

# Article Machine Learning-Based Shoveling Trajectory Optimization of Wheel Loader for Fuel Consumption Reduction

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Abstract: The difference in fuel consumption of wheel loaders can be more than 30% according to different shoveling trajectories for shoveling operations, and the optimization of shoveling trajectories is an important way to reduce the fuel consumption of shoveling operations. The existing shoveling trajectory optimization method is mainly through theoretical calculation and simulation analysis, which cannot fully consider the high randomness and complexity of the shoveling process. It is difficult to achieve the desired optimization effect. Therefore, this paper takes the actual shoveling operation data as the basis. The factors that have a high impact on the fuel consumption of shoveling are screened out through Kernel Principal Component Analysis. Moreover, the mathematical model of fuel consumption of shoveling operation is established by Support Vector Machine and combined with the Improved Particle Swarm Optimization algorithm to optimize the shoveling trajectory. To demonstrate the generalization ability of the model, two materials, gravel, and sand, are selected. Meanwhile, the influence of different engine speeds on the shoveling operation is considered. We optimize the shoveling trajectories for three different engine speeds. The optimized trajectories are verified and compared with the sample data and manually controlled shoveling data. The results show that the optimized trajectory can reduce the fuel consumption of shoveling operation by 27.66% and 24.34% compared with the manually controlled shoveling of gravel and sand, respectively. This study provides guidance for the energy-efficient operation of wheel loaders.

Keywords: trajectory optimization; machine learning; wheel loader; fuel consumption

## 1. Introduction

The wheel loader is a relatively versatile construction vehicle widely used in many civil engineering and mining projects. It usually performs a variety of tasks, including shoveling, transporting, and dumping [1]. Among them, the most important form of operation is shoveling. The fuel consumption in the process of shoveling is one of the critical indicators for evaluating the operational efficiency of wheel loaders [2]. Reducing the fuel consumption of wheel loaders in shoveling operations has become an urgent problem. Numerous studies have shown that the fuel consumption of wheel loader shoveling operation is closely related to the shoveling trajectory. The shoveling trajectory is the track of the wheel loader bucket moving in the pile. The fuel consumption of wheel loader shoveling operations with different trajectories has obvious differences [3,4]. Thus, optimizing the shoveling trajectory is an effective way to reduce the fuel consumption of shoveling operations.

The wheel loader is usually divided into three phases, namely the insertion phase, scooping phase, and lifting phase, when carrying out the shoveling operation. Among them, the optimization of the shoveling trajectory in the insertion phase and the shoveling



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**Copyright:** © 2023 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). trajectory in the scooping phase is the key to reducing the fuel consumption of the wheel loader shoveling operation. The main point for the shoveling trajectory in the insertion phase is to determine the insertion depth. Sarata et al. [5] concluded that if the wheel loader is inserted too deep into the pile, the bucket resistance may exceed the maximum power of the wheel loader, and the bucket may get stuck in the pile. Hong et al. [6] planned three shoveling trajectories based on different insertion depths. The different insertion depths resulted in large differences in fuel consumption, and the best insertion depth was obtained in the three trajectories. Xu et al. [7] analyzed the relationship between insertion depth and resistance of the wheel loader and established a mathematical model for the optimization of insertion depth. The results showed that there exists an optimal bucket depth when the wheel loader is shoveling the pile. For the scooping phase, which is the phase with the highest fuel consumption in the whole shoveling process, it is important to determine the shoveling trajectory of the scooping phase to reduce the resistance and fuel consumption. Gong et al. [8] compared the shoveling operation with linear shoveling trajectory and curved shoveling trajectory by ADAMS software and concluded that the energy consumption of linear shoveling trajectory is smaller. Zhang et al. [9] proposed the concept of parallel trajectory, which means the trajectory of the scooping phase should be parallel to the pile plane, and with this trajectory, the resistance of the bucket will be the smallest. The corresponding fuel consumption will be lower. Filla et al. [10] generated 800 sets of trajectories based on four shoveling methods and compared them. The shoveling trajectories with parallel trajectories have obvious advantages. The above studies only start from the part of the shoveling process and ignore the continuity of the shoveling process, which has a limited effect on the optimization of the shoveling trajectory. Meng et al. [11] established the resistance model of the bucket based on the Coulomb theory and obtained the optimized trajectory based on the principle of minimum energy consumption. Yu et al. [12] established the agent model of shoveling trajectory and shoveling efficiency by the Kriging method and obtained the optimized shoveling trajectory under different working conditions by joint RecurDyn-EDEM simulation. Osumi et al. [13] established the bucket resistance model and optimized the shoveling trajectory with the objectives of resistance reduction and energy consumption reduction. Some other scholars optimized the shoveling trajectory by establishing a mathematical model of the shoveling process. Zhang et al. [14] established the trajectory motion model of the electric shovel by kinetic, combined with the pseudospectral method to convert the kinetic model into the algebraic format. They obtained the optimal excavation trajectory by solving the nonlinear programming. Shen et al. [15] modeled the whole excavation process and obtained the excavation trajectory by a rule-based planning method, which significantly reduced fuel consumption. Frank et al. [16] used a dynamic planning method to generate the shoveling trajectory. They conducted tests in a gravel pile, and the results showed a 15% improvement in fuel economy with this method. Yao et al. [17] established a mathematical model of the shoveling process to optimize the shoveling process to reduce fuel consumption, which can be reduced by 30% through simulation analysis. However, in these studies, the optimization of shoveling trajectory is mainly carried out by theoretical analysis and simulation analysis. It ignores the high randomness and complexity of the shoveling operation process, and there is a specific error between the dynamics or mathematical model and the actual shoveling operation [18-20]. As a result, it is difficult to achieve the desired effect in the actual shoveling operation.

Research has always focused on modeling the fuel consumption of shoveling operations. At present, the common fuel consumption modeling method is the theoretical calculation method [21–23]. This method is mainly based on theoretical analysis of energy consumption during the shoveling operation of wheel loaders and then calculates the overall fuel consumption. This method usually cannot consider the large randomness in the process of wheel loader shoveling operation, and there is a large error between it and the actual shoveling operation. In recent years, machine learning methods have shown excellent properties in solving real engineering problems. There have been several successful applications of using machine learning to achieve modeling of operational fuel consumption of engineering vehicles. Dindarloo et al. [24] analyzed the fuel consumption of mining dump trucks at each stage of operation with the objective of fuel consumption of a single operating cycle and constructed a fuel consumption model using machine learning methods. Siami-Irdemoosa et al. [25] established an Artificial Neural Network fuel consumption model to achieve fuel consumption prediction of mining trucks in each cycle with a model error of 10%. Alamdari et al. [26] used machine learning methods such as multiple linear regression, Random Forest, Artificial Neural Network, Support Vector Machine, and Kernel nearest neighbor to predict the fuel consumption of transport trucks and compared the accuracy of each model. Gong et al. [27] used a binary logistic regression model to analyze 21 fuel consumption influencing factors of heavy trucks and obtained 8 influencing factors that have a significant effect on fuel consumption. Meanwhile, the accuracy of the fuel consumption model was compared using Decision Tree, Artificial Neural Network, and Random Forest. Shen et al. [28] constructed an excavator data collection system and proposed an improved PSO-BP model for excavator energy consumption prediction, which achieved good prediction accuracy.

However, there are fewer applications for modeling the fuel consumption of wheel loaders using machine learning. Liu et al. [29] constructed a BP neural network model with wheel loader driver, pile type, and bucket capacity as input features, although the accuracy of the model was high. However, in this study, there are problems of fewer data samples and unreasonable selection of fuel consumption influencing factors, which are difficult to be extended to other application scenarios. Since the shoveling process itself is a high randomness process. There are many factors that can have a great impact on the fuel consumption of shoveling operations. Therefore, determining the reasonable influence factors on the fuel consumption of shoveling is the key task to establishing the fuel consumption model by machine learning. Therefore, this study selects the Kernel Principal Component Analysis (KPCA) method to filter the factors that have a strong influence on the fuel consumption of shoveling operations. Compared with the traditional Principal Component Analysis (PCA) method, KPCA has better nonlinear data processing capability [30]. After obtaining the factors with a strong influence on the fuel consumption of the shoveling operation, a model of the fuel consumption of the shoveling operation is constructed using Support Vector Machine (SVM), a typical machine learning method. It replaces the empirical risk in traditional neural networks with the structured risk minimization principle. It solves the drawback that it is difficult to overcome the local extremes when the sample size is small [31]. After establishing the fuel consumption model, the Improved Particle Swarm Optimization (IPSO) algorithm is selected in this study to optimize the factors with a strong influence on fuel consumption. Compared with the Particle Swarm Optimization (PSO) algorithm, IPSO greatly improves the ability of local optimization search. The optimization algorithm has been widely used to improve operational efficiency by optimizing the operational trajectory. For example, Yuasa et al. [32] optimized the excavating trajectory of an excavator by a Genetic Algorithm based on a parametric mathematical model of the excavating trajectory, loading, and linkage mechanism, which effectively improved the operational efficiency of the excavator. Bi et al. [33] obtained the optimal shoveling trajectory of a cable shovel by a Multi-Objective Genetic Algorithm with the operating time and energy consumption per payload as the optimization objectives.

In response to the above issues and discoveries, this study proposed a method to construct a fuel consumption model by SVM and optimize the shoveling trajectory by IPSO. Firstly, the wheel loader was shoveled several times with three different engine speeds on the gravel pile and sand pile to obtain samples. After that, the factors with a strong influence on the fuel consumption of shoveling operation were selected by KPCA and combined with a Grey relation analysis (GRA) to validate, and the fuel consumption model of shoveling operation were optimized by the IPSO algorithm. The lowest energy consumption trajectory was obtained at different engine speeds.

optimized shoveling trajectory was used for validation experiments and compared with the sample data and manually controlled shoveling data to verify the optimization effect.

#### 2. Shoveling Experiments and Analysis

#### 2.1. Shoveling Principle and Experiment Design

The shoveling method is characterized by the insertion of the wheel loader into the pile to a certain depth. Then, the bucket is turned and lifted by the action of the lift arm, tilt level, and connecting rod to complete the shoveling. Finally, the bucket is lifted. Among them, the shoveling trajectory of the scooping phase is parallel to the surface of the pile, as shown in Figure 1. Thus, the wheel loader can be regarded as a system with three degrees of freedom when carrying out the shoveling operation, which is wheel loader advancing, bucket lifting, and bucket turning. Among them, the bucket lifting and turning are controlled by the lift cylinder and the tilt cylinder, respectively, as shown in Figure 2. The wheel loader displacement, lift cylinder displacement, and tilt cylinder displacement are not the same in different shoveling trajectories, which is the reason for the large difference in fuel consumption during the shoveling operation of wheel loaders. Meanwhile, the different engine speeds provide different power to the wheel loader. Even if the same shoveling trajectory is used, however, due to the difference in engine speed, the fuel consumption of the shoveling operation will also appear as a large gap. Therefore, optimizing the shoveling trajectory at different engine speeds is a critical way to reduce the fuel consumption of the shoveling operation.



Figure 1. Shoveling trajectory.



Figure 2. The process of shoveling operation.

To execute the shoveling trajectory better and avoid the errors caused by manual operation. And to obtain more accurate data about the shoveling process, this study has developed an automatic shoveling experiment platform for a wheel loader. The platform will carry out automatic shoveling by preplanning the shoveling trajectory and setting the relevant shoveling parameters. The relevant parameters during the shoveling operation are recorded, including the wheel loader displacement, cylinder displacement, fuel consumption, engine speed, operation time, and shoveling weight. The recording frequency is 500 Hz, which is recorded once every 0.002 s. The automatic shoveling platform and the main sensors are shown in Figure 3.



**Figure 3.** Automatic shoveling platform and sensors. As shown above, 1 fifth wheel gauge, 2 flow sensor, 3 vehicle PC system, 4 fifth wheel gauge control module, 5 signal receiving system, 6 all data acquisition system, 7 lift cylinder displacement sensor, 8 shoveling weight acquisition system, 9 tilt cylinder displacement sensor.

## 2.2. Trajectory Planning

Due to the different insertion depths, the shoveling trajectories are also different. The fuel consumption of shoveling operations with different shoveling trajectories has obvious differences. In this study, a variety of insertion depths are randomly selected, ranging from 600 mm to 1000 mm. Two types of materials are selected, including gravel and sand. Gravel is characterized by non-uniform, high-density, and large particles. At the same time, sand is characterized as uniform, low-density, and with small particles. Both above materials have high representativeness, and the relevant parameters of the two materials are shown in Table 1. Due to the different angles of repose of the two piles, their shoveling trajectories are also different, as shown in Figure 4.



Figure 4. Range of shoveling depths. (a) Gravel pile; (b) Sand pile.

Table	1.	Pile	parameters.
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Type of Pile	Density (kg/m <sup>3</sup> )	Angle of Repose (°)	Particle Diameter (mm)
Gravel	2672	35.0	20-60
Sand	2392	36.5	<1

The shoveling area *S* consisting of the shoveling trajectory and the surface of the pile is shown in Figure 5. According to the geometric relationship in Figure 5, the shoveling area can be expressed as shown in Equation (1). However, the shoveling trajectory is not the same. However, the shoveling area composed of the shoveling trajectory and surface of the pile is always constant and equal to the bucket cross-section. When the length of  $l_{AB}$  is determined, both  $l_{BC}$  and  $l_{CD}$  can be expressed as a function of  $l_{AB}$  only. This is shown in Equation (2). When the shoveling area is held constant, different shoveling trajectories can be obtained by adjusting the length of  $l_{AB}$ .

$$S = \frac{V}{L} = \frac{1}{2} l_{AB} l_{BQ} + l_{BC} l_{BP}$$
(1)

where *V* is the bucket volume, and *L* is the bucket length.

$$\begin{cases}
l_{BP} = l_{AB} \sin(\alpha) \\
l_{CD} = l_{BQ} = l_{AB} \tan(\alpha) \\
l_{BC} = \frac{S}{l_{BP}} - \frac{l_{BP}}{\sin(2\alpha)} \\
l_{BC'} = l_{BC} \cos(\alpha)
\end{cases}$$
(2)

where  $l_{AB}$  is the insertion depth of the insertion phase,  $l_{BC}$  is the shoveling length of the scooping phase,  $l_{CD}$  is the shoveling length of the lifting phase,  $l_{BP}$  is the horizontal distance between the trajectory of the scooping phase and the surface of the pile, and  $l_{BC'}$  is the wheel loader displacement of the scooping phase.



Figure 5. Shoveling area.

#### 2.3. Engine Speed Analysis

To obtain the optimal trajectory with different engine speeds. Three different engine speeds are selected, 900 RPM, 1200 RPM, and 1500 RPM, and 40 shoveling operations are performed on the gravel pile and the sand pile with each engine speed. The relevant parameters are recorded during the shoveling process. The pile is recovered after each shoveling operation. The process of shoveling gravel and the process of shoveling sand



are shown in Figure 6. The experimental environment is an open flat area. The average temperature is 22 °C, and the average relative humidity is 83%.

Shoveling sand

Figure 6. Shoveling gravel and shoveling sand.

When the engine speed is 1500 RPM, the engine speed for shoveling gravel and shoveling sand is shown in Figure 7. The shoveling operation process can be divided into four phases with the change of displacement of the lift cylinder and the tilt cylinder, which are the insertion phase, scooping phase, stopping phase, and lifting phase, corresponding to S1, S2, S3, and S4 in Figure 7, respectively.

- 1. Insertion phase: There is no change in the displacement of the lift cylinder and the displacement of the tilt cylinder. The engine speed is maintained at 1500 RPM, but a smaller oscillation will occur. This oscillation is more violent at the beginning of the insertion phase. However, as the insertion depth increases, it gradually calms down and stays at 1500 RPM.
- 2. Scooping phase: The displacement of the tilt cylinder increases rapidly, and the displacement of the lift cylinder steps up. With the increasing displacement of the cylinder, there is a large change in engine speed. When the displacement of the cylinder starts to increase, the engine speed decreases at first, then increases rapidly and is higher than 1500 RPM. When the displacement of the cylinder is stable, the engine speed also falls back to 1500 RPM rapidly.
- 3. Stopping phase: The displacement of the tilt cylinder and lift cylinder has no change, and the engine speed decreases to a lower state in a short time.
- 4. Lifting phase: The displacement of the lift cylinder increases rapidly, and the displacement of the tilt cylinder is almost unchanged. The engine speed decreases to the lowest point in the whole shoveling process in a short period and then increases.



Figure 7. Engine speed during the shoveling process. (a) Shoveling gravel; (b) Shoveling sand.

## 2.4. Fuel Consumption Analysis

The wheel loader fuel consumption per unit time, wheel loader displacement, lift cylinder displacement, and tilt cylinder displacement during the wheel loader shoveling process are shown in Figure 8.

- 1. Insertion phase: The wheel loader is inserted into the pile at a certain distance with traction. In the early insertion phase, the insertion speed of the wheel loader is fast. However, as the insertion depth increases, the resistance to the bucket also increases rapidly, and the wheel loader insertion speed gradually decreases. Meanwhile, the fuel consumption per unit of time rises rapidly after the insertion of the pile and then remains in a relatively stable state.
- 2. Scooping phase: The wheel loader continues to keep moving forward. In the early scooping phase, the wheel loader can move forward rapidly because the material is scooped up by the bucket to provide space for the wheel loader to move forward. In the later scooping phase, the wheel loader advances at a slower speed due to resistance. It is obvious that when the lift cylinder and tilt cylinder remain unchanged, the fuel consumption per unit time remains at a relatively stable level. The fuel consumption per unit time increases rapidly when the displacement of the lift cylinder and tilt cylinder increases and then is in a stable state.
- 3. Stopping phase: The resistance of the wheel loader increases sharply, and the wheel loader is forced to stop moving forward. However, to prevent the wheel loader from moving backward, the wheel loader will continue to keep moving ahead and move forward for a short distance. The fuel consumption per unit time is then reduced to the lowest state during the entire shoveling operation.
- 4. Lifting phase: The wheel loader is subjected to inertia in the process of lifting the bucket and continues to keep moving forward. And the fuel consumption per unit time increases with the displacement of the lift cylinder and then remains stable.



Figure 8. Fuel consumption during the shoveling process. (a) Shoveling gravel; (b) Shoveling sand.

The sensor on the wheel loader is a flow sensor. The total fuel consumption needs to be obtained by the calculation formula, which is shown in Equation (3). As an example, in Figure 8, the total fuel consumption, the fuel consumption of each phase, and the percentage of the total fuel consumption by each phase are shown in Table 2.

$$C = \frac{\sum_{i=1}^{n} c_i}{f \cdot 3600} \cdot 1000 \tag{3}$$

where *C* is the total fuel consumption, mL, *c* is the fuel consumption per unit time at a certain moment,  $L \cdot h^{-1}$ , *f* is the frequency, Hz.

Table 2. Fuel consumption.

Type of Pile	Parameter	Insertion Phase	Scooping Phase	Stopping Phase	Lifting Phase	Total
Gravel	Fuel consumption (mL)	27.12	49.27	4.10	15.61	96.10
	Percentage (%)	28.22	51.27	4.27	16.24	100.00
Sand	Fuel consumption (mL)	15.97	41.15	6.45	24.68	88.26
	Percentage (%)	20.51	52.83	8.29	31.68	100.00

According to Figure 8 and Table 2. The fuel consumption in the scooping phase is the highest, and the fuel consumption in the stopping phase is the lowest. In shoveling gravel, the fuel consumption in the insertion phase is higher than that in the lifting phase. While shoveling sand, the fuel consumption in the insertion phase is less than that in the lifting phase. This is related to the material properties, as gravel is a denser and less loose material. The wheel loader needs more operation time and energy consumption when inserting the gravel pile. Sand, on the other hand, is less dense and relatively looser. The wheel loader is easier to insert from the sand pile and therefore generates less fuel consumption when inserting into the sand pile. In short, the fuel consumption of shoveling gravel is higher than that of shoveling sand with the same engine speed, which is determined by the material characteristics. From Figure 8, the lift cylinder displacement and tilt cylinder displacement within different phases all affect fuel consumption, which increases with the expansion of cylinder displacement. Meanwhile, the different engine speed of the wheel loader leads to the different initial velocity of the wheel loader when inserted into the pile, which will directly affect the time of wheel loader shoveling operation. Moreover, the engine speed is related to the wheel loader power, which will have a direct impact on the fuel consumption of the shoveling operation. In addition, the final shoveling weight will also have an impact on the fuel consumption of the shoveling operation. It is mainly reflected in the lifting phase; if the shoveling weight is too high, it will increase the extra fuel consumption.

#### 3. Research Methodology

#### 3.1. KPCA and GRA

KPCA is an improved processing method of PCA. As for PCA, it only applies to linear data, and there is a significant error when dealing with nonlinear data. In contrast, KPCA can largely preserve the local structure of nonlinear data by introducing kernel functions. Meanwhile, PCA will map data points into a low-dimensional space when dealing with high-dimensional data, facing dimensional disaster and leading to the loss of distance information between data points. Therefore, KPCA is more advantageous than PCA [34]. The basic idea is to project the samples that are linearly indistinguishable in the low-dimensional space to the high-dimensional space and make them linearly distinguishable by the kernel function [35]. For a set of samples  $X = [x_1, x_2, ..., x_n] \in \mathbb{R}^m$ , the mapping function is

$$\sum_{i=1}^{n} \varphi(x_i) = 0(\varphi : \mathbb{R}^m \to \mathbb{R}^k(k \gg m))$$
(4)

where  $\varphi(x_i)$  is the mapping function,  $R^m$  is an m-dimensional vector, and  $R^k$  is a k-dimensional vector.

Then the covariance matrix can be expressed as

$$C = \frac{1}{n} \sum_{i=1}^{n} \varphi(x_i) \varphi(x_i)^T$$
(5)

Suppose the eigenvalue of C is  $\lambda$ , and its corresponding eigenvector is v, the result is

$$\lambda v = Cv = (\frac{1}{n} \sum_{i=1}^{n} \varphi(x_i) \varphi(x_i)^T) v = \frac{1}{n} \sum_{i=1}^{n} (\varphi(x_i)^T v) \varphi(x_i)$$
(6)

For  $\lambda v = Cv$ , by multiplying each left and right by  $\varphi(x_i)$ , we can obtain  $\lambda \varphi(x_i)v = Cv\varphi(x_i)$ . The introduced kernel function matrix can be expressed as  $K_{ij} = K(x_i, x_j) = \varphi(x_i)\varphi(x_j)$ . Then, we can obtain  $n\lambda a = Ka$ , where *a* is the eigenvector of *K*. Then, the projection of *x* into the higher dimensional space can be expressed as

$$v\varphi(x) = \sum_{i=1}^{n} a_i \varphi(x_i)\varphi(x) = \sum_{i=1}^{n} a_i K(x_i, x)$$
(7)

In this study, the Gaussian kernel function is chosen as the kernel function, which is defined as follows:

$$K(x,q) = \frac{\exp(-\|x-q\|^2)}{\sigma^2}$$
(8)

where  $\sigma$  is the function parameter, and *q* is the center of the function.

GRA is an analytical method based on grey system theory, mainly used to study the strength of association between influencing factors. It converts the grey information between multiple factors into black-and-white information, then uses the magnitude of the grey relation coefficient value as the basis for evaluating the strength of the correlation. The specific steps are as follows.

- 1. Select the parent sequence *Y* and the subsequence *X*.
- 2. To eliminate the influence of the magnitude, the parent sequence and the subsequence are normalized, and the normalized parent sequence is recorded as Y' and the subsequence is recorded as X'.
- 3. The formula is shown in Equation (9) to calculate the grey relation coefficient.

$$\xi(i) = \frac{\min_i(\min|Y' - X'(i)|) + \rho \max_i(\max|Y' - X'(i)|)}{|Y' - X'(i)| + \rho \max_i(\max|Y' - X'(i)|)}, \ i = 1 \cdots n$$
(9)

where  $\rho$  is the discriminant coefficient, which takes values from 0 to 1 and is taken as 0.5 in this paper.

## 3.2. SVM

SVM is to use the kernel function to map the sample data eigenvalues in the lowdimensional space to the corresponding high-dimensional space. And the optimal hyperplane is determined in the high-dimensional space based on the structural risk minimization principle [36]. The expression of the classification hyperplane is shown in Equation (9), the objective function is shown in Equation (10), and the constraints are shown in Equation (11).

$$f(x) = w \cdot x + b \tag{10}$$

$$\min \frac{1}{2} \|w\|^2 + g \sum_{i=1}^m \left(\xi_i + \xi^*\right) \tag{11}$$

where *w* is the normal vector of the hyperplane, *x* is the input vector, *b* is the hyperplane translation distance,  $\xi$  is the relaxation factor, *g* is the penalty factor, *m* is the number of samples,  $\varepsilon$  is the insensitivity coefficient factor, and  $\varphi$  is the mapping function.

The output function of the SVM can be expressed as

$$g(x) = \sum_{i=1}^{m} a_i K(x_i, x_j) + b$$
(13)

where  $a_i$  is the Lagrange multiplier, and K is the kernel function, and the radial basis kernel is chosen as the kernel function in this paper, as shown in Equation (13):

$$K(x_i, x_j) = \exp(\frac{-\|x_i - x_j\|^2}{2c^2})$$
(14)

where *c* is the kernel function parameter.

For machine learning models, optimizing hyperparameters is decisive for the model performance [37]. A reasonable choice of hyperparameters is beneficial to improve the performance of the model. Studies have shown that the optimization of penalty factor g and kernel function parameter c in SVM is an effective way to improve the accuracy of SVM [38]. To obtain reasonable g and c and avoid overfitting of the model. In this study, we choose the method of K-fold Cross Validation (CV) to obtain reasonable parameters, and the pseudo-code of K-fold CV optimize g and c is shown in Algorithm 1.

Algorithm 1 K-fold Cross Validation

1: begin
2: bestaccuracy; <i>c</i> <sub>best</sub> ; <i>g</i> <sub>best</sub> ;
3: <b>for</b> $c = c_{\min}^2 : c_{\max}^2$
4: <b>for</b> $g = g_{\min}^2 : g_{\max}^2$
5: divide the dataset equally into <i>K</i> groups;
6: <b>for</b> = $1:K$
7: divide the dataset equally into <i>K</i> groups;
8: train( <i>K</i> ) as the test set, the rest as the training set;
9: record the accuracy of the test set ace( <i>K</i> );
10: <b>end</b> ;
11: $cv = (ace(1) + ace(2) + \dots + ace(K))/K;$
12: if cv > bestaccuracy
13: bestaccuracy = cv; $c_{best} = c$ ; $g_{best} = g$ ;
14: end;
15: <b>end</b> ;
16: <b>end</b> ;
17: end.

# 3.3. IPSO

PSO is inspired by the foraging behavior of bird populations in nature, and the basic units in PSO are particles. Each particle is described by three features: fitness value, position, and velocity. Each particle in the algorithm is measured by the fitness function to measure the degree of merit of this particle. The velocity of the particle is influenced by itself and the population and can be adjusted during each iteration. And the position describes the current location of the particle and is updated by the velocity. The updated formulas for velocity and position are shown in Equations (14) and (15), respectively [39].

$$v_i = wv_i + c_1 r_1 (p_i - x_i) + c_2 r_2 (g_{best} - x_i)$$
(15)

$$x_i = x_i + v_i \tag{16}$$

where w is the inertia coefficient, c is the acceleration factor, and r is a random number of [0, 1].

The choice of the inertia coefficient w directly affects the convergence of the PSO. In traditional PSO, w is taken as 1 by default. w tends to be the global search for larger PSO and the local search for smaller PSO. To avoid premature maturation of the algorithm and oscillation of particles near the global optimal solution in the late stage of the algorithm, the value of w is usually taken to decrease linearly with the increase of the number of iterations. However, it requires repeated trials to determine the maximum, minimum, and number of iterations, and the optimal value may not be found. Thus, an Improved Particle Swarm algorithm is applied in this study for implementing a nonlinear dynamic adaptive adjustment of the inertia coefficient w such that the inertia coefficient w can follow the change of the fitness value, calculated as shown in Equation (16) [40].

$$w = \begin{cases} w_{\min} + \frac{(w_{\max} - w_{\min})(f - f_{\min})}{f_{avg} - f_{\min}} & (f \le f_{avg}) \\ w_{\max}(f > f_{avg}) \end{cases}$$
(17)

#### 4. SVM Fuel Consumption Model and IPSO Optimization

4.1. KPCA Processing and GRA Verification

From the previous analysis, it can be seen that the wheel loader displacement, lift cylinder displacement, and tilt cylinder displacement in different phases will influence fuel consumption. Meanwhile, the initial velocity, operation time, and shoveling weight will also influence fuel consumption. Since the displacement of the lift cylinder and the tilt cylinder in some phases do not change. The displacement of the wheel loader in the four phases, the displacement of the lift cylinder and the tilt cylinder in the four phases, the displacement of the lift cylinder in the lift cylinder in the lift cylinder in the lift cylinder in the scooping phase, the displacement of the lift cylinder in the lifting phase, the initial velocity, the operation time, and the shoveling weight, a total of 10 factors, are taken as the initial fuel consumption influencing factors. The partial data of the gravel sample and the sample are shown in Table 3.

Type of Pile	E		1-10-1	Displacement of Wheel Loader				Displacement of Lift Cylinder		Omenation	Shoveling	Fuel	
	Speed (RPM)	Number	Velocity (m·s <sup>−1</sup> )	Insertion Phase (mm)	Scooping Phase (mm)	Stopping Phase (mm)	Lifting Phase (mm)	Cylinder in Scooping Phase (mm)	Scooping Phase (mm)	Lifting Phase (mm)	Time (s)	Weight (kg)	Fuel Consumption (mL)
		1	0.48	802	1431	259	182	27	301	281	13.62	4771	73.63
		2	0.49	789	1536	105	39	20	307	265	13.31	5116	74.34
	900	3	0.35	995	1061	134	125	21	304	277	19.04	4966	141.51
_		40	0.42	789	1563	134	202	26	303	279	18.58	4927	142.70
		1	0.81	749	1508	462	103	20	308	267	13.03	4609	62.54
		2	0.83	718	1738	105	125	28	300	282	12.64	4934	63.88
Gravel	1200	3	0.75	745	1699	77	163	25	302	270	14.99	5103	110.32
		40	0.79	779	1431	249	144	32	296	251	15.79	4284	111.48
	1500	1	1.17	806	1334	164	355	28	299	278	14.62	3894	73.49
		2	1.09	819	1392	240	67	20	309	258	11.73	4914	75.12
		3	1.18	730	1724	202	422	28	299	278	15.96	3796	76.96
		40	1.16	729	1669	 173	345	28	298	279	16.57	3770	102.71
		1	0.43	787	1521	307	173	71	263	270	11.22	5409	55.49
		2	0.50	739	1651	183	230	93	241	260	13.55	5474	68.65
	900	3	0.48	682	1787	39	537	89	244	225	17.72	6722	81.59
_		40	0.37	748	1632	173	250	 90	243	271	15.87	6449	83.71
		1	0.86	707	1755	192	67	94	237	270	13.08	5864	62.71
		2	0.83	768	1579	221	134	73	261	276	12.11	5844	63.72
Sand	1200	3	0.84	960	1110	96	105	23	328	275	16.27	5441	70.59
Santa		40	0.81	729	1684	240	211	68	265	275	14.31	6878	74.78
		1	1.16	682	1841	192	259	99	233	263	14.16	5909	61.06
		2	1.17	670	1899	96	250	94	237	265	12.78	6117	61.33
	1500	3	1.17	826	1418	144	96	94	239	270	11.77	6241	63.60
		40	1.20	889	1234	173	364		237	271	13.36	6052	64.94

Table 3. Part of the sample data.

Due to the different magnitudes among the initial fuel consumption influence factors, they need to be normalized. After the normalization process and then the KPCA operation, the contribution rate and cumulative contribution rate are calculated as shown in Equation (17). The contribution rate and cumulative contribution rate of each factor are shown in Table 4. The factors that have the greatest influence on the fuel consumption of shoveling operation are initial velocity and insertion phase displacement of the wheel loader. The initial velocity is related to the engine speed, which is responsible for providing power for the whole shoveling operation process. While the wheel loader displacement in the insertion phase is related to the insertion resistance, and the wheel loader reaches the peak of resistance in the insertion phase, which is the key factor that influences fuel consumption. In the sand sample, the contribution of shoveling weight is greater than the operation time, while in the gravel sample, the contribution of operation time is slightly greater than the shoveling weight. This is related to the experimental environment, which is affected by the climate, where the humidity in the air increases and the sand appears to stick, so the shoveling weight is higher when shoveling sand. The scooping phase is the most important phase in the shoveling operation process, so the displacement of the wheel loader in this phase also has a greater influence on the fuel consumption of the shoveling operation. From Figure 8, the cylinder displacement will influence the fuel consumption of the shoveling operation, but compared with other factors, the influence of cylinder displacement on the fuel consumption of the shoveling operation is not great. According to the principle of KPCA preference, the requirement of replacing all factors can be achieved for factors with a cumulative contribution of 90% [41]. In the gravel samples and sand samples, the initial velocity, wheel loader displacement in the insertion phase, shoveling weight, operation time, and wheel loader displacement in the scooping phase, the cumulative contribution rate is greater than 90%. Thus, these five factors are selected as the key factors for the influence of fuel consumption of shoveling operation, and further research is conducted.

$$\begin{cases} \gamma = \frac{\lambda_j}{\sum\limits_{i=1}^{n} \lambda_i} \\ \sum\limits_{i=1}^{m} \lambda_i \\ \gamma' = \frac{\sum\limits_{i=1}^{n} \lambda_i}{\sum\limits_{i=1}^{n} \lambda_i} \end{cases} (j = 1, 2, \cdots, n)$$
(18)

where  $\lambda$  is the eigenvalue,  $\gamma$  is the contribution rate, and  $\gamma'$  is the cumulative contribution rate.

Gravel			Sand				
Parameters	γ (%)	$\gamma'$ (%)	Parameters	γ (%)	γ′ (%)		
Initial velocity	31.64	31.64	Initial velocity	31.00	31.00		
Displacement of wheel loader in insertion phase	27.04	58.68	Displacement of wheel loader in insertion phase	24.42	55.42		
Operation time	15.36	74.03	Shoveling weight	13.99	69.41		
Shoveling weight	15.35	89.39	Operation time	13.79	83.20		
Displacement of wheel loader in scooping phase	6.56	95.95	Displacement of wheel loader in scooping phase	10.70	93.89		
Displacement of wheel loader in stopping phase	2.65	98.60	Displacement of wheel loader in stopping phase	5.64	99.53		
Displacement of wheel loader in lifting phase	1.13	99.73	Displacement of wheel loader in lifting phase	0.29	99.82		
Displacement of lift cylinder in scooping phase	0.24	98.97	Displacement of lift cylinder in scooping phase	0.16	98.99		
Displacement of lift cylinder in lifting phase	0.02	99.98	Displacement of lift cylinder in lifting phase	0.01	99.99		
Displacement of tilt cylinder in scooping phase	0.01	100.00	Displacement of tilt cylinder in scooping phase	0.01	100.00		

**Table 4.** Contribution rate and the cumulative contribution rate of each factor.

In order to verify the correlation between the key factors of fuel consumption influence and fuel consumption, the GRA is selected to test the correlation. The fuel consumption is chosen as the parent sequence, and the key factors of fuel consumption influence the subsequence. After calculation, the grey relation coefficients of gravel samples and sand samples are shown in Table 5. The more the grey relation coefficient value tends to 1, the higher the correlation between the subsequence and the parent sequence. The grey relation coefficient values are greater than 0.75 in the gravel samples and sand samples. There is a greater correlation between fuel consumption and key factors of fuel consumption influence. It proves that the key factors of fuel consumption influence screened by KPCA are effective.

Table 5. Grey relation coefficients.

Type of Pile	Initial Velocity	Displacement of Wheel Loader in Insertion Phase	Shoveling Weight	Operation Time	Displacement of Wheel Loader in Scooping Phase
Gravel	0.792	0.782	0.834	0.789	0.779
Sand	0.762	0.795	0.894	0.846	0.822

#### 4.2. SVM Model

According to the results of KPCA, the initial velocity, the displacement of the wheel loader in the insertion phase, the shoveling weight, the operation time, and the displacement of the wheel loader in the scooping phase are selected as the input layer, and the fuel consumption as the output layer. Among 120 sets of gravel samples and samples, respectively, 100 sets of samples are randomly selected as the training set, and the other 20 sets are used as the test set. Meanwhile, the penalty factor g and the kernel function parameter *c* are optimized by combining them with the CV method. The optimal *c* and *g* for the gravel fuel consumption model are 3.03 and 143.58, respectively, and for the sand fuel consumption model, they are 4.32 and 123.52, respectively. The accuracy effect of the fuel consumption model is shown in Figure 9. Meanwhile, root mean square error (RMSE), average relative error (ARE), and goodness of fit  $R^2$  are used in this study as indicators to evaluate the performance of the fuel consumption model. The smaller the RMSE and ARE, the smaller the deviation between the predicted value and the true value, and the higher the accuracy of the model. The value of  $R^2$  is closer to 1, which indicates a higher degree of fit and higher accuracy of the model, and its calculation formula is as follows. The evaluation indicators of the fuel consumption model are shown in Table 6.

$$RMSE = \sqrt{\frac{1}{n} \sum_{i=1}^{n} (y_i^* - y_i)^2}$$
(19)

$$ARE = \frac{1}{n} \sum_{i=1}^{n} \left| \frac{y_i - y_i^*}{y_i} \right|$$
(20)

$$R^{2} = 1 - \frac{\sum_{i=1}^{n} (y_{i} - y_{i}^{*})^{2}}{\sum_{i=1}^{n} (y_{i} - \overline{y}_{i})^{2}}$$
(21)

where  $y_i$  is the true value,  $y_i^*$  is the predicted value,  $\overline{y}_i$  is the mean of the true value, and n is the number of samples.



**Figure 9.** Prediction performance of fuel consumption model. (**a**) Gravel fuel consumption model; (**b**) Sand fuel consumption model.

Test set samples

(b)

Type of Pile	<b>ARE (%)</b>	RMSE	<b>R</b> <sup>2</sup>
Gravel	4.91	5.91	0.9764
Sand	3.49	3.08	0.9494

Table 6. Fuel consumption model evaluation indicators.

Test set samples (a)

In the gravel fuel consumption prediction model, the ARE is 4.91%, the RMSE is 5.91, and the  $R^2$  is 0.9764. While in the sand fuel consumption prediction model, the ARE is 3.49%, the RMSE is 3.08, and the  $R^2$  is 0.9494. In terms of prediction accuracy, the prediction accuracy of the gravel fuel consumption prediction model is lower than that of the sand fuel consumption prediction model. And in terms of fitting ability, the gravel fuel consumption prediction model has a better fitting ability. Overall, the SVM fuel consumption prediction model showed better prediction ability in both the gravel samples and the sand samples. The deviation between the predicted and true values of the model is slight, and the model has high stability and generalization ability. To further test the rationality of KPCA. Different dimensions of fuel consumption influence factors are selected as input layers in the SVM fuel consumption model. The same training set and test set are selected, and ARE is used as the evaluation indicator. The prediction performance of the gravel model and sand model is shown in Figure 10. When the dimension increases, the value of ARE decreases rapidly, and when the dimension is 5, the ARE of the gravel model and sand model reaches the lowest point. When the dimension continues to increase, the values of ARE both have a small increase.

## 4.3. IPSO Optimization Process

To obtain the optimal shoveling trajectory with different engine speeds. IPSO is used to optimize the SVM fuel consumption model. The indicators of optimization are the input features of the SVM model, which are the initial velocity, the displacement of the wheel loader in the insertion phase, the shoveling weight, the operation time, and the displacement of the wheel loader in the scooping phase. Among them, the initial velocity exists in different intervals depending on the engine speed. The displacement of the wheel loader in the scooping phase is not involved in the optimization search due to the limitation of trajectory planning, and the results are obtained by the calculation of wheel loader displacement in the insertion phase. As for the operation time and shoveling weight, which is a posteriori data, as long as they correspond to the upper and lower limits of the sample data, the optimization intervals of each parameter are shown in Table 7.



Dimensions of fuel consumption influence factors

Figure 10. Dimension of fuel consumption influence factors and model accuracy.

Demonstration	T / 1		Gravel Model			Sand Model		
Parameters	Interval	900 RPM	1200 RPM	1500 RPM	900 RPM	1200 RPM	1500 RPM	
Initial velocity	Upper limit	0.6	0.95	1.3	0.6	0.95	1.3	
$(\mathbf{m} \cdot \mathbf{s}^{-1})$	Lower limit	0.3	0.65	1.05	0.3	0.65	1.05	
Displacement of wheel	Upper limit			10	00			
(mm)	Lower limit	600						
Chavalina waight (ka)	Upper limit	5526	5545	5558	7294	7441	7520	
Shovening weight (kg)	Lower limit	4459	4609	3913	5400	5773	5519	
	Upper limit	25	23	21	25	26	23	
Operation time (s)	Lower limit	12	12	10	11	12	10	
Displacement of wheel loader in scooping phase (mm)			Calculated	according to Equ	uation (2)			

In the IPSO algorithm,  $c_1$  and  $c_2$  are set to 1.5. The number of iterations is set to 200. The population size is set to 30. The optimal trajectories of the gravel model and the sand model are optimized for three different engine speeds, respectively. The optimization process is shown in Figure 11, and the optimization results are shown in Table 8.





**Figure 11.** IPSO optimization iterative process. (a) Gravel-900 RPM; (b) Gravel-1200 RPM; (c) Gravel-1500 RPM; (d) Sand-900 RPM; (e) Sand-1200 RPM; (f) Sand-1500 RPM.

Type of Pile	Engine Speed (RPM)	Initial Velocity (m·s <sup>−1</sup> )	Displacement of Wheel Loader in Insertion Phase (mm)	Displacement of Wheel Loader in Scooping Phase (mm)	Fuel Consumption (mL)
	900	0.59	704	1778	55.05
Gravel	1200	0.86	686	1843	59.58
	1500	1.16	698	1799	48.59
	900	0.48	839	1272	48.12
Sand	1200	0.79	749	1520	48.16
	1500	1.25	733	1569	56.87

 Table 8. Optimization results.

## 5. Validation Experiment and Discussion

5.1. Validation Experiment

To verify the performance of the optimized shoveling trajectory, the corresponding trajectories were planned at different engine speeds according to the data in Table 8, and the research line of thought is shown in Figure 12. Three experiments at each of three different engine speeds with optimized trajectories in a gravel pile and sand pile and the results of the shoveling experiments with the shoveling operation parameters are shown in Table 9. Due to the large randomness of the shoveling operation itself and the accuracy problem in the data collection process, which led to certain errors in the testing process, it was difficult to ensure that the trajectory remained the same as the theoretical trajectory even by using the automatic shoveling platform. Therefore, in the insertion phase, when the error between the actual insertion distance of the wheel loader and the theoretical trajectory.



Figure 12. The research line of thought.

Table 9. Validation experiment shoveling operation parameters and fuel consumption.

Type of Pile	Engine Speed (RPM)	Number	Initial Velocity (m·s <sup>−1</sup> )	Displacement of Wheel Loader in Insertion Phase (mm)	Displacement of Wheel loader In Scooping Phase (mm)	Operation Time (s)	Shoveling Weight (kg)	Fuel Consumption (mL)	Average Fuel Consumption (mL)
		1	0.56	719	1690	10.99	4492	53.50	
	900	2	0.53	713	1710	11.79	4602	51.91	54.50
		3	0.49	722	1703	11.20	4570	58.09	
		1	0.83	702	1804	12.02	4641	67.64	
Gravel	1200	2	0.81	704	1785	12.49	4713	58.68	61.97
		3	0.81	694	1748	12.55	4596	59.60	
		1	1.14	726	1737	8.01	4388	45.09	
	1500	2	1.12	704	1844	10.56	4414	47.30	44.59
		3	1.09	725	1745	7.33	3770	41.38	
		1	0.52	826	1432	10.52	4232	49.24	
	900	2	0.47	855	1342	9.85	5357	50.23	49.98
		3	0.49	819	1436	9.90	5207	50.48	
		1	0.81	747	1668	9.31	4966	49.78	
Sand	1200	2	0.77	758	1601	10.10	4550	47.54	50.00
-		3	0.87	730	1643	10.39	4843	52.69	
		1	1.14	728	1594	10.65	6514	56.50	
	1500	2	1.14	739	1603	11.12	6442	64.64	57.42
		3	1.15	749	1488	11.37	4758	51.11	

The average fuel consumption of the validation experiment, the theoretical fuel consumption of IPSO optimization, the minimum fuel consumption of the sample, the maximum fuel consumption of the sample, and the average fuel consumption of the sample are shown in Figure 13. The deviation between the average fuel consumption of the validation experiment and the theoretical fuel consumption of IPSO optimization is small. The maximum deviation value is 8.23%, and the accuracy of the fuel consumption model is high. The deviation from the actual shoveling operation is small. In Gravel-1200 RPM, the experimental average fuel consumption is slightly higher than the minimum fuel consumption of the sample, and the fuel consumption is improved by 0.62%. However, in the other models, the average fuel consumption of the validation experiment is reduced compared with the minimum fuel consumption of the sample. Among them, the maximum reduction in fuel consumption is 22.63% in Gravel-900 RPM. The average fuel consumption of the validation experiment is substantially reduced compared to the sample maximum fuel consumption, with a maximum reduction of 73.65% and a minimum reduction of 55.70%. In the gravel model with three different engine speeds, the average fuel consumption of the validation experiment is reduced by 60.93%, 45.79%, and 49.10%, respectively, compared to the sample average fuel consumption. In the sand model with three different engine speeds, the average fuel consumption of the validation experiment is reduced by 30.93%, 35.56%, and 28.59%, respectively, compared with the sample average fuel consumption. The optimized shoveling trajectory effectively reduced the fuel consumption of the shoveling operation.





To further compare the performance of the optimized shoveling trajectory, a comparison with the shoveling operation of a manually operated wheel loader is selected. In manually controlled shoveling, there are no restrictions on the parameters such as the engine speed of the wheel loader, displacement of the wheel loader in the insertion phase, and displacement of the wheel loader in the scooping phase. Wheel loader drivers will conduct random shoveling according to their driving habits and operating experience. To increase the comparability, two drivers are selected to carry out 10 shoveling operations on the gravel pile and sand pile, respectively, in the same experimental conditions and record the parameters during the shoveling operations. The parameters of shoveling operations are shown in Figure 14, and the fuel consumption of shoveling operations is shown in Table 10.

Driver	Shoveling Operation	Fuel Consumption										Average Fuel Consumption
Driver A	Shoveling gravel	71.67	64.06	92.31	72.56	75.38	71.89	63.60	71.72	76.12	66.70	72.60
	Shoveling sand	72.65	62.36	72.07	64.77	68.66	92.63	76.10	72.38	65.51	66.17	71.33
Driver B	Shoveling gravel	84.85	65.72	74.56	77.76	72.16	74.00	65.73	68.77	96.22	78.53	75.83
	Shoveling sand	61.17	57.76	67.28	61.05	74.34	66.57	69.00	74.26	68.23	74.09	67.38

Table 10. Fuel consumption by manually controlled shoveling.





**Figure 14.** Parameters of the shoveling process for driver A and driver B. (**a**) Engine speed; (**b**) Initial velocity; (**c**) Displacement of wheel loader in insertion phase; (**d**) Displacement of wheel loader in scooping phase; (**e**) Operation time; (**f**) Shoveling weight.

The average fuel consumption for the validation experiments of the three optimized trajectories decreased by 29.54%, 19.84%, and 42.33%, respectively, compared to driver A's control of shoveling gravel and by 30.39%, 21.42%, and 43.46%, respectively, compared to driver B. The average fuel consumption of the validation experiments for the three optimized trajectories decreased by 33.63%, 33.60%, and 23.76%, respectively, compared to driver A shoveling sand, and by 29.99%, 29.96%, and 19.58%, respectively, compared to driver B. The average fuel consumption for shoveling gravel with optimized trajectory with three engine speeds is 53.69 mL, which is 26.05% and 29.20% lower compared to Driver A and Driver B, respectively. And the average fuel consumption of shoveling sand with optimized trajectory with three engine speeds is 52.47 mL; compared with Driver A and Driver B, the average fuel consumption is reduced by 26.44% and 22.12%, respectively. The average fuel consumption for manually controlled shoveling of gravel is 74.22 mL, and the average fuel consumption for manually controlled shoveling of sand is 69.35 mL. The fuel consumption can be, on average, reduced by 27.66% when shoveling gravel with an optimized trajectory and by 24.34% when shoveling sand with an optimized trajectory. In conclusion, compared with the manually controlled shoveling operation, the shoveling operation, according to the optimized trajectory, can effectively reduce fuel consumption of shoveling operation.

In order to test the significance of the reduction in fuel consumption for the optimized shoveling trajectory, a *t*-test is chosen to test it in this paper. The samples are selected from the fuel consumption of the optimized shoveling trajectory and the fuel consumption of drivers A and B shoveling gravel and sand, respectively. The *t*-test allows us to obtain statistical conclusions about the significance of the difference between the means of the two groups of samples and to determine their level of statistical significance. First, the hypothesis is established. The null hypothesis  $H_0$  is that there is no significant difference between the fuel consumption data of the optimized shoveling. The alternative hypothesis  $H_1$  is that the fuel consumption data from the optimized shoveling trajectory performs

significantly better than the fuel consumption data from the manually controlled shoveling, as shown in Equation (22). After that, the significance level needs to be determined. In this paper, the significance level  $\alpha$  is chosen as 0.05. Finally, the T-value is calculated and compared with the critical value of the two-sided test, and the formula for calculating the T-value is shown in Equation (23). The fuel consumption of shoveling gravel with optimized shoveling trajectory is 5.02 and 5.50 compared to driver A and B, respectively, while the fuel consumption of shoveling sand with optimized shoveling trajectory is 5.80 and 5.83 compared to driver A and B, respectively, with all T-value greater than the critical value  $t_{0.05/2}(17) = 2.11$ . Therefore, the null hypothesis can be rejected, and the alternative hypothesis is selected. Thus, we can conclude that the fuel consumption with an optimized shoveling trajectory performs significantly better than the fuel consumption with manually controlled shoveling. At the restricted significance level, fuel consumption is significantly reduced with the optimized shoveling trajectory.

$$\begin{cases}
H_0: \mu_1 = \mu_2 \\
H_1: \mu_1 > \mu_2
\end{cases}$$
(22)

where  $\mu_1$  is the overall mean value of fuel consumption for manually controlled shoveling, and  $\mu_2$  is the overall mean value of fuel consumption for optimized shoveling trajectory.

$$T = \frac{\overline{x}_1 - \overline{x}_2}{\sqrt{\frac{s_1^2}{n_1} + \frac{s_2^2}{n_2}}}$$
(23)

where  $\overline{x}_1$  is the sample mean value of fuel consumption of manually controlled shoveling,  $\overline{x}_2$  is the sample mean value of fuel consumption of optimized shoveling trajectory,  $s_1$  is the sample standard deviation of fuel consumption of manually controlled shoveling,  $s_2$  is the sample standard deviation of fuel consumption of optimized shoveling trajectory,  $n_1$  is the sample number of fuel consumption of manually controlled shoveling,  $n_2$  is the sample number of fuel consumption of manually controlled shoveling,  $n_2$  is the sample

#### 5.2. Discussion

This paper is based on the constructed automatic operation platform of the wheel loader. The mathematical model of fuel consumption is established by SVM. And the optimized shoveling trajectory with three different engine speeds in the gravel pile and the sand pile is obtained by combining IPSO. By comparing with the fuel consumption of manually controlled shoveling, the fuel consumption with the optimized shoveling trajectory has been significantly reduced. In this study, only the effect of different engine speeds and different shoveling trajectories on fuel consumption has been considered. There are other influencing factors for fuel consumption. The main factors include the driver's operating ability and the age of the wheel loader. The driver's operating ability will directly affect the fuel consumption, varying from two to three times for different drivers [42]. The influence of manual operation on fuel consumption mainly includes the selection of insertion depth [43], the bucket attitude control during shoveling [44], and the cooperation between the gas pedal and the brake pedal [45]. The power possessed by the wheel loader is different at different engine speeds. When the engine speed is low, if the insertion is too deep, the bucket will get stuck in the pile and cause the tires to slip, increasing fuel consumption. Moreover, if the bucket is turned too much during the shoveling process, the resistance to the bucket will surge, which requires additional fuel consumption. It is worth noting that the shoveling process is not a uniform speed process, which needs a moderate increase and decrease, that is, better cooperation between the gas pedal and the brake pedal. For the age of the wheel loader, it is sure that with the increase in use time, there will be different degrees of wear between the mechanisms, and fuel efficiency will be reduced. In particular, the bucket tip of the bucket, as the part that contacts the pile, suffers the most wear during the long-term shoveling process [46]. Timely replacement or repair of

bucket tips is an effective way to reduce fuel consumption. Automatic shoveling of wheel loaders has undoubtedly become the mainstream development trend in the future [47,48], and automatic shoveling means a more standard operation mode, higher fuel economy, and a corresponding reduction in wear for wheel loaders. At the same time, human safety is ensured to a large extent. Most importantly, automatic shoveling is an effective way to reduce fuel consumption. Azulay et al. [49] developed a controller based on deep reinforcement learning. They conducted experiments on a shoveling robot with three degrees of freedom, which included lift, turn, and speed, and the shoveling efficiency of the robot with this controller was significantly higher than that of the robot with manual control. Dadhich et al. [50] proposed a time-lag neural network-based shoveling operation method for wheel loaders was conducted, and several experiments were successful, with only 26% longer operation time and improved fuel economy for the same shoveling weight. Huang et al. [51] established an automatic shoveling model for wheel loaders by Q-learning, which could reduce fuel consumption by 8.0% and 10.6%, respectively, compared to manual operation by two drivers. Our study promotes the development of automatic shoveling by planning a reasonable shoveling trajectory for it. Moreover, it demonstrates excellent advantages and potential compared to manually controlled shoveling.

In this study, we consider only the fuel consumption with an optimized shoveling trajectory. However, the shoveling weight is the critical indicator for evaluating the efficiency of wheel loaders. The importance of shoveling weight and fuel consumption varies for different shoveling environments. It is essential to recognize that higher shoveling weights are not better. If the wheel loader is overloaded for a long time, it may lead to greater wear on the wheel loader and reduce its service life. In conclusion, the weighting between shoveling weight and fuel consumption must be determined in actual operation. In the future, we will optimize the shoveling trajectory for the actual shoveling environment to balance fuel consumption and shoveling weight. In the meantime, we have only tested in gravel piles and sand piles. Although these two materials are highly representative, we plan to extend them to other applications, such as large rocks. As the material size and irregularities increase, the bucket will shake significantly during shoveling and may even deviate from the planned shoveling trajectory. We must ensure that this deviation is within an acceptable range. Of course, the control of the shoveling process will also become a new challenge.

## 6. Conclusions

In this study, the shoveling trajectories of gravel and sand are optimized at different engine speeds. The corresponding optimized trajectories were obtained with the optimization objective of reducing the fuel consumption of shoveling operations. The results show that the optimized shoveling trajectory effectively reduces the fuel consumption of shoveling operation, and the main conclusions are as follows.

- 1. In this study, the factors with a strong influence on the fuel consumption of shoveling operations are screened by experimental analysis and KPCA. The factors with a strong influence are initial velocity, the wheel loader displacement in the insertion phase, the shoveling weight, the operation time, and the wheel loader displacement in the scooping phase. KPCA results show that the cumulative contribution rate of the above five factors can reach 90%. Meanwhile, the grey relation coefficients between key factors of fuel consumption influence and fuel consumption are all greater than 0.75. It proves that the screening of key factors of fuel consumption influenced by KPCA is effective.
- 2. In this study, the fuel consumption model is established by SVM, and the penalty factor and kernel function parameters in SVM are optimized by CV. The SVM fuel consumption model has high stability and generalization ability, and the deviation between the predicted and true values of the model is small. In the gravel samples, its ARE is 4.91%, RMSE is 5.91, and R<sup>2</sup> is 0.9764. While in the sand samples, the ARE is

3.49%, RMSE is 3.08, and  $R^2$  is 0.9494. The deviation between the true values and the predicted values from the SVM fuel consumption model is small.

3. In this study, the optimized shoveling trajectories are obtained by IPSO for shoveling gravel and shoveling sand at three engine speeds. Validation experiments are conducted based on the optimized trajectory and compared with the sample data fuel consumption and manually controlled shoveling fuel consumption. The results show that the optimized trajectory can significantly reduce the fuel consumption of shoveling operations. For shoveling gravel, the average fuel consumption of the validation experiment is reduced by 60.93%, 45.79%, and 49.10%, respectively, compared with the sample average fuel consumption in the validation experiment is reduced by 30.93%, 35.56%, and 28.59%, respectively, compared with the sample average fuel consumption with three engine speeds. Compared with manually controlled shoveling gravel and sand, fuel consumption can be reduced by 27.66% and 24.34%, respectively.

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