SiOCH films had a slightly larger thickness reduction than SiO₂. Upon O₂ plasma irradiation on the p-SiOCH films, in addition to a stronger densification, a removal Si-CH₃ reaction occurred [24]. Following this, the DTMOS vapor treatment increased the thickness and WCA values of the O_2 plasma-irradiated samples. The increasing thicknesses were 1.2 nm and 3.7 nm for O₂ plasma-irradiated SiO₂ and p-SiOCH films. The WCA value returned to the level of the pristine film for p-SiOCH films, while the WCA value was still lower than that of the pristine film for SiO₂ films. From the change in the WCA values, the surface hydrophilic groups are fully removed by bonded DTMOS molecules during DTMOS vapor treatment for O₂ plasma-irradiated p-SiOCH films. In the case of O₂ plasma-irradiated SiO₂ films, some hydrophilic groups still remained at the surface.

On the other hand, as the samples did not experience O_2 plasma irradiation, the thickness remained unchanged and the WCA value decreased after DTMOS vapor treatment, showing that DTMOS molecules were not bonded to the film's surface. The reduced WCA value is caused by the vapor remaining at the film's surface because it can be recovered by annealing at 100 °C. As a result, SAMs would not form on the deposited SiO₂ and p-SiOCH films. In order to form SAMs, the film's surface should be transferred into being hydrophilic. This indicates that the formation mechanism of SAMs is a surface condition-selectivity processing. According to the current experimental conditions, SAMs prefer to grow at the surface of O₂ plasma-irradiated p-SiOCH film.



Figure 1. Thickness changes of SiO₂ and p-SiOCH films after O₂ plasma and DTMOS vapor treatments.



Figure 2. Water contact angle of SiO₂ and p-SiOCH films after O₂ plasma and DTMOS vapor treatments.

In order to further understand the formation mechanism of SAMs on the O₂ plasmairradiated SiO₂ and p-SiOCH films, FT-IR spectra were used to characterize the transformation of the bonding structure under O₂ plasma and DTMOS vapor treatments. The result is shown in Figure 3. For the pristine SiO₂ film, only one absorption peak at ~1030 cm⁻¹ was observed, corresponding to the Si-O-Si stretching mode. For the pristine p-SiOCH film, the main peaks were Si-O-Si stretching, Si-CH₃ bending, and CH_x stretching modes at the wavenumbers 1030, 1250, and 2850 cm⁻¹, respectively [25,26]. The Si-O-Si stretching peak in the p-SiOCH film was broad, attributed to the overlap of three types of Si-O-Si stretching mode (network, suboxide, cage). The presence of the Si-CH₃ group makes the p-SiOCH film be hydrophilic. Si-OH bonds at 3350 cm⁻¹ were not detected for either SiO₂ or p-SiOCH films.

After O_2 plasma exposure, the peak intensity of the Si-O stretch network increased for both SiO₂ and p-SiOCH films, indicating that the films tend to have more of a SiO₂-like character. Additionally, Si-OH bonds appeared. A stronger intensity was observed in the p-SiOCH film. Moreover, Si-CH₃ bonds in the p-SiOCH film disappeared, having likely been converted into Si-OH bonds. These transformations due to O₂ plasma exposure make SiO₂ and p-SiOCH films be hydrophilic.

After the DTMOS vapor treatment, both SiO_2 and p-SiOCH films displayed the signal of Si-CH₃ bonds. The Si-CH₃ peak was more obvious in the p-SiOCH film. Moreover, the Si-OH bonds that appeared due to O_2 plasma irradiation were removed. This indicates that the DTMOS molecule reacts with the Si-OH groups, removing the Si-OH groups and forming a Si-CH₃ group in the film's surface.

For DTMOS vapor-treated SiO_2 and p-SiOCH films without O_2 plasma irradiation, their FT-IR spectra were identical to those of the pristine films, again confirming that SAMs would not form at the film's surface. As a result, as the surface of the substrate contains particular terminal groups (i.e., hydroxyl groups), the methoxysilane group (head group) in the DTMOS forms chemical bonding with hydroxyl groups at the film's surface.



Figure 3. FT-IR spectra of SiO₂ and p-SiOCH films after O₂ plasma and DTMOS vapor treatments.

The adhesion was evaluated by stud-pull tape tests [5] as SAMs were inserted between Cu and a dielectric film. Eighty square-shaped dots were tested for each condition. Table 1 lists the tape test results. For the as-deposited sample without DTMOS vapor treatment and the DTMOS vapor-treated sample without O_2 plasma irradiation, the failure rates of delamination were similar, as expected. The failure rate for p-SiOCH films was higher than that for SiO₂ films, indicating that the porous low-*k* film has a weaker adhesion with Cu than SiO₂ films. For both O_2 plasma-irradiated samples with SAMs, all 80 square dots remained intact in both SiO₂ and p-SiOCH films. This indicates that a weak interface occurred at the Cu/dielectric film interface rather than at the Si/dielectric interface; thus, an adhesion layer is required in Cu metallization, especially for porous low-*k* films. Moreover, SAMs derived from the DTMOS vapor phase cannot form at the surface of the as-deposited dielectric film without O_2 plasma irradiation. If SAMs grow at the film's surface, the adhesion between Cu and a dielectric film is improved for both SiO₂ and p-SiOCH films, acting as an adhesive in Cu interconnects.

Table 1. SiO₂ and p-SiOCH films after O₂ plasma and DTMOS vapor treatments.

Dielectric Film	As-Deposited	Without O ₂ Plasma Irradiation & With DTMOS Vapor Treatment	With O ₂ Plasma Irradiation & With DTMOS Vapor Treatment
SiO ₂	45/80 (56.3%)	46/80 (57.5%)	0/80 (0%)
p-SiOCH	58/80 (72.5%)	56/80 (70.0%)	0/80 (0%)
	Ν	ote: Failure/Test samples (Failure rate)	

C–*V* curve measurements were made on the MIS capacitors, as plotted in Figure 4. For SiO₂ and p-SiOCH films, typical MIS *C*-*V* feature, accumulation, transition, and depletion capacitances, were observed, but the order was reversed because the used Si substrates were different types. As displayed in Figure 4, the measured accumulation capacitance of SiO₂ films was higher than that of p-SiOCH films. The accumulation capacitance (*C*) can be used to determine the dielectric constant (*k*) of a dielectric film using the expression $k = Cd/\varepsilon_0 A$. Here, *d* is the film thickness and *A* is the area of the capacitor. Figure 5 compares the extracted *k* values for the pristine, O₂ plasma-irradiated, and SAMs-formed SiO₂ and p-SiOCH films. The *k* values of the pristine SiO₂ and p-SiOCH films were 4.05 ± 0.03 and 2.56 ± 0.06.



Figure 4. C-V curves of pristine and DTMOS vapor-treated SiO₂ and p-SiOCH films.



Figure 5. Dielectric constants of SiO₂ and p-SiOCH films after O₂ plasma and DTMOS vapor treatments.

Following this, the O₂ plasma irradiation increased the accumulation capacitance, inducing increased *k* values of 4.54 ± 0.05 and 3.52 ± 0.16 for SiO₂ and p-SiOCH films,

respectively. As expected, the p-SiOCH film had a relatively large increase in the k value because the surface Si-CH₃ terminal groups in the p-SiOCH film were depleted by O₂ plasma-generated species, forming the reactive Si- dangling bonds. Following this, the dangling bonds reacted with oxygen atoms or moisture to form Si-O and Si-OH bonds. Both the depletion of Si-CH₃ groups and formation of Si-O and Si-OH bonds increased the k value for the p-SiOCH film. For SiO₂ films subjected to O₂ plasma irradiation, physical ion bombardment induced a densification reaction. Some Si-O- dangling bonds were generated, acting as moisture adsorption sites, and Si-OH bonds could be formed [27].

Following this, the DTMOS vapor treatment decreased the *k* values of both SiO₂ and p-SiOCH films due to the removal of Si-OH bonds. The surface hydroxyl groups and/or moisture in the O₂ plasma-treated films reacted with DTMOS molecules to form SAMs. A larger reduction in the *k* value was observed in the p-SiOCH film. The *k* values of SiO₂ and p-SiOCH films with SAMs formation were 4.4 ± 0.05 and 3.20 ± 0.12 , values that were higher those of the pristine films. This result revealed that SAMs formation would increase the *k* value of the used dielectric substrate. As SAMs grew, the p-SiOCH film had a relatively large *k* increase as compared to SiO₂ films due to thicker SAMs and the occupation of pores by DTMOS molecules. During SAMs formation on the p-SiOCH film, DTMOS molecules were likely to diffuse into the pores because the length of the DTMOS molecule was similar to the pore size. As the pores in the p-SiOCH film were stuffed with DTMOS molecules, the *k* value increased because air in the pores has a minimum *k* value [28].

The breakdown field of a dielectric film was determined by an *I-V* measurement. In this measurement, the applied voltage is ramped and the leakage current is monitored. As the monitored leakage current suddenly increases by at least three orders of magnitude, the applied voltage (field) is defined as the breakdown voltage (field). Figure 6 compares the medium breakdown fields of the pristine, O₂ plasma-irradiated, and SAMs-formed SiO₂ and p-SiOCH films. Data presented here were collected from ten samples for each condition. The medium breakdown fields of the pristine SiO_2 and p-SiOCH films were 9.83 and 2.42 MV/cm, respectively. After O₂ plasma irradiation, both SiO₂ and p-SiOCH films displayed a decreasing breakdown field. The breakdown fields of SiO₂ and p-SiOCH films decreased to 9.25 and 0.56 MV/cm, respectively, due to a plasma-induced damage effect [23]. A large drop in the p-SiOCH film suggests that plasma-induced damage on the porous low-k film is particularly serious. By means of DTMOS vapor treatment to form SAMs on the O_2 plasma-irradiated films, the breakdown fields increased and the magnitudes surpassed those of the pristine films. This implies that plasma-induced damage was repaired by DTMOS vapor treatment. The formation SAMs can further promote the breakdown field. A possible explanation is attributed to the improved adhesion between Cu and the dielectric film [29]. Compared to the relative enhancement of the breakdown field, the p-SiOCH film had a larger enhancement (1.92 times) than the SiO₂ film (1.31 times) due to thicker SAMs and the pore-stuffing effect [30,31].

The barrier capacity of the formation SAMs derived by DTMOS vapor treatment was evaluated by applying positive-polarity electrical stress. Under electrical stress with a positive polarity, metal ions are formed and drift into the dielectric [30]. The amount of drifted metal ions is reflected by the shift in the flatband voltage ($V_{\rm fb}$), which is determined from *C*–*V* curves' measurements. Figure 7a,b plot the $V_{\rm fb}$ shifts of DTMOS vapor-treated p-SiOCH and SiO₂ films after being subjected to electrical stress at various fields for a fixed time of 100 s. The data presented here are collected from five measurements on different MIS samples. For the pristine p-SiOCH film, $V_{\rm fb}$ significantly shifted to a negative voltage under positive-polarity electrical stress with an electrical field larger than 1.5 MV/cm, attributed to the drift of Cu ions into the film. The DTMOS vapor-treated p-SiOCH film underwent an identical electrical stress; as the stressing field was lower than 3.0 MV/cm, $V_{\rm fb}$ shifted to a positive-voltage direction, indicating that no Cu ions drifted. Instead, some negative charges were introduced into the film [27,31]. This suggests that the formation SAMs at the p-SiOCH film's surface derived by DTMOS vapor treatment can prevent the

drift of Cu ions into the film. As the stressing field was further increased to be larger than 3.0 MV/cm, V_{fb} turned to a negative-voltage shift. In such a scenario, Cu ions started to drift into the p-SiOCH film. The results point out that the formation SAMs derived by DTMOS vapor treatment can act as a barrier against Cu migration for the p-SiOCH film. Hence, SAMs are a potential candidate as a metal barrier for future generation.



Figure 6. Breakdown fields of SiO₂ and p-SiOCH after O₂ plasma and DTMOS vapor treatments.



Figure 7. Flatband voltage shifts of Cu-gate MIS capacitors after being subjected to electric stress for varying fields: (a) p-SiOCH; (b) SiO₂.

As shown in Figure 7b in the case of the SiO₂ film, no $V_{\rm fb}$ shift was observed until there was a stressing field larger than 6.5 MV/cm for pristine and DTMOS vapor-treated SiO₂ films. As the stressing field of electrical stress was larger than 6.5 MV/cm, the $V_{\rm fb}$ shift turned to a negative-voltage direction and the magnitude increased with the stressing field, indicating that Cu ions started to drift into the SiO₂ film at a field larger than 6.5 MV/cm and that the amount of drifted Cu ions increased with the field. Moreover, the DTMOS vapor-treated SiO₂ film had a larger $V_{\rm fb}$ shift than the pristine sample, suggesting that SAMs grown on the SiO₂ film weaken the barrier capacity of the SiO₂ film. Compared with the barrier efficiency of SiO₂ and p-SiOCH films, the trigger field for the Cu drift into the film was larger for the SiO₂ film than for the p-SiOCH film, likely attributed to strong Si-O bonds and the dense structure in the SiO₂ film. In contrast, in addition to the porous structure, the weak Si-C bonds in the p-SiOCH film were easily damaged by electrical stress, accelerating the drift of Cu ions. As SAMs derived by DTMOS vapor treatment were formed, the trigger field for the drift of Cu ions (negative $V_{\rm fb}$ shift) remained unchanged for the SiO₂ films; however, the drift of Cu ions became stronger (larger negative $V_{\rm fb}$ shift), meaning that more Cu ions drifted into the film. This behavior is attributed to the fact that the main bonding of the formation SAMs is Si-C bonds, which have a poor barrier efficiency as compared to Si-O bonds. Hence, the formation SAMs on the SiO₂ film fail to enhance the effectiveness of the Cu barrier, which is the opposite of the result for the p-SiOCH film.

4. Conclusions

In this study, the effects of formation SAMs induced by DTMOS vapor treatment on the electrical characteristics of SiO₂ and porous low-*k* film have been investigated. O₂ plasma irradiation makes particular hydroxyl groups form on the surface of SiO₂ and p-SiOCH films, thereby establishing chemical bonding with DTMOS molecules to form SAMs. The formation SAMs enhance the adhesion and dielectric breakdown field. As SAMs are formed on the p-SiOCH film, the enhancement is pronounced. Additionally, it promotes Cu barrier capacity. Therefore, it is a promising barrier in Cu/porous low-*k* integrated interconnects for advanced technological nodes. On the other hand, the formation of SAMs on SiO₂ films only acts as an adhesion promoter because SAMs would weaken the effectiveness of a Cu barrier.

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