



Article Stability Trends in Mono-Metallic 3d Layered Double Hydroxides

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Abstract: Layered double hydroxides (LDHs) constitute a unique group of 2D materials that can deliver exceptional catalytic, optical, and electronic performance. However, they usually suffer from low stability compared to their oxide counterparts. Using density functional calculations, we quantitatively demonstrate the crucial impact of the intercalants (i.e., water, lactate, and carbonate) on the stability of a series of common LDHs based on Mn, Fe, and Co. We found that intercalation with the singly charged lactate results in higher stability in all these LDH compounds, compared to neutral water and doubly charged carbonate. Furthermore, we show that the dispersion effect aids the stability of these LDH compounds. This investigation reveals that certain intercalants enhance LDH stability and alter the bandgap favourably.

Keywords: density functional theory; green rust; LDA + U; layered double hydroxides; LDH; intercalation; stability



Citation: Mohammadi, S.; Esmailpour, A.; Doustkhah, E.; Assadi, M.H.N. Stability Trends in Mono-Metallic 3d Layered Double Hydroxides. *Nanomaterials* **2022**, *12*, 1339. https://doi.org/10.3390/ nano12081339

Academic Editor: Guang-Ping Zheng

Received: 22 March 2022 Accepted: 9 April 2022 Published: 13 April 2022

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1. Introduction

By having atomically thin layers and internal nano-space intercalation, layered materials are at the frontier of nanomaterial research [1–3]. Among layered materials, layered double hydroxides (LDHs) [4] constitute a substantial class with a broad range of applications in the catalysis of organic transformations, wastewaters and pollutant degradation, CO_2 capture [5,6], templating for oriented synthesis [7], photocatalysis [5,8], supercapacitance [9], membrane fabrication [10], and drug delivery [11,12]. LDHs are usually composed of divalent or trivalent metals with a general formula of $[(M_{1-x}^{II}M_x^{III}(OH)_2]^{x+}:(A_{x/m}^{m-}) \cdot nH_2O; x = ~ 0.2-0.4$, where the M^{II} and M^{III} can be either identical or different metallic ions. The LDH layers are positively charged and neutralised by anionic intercalants (A^{m-}). Carbonate [13–17], nitrate [18], sulphate [13,16], and lactate [19] are some examples of the anionic intercalants in LDHs that compensate the positive charge of the LDH layers [20]. Recent studies suggest that the intercalant anions play a crucial role in determining the physicochemical properties of the final LDHs, opening the possibility of engineered LDHs for specific applications [21]. Therefore, understanding how guest anions influence the structural and electronic properties in LDHs is essential for tailoring their properties.

Carbonate, one example of an intercalating anion, has three oxygens and therefore possesses a strong hydrogen bonding capacity with the interlayer surface of an LDH, thus showing a high adsorption tendency with LDHs [14,22]. Previously, some carbonate-intercalated LDHs such as $Mg-Al_{(1/3)}$ LDH [6], strätlingite (Ca₂Al(AlSi)O₂(OH)₁₀·2.25 H₂O) [16], and hydrotalcite (Mg_{0.7}Al_{0.3}(OH)₂(CO₃)_{0.15}·0.63 H₂O) [22,23] were experimentally investigated and reported. Lactate as another intercalant anion is utilised in the intercalation of Fe LDH interlayers, showing a potential application in the design of catalytically active material for H₂ production [19]. Most of the presented examples from the literature are for bimetallic LDHs. Monometallic LDHs are rarely studied and investigated in detail due to their lower

stability and synthesis difficulties [24]. In particular, producing and maintaining both divalent or trivalent cations of the same metal in the application medium is challenging [25]. Furthermore, the difficulty in differentiating the divalent and trivalent cations of the same metal is a major characterisation drawback for monometallic LDHs. Consequently, bimetallic and trimetallic LDHs have become the most common in many applications [26,27].

Given that the monometallic LDHs warrant further investigations, in this work we theoretically quantify the critical role of intercalant anions on the stability of three transition metal (TM) based LDHs, i.e., Mn, Fe, and Co, in monometallic form. We systematically investigate the role of the neutral water molecule, a single negatively charged lactate radical, and a double negatively charged carbonate radical as intercalants in these LDHs. Moreover, we also study the effect of intercalant anions on the bandgap of LDHs, which determines their optical properties. The latter part can aid in designing LDH-based photocatalysts, electrocatalysts, and photovoltaics.

2. Settings and Models

We performed spin-polarized first-principles density functional theory (DFT) calculations [28,29] using the CASTEP program [30]. The electron exchange-correlation energy was approximated with the local density approximation (LDA) within the Ceperley and Alder parametrisation (also known as CA–PZ) [31]. On-the-fly generated ultrasoft planewave pseudopotentials [32] were used to treat the core electrons. Dispersion effects were included using the semi-empirical dispersion correction based on the Ortmann–Bechstedt– Schmidt formalism [33,34]. The cut-off energy was set to 630 eV for all simulations. A tight Monkhorst–Pack *k*-point grid with 0.07 \AA^{-1} spacing was used for integration over the Brillouin zone throughout all geometry optimisations. This spacing produced a $5 \times 5 \times 5$ grid for un-intercalated LDH compounds. The density of states was calculated with a 10-timesdenser grid. During the geometry optimisation [35], performed with fixed basis quality, internal coordinates and lattice parameters were relaxed to forces smaller than 0.01 eV ${\rm \AA}$ and energies smaller than 10^{-5} eV. When a supercell contained more than one magnetic ion, both ferromagnetic and antiferromagnetic spin alignments were examined, and the lowest energy configuration was used. Using true magnetic ground state is critical for obtaining realistic geometries and total energies [36].

We used an ad hoc Hubbard (*U*) term for the 3d electrons to correct the inherent and superficial electronic delocalisation associated with the LDA functional [37]. The LDA+*U* method with well-calibrated *U* values has been demonstrated to offer excellent electronic structures at a reasonable computational cost [38]. The values were 3.90 eV for the Mn 3d electrons, 5.30 eV for the Fe 3d electrons, and 3.32 eV for the Co 3d electrons. Our choice was guided by the values reported in the Materials Project database [39] for compounds containing these transition metal (TM) ions with similar oxidation states.

We first optimised the un-intercalated structures. The un-intercalated compounds have a relatively simple hexagonal structure (Figure 1a) that has been widely reported in the literature. This hexagonal lattice has a primitive rhombohedral representation (Figure 1b) containing only one formula unit of $TM(OH)_2$ that simplifies the simulation. We then used the optimised un-intercalated structures to study the intercalated compounds. We constructed a $2a \times 1b \times 1c$ supercell from the primitive $TM(OH)_2$ unitcell and placed an intercalating species in the space between the $TM(OH)_2$ layers. We examined an exhaustive set of configurations for the intercalating species, i.e., water molecule and lactate and carbonate radicals (Figure S1). We removed all symmetry constraints from the supercells during the geometry optimisation to allow for relaxation to lower symmetry structures should those yield lower energy. Finally, we probed the optimised structures, reported in Figure 2, for symmetry using the symmetry detection tool FINDSYM [40].



Figure 1. (a) The conventional cell of TM(OH)₂ layered double hydroxide compounds in hexagonal representation with group $R\bar{3}m$ and space group number 166. (b) The rhombohedral representation of the same structure used for most calculations. In hexagonal representation, $a_h = b_h$, $\alpha_h = \beta_h = 90^\circ$, and $\gamma_h = 120^\circ$. In the rhombohedral presentation, the lattice parameters $a_r = b_r = c_r$ and $\alpha_r = \beta_r = \gamma_r \neq 90^\circ$. The rhombohedral lattice parameters (denoted with subscript *r*) are related to hexagonal lattice parameters (denoted with subscript *r*) are related to hexagonal lattice parameters (denoted with subscript *h*) according to $\alpha_r = \arccos \{(2c_h^2 - 3a_h^2)/(2c_h^2 + 6a_h^2)\}$, and $a_r = \sqrt{(a_h^2/3) + (c_h^2/9)}$.



Figure 2. A representative of the LDH compounds intercalated with (**a**) H_2O , (**b**) $C_3H_5O_3$, and (**c**) CO_3 . The optimised structures had higher cantered monoclinic symmetry with group C121 (group number 5) for the water intercalated compounds. The rest of the structures were *P*1.

3. Results and Disscussion

We began with un-intercalated LDH compounds with an empty interlayer space. The geometry optimisation of the rhombohedral presentation, shown in Figure 1b, yielded a

lattice parameter ar of 6.066 Å for Mn(OH)₂, 5.934 Å for Fe(OH)₂, and 5.841 Å for Co(OH)₂. Noticeably, all these structures were quite similar to one another. The optimised structures of the un-intercalated LDH compounds are presented in Table S1 of the supplementary materials. The Mn magnetisation based on the Mulliken population analysis was calculated to be 4.86 μ_B , indicating a d⁵ electronic occupation, which corresponds to the +2 oxidation state and high spin configuration, arranged as filled spin-up t_{2g} and e_g orbitals and empty spin-down t_{2g} and e_g orbitals. The Fe magnetisation was calculated to be 3.80 μ_B , which corresponds to the high spin configuration of d⁶ occupation ($t_{2g}^3 \uparrow e_g^2 \uparrow t_{2g}^1 \downarrow e_g^0 \downarrow$). The Co magnetisation was calculated to be 0.94 μ_B , indicating that, unlike Mn and Fe, Co²⁺ (d⁷) is at a low-spin configuration of $t_{2g}^3 \uparrow t_{2g}^3 \downarrow e_g^1 \uparrow e_g^0 \downarrow$. One should note that the calculated magnetisations are slightly smaller than nominal values of pure ionic bonds—by a fraction of 1 μ_B . This trend indicates that the TM–O bonds slightly deviate from pure ionicity towards covalency [41].

To examine the stability of these LDH compounds, we compared their DFT total energies against the most stable TM oxides by calculating the decomposition enthalpy (ΔH). These oxides were Mn₃O₄, Fe₂O₃, and CoO₂. The initial structures used to initiate the geometry optimisation of these oxides were taken from the Materials Project database [39]. The card numbers for Mn₃O₄, Fe₂O₃, and CoO₂ were mp-18759, mp-19770, and mvc-14149, respectively. We calculated (ΔH) per TM ion for these three LDH compounds according to the following equations:

$$\Delta H = \left\{ 3E^f (\mathrm{Mn(OH)}_2) - E^f (\mathrm{Mn_3O_4}) - 2E^f (\mathrm{H_2O}) - E^f (\mathrm{H_2}) \right\} / 3, \tag{1}$$

$$\Delta H = \left\{ 2E^{f}(\text{Fe}(\text{OH})_{2}) - E^{f}(\text{Fe}_{2}\text{O}_{3}) - E^{f}(\text{H}_{2}\text{O}) - E^{f}(\text{H}_{2}) \right\} / 2,$$
(2)

$$\Delta H = \left\{ 2E^{f} (\text{Co(OH)}_{2}) - 2E^{f} (\text{CoO}_{2}) - 2E^{f} (\text{H}_{2}) \right\} / 2.$$
(3)

Here, E^f is the DFT total energy of each compound.The ΔH was calculated to be -1.048 eV/Mn for Mn(OH)₂, -1.562 eV/Fe for Fe(OH)₂, and -2.009 eV/Co for Co(OH)₂. These negative ΔH values indicate the relative stability of these LDH compounds against their oxide forms. We can also infer a trend of higher stability for heavier TM-ion-based LDH compounds. Interestingly, when we repeated the same calculations without including the dispersion effects, ΔH was slightly higher, but negative nonetheless, at -0.866 eV/Mn, -1.367 eV/Fe, and -1.655 eV/Co, respectively. Higher but negative ΔH values show that although the dispersion effect contributes to the stability of these compounds, it is not the sole stabilising factor.

Figure 2 shows the optimised structures of the H₂O, C₃H₅O₃, and CO₃ intercalated LDH compounds. The relaxed lattice parameters and the atomic coordinates of all structures are provided in Table S2 and File S1 (in the common CAR format) of the supplementary information. For the water intercalated Mn LDH compound, the magnetisation of both Mn ions was 4.88 μ_B , indicating that water intercalation did not change the Mn electronic configuration compared to the un-intercalated compound. For the lactate intercalated compounds, [Mn(OH)₂]₂:C₃H₅O₃, the magnetisation of one of the TM ions was reduced to 4.07 μ_B , i.e., high-spin d⁴ configuration, indicating that the singly negative lactate radical oxidises one of the Mn ions to Mn³⁺. In the case of doubly negative carbonate intercalation, both Mn ions had a magnetisation of 4.05 μ_B , meaning both were at +3 oxidation state. To examine how intercalated Mn-based LDH compounds according to the following equations:

$$\Delta H = \left\{ 3E^{f} \left([Mn(OH)_{2}]_{2}:H_{2}O \right) - 2E^{f} (Mn_{3}O_{4}) - 7E^{f} (H_{2}O) - 2E^{f} (H_{2}) \right\} / 6,$$
(4)

$$\Delta H = \left\{ 3E^{f} \left(\left[\text{Mn}(\text{OH})_{2} \right]_{2} : C_{3} \text{H}_{5} \text{O}_{3} \right) - 2E^{f} \left(\text{Mn}_{3} \text{O}_{4} \right) - 3E^{f} \left(C_{3} \text{H}_{6} \text{O}_{3} \right) - 4E^{f} \left(\text{H}_{2} \text{O} \right) - 0.5E^{f} \left(\text{H}_{2} \right) \right\} / 6,$$
(5)

$$\Delta H = \left\{ 3E^{f} ([\mathrm{Mn}(\mathrm{OH})_{2}]_{2}:\mathrm{CO}_{3}) - 2E^{f} (\mathrm{Mn}_{3}\mathrm{O}_{4}) - 3E^{f} (\mathrm{H}_{2}\mathrm{CO}_{3}) - 3E^{f} (\mathrm{H}_{2}\mathrm{O}) - 0.5E^{f} (\mathrm{O}_{2}) \right\} / 6.$$
(6)

We found ΔH was -2.271 eV/Mn for [Mn(OH)₂]₂:H₂O, -2.697 eV/Mn for [Mn(OH)₂]₂:C₃H₅O₃, and -1.735 eV/Mn for [Mn(OH)₂]₂:CO₃. These values are lower than the un-intercalated Mn(OH)₂ ($\Delta H = -1.048$ eV/Mn), therefore demonstrating higher stability. Consequently, intercalation enhances the stability of the Mn-based compounds. The greatest stability boost, however, is caused by lactate intercalation.

For the water intercalated Fe LDH, $[Fe(OH)_2]_2$:H₂O, the magnetisation of both Fe ions was found to be 3.82 μ_B , indicating a high-spin d⁶ configuration—just like the unintercalated compound. For lactate intercalation, $[Fe(OH)_2]_2$:C₃H₅O₃, the two Fe ions in the supercell had magnetisations of 3.86 μ_B and 4.20 μ_B , indicating that the Fe ion with the larger magnetic moment was oxidised to Fe³⁺ (d⁵). For carbonate intercalation, $[Fe(OH)_2]_2$:CO₃, the magnetisation of both Fe ions was 4.21 μ_B , indicating that both Fe ions were at +3 oxidation state. The stability trend was examined by calculating the decomposition enthalpy according to the following equations:

$$\Delta H = \left\{ E^{f} ([\text{Fe}(\text{OH})_{2}]_{2}: \text{H}_{2}\text{O}) - E^{f} (\text{Fe}_{2}\text{O}_{3}) - 2E^{f} (\text{H}_{2}\text{O}) - E^{f} (\text{H}_{2}) \right\} / 2,$$
(7)

$$\Delta H = \left\{ E^{f} ([\text{Fe}(\text{OH})_{2}]_{2}:C_{3}\text{H}_{5}\text{O}_{3}) - E^{f} (\text{Fe}_{2}\text{O}_{3}) - E^{f} (C_{3}\text{H}_{6}\text{O}_{3}) - E^{f} (\text{H}_{2}\text{O}) - 0.5E^{f} (\text{H}_{2}) \right\} / 2,$$
(8)

$$\Delta H = \left\{ E^{f} ([Fe(OH)_{2}]_{2}:CO_{3}) - E^{f} (Fe_{2}O_{3}) - E^{f} (H_{2}CO_{3}) - E^{f} (H_{2}O) \right\} / 2.$$
(9)

We found ΔH was -1.456 eV/Fe for [Fe(OH)₂]₂:H₂O, -2.665 eV/Fe for [Fe(OH)₂]₂:C₃H₅O₃, and -1.318 eV/Fe for [Fe(OH)₂]₂:CO₃. Among these compounds, only [Fe(OH)₂]₂:C₃H₅O₃ is more stable than un-intercalated Fe(OH)₂, which had a ΔH value of -1.562 eV/Fe. Consequently, only lactate intercalation enhances the stability of the Fe LDH compounds. However, since ΔH remains negative for the latter intercalations, [Fe(OH)₂]₂:H₂O and [Fe(OH)₂]₂:CO₃ are expected to survive equilibrium conditions.

Regarding the Co-based LDH compounds, we found that in the case of water intercalation, $[Co(OH)_2]_2:H_2O$, both Co ions in the supercell had a magnetisation of 0.95 μ_B , corresponding with the low-spin d⁷ electronic configuration. For lactate intercalation in $[Co(OH)_2]_2:C_3H_5O_3$, the magnetisation of one Co ion remained 0.95 μ_B , while the other Co became nonmagnetic. Nonmagnetic cobalt indicates a +3 oxidation state (d⁶), in which at low-spin configuration, the spin-up and spin-down electrons in the t_{2g} orbitals cancel each other's magnetisation. For the carbonate intercalated $[Fe(OH)_2]_2:CO_3$, both Co ions were nonmagnetic, indicating that the compound was comprised of Co³⁺ only. The decomposition enthalpy for Co-based LDH compounds was calculated based on the following equations:

$$\Delta H = \left\{ E^{f} ([\text{Co(OH)}_{2}]_{2}: \text{H}_{2}\text{O}) - 2E^{f} (\text{CoO}_{2}) - E^{f} (\text{H}_{2}\text{O}) - 2E^{f} (\text{H}_{2}) \right\} / 2,$$
(10)

$$\Delta H = \left\{ E^{f} ([\text{Co(OH)}_{2}]_{2}:C_{3}\text{H}_{5}\text{O}_{3}) - 2E^{f} (\text{CoO}_{2}) - E^{f} (C_{3}\text{H}_{6}\text{O}_{3}) - 1.5E^{f} (\text{H}_{2}) \right\} / 2, \tag{11}$$

$$\Delta H = \left\{ E^{f} ([\text{Co}(\text{OH})_{2}]_{2}:\text{CO}_{3}) - 2E^{f} (\text{Co}\text{O}_{2}) - E^{f} (\text{H}_{2}\text{CO}_{3}) - E^{f} (\text{H}_{2}) \right\} / 2.$$
(12)

We found ΔH was -3.470 eV/Co for $[Co(OH)_2]_2$:H₂O, -3.968 eV/Co for $[Co(OH)_2]_2$:C₃H₅O₃, and -3.212 eV/Co for $[Co(OH)_2]_2$:CO₃. The values are substantially lower than the ΔH of the un-intercalated Co(OH)₂ of -2.009 eV/Co. Consequently, intercalating Co-based LDH with either a water, lactate, or carbonate molecule results in significant stabilisation. However, the stabilisation is greatest for lactate intercalation. Our prediction of stabilisation through lactate intercalation corroborates experimental observations in Fe- [19] and (Zn, Al)- [42] based LDH compounds.

The total and partial density of states (DOS and PDOS) for all the intercalated compounds are shown in Figure 3. The 3d PDOS of all TM ions corroborate the arguments presented earlier from the TM magnetic moment viewpoint. Going from left to right intercalation with water, then lactate, then carbonate—we can see the emptying of the d shell electrons due to oxidation, i.e., the states shifting to above the Fermi level. For Mn, that would be the emptying of spin-up e_g states (marked with an orange circle). For Fe, that would be emptying the spin-down t_{2g} states (marked with a purple circle). Lastly, for Co, that would be emptying the spin-up e_g states. Figure 3 also show the bandgaps (E_g) for the intercalated LDH compounds. The E_g value determines how responsive a compound is to photoexcitation. For instance, for electron–hole pair generation under visible light, an E_g value of ~ 1.7 eV is required. The E_g for Mn-based LDHs was calculated to be 1.995 eV for [Mn(OH)₂]₂:H₂O, 0.480 eV for [Mn(OH)₂]₂:C₃H₅O₃, and 0.679 eV for [Mn(OH)₂]₂:CO₃. The [Mn(OH)₂]₂:H₂O with half-filled d⁵ configurations had the widest bandgap because of the strong magnetic exchange between the spin-up and spin-down states. The E_g for Fe-based LDHs was calculated to be 3.115 eV for [Fe(OH)₂]₂:H₂O, 1.027 eV for [Fe(OH)₂]₂:C₃H₅O₃, and 1.124 eV for [Fe(OH)₂]₂:CO₃. Finally, The E_g for Co-based LDHs was calculated to be 1.055 eV for [Co(OH)₂]₂:CO₃. Here, the large crystal field splitting between the filled t_{2g} states and the empty e_g states widens the bandgap in [Co(OH)₂]₂:CO₃.



Figure 3. Total and partial density of state of the intercalated layered double hydroxide compounds. The upper row of (a-c) corresponds to the Mn-based compounds. The middle row of (d-f) corresponds to the Fe-based compounds. The lower row of (g-i) corresponds to the Co-based compounds. The first, second, and third columns correspond to H_2O , $C_3H_5O_3$, and CO_3 intercalation. The 3d partial density of states of different TM ions is shown in various shades of blue.

4. Conclusions

Using density functional calculations within the LDA + *U* formalism, we demonstrated that Mn-, Fe-, and Co-based layered double hydroxide compounds were stable against decomposition to the respective most stable oxides. Furthermore, in Mn- and Co-based LDH compounds, this stability is enhanced with either water, lactate, or carbonate intercalation. However, the most significant margin of stability was achieved for lactate intercalation. In Fe-based LDH compounds, water and carbonate intercalation reduced the margin of stability against decomposition to Fe₂O₃. In this case, only lactate intercalation improved the stability. Finally, we demonstrated that the intercalated LDHs have a large range of bandgaps, ranging from wide 3.115 eV in [Fe(OH)₂]₂:H₂O to narrow 0.307 eV in [Co(OH)₂]₂:C₃H₅O₃. As a result, by controlling the intercalation molecule, one can tune the band gaps in these compounds for the desired applications.

Supplementary Materials: The following supporting information can be downloaded at: https://www.mdpi.com/article/10.3390/nano12081339/s1, Figure S1: Search for the water intercalant orientation; File S1: The optimised structures for intercalated LDH compounds; Table S1: Lattice parameters of the un-intercalated LDHs; Table S2: The lattice parameters of the intercalated LDHs.

Author Contributions: Conceptualisation, M.H.N.A. and E.D.; data curation, M.H.N.A. and S.M.; investigation, M.H.N.A., S.M. and A.E.; software, M.H.N.A., S.M. and A.E.; writing—original draft preparation, M.H.N.A., E.D. and S.M.; writing—review and editing, M.H.N.A. and E.D. All authors have read and agreed to the published version of the manuscript.

Funding: Computational resources were provided by the Integrated Materials Design Centre at UNSW Australia. Esmail Doustkhah acknowledges the TÜBITAK and Horizon-2020 Marie Skłodowska Curie for providing the financial support in Co-Funded Brain Circulation Program (Project No. 120C057) framework.

Institutional Review Board Statement: Not applicable.

Informed Consent Statement: Not applicable.

Data Availability Statement: The data presented in this study are available in the Supplementary Materials.

Acknowledgments: We would like to thank Shahid Rajaee Teacher Training University for supporting this work.

Conflicts of Interest: The authors declare no conflict of interest.

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