## SUPPLEMENTARY ONLINE CONTENT

Krista Stephens, Nathan Mitchel, Sean Overton, Joseph E Tonna. On the transition from control modes to spontaneous modes during ECMO. A high-fidelity graphical analysis of ventilatory parameters and changes in pulmonary function among 419 patients.

## **Supplemental Methods (eMethods)**

Figure S1. Patient enrollment flowchart

## Supplemental Results (eResults)

Table S1. Ventilator parameters by support mode

**Table S2**. Subset analysis of ventilator parameters by support mode, *among patients with lung compliance* <30 *cmH*<sub>2</sub>*O* 

**Table S3.** Multivariate analysis of the association between spontaneous mode and tidal volume during the period of transition. (FOR FIGURE 2)

**Table S4.** Multivariate analysis of the association between spontaneous mode and tidal volume during the period of transition, among patients with lung compliance <30 cmH20.

**Table S5.** Multivariate analysis of the association between spontaneous mode and PEEP during the period of transition. (FOR FIGURE 1)

**Table S6.** Multivariate analysis of the association between spontaneous mode and PEEP during the period of transition, among patients with lung compliance <30 cmH2O

**Table S7.** Multivariate analysis of the association between spontaneous mode and elastic component of mechanical power during the period of transition. (FOR FIGURE 3)

**Table S8.** Multivariate analysis of the association between spontaneous mode and elastic component of mechanical power during the period of transition, among patients with lung compliance <30 cmH2O.

**Table S9.** Multivariate analysis of the association between duration of time since transition to spontaneous mode and respiratory rate during the period of transition. (FOR FIGURE S2)

**Table S10.** Multivariate analysis of the association between duration of time since transition to spontaneous mode and respiratory rate during the period of transition, among patients with lung compliance <30 cmH2O. (FOR FIGURE 4)

**Table S11.** Multivariate analysis of the association between duration of time since transition to spontaneous mode and PaO2/FiO2 during the period of transition, among patients with tachypnea. (FOR FIGURE 5)

**Table S12.** Multivariate analysis of the association between duration of time since transition to spontaneous mode and PaO2/FiO2 during the period of transition, among patients without tachypnea. (FOR FIGURE S4)

**Table S13.** Multivariate analysis of the association between duration of time since transition to spontaneous mode and PaO2/FiO2 during the period of transition, among patients with tachypnea, low compliance subset. (FOR FIGURE S3)

**Table S14.** Multivariate analysis of the association between duration of time since transition to spontaneous mode and PaO2/FiO2 during the period of transition, among patients without tachypnea, low compliance subset. (FOR FIGURE S5)

**Table S15.** Multivariate analysis of the association between respiratory rate and PaO2/FiO2 during the period of transition, among patients on control modes. (FOR FIGURE 6)

**Table S16.** Multivariate analysis of the association between tidal volume and PaO2/FiO2 during the period of transition, among patients on control modes. (FOR FIGURE 6)

**Table S17.** Multivariate analysis of the association between respiratory rate and PaO2/FiO2 during the period of transition, among patients on control modes, low compliance subset. (FOR FIGURE S6)

**Table S18.** Multivariate analysis of the association between tidal volume and PaO2/FiO2 during the period of transition, among patients on control modes, low compliance subset. (FOR FIGURE S6)

## Figures

**Figure S2.** Adjusted increase in respiratory rate increases over time since the transition from control mode to spontaneous mode, among all patients

**Figure S3**. PaO<sub>2</sub>/FiO<sub>2</sub> ratio (95% CI) over time among tachypneic patients (respiratory rate ≥30), low compliance subset.

Figure S4. PaO<sub>2</sub>/FiO<sub>2</sub> ratio (95% CI) over time among patients without tachypnea (respiratory rate <30).

**Figure S5**. PaO<sub>2</sub>/FiO<sub>2</sub> ratio (95% CI) over time among patients *without* tachypnea (respiratory rate <30), low compliance subset

**Figure S6.** PaO<sub>2</sub>/FiO<sub>2</sub> as a function of tidal volume and respiratory rate among patients with low compliance

Table S1. Ventilator parameters by support mode				
Variable <sup>1</sup>	All	Control Modes	Spontaneous Modes	<i>p</i> -value <sup>2</sup>
Tidal volume (mL)	468 (360, 584)	428 (310, 534)	513 (413, 633)	< 0.0001
Respiratory rate (breaths per minute)	20 (15, 25)	18 (14, 22)	22 (18, 28)	0.32
Peak inspiratory pressure (cmH2O)	31 (21, 40)	35 (24, 40)	27 (16, 40)	< 0.0001
Respiratory system compliance (mL/cmH2O)	30.3 (22.2, 41)	27.6 (19.4, 37.2)	34.7 (25.6, 43.9)	< 0.0001
Positive end expiratory pressure (cmH2O)	8 (5, 10)	10 (8, 11)	5.4 (5, 8)	< 0.0001
Elastic component of mechanical power (mL/(cmH2O x min)	0.07 (0.04, 0.11)	0.06 (0.03, 0.09)	0.08 (0.05, 0.13)	<0.0001

<sup>1</sup> median, interquartile range (IQR)

Abbreviations: cmH2O: centimeter of water; mL: milliliter; min: minute

Number of original observations: Tidal volume: 33,940; Respiratory rate: 36,882; Peak inspiratory pressure 33,655; Respiratory system compliance: 10,783; Positive end expiratory pressure: 36,045. Elastic component of mechanical power: 101,949 calculated observations.

<sup>2</sup>*p* value from univariate mixed effects panel regression model of one value per hour, clustered by patient.

Table S2. Subset analysis of ventilator parameters by support mode, among patients with lung compliance <30 cmH2O				
Variable <sup>1</sup>	All	Control Modes	Spontaneous Modes	<i>p</i> -value <sup>2</sup>
Tidal volume (mL)	422 (320, 539)	375 (260, 480)	469 (383, 591)	< 0.0001
Respiratory rate (breaths per minute)	20 (16, 26)	18 (14, 22)	23 (19, 28)	0.29
Peak inspiratory pressure (cmH2O)	33 (21, 40)	35 (25, 40)	27 (16, 40)	< 0.0001
Respiratory system compliance (mL/cmH2O)	26.8 (19.1, 36)	23.7 (17, 31)	30.5 (23.4, 41.5)	< 0.0001
Positive end expiratory pressure (cmH2O)	8 (5, 10)	10 (8, 11)	5.5 (5, 8)	< 0.0001
Elastic component of mechanical power (mL/(cmH2O x min)	0.07 (0.04, 0.10)	0.05 (0.02, 0.09)	0.08 (0.05, 0.12)	<0.0001

<sup>1</sup> median, interquartile range (IQR)

Abbreviations: cmH2O: centimeter of water; mL: milliliter; min: minute

Number of original observations: Tidal volume: 16,514; Respiratory rate: 18,025; Peak inspiratory pressure: 16,454; Respiratory system compliance: 5,496; Positive end expiratory pressure: 17,566. Elastic component of mechanical power: 51,694 calculated observations

<sup>2</sup>*p* value from univariate mixed effects panel regression model of one value per hour, clustered by patient.

Table S3. Multivariate analysis of the association between spontaneous mode and tidal volume during the period of transition			
Variable	Coefficient	95% CI	<i>p</i> -value
Spontaneous Mode	59.5	(49.6 to 69.4)	< 0.0001
PEEP, per cmH <sub>2</sub> 0	-0.1	(-1.7 to 1.3)	0.85
Compliance, per mL/cmH2O	3.1	(2.8 to 3.4)	< 0.0001
Respiratory rate, per breath per minute	-4.8	(-5.3 to -4.3)	< 0.0001
Duration of time since switch to spontaneous, per hour	1.1	(0.6 to 1.5)	< 0.0001

during the period of transition, among patients with lung compliance <30 cmH2O				
Variable	Coefficient	95% CI	<i>p</i> -value	
Spontaneous Mode	53.9	(40.3 to 67.5)	< 0.0001	
PEEP, per cmH <sub>2</sub> 0	-1.6	(-3.5 to 0.4)	0.12	
Compliance, per mL/cmH2O	3.3	(2.9 to 3.7)	< 0.0001	
Respiratory rate, per breath per minute	-3.6	(-4.3 to -3.0)	< 0.0001	
Duration of time since switch to spontaneous, per hour	0.9	(0.2 to 1.5)	0.007	

Table S4 Multi of th d tidal y -1-.... hat 1 -1-

Abbreviations: PEEP: positive end expiratory pressure; mL: milliliters; cmH2O: centimeter of water;

Table S5. Multivariate analysis of the association between spontaneous mode and PEEP during the period of transition			
Variable	Coefficient	95% CI	<i>p</i> -value
Spontaneous Mode	-0.5	(-0.6 to 0.4)	< 0.0001
Tidal volume, per mL	0.0	(0.0 to 0.0)	0.78
Compliance, per mL/cmH2O	0.0	(0.0 to 0.0)	< 0.0001
Respiratory rate, per breath per minute	0.1	(0.0 to 0.0)	0.007
Duration of time since switch to spontaneous, per hour	0.0	(0.0 to 0.0)	< 0.0001

Table S6. Multivariate analysis of the association between spontaneous mode and PEEP during the period of transition, among patients with lung compliance <30 cmH2O			
Variable	Coefficient	95% CI	<i>p</i> -value
Spontaneous Mode	-0.4	(-0.6 to -0.1)	0.02
Tidal volume, <i>per mL</i>	0.0	(0.0 to 0.0)	0.09
Compliance, per mL/cmH2O	0.0	(0.0 to 0.0)	<0.0001
Respiratory rate, per breath per minute	0.0	(0.0 to 0.0)	0.58
Duration of time since switch to spontaneous, per hour	0.0	(0.0 to 0.0)	< 0.0001

Table S7. Multivariate analysis of the association between spontaneous mode and elastic component of mechanical power during the period of transition			
Spontaneous Mode	0.0	(0.0 to 0.0)	< 0.0001
PEEP, per cmH <sub>2</sub> 0	0.0	(0.0 to 0.0)	0.1
Compliance, per mL/cmH2O	0.0	(0.0 to 0.0)	<0.0001
Respiratory rate, per breath per minute	0.0	(0.0 to 0.0)	< 0.0001
Duration of time since switch to spontaneous, per hour	0.0	(0.0 to 0.0)	< 0.0001

Table S8. Multivariate analysis of the association between spontaneous mode and elastic component of mechanical	
power during the period of transition, among patients with lung compliance $<30$ cmH <sub>2</sub> O	

Variable	Coefficient	95% CI	<i>p</i> -value
Spontaneous Mode	0.0	(0.0 to 0.0)	< 0.0001
PEEP, per cmH <sub>2</sub> 0	0.0	(0.0 to 0.0)	0.72
Compliance, per mL/cmH2O	0.0	(0.0 to 0.0)	< 0.0001
Respiratory rate, per breath per minute	0.0	(0.0 to 0.0)	< 0.0001
Duration of time since switch to spontaneous, per hour	0.0	(0.0 to 0.0)	0.003

and respiratory rate during the period of transition for spontaneous mode				
Variable	Coefficient	95% CI	<i>p</i> -value	
Duration of time since switch to spontaneous, per hour	0.1	(0.07 to 0.14)	< 0.0001	
PaO <sub>2</sub> /FiO <sub>2</sub>	0.0	(0.0 to 0.0)	< 0.0001	
Compliance, per mL/cmH2O	0.0	(-0.1 to -0.06)	< 0.0001	
PEEP, per cmH <sub>2</sub> 0	0.16	(0.02 to 0.0)	0.02	

Tabl So Multi f th £ +; ..... A ..... .: ...: 1 ....

Abbreviations: PEEP: positive end expiratory pressure; mL: milliliters; cmH2O: centimeter of water;

Table S10. Multivariate analysis of the association between duration of time since transition to spontaneous mode			
Coefficient	95% CI	<i>p</i> -value	
0.14	(0.1 to 0.2)	<0.0001	
0.0	(0.0 to 0.0)	0.03	
-0.1	(-0.1 to -0.04)	< 0.0001	
0.14	(-0.07 to 0.35)	0.2	
	veen duration of time s on, among patients with <u>Coefficient</u> 0.14 0.0 -0.1 0.14	organ organisation of time since transition to spontaneous   on, among patients with lung compliance <30 cmH   Coefficient 95% CI   0.14 (0.1 to 0.2)   0.0 (0.0 to 0.0)   -0.1 (-0.1 to -0.04)   0.14 (-0.07 to 0.35)	

Table S11. Multivariate analysis of the association between duration of time since transition to spontaneous mode and PaO2/FiO2 during the period of transition, among patients with tachypnea			
Variable	Coefficient	95% CI	<i>p</i> -value
Duration of time since switch to spontaneous, per hour	-1.9	(-3.1 to -0.7)	0.001
Compliance, per mL/cmH2O	0.1	(-1 to 1)	0.89
PEEP, per cmH <sub>2</sub> 0	-8.0	(-12.5 to -3.1)	0.001
Respiratory rate, per breath per minute	-3.7	(-5.7 to -1.7)	< 0.0001

Tachypnea defined as respiratory rate  $\geq$ 30.

Table S12. Multivariate analysis of the association between duration of time since transition to spontaneous mode and PaO2/FiO2 during the period of transition, among patients without tachypnea			
Variable	Coefficient	95% CI	<i>p</i> -value
Duration of time since switch to spontaneous, per hour	0.2	(-0.3 to 0.7)	0.41
Compliance, per mL/cmH2O	1.3	(0.9 to 1.7)	< 0.0001
PEEP, per cmH <sub>2</sub> 0	0.1	(-2.1 to 2.2)	0.95
Respiratory rate, per breath per minute	-1.2	(-2.0 to 0.4)	0.002

Tachypnea defined as respiratory rate  $\geq$ 30.

Table S13. Multivariate analysis of the association between duration of time since transition to spontaneous mode and $P_a\Omega^2/F_i\Omega^2$ during the period of transition, among patients with tachypnea, low compliance subset			
Variable	Coefficient	95% CI	<i>p</i> -value
Duration of time since switch to spontaneous, per hour	-1.7	(-3.3 to -0.1)	0.036
Compliance, per mL/cmH2O	0.19	(-1.2 to 1.5)	0.89
PEEP, per cmH <sub>2</sub> 0	-10.6	(-16.3 to -4.9)	< 0.0001
Respiratory rate, per breath per minute	-1.6	(-4.1 to 0.8)	0.196

Table \$12 Multin L 11 1. ..... 1. ~+ 1 ...: .... 242 L £ 1

Tachypnea defined as respiratory rate  $\geq$  30.

and PaO2/FiO2 during the period of transition, among patients without tachypnea, low compliance subset			
Variable	Coefficient	95% CI	<i>p</i> -value
Duration of time since switch to spontaneous, per hour	0.9	(0.14 to 1.6)	0.019
Compliance, per mL/cmH2O	1.3	(0.65 to 1.9)	< 0.0001
PEEP, per cmH <sub>2</sub> 0	5.5.6	(2.2 to 8.7)	0.001
Respiratory rate, per breath per minute	-0.9	(-2.2 to 0.3)	0.125

Table S14. Multivariate analysis of the association between duration of time since transition to spontaneous mode

Abbreviations: PEEP: positive end expiratory pressure; mL: milliliters; cmH2O: centimeter of water;

Tachypnea defined as respiratory rate  $\geq$ 30.

Table S15. Multivariate analysis of the association between respiratory rate and PaO2/FiO2 during the period of transition, among patients on control modes			
Variable	Coefficient	95% CI	<i>p</i> -value
Respiratory rate, per breath per minute	-5.1	(-7.6 to -2.5)	< 0.0001
Duration of time since switch to spontaneous, per hour	-10.5	(-24.9 to 3.9)	0.15
Compliance, per mL/cmH2O	0.26	(-0.85 to 1.4)	0.64
PEEP, per cmH <sub>2</sub> 0	-2.8	(-8.8 to 3.1)	0.34

Abbreviations: PEEP: positive end expiratory pressure; mL: milliliters; cmH2O: centimeter of water; Multivariate mixed effects panel regression model of one value per hour, clustered by patient.

Table S16. Multivariate analysis of the association between tidal volume and PaO2/FiO2 during the period of transition, among patients on control modes			
-0.17	(-0.29 to -0.04)	0.007	
-1.8	(-17.6 to 13.8)	0.82	
1.8	(-0.4 to 3.1)	0.01	
-1.5	(-7.6 to 4.6)	0.63	
	of the association betwee ransition, among patien <u>Coefficient</u> -0.17 -1.8 1.8 -1.5	of the association between tidal volume   ransition, among patients on control modes   Coefficient 95% CI   -0.17 (-0.29 to -0.04)   -1.8 (-17.6 to 13.8)   1.8 (-0.4 to 3.1)   -1.5 (-7.6 to 4.6)	

Table S17. Multivariate analysis of the association between respiratory rate and PaO2/FiO2 during the period of transition, among patients on control modes, low compliance subset				
Variable	Coefficient	95% CI	<i>p</i> -value	
Respiratory rate, per breath per minute	-9.2	(-13.1 to -5.5)	<0.0001	
Duration of time since switch to spontaneous, per hour	-23.6	(-46.3 to -0.9)	0.041	
Compliance, per mL/cmH2O	0.08	(-1.57 to 1.7)	0.92	
PEEP, per cmH20	1.0	(-8.3 to 10.4)	0.83	

Abbreviations: PEEP: positive end expiratory pressure; mL: milliliters; cmH2O: centimeter of water; Multivariate mixed effects panel regression model of one value per hour, clustered by patient.

Table S18. Multivariate analysis of the association between tidal volume and PaO2/FiO2 during the period of transition, among patients on control modes, low compliance subset				
Variable	Coefficient	95% CI	<i>p</i> -value	
Tidal volume, <i>per mL</i>	-0.3	(-0.49 to -0.11)	0.002	
Duration of time since switch to spontaneous, per hour	-10.6	(-34.6 to 13.3)	0.38	
Compliance, per mL/cmH2O	2.8	(0.5 to 5.1)	0.013	
PEEP, per cmH <sub>2</sub> 0	-0.69	(-13.1 to 6.2)	0.49	

Abbreviations: PEEP: positive end expiratory pressure; mL: milliliters; cmH2O: centimeter of water; Multivariate mixed effects panel regression model of one value per hour, clustered by patient.





**Figure S2**. Adjusted increase in respiratory rate increases over time since the transition from control mode to spontaneous mode, among patients











**Figure S5**. PaO<sub>2</sub>/FiO<sub>2</sub> ratio (95% CI) over time among patients *without* tachypnea (respiratory rate <30), low compliance subset





