



# Article Fall Detection System Based on Simple Threshold Method and Long Short-Term Memory: Comparison with Hidden Markov Model and Extraction of Optimal Parameters

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Abstract: In an aging global society, a few complex problems have been occurring due to falls among the increasing elderly population. Therefore, falls are detected using a pendant-type sensor that can be worn comfortably for fall detection. The sensed data are processed by the embedded environment and classified by a long-term memory (LSTM). A fall detection system that combines a simple threshold method (STM) and LSTM, the STM-LSTM-based fall detection system, is introduced. In terms of training data accuracy, the proposed STM-LSTM-based fall detection system is compared with the previously reported STM-hidden Markov model (HMM)-based fall detection system. The training accuracy of the STM-LSTM fall detection system is 100%, while the highest training accuracy by the STM-HMM-based one is 99.5%, which is 0.5% less than the best of the STM-LSTM-based system. In addition, in the optimized LSTM fall detection system, this may be overfitted because all data are trained without separating any validation data. In order to resolve the possible overfitting issue, training and validation data are evaluated separately in 4:1, and then in terms of validation data accuracy of the STM-LSTM-based fall detection system, optimal values of the parameters in LSTM and normalization method are found as follows: best accuracy of 98.21% at no-normalization, no-sampling, 128hidden layer nodes, and regularization rate of 0.015. It is also observed that as the number of hidden layer nodes or sampling interval increases, the regularization rate at the highest value of accuracy increases. This means that overfitting can be suppressed by increasing the regularization, and thus an appropriate number of hidden layer nodes and a regularization rate must be selected to improve the fall detection efficiency.

Keywords: fall detection; the elderly; long short-term memory (LSTM); overfitting; regularization

# 1. Introduction

It was reported by the World Health Organization (WHO) that 30% of the population aged 65 or older suffer from falls more than once a year and this percentage increases to 50% in the population aged 80 or older [1,2]. The fall-related consequences are serious injuries (e.g., femoral neck fracture, brain damage, skin burns), which in most cases lead to physical and cognitive disability or death in cases of undetected falls [2–4]. To reduce these risks, such as death rate and severity of injuries, by detecting falls as quickly as possible, researchers are now focusing on developing automatic fall detection systems that generate alerts when events occur [5–7].

Studies on fall detection of the elderly with wearable devices [8–10] or smartphones [11–15] using 3-axial accelerometer have been reported. The devices with 3-axial accelerometer are attached to the body to distinguish between falls and activities of daily living (ADL). Among the existing studies, a simple threshold method (STM) and machine learning methods for fall detection of the elderly were reported [16–23]. The STM is weak against



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**Copyright:** © 2022 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). noise, and it is difficult to distinguish it from patterns relatively similar to falls such as lying or sitting. Machine learning methods, such as hidden Markov model (HMM) [17,18], layered hidden Markov model (LHMM) [19], decision tree (DT) [20], K-nearest neighbor (KNN) [21,22], and support vector machine (SVM) [22,23], provided a higher accuracy in fall detection than the STM. In the case of HMM, there are many problems in obtaining a temporally aligned sequence and ensuring that the data satisfy a fixed distribution [24]. SVM has the disadvantage of increasing resource consumption and slowing down as the number of classifications increases [25]. To compensate for these shortcomings, research using deep neural networks was needed. Accordingly, there is an increasing number of reports on research on fall detection systems that apply deep neural networks to wearable devices [26–31]. Gated recurrent unit (GRU) [27], recurrent neural network (RNN), long short-term memory (LSTM) [26–31], and LSTM combined with convolutional neural network (LSTM-CNN) [30,31] have been studied using 3-axis accelerometer data for fall detection in the elderly. In most studies using LSTM, and GRU, attempts have been made to adjust the LSTM network, combine various networks, and change the type of sensors [32-34]. In LSTM-CNN, methods, such as 1-dimensional (1D) or 2-dimensional (2D) convolution depth and layer addition, were used [34–37]. RNNs suffer from the gradient vanishing problem [38], and LSTM and GRU are variants of traditional RNN, which is proposed for solving the problem of RNN. As GRU does not contain many trainable parameters, accuracy and computation speed of GRU are relatively lower and faster than those of LSTM, respectively [27]. As the network of LSTM-CNN is relatively complicated, the computation speed can be slower than that of LSTM and GRU [32-35]. Therefore, because LSTM is a simple model to predict time-series fall data in terms of accuracy and computation speed, LSTM-based fall detection systems have been reported [26–31]. Although rapid detection is required due to the nature of fall, several LSTM fall detection models [26–31] have relatively slow fall detection. Moreover, several LSTM-based fall detection systems have not performed any normalization [27–31]. In addition, there are concerns about actual operation without any validation process [26–29,31], and thus there is a possibility that the training data will overfit. Most LSTM-based fall detection systems applied raw data to LSTM, not feature parameters, and further research concerning, e.g., z-score normalization following a Gaussian distribution, and regularizations to prevent overfitting and sampling methods of rescaling data for the best fall detection has not been investigated [26-31].

Power efficiency is very important for wearable devices, which are embedded devices with limited resources. To increase the power efficiency of wearable devices to which a fall detection system using deep neural networks is applied, a fall detection algorithm with good power efficiency is required. A study has been published to increase power efficiency by combining a fall detection method using a simple threshold and HMM, one of machine learning (STM-HMM) [17]. HMM predicts the next event with respect to the previous event, therefore it may be unsuitable for multiple continuous data such as fall data [24]. In this STM-HMM, even changing to deep neural networks instead of HMM may improve both fall detection accuracy and power efficiency. Accordingly, it is necessary to study a fall detection system that applies LSTM, deep learning only the data exceeding the threshold of the 3-axis acceleration sensor data or its pre-processing data. Because the training data and the verification data were not tested separately in the fall detection using the existing HMM [17], it was not confirmed whether the training data were overfitted. In a fall detection system using STM-HMM, it is necessary to divide training data into training and validation data to determine overfitting and prevent it. When applying LSTM to a fall system, it is also necessary to investigate the optimal conditions to increase the accuracy.

In this study, a fall detection system combining the STM with LSTM (STM-LSTM) is proposed. The 3-axis accelerometer data are calculated with several parameters, and then the STM is processed. For this fall detection system, the LSTM is applied only when the parameter exceeds the threshold to detect the fall events. Section 2 describes the materials used in this study. Section 3 explains the proposed STM-LSTM for fall detection. In Section 4, the performance of fall detection for the SMM-LSTM is compared against that

for the STM-HMM, and in Section 5, optimal parameters in the proposed STM-LSTM are investigated. Finally, we present the conclusion of this study.

### 2. Materials

# 2.1. Edge Device

A fall-detection system which can classify falls and ADLs of a person by applying a parameterized dataset to STM-HMM has recently been reported [17]. The dataset was acquired from a self-developed embedded edge device that was attached as a pendant to the participant's neck and was used as sensor node [17]. The device consists of a  $\pm 8$  g 3axial accelerometer (BMA150, Bosch) and Zigbee wireless communication module (CC2430, Texas Instrument). A gateway was used to collect data from multiple wireless sensor nodes. A server was used to classify falls and ADLs by applying the parameters calculated from the 3-axial acceleration data to the proposed fall-detection algorithm. Figure 1 shows a photo of participants wearing the real device for implementing the proposed fall detection system, respectively. A detailed description of this device is described in Ref. [17].



**Figure 1.** Photo of a person wearing a real edge device as a pendant to participant's neck for implementing the proposed fall detection system.

# 2.2. Dataset

The experiment was performed by six healthy subjects, consisting of four men and two women aged 20–50, 160–185 cm tall, and 50–85 kg in weight. To distinguish between falls and activities of daily living (ADL), the subjects performed four types of ADLs and three types of falls, as shown in Table 1.

Table 1. Description of four types of ADL and three types of fall.

Act	Description	
ADLs	ADL-a ADL-b ADL-c ADL-d	Walking Lying Running Jumping
Falls	Fall-a Fall-b Fall-c	Falling forward Falling sideway Falling backward

The three types of falls were performed using a mattress with a thickness of 20 cm for the safety of the subjects. It was tested using a total of 560 data consisting of 320 ADLs and

240 falls. The number of activities of subjects A, B, C, and D (age: 20) was 15, and those of E (age: 50) and F (age: 40) were 10. Figure 2 shows the photos performing 3 types of fall and their parameters, sum vector magnitude (SVM), and angle ( $\theta$ ) calculated from measured 3-axial acceleration data. It shows a sudden increase in SVM and angle at the moment of falling.





**Figure 2.** Photos performing 3 types of fall and their measured parameters, sum vector magnitude (*SVM*) and angle (Theta;  $\theta$ ). (**a**) Falling forward, (**b**) Falling sideway, (**c**) Falling backward.

#### 3. Method

# 3.1. Algorithm of Fall Detection System

Figure 3a,b show the flow charts of training and test modes of the proposed fall detection system, respectively. In both training and test modes, the data acquired from the edge device are converted into various parameters (see Section 3.2). In the training mode, the converted parameters are normalized, and then all parameters are learned using LSTM. Using the results learned by the LSTM, 3 types of falls and 4 types of ADLs are distinguished. In the test mode, the first possible fall is primarily determined through STM. The STM means that it is determined as a fall event when *SVM* and  $\theta$  exceed the thresholds of *SVM* (*SVM*<sub>th</sub>) of 2.5g and  $\theta$  ( $\theta_{th}$ ) of 46.42°, respectively [17]. Then, the first determined fall data are just classified according to 3 types of falls and 4 types of ADLs using LSTM learned in training mode.



Figure 3. Flow charts of the proposed fall detection system. (a) Training mode and (b) test mode.

The reason for using the STM for the test mode is to more efficiently control power problems in edge device environments with limited resources. Because power consumption

of the edge device, including the Zigbee wireless communication module, is dominant at the transmission event, the transmission must be reduced. Because the edge devices are not suitable for applying deep neural network such as LSTM due to its limited computation resource, the converted parameters must be transmitted to the server. If the STM is used, the first determined fall data can just be transmitted to the server instead of transmitting all data. In general, fall-like situations that exceed the thresholds of *SVM* and  $\theta$  have a very low frequency, and thus better computational and power efficiency is expected.

#### 3.2. Parameters

The data measured from the edge device are converted into 5 types of parameters, which are  $\theta$ , *SVM*, differential *SVM* (*DSVM*), and gravity-weighted *SVM* (*GDSVM*), and gravity-weighted *DSVM* (*GDSVM*). They are calculated as follows [17]:

$$\theta(i) = \tan^{-1}\left(\frac{\sqrt{m_y^2(i) + m_z^2(i)}}{m_x(i)}\right) \times \frac{180}{\pi},$$
(1)

$$SVM(i) = \sqrt{m_x^2(i) + m_y^2(i) + m_z^2(i)},$$
 (2)

$$DSVM(i) = \sqrt{(m_x(i) - m_x(i-1))^2 + (m_y(i) - m_y(i-1))^2 + (m_z(i) - m_z(i-1))^2}, \quad (3)$$

$$GSVM(i) = \frac{\theta(i)}{90} \times SVM(i), \tag{4}$$

$$GDSVM(i) = \frac{\theta(i)}{90} \times DSVM(i),$$
 (5)

where *i* represents the sampling number, and  $m_x(i)$ ,  $m_y(i)$ , and  $m_z(i)$  represent the *x*-axial, *y*-axial, and *z*-axial acceleration of the *i*th sampling, respectively. For STM, *SVM* and  $\theta$  are used. The parameters are finally used as the average value using the sliding window method [39] in which the modified *n*th parameter is calculated as the average from *n*-69 to *n* parameters as follow as one example ( $\theta$ ):

$$\overline{\theta}(n) = \frac{1}{70} \sum_{i=n-69}^{n} \theta(i).$$
(6)

For the input data of LSTM in the fall detection system, single and multiple parameters are used. The single parameters,  $P_{\theta}$ ,  $P_S$ ,  $P_D$ ,  $P_G$ , and  $P_{GD}$  represent the average values of 70  $\theta$ s, 70 *SVM*s, 70 *DSVM*s, 70 *GSVM*s, and 70 *GDSVM*s, respectively, as expressed in Equation (6). The multiple parameters,  $P_{\theta S}$ ,  $P_{\theta D}$ ,  $P_{\theta G}$ ,  $P_{\theta GD}$ , and  $P_{ALL}$ , represent the combination of  $P_{\theta}$  and  $P_S$ ,  $P_{\theta}$  and  $P_D$ ,  $P_{\theta}$  and  $P_G$ ,  $P_{\theta}$ , and  $P_{GD}$ , and  $P_{\theta}$ ,  $P_S$ ,  $P_D$ ,  $P_G$ , and  $P_{GD}$ , respectively, as shown in Table 2.

Table 2. Combination of single parameters for double and multiple parameters.

Parameter	Combination	
Double parameters	$P_{ heta S} \ P_{ heta D} \ P_{ heta G} \ P_{ heta GD}$	$P_{\theta} + P_{S}$ $P_{\theta} + P_{D}$ $P_{\theta} + P_{G}$ $P_{\theta} + P_{GD}$
Multiple parameters	P <sub>ALL</sub>	$P_{\theta} + P_S + P_D + P_G + P_{GD}$

# 3.3. Normalizations

Using the data acquired from the 3-axial accelerometer, the parameterized data are calculated, and normalization process such as the Min-Max and Z-score normalizations [16]

are performed to minimize the parameterized data. In the min-max normalization, the range of the parameterized data is rescaled as the range in [0, 1], which is given by

$$X_{Min-Max} = \frac{x - x_{min}}{x_{max} - x_{min}},\tag{7}$$

where *x* is the original parameterized data,  $X_{Min-Max}$  is the Min-Max normalized data, and  $x_{min}$  and  $x_{max}$  are the smallest and largest numbers in each data set, respectively. The Z-score normalization makes the parameterized data have zero-mean and unit-variance, which is given by

$$X_{Z-score} = \frac{x - x_{mean}}{x_{std}},\tag{8}$$

where  $X_{Z-score}$  is the *Z*-score normalized data, and  $x_{mean}$  and  $x_{std}$  are the mean and standard deviation in each data set, respectively. Each normalization is processed before training on the LSTM.

# 3.4. Proposed LSTM Network

# 3.4.1. Overview of LSTM

To overcome the difficulties in training the RNN model due to gradient vanishing [38] and error blowing up, the LSTM, in which nonlinear units are replaced in conventional RNNs, were proposed. Figure 4 shows the typical structure of an LSTM cell. An LSTM cell contains a self-connected memory cell and three gates, namely the forget-gate, the input-gate, and the output-gate [40]. The three gate units are the essential components to learn the long-term patterns by preventing memory contents from irrelevant inputs and outputs. The input-gate controls the flow of input activations into the cell-memory, forget-gate controls how much information will flow from the cell-memory, and the output gate controls the output flow of cell activations into the rest of the network. The cell computes the cell output  $h_t$  and the updated cell-memory output  $c_t$  from the previous cell outputs ( $h_{t-1}$ ), the previous cell-memory outputs ( $c_{t-1}$ ), and the sequential input  $x_t$  at time step t as follows:

$$f_t = \sigma_g(W_{xf}x_t + W_{hf}h_{t-1} + W_{cf}c_{t-1} + b_f),$$
(9)

$$i_t = \sigma_g(W_{xi}x_t + W_{hi}h_{t-1} + W_{ci}c_{t-1} + b_i),$$
(10)

$$o_t = \sigma_g (W_{xo} x_t + W_{ho} h_{t-1} + W_{co} c_{t-1} + b_o),$$
(11)

$$c_t = f_t \odot c_{t-1} + i_t \odot \sigma_h(W_{xc}x_t + W_{hc}h_{t-1} + b_c), \tag{12}$$

$$h_t = o_t \odot \sigma_h(c_t), \tag{13}$$

where  $W_{xf}$ ,  $W_{hf}$ ,  $W_{xi}$ ,  $W_{hi}$ ,  $W_{xo}$ , and  $W_{h0}$  are the weight matrices from the input to the forget-gate, from the previous output to the forget-gate, from the input to the input-gate, from the previous output to the input-gate, from the input to the output-gate, and from the previous output to output-gate, respectively,  $W_{cf}$ ,  $W_{ci}$ ,  $W_{co}$  are the diagonal weight matrices for peephole connections, and  $b_f$ ,  $b_i$  and  $b_o$  are the bias vectors of the forget-gate, the input-gate, and the output-gate, respectively.  $\odot$  denotes the Hadamard product, and  $\sigma_x$  and  $\sigma_g$  denote the hyperbolic tangent and sigmoid functions, respectively.  $i_t$ ,  $f_t$ , and  $o_t$  are the input-gate, forget-gate, and output-gate, respectively.



**Figure 4.** The typical structure of long-short term memory (LSTM).  $\sigma_h$  and  $\sigma_g$  denote the hyperbolic tangent and sigmoid functions, respectively.

#### 3.4.2. LSTM Network

Figure 5 shows the proposed LSTM network for the fall detection. It consists of one input layer, two hidden layers, and dense layer. The input size of the input layer represents batch  $\times$  length  $\times$  dimension of data. In the input layer, batch means the number of all input data including falls and ADLs. Because 3-axial accelerometer acquired accelerations as 100 Hz during 5 sec, the length of data consists of 500 by default, and the length can be shortened to 500/sn when sampling with sampling interval *sn*. In addition, the data dimension is 1, 2, and 5 for single, double, and multiple parameters, respectively. One hidden layer consists of *n* LSTMs, and the number of hidden nodes (*n*) in each hidden layer can be changed for performance optimization. The dense layer includes the softmax and optimization, and the output is displayed as 7 result values in the dense layer. The result value is represented using the one hot encoding method.



Figure 5. Fall detection model architecture for LSTM.

### 3.4.3. Regularization in Loss Function

There are several types of loss functions: mean squared error (MSE), root mean squared error (RMSE), binary cross entropy (BCE), and categorical cross entropy (CCE). Among them, the CCE with softmax used as a common loss function for multi-class classification [41] is selected. One requirement for using CCE is that the labels of the output should follow the one-hot encoding method. The CCE is expressed as [42]

$$L_{CCE} = -\frac{1}{N} \sum_{i=1}^{N} \sum_{j=1}^{C} p_{ij} \log(q_{ij})$$
(14)

where *N* is the size of the data set, *C* is number of classes,  $p_{ij}$  is the true probability distribution which the *i*th training pattern belongs to *j*th category, and  $q_{ij}$  is the predicted probability distribution for *i*th observation belonging to class *j*. L2 regularization [43] is added to the CCE loss function to address overfitting issues. The regularized cost function, J(W), is expressed as [44,45]

$$I(W) = L_{CCE} + \frac{\lambda}{2} ||W||_2,$$
(15)

where *W* is the connection weight between all layers,  $\lambda$  is the regularization rate, and  $||W||_2$  represents the L2 norm. Parameter  $\lambda$  determines a trade-off between the training error and the generalization ability [44].

# 3.5. Classification

Accuracy (*ACC*), sensitivity (*SEN*), and specificity (*SPE*) are used as common evaluation indicators for classification [46] as follows:

$$ACC = \frac{TP + TN}{TP + TN + FP + FN} \times 100\%, \qquad (16)$$

$$SEN = \frac{TP}{TP + FN} \times 100\%, \tag{17}$$

$$SPE = \frac{TN}{TN + FP} \times 100\%, \tag{18}$$

where true positive (*TP*), false negative (*FN*), true negative (*TN*), and false positive (*FP*) are the numbers of correctly classified falls, ADL classified falls, correctly classified ADLs, and fall classified ADLs, respectively. Accuracy, sensitivity, and specificity refer to the probabilities of accurately predicting all ADL and fall, fall of all falls, and ADL of all ADLs, respectively.

#### 3.6. Experiment Environment

The platform for training LSTM is the Anaconda for Jupyter Notebook, and the software environment is Keras 2.8.0 and Tensorflow 2.8.0 on Windows 10 and hardware NVIDIA RTX3080 GPU is used for training. As a second platform for training LSTM, a Linux environment was also used for learning, a Jupyter Notebook on Centos Linux 7 was used for software, and NVIDIA Quadro RTX6000 GPU was used for hardware.

# 4. Comparison of HMM and LSTM

### 4.1. Setting of LSTM

An STM-HMM-based fall detection system has been reported to perform well at *ACC* of 99.5% [17]. The proposed STM-LSTM-based fall detection system shown in Figure 3 are almost same to the STM-HMM-based fall detection system except for using LSTM for training and testing all data instead of HMM. All 560 data are trained with both HMM and LSTM, and then all of them are tested without separating any validation data. Therefore, they may be overfitted. In this section, the validity of the proposed STM-LSTM-based fall detection system is investigated through a comparison with the STM-HMM-based fall detection system, although both systems are overfitted. In Section 5, the proposed STM-LSTM-based fall detection system is evaluated by training data and test data separately at 4:1, and different normalizations, regularization rates, numbers of hidden node, and sampling numbers in the LSTM are compared to obtain the optimal conditions for the excellent fall detection.

In test mode of the proposed STM-LSTM-based fall detection system, it is firstly determined as a fall event when *SVM* and  $\theta$  exceed *SVM*<sub>th</sub> of 2.5g and  $\theta$ <sub>th</sub> of 46.42°, respectively, as shown in Figure 3b. Then, the first determined fall data are just classified with 3-types of falls and 4-types of ADLs using LSTM training model learned in training mode. Table 3 shows the parameters for the LSTM training model of the proposed STM-

LSTM-based fall detection system. No normalization is applied, and in the input layer node, the batch, length, and dimension of data are 560, 500, and 1 (for single parameters), 2 (for double parameters), and 5 (for quintuple parameter), respectively. The number of hidden layer and output layer nodes is 13 and 7, respectively, and the learning rate and regularization rate is 0.0025 and 0.00015, respectively.

Parame	Parameters			
Learning	Learning rate			
Input layer nodes	Batch Length Dimension	560 500 1, 2, 5		
Number of hidde Regularizat Number of outpu	Number of hidden layer nodes Regularization rate Number of output layer nodes			

Table 3. LSTM training model parameters and methods.

#### 4.2. Comparison of STM-HMM and STM-LSTM-Based Fall Detection System

Figure 6 shows the confusion matrix applying five types of single parameter to the proposed STM-LSTM-based fall detection system. The reason why there is no walking pattern in the confusion matrix except for the single parameter  $P_S$  is that the LSTM inference is not applied if the threshold value is not reached, as shown in the algorithm in Figure 3b. Applying the single parameter  $P_G$  achieves the best accuracy, sensitivity, and specificity of 100%. In Table 4 and Figure 7, applying the single parameters, the training data performance of the proposed STM-LSTM-based fall detection system is compared with that of the previously reported STM-HMM-based fall detection systems. In Figure 7, the solid and dashed lines denote training data performances of the STM-LSTM and STM-HMM-based fall detection systems, respectively, and the squares, circles, and triangles denote accuracy, sensitivity, and specificity, respectively. This shows the training data performance calculated using the network parameters obtained from the training data (i.e., all 560 data). The best performance of the STM-HMM-based fall detection system is when applying the single parameter  $P_{\theta}$ , while that of the STM-LSTM-based fall detection system is when applying the single parameter  $P_G$ . For all single parameters except for the case of the single parameter  $P_{\theta}$ , the performance of the STM-LSTM-based fall detection system is relatively better than that of the STM-HMM-based fall detection system, and the best performance of fall detection is achieved in the STM-LSTM-based fall detection system.

Figure 8 shows the training data performance of the proposed STM-LSTM-based fall detection system, applying the multiple parameters. Applying the multiple parameter  $P_{ALL}$  achieves the best accuracy, sensitivity, and specificity of 100%. The squares, circles, and triangles denote accuracy, sensitivity, and specificity, respectively. The average performance with the multiple parameters shows relatively higher accuracy than that with the single parameters. All 560 data are trained with both HMM and LSTM with the algorithm in Figure 3a, and all of them are tested without separating any validation data with the algorithm in Figure 3b. Therefore, they may be overfitted to the extent that accuracy of 100% is obtained for the single parameter  $P_G$  and the multiple parameter  $P_{ALL}$ . In order to resolve the possible overfitting issue, training and validation data should be evaluated separately in 4:1.



**Figure 6.** Confusion matrix applying 5-types of single parameter to the proposed LSTM-based fall detection system. (a)  $P_{\theta}$ , (b)  $P_{S}$ , (c)  $P_{D}$ , (d)  $P_{G}$ , (e)  $P_{GD}$ .

**Table 4.** Fall detection results of the proposed STM-LSTM-based and the previously reported STM-HMM-based fall detection systems, applying the single parameters.

		Accuracy [%]			Sensitivity [%]		Specificity [%]		
		LS	STM	HMM	[	LSTM	HMM	LSTM	HMM
	$P_{\theta}$	9	8.57	99.5		99.06	99.17	97.91	99.96
	$P_S$	9	8.21	96.43		99.31	97.5	96.66	95.63
	$P_D$	9	9.46	98.57		99.68	99.6	99.16	97.81
	$P_G$		100	97.86		100	99.17	100	96.88
	$P_{GD}$	9	8.92	98.21		99.37	99.17	98.33	97.5
	100								
	100				· –				
6]	99	8	•		0	8			
6]		-							
ce	<b>98</b> -			^		-			
an	-		0		_	Δ -			
гm	<b>97</b> -				$\bigtriangleup$	-			
foi						-			
er	96-		~	LSTM	HMM	-			
	05					Accuracy			
	95			٠	0	Sensitivity			
	<b>94</b>			<b></b>	Δ	Specificity			
	74	P <sub>θ</sub>	$\dot{P}_S$	$\dot{P}_D$	, Po	$\vec{F} = \vec{P}_{GD}$			
			Sing	le paran	neters	5			

**Figure 7.** Training data performances of the STM-LSTM and STM-HMM-based fall detection systems, applying the single parameters.



**Figure 8.** Training data performance of the STM-LSTM-based fall detection system, applying the multiple parameters.

# 5. Optimization of LSTM for Fall Detection System

The previously published STM-HMM-based fall detection system [17] was not verified against untrained data. It should be verified that the data obtained from the experiment can be used for actual fall detection. Therefore, it is necessary to use a part of the training data as data for verification. Training data and validation data follow a ratio of 4 to 1, and validation data are not included in training. The validation data performance calculated from the validation data using the network parameters obtained from the training data is investigated. Parameter values are as shown in Table 4, and the single parameter  $P_{\theta}$ , no additional sampling, and no normalization are set by default. Since  $P_{\theta}$  showed the best performance as a single parameter in the STM-HMM-based fall detection system [17], the optimal values of parameters used in LSTM and normalization method are investigated for  $P_{\theta}$ . The investigating methods and parameter ranges are shown in Table 5.

Table 5. Model	configuration.
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Model Configuration	Parameter Ranges/Methods
Normalization	No-normalization, Min-Max, Z-score
Regularization rate, $\lambda$	0.00015 to 0.65
Sampling interval, sn	1, 3, 5, 7, 9
Multiple data dimension	Single parameter $P_{\theta}$
Number of hidden layer nodes, <i>n</i>	6, 13, 32, 64, 128, 256

# 5.1. Normalization

In this section, the impact of different normalization process of the calculated parameters, including No-normalization, Min-Max normalization, and Z-score normalization, is examined. Figure 9 shows the validation data performance of the STM-LSTM-based fall detection system when applying No-normalization, Min-Max normalization, and Z-score normalization. Accuracies applying No-normalization, Min-Max normalization, and Zscore normalization are 95.93%, 92.85%, and 86.6%, respectively. The best performance is shown when no normalization is applied, and the best accuracy, sensitivity, and specificity are 95.93%, 93.75%, and 97.91%, respectively. It showed the worst accuracy when the Min-Max normalization is applied. To investigate the reason why accuracies of the Min-Max or Z-score normalizations are lower than that of No-normalization, time series data of a running pattern and a forward fall pattern before and after the Min-Max or Z-score normalizations are investigated, as shown in Figure 10. In Figure 10, black and red lines denote running and forward fall patterns, respectively. After the Min-Max or Z-score normalizations, the running and forward fall patterns are so similar to each other that it is difficult to easily distinguish them, and thus the accuracies by the Min-Max or Z-score normalizations can be reduced, compared to that of No-normalization.



**Figure 9.** Validation data performances of the proposed STM-LSTM-based fall detection system, applying normalization methods.



**Figure 10.** Comparison of data of running and forward fall before and after normalization. (**a**) minmax normalization, (**b**) Z-score normalization, (**c**) No-normalization.

#### 5.2. Sampling and Regularization Rate

In this section, the impact of different samplings of input parameter and different regularization rates in the STM-LSTM-based fall detection system is examined. Figure 11 shows validation data accuracy vs. regularization rate in the STM-LSTM-based fall detection system with respect to the different sampling intervals of input parameter. The regularization rates are 0.00015, 0.00065, 0.0015, 0.0065, 0.015, 0.065, and 0.15. The black squares, red circles, blue up-triangles, green down-triangles, and purple diamonds denote different sampling intervals of 1, 3, 5, 7, and 9, respectively. Peak values of accuracy of sampling intervals 1, 3, 5, 7, and 9 are 96.42% at  $\lambda = 0.00065$ , 96.42% at  $\lambda = 0.0015$ , 95.53% at  $\lambda = 0.0065$ , 94.64% at  $\lambda = 0.0065$ , and 94.64% at  $\lambda = 0.0065$ , respectively. As the sampling interval increases to 5, the regularization rate at the peak of accuracy increases, but when it is 5 or more, the regularization rate at the peak of accuracy is the same. When the sampling interval increases, the peak values of accuracy decrease. As the sampling interval increases, the length of input data decreases, and thus the noise can be slightly reduced, and the complexity of the input data can be reduced. Therefore, overfitting can occur due to a small number of samples [47]. An excessive increase of sampling interval is more dependent on the simplification of data than the noise reduction, resulting in a decrease in accuracy than an increase in overfitting.



**Figure 11.** Validation data accuracy vs. regularization rate of the STM-LSTM-based fall detection system with respect to different sampling intervals.

#### 5.3. Hidden Layer Node and Regularization Rate

In this section, the impact of different hidden layer nodes and different regularization rates in the STM-LSTM-based fall detection system is examined. Figure 12 shows the validation data accuracy vs. regularization rate of the STM-LSTM-based fall detection system with respect to the different numbers of hidden layer nodes. The regularization rates are 0.00065, 0.0015, 0.0065, 0.015, 0.065, 0.15, and 0.65. The black squares, red circles, blue up-triangles, green down-triangles, purple diamonds, and gold left-triangles denote different numbers of the hidden layer nodes of 6, 13, 32, 64, 128, and 256, respectively. Peak values of accuracy of the numbers of the hidden layer nodes, 6, 13, 32, 64, 128, and 256 are 94.64% at  $\lambda = 0.00015$ , 96.42% at  $\lambda = 0.00065$ , respectively. As the number of the hidden layer nodes increases, the regularization rate at the peak of accuracy increases and the peak values of accuracy and overfitting can increase due to the increase of network complexity [26,47], and thus the overfitting can be suppressed by increasing the regularization rate [48].



**Figure 12.** Validation data accuracy vs. regularization rate of the STM-LSTM-based fall detection system with respect to different number of hidden layer nodes.

Meanwhile, as the network complexity increases, the training time also increases, and thus it is necessary to efficiently select the number of hidden layer nodes suitable for both higher accuracy and shorter training time. Figure 13 shows the highest validation data accuracy and computation time of the STM-LSTM-based fall detection system with respect to different number of hidden layer nodes. As the number of hidden layer nodes increases, the highest validation data accuracy increases, and computation time increases rapidly at 256 nodes. It showed the same best accuracy in the number of hidden layer nodes of 128 and 256. Accordingly, it can be determined that the number of hidden layer nodes of 128 is most appropriate in terms of accuracy and time.



**Figure 13.** Highest validation data accuracy and computation time of the STM-LSTM-based fall detection system with respect to different number of hidden layer nodes.

# 5.4. Summary

In Section 4, the training data accuracy of STM-LSTM-based fall detection system showed 100% for the single parameter  $P_G$  and the multiple parameter  $P_{ALL}$ , and thus it may be overfitted in practice. To resolve the overfitting issue and find optimal values of the parameters used in LSTM, the validation data performance calculated from the validation data, using the network parameters obtained from the training data, was investigated with

respect to normalization method, sampling, regularization rate, and hidden layer node, as follows:

- 1. As some human activity patterns after the Min-Max or the Z-score normalizations are so similar to each other and are difficult to distinguish, the accuracy of distinguishing falls tends to decrease, and this leads to the conclusion that a normalization is not suitable for this fall detection.
- When the sampling interval increases, the peak values of accuracy decrease due to overfitting by reduced input data. Therefore, larger sampling intervals require higher regularization to reduce overfitting.
- 3. As the number of hidden layer nodes increases, both accuracy and overfitting can increase due to the increase of network complexity. Therefore, the higher the hidden layer node and the higher the regularization rate, the higher the accuracy and the lower the overfitting, respectively.
- 4. In terms of higher accuracy and shorter computation time, the optimal values of the parameters of the LSTM and normalization method are found as follows: No-normalization and no-sampling with 128 hidden layer nodes and regularization rate of 0.015. This is best accuracy of 98.21% with a relatively short computation time.

# 6. Conclusions

In this paper, the STM-LSTM-based fall detection system that combines the simple threshold method and the LSTM was proposed. The proposed system was based on the single and multiple parameters calculated from the three-axial acceleration data. In training mode, the parameters were normalized and then the parameters were learned using LSTM. In test mode, the first possible fall was primarily determined when both  $P_S$  and  $P_{\theta}$  exceeded the thresholds of 2.5g and 46.42°, respectively. The first possible fall data were just classified using LSTM learned in training mode. To examine validity of the proposed STM-LSTM fall detection system, it was compared with the previously reported STM-HMM-based fall detection system. The best training accuracy by the STM-LSTM-based fall detection system is 100% for  $P_G$ , 0.5% higher than that of the STM-HMM-based system. However, since training data accuracy for this comparison is a result of training data only without validity data, the risk of overfitting may be occurred. To solve the overfitting problem and find optimal values of the parameters used in LSTM, the validation data performance calculated from the validation data, using the network parameters obtained from the training data, was investigated with respect to normalization method, sampling, regularization rate, and hidden layer node. For normalization and sampling, it showed the best performance in no normalization and no sampling. The computation time by 128 hidden layer nodes was significantly shorter than that of 256 nodes although the same best accuracy was 98.21% for both 128 and 256 nodes. As the number of hidden layer nodes or sampling interval increase, the regularization rate at the highest value of accuracy increases. This can be interpreted as suppressing overfitting by increasing the regularization rate. Therefore, the fall detection efficiency can be improved by selecting an appropriate number of hidden layer nodes and regularization rate.

However, this paper still has many directions worthy of improvement. The proposed STM-LSTM fall detection system was compared with the previously reported STM-HMM fall detection system, and the data set of the previously reported STM-HMM fall detection system was used and it has the following limitations: First, it is necessary to verify a reliability through comparison with the data set acquired from the self-developed embedded edge device and that from commercial device. Second, it is very simple because it consists only of four types of ADL and three types of fall gestures. Third, as the subject population consists of four men and two women, it has a gender and age imbalance. To solve this dataset problem, it is necessary to apply this proposed STM-LSTM fall detection system to public datasets verified for fall detection. When public datasets are applied to the proposed system in the future, they are pre-processed with single, double, and multiple parameters,

and optimal network parameters can be newly and easily found using the optimal network parameters and optimization method introduced in this paper.

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