



# Article Design of a 2T1R-Type Parallel Mechanism: Performance Analysis and Size Optimization

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Abstract: The planar three-degree-of-freedom (DoF) parallel mechanism plays a significant role in the fields of automobiles and electronics due to its advantages such as high stiffness, large carrying capability, and stable operation. In this paper, a planar 3-DoF (2T1R) parallel mechanism is derived by structural evolution from the parallelogram by means of Grassmann line geometry and the Atlas method. First, the position equation of the mechanism is established and the inverse kinematics and Jacobian matrix are investigated. The maximum deviation between the theory and simulation results of the inverse kinematics and Jacobian matrix is 0.48%, which verifies the accuracy of the theoretical model. Then, the constraint conditions of the mechanism are defined. Based on the inverse position solution, the numerical method is used to solve the workspace of the mechanism. It is concluded that the ratio of the workspace to the entire triangular space is about 15% higher than that of the 3-PRR parallel mechanism. Next, based on the Jacobian matrix, the performance indexes are established to evaluate stiffness and dexterity. Finally, the size of the mechanism is optimized by means of the genetic algorithm to improve the comprehensive performance.

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**Copyright:** © 2022 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). **Keywords:** planar parallel mechanism; kinematic analysis; Jacobian matrix; performance analysis; size optimization

## 1. Introduction

Heavy-duty transfer robots have important applications for automotive electronics, ship metal, and dock railway industries, among others. Heavy-duty transfer robots are mainly composed of the robot body, the end-effector, and the root travel mechanism [1,2]. Most heavy-duty transfer robots only have a single-degree-of-freedom rotation mechanism at the root. To increase the transfer range of the robot and improve the stiffness of the mechanism, a planar 3-DOF (2T1R) (T: translational DoF, R: rotational DoF) parallel mechanism is considered for the base of the transfer robot. At present, the design of parallel mechanisms with a large workspace, high precision, high dexterity, and low energy consumption has been in active research. Qu Haibo et al. [3] analyzed a planar 3-DOF parallel mechanism with kinematic redundancy and closed-loop limbs. Furthermore, the workspace of the mechanism was expanded by means of the adjustment of structural redundant branches. Li Xiang et al. [4] studied a single-drive 3-RRR planar parallel mechanism. It was constrained as a single-drive parallel mechanism through a parallelogram to achieve the purpose of easy control and low energy consumption. M. Ganesh et al. [5] classified the shape of the workspace as a function of geometric parameters and proposed an optimization procedure to optimize the workspace of a planar 3-DoF mechanism. This paper aims to design a planar 3-DoF (2T1R) parallel mechanism to realize high stiffness and large carrying capacity.

Type synthesis is a sub-phase of the design phase of the robot. At present, many methods or theories of type synthesis have been proposed, for example, the methods based on topological kinematic chains, Grassmann line geometry and Atlas, the theories

based on screws, Lie groups [6–9], etc. Among them, the Grassmann line geometry and Atlas methods can intuitively analyze the motion and constraints of the mechanism qualitatively. Meanwhile, stiffness and dexterity are also important indicators for analyzing the mechanism. For the performance evaluation of parallel mechanisms, many scholars have presented some standards. For the stiffness of the mechanism, Liu Xinjun et al. [10] regarded the extreme value of the deformation of the end-effector as the stiffness evaluation index. Xu Tianrui et al. [11] took the norm of the robot stiffness matrix under a certain type as the evaluation index. For the dexterity of the mechanism, Zhao Fuqun et al. [12] took the Jacobian condition number as the index of dexterity.

It is also a vital part of the mechanism's design to optimize the size of the mechanism. Kong Minxiu et al. [13] solved the multi-objective optimization problem based on the NSGA-II algorithm. Zhang Dan et al. [14] optimized the global stiffness of the hybrid mechanism based on the genetic algorithm by taking the rod size as the design variable. Huang Guanyu et al. [15] used the genetic algorithm to perform multi-objective optimization with workspace, stiffness, and dexterity as performance indicators.

In this paper, a planar 3-DOF parallel mechanism is designed by structural evolution from the parallelogram by means of Grassmann line geometry and the Atlas method. The mechanism has the advantages of high rigidity and large carrying capacity. In the same triangular area, the workspace is about 15% larger than that of the 3-PRR mechanism. The mechanism can be envisaged for handling, packaging, etc. In Section 2, the planar 3-DoF (2T1R) mechanism is synthesized. In Section 3, the mechanism description is presented and the DOF is calculated. The kinematic analysis is investigated and the Jacobian matrix is solved in Section 4. Then, the theory and simulation data are compared to verify the correctness of the results. Based on the Jacobian matrix, the workspace, stiffness, and dexterity of the mechanism are analyzed in Section 5. Finally, the sizes of the mechanism are optimized in Section 6.

#### 2. Type Synthesis and Structural Evolution

For the 2T1R-type parallel mechanism with the rotation direction perpendicular to the translation plane, based on Grassmann line geometry and the Atlas method [16], the DoFs and constraints are visually represented by line graphs in Table 1. Based on the generalized Blanding rule [17], the constraint space is a three-dimensional space with a one-dimensional force constraint and a two-dimensional couple constraint. The constraint space of the mechanism is decomposed into three one-dimensional spaces and assigned to three limbs. The specific types of synthesis processes are shown in Table 1. The three limbs can be assigned one-dimensional force constraint and two-dimensional couple constraint, respectively, and each limb can derive a 3-DOF space with two-dimensional translation and one-dimensional rotation. The limb can be realized through branches such as RPR, CR, PRR, etc. (P: prismatic pair; R: revolute pair; and C: cylindrical pair).

Configuration	<b>Constraint Space</b>	DoF Space	Kinematic Chain
First limb	One-dimensional force constraint and two-dimensional couple constraint	Two-dimensional translations and one-dimensional rotation	(RR-RPR)-R

Table 1. The result of the type synthesis.



It is well known that the motion of each limb in the parallelogram mechanism is equivalent to one translation and one rotation  $\{T(v)\}\{R(N_i, u)\}$ . The kinematic branches obtained by analyzing the DoF space are series branches such as RRR and PRR. Compared to series branches, parallelogram branches have the advantages of strong load-bearing capacity and high stiffness. As shown in Figure 1a, the motion form of a single branch is 1T1R. Inspired by the parallelogram, the motion form of the branch chain in Figure 1a is converted into a parallelogram mechanism. As shown in Figure 1b, a prismatic pair is added to the *w*-direction of one of the rods of the parallelogram to achieve the same motion form as the branch in Figure 1a. Combined with Figure 1, the equivalence of the two motion forms is proved by the Lie group theory [18]:

$$\{T(v)\}\{R(N_1, u)\} = \{T(v)\}\{R(N_1, u)\} \cap \{G(u)\} = \{T(v)\}\{R(N_1, u)\} \cap \{T(v)\}\{T(w)\}\{R(N_b, u)\} = \{R(N_d, u)\}\{R(N_c, u)\} \cap \{R(N_a, u)\}\{T(w)\}\{R(N_b, u)\}$$

$$(1)$$

where  $\{T(v)\}$  denotes prismatic pair in the direction of the unit vector v;  $\{R(N, u)\}$  denotes revolute pair with axis vector u and crossing point N.  $\{G(u)\}$  denotes the planar motion of a normal line u, i.e., the two-dimensional motion of a plane and the rotation around the normal line.



**Figure 1.** Configuration evolution (**a**) single-branch PR mechanism (**b**) RR-RPR mechanism with parallelogram branches.

In Table 1, the three branched chains have the same DoF space. According to the physical meaning of the line graph, the first two branches of the three branches restrict the two-dimensional rotations in the plane *o-xy*, and the third branch restricts the translation along the *z*-axis. Hence, the parallel mechanism can realize two-dimensional translations of the *x*-axes and *y*-axes and one-dimensional rotation about the *z*-axis. During the transportation of the platform, a heavy-duty sensitive ball-type universal wheel [19] is installed at the bottom of the platform, which increases the bearing capacity of the moving platform and enhances the flexibility of transportation.

The mechanism is composed of three limbs. As shown in Table 1, the red line denotes constraint force, the black line denotes rotational DoF and the black line with a double arrow denotes translational DoF. According to Blanding dual line graph rule, the DoF-line graph can be determined when the constraint line graph is known. The corresponding kinematic chain of the mechanism can be identified when the DoF-line graph is given. Note that, neither the decomposition of the constraint-line graph nor the constitution of kinematic chains is unique to the type of synthesis process. In order to increase the transfer range of the platform and ensure the stability of the mechanism, the structural evolution of the PRR branch chain is considered for the first limb and the second limb of the mechanism. Furthermore, the third limb uses a linear drive.

### 3. Mechanism Description and DoF Calculation

The three limbs can constitute a 3-DOF parallel mechanism as shown in Figure 2a. The simplified diagram of the parallel mechanism is illustrated in Figure 2b. The origin of the static coordinate system is located at O,  $A_1$  and  $A_2$  are located on the *x*-axis and  $A_{11}$  is located on the *y*-axis. The moving platform  $D_1D_2D_3$  is an equilateral triangle. The origin of the moving coordinate system is located at P, the positive direction of tx'-axis is parallel to the direction of the vector  $D_1D_2$  and  $D_3$  is on the *y*'-axis. The angle formed with the horizontal axis is the rotation angle  $\theta$  of the moving platform,  $C_1$  is the midpoint of  $B_1B_{11}$  and  $C_2$  is the midpoint of  $B_2B_{22}$ . The inclination angle of the frames on both sides of the mechanism is  $\gamma$ , the value of which is 60°. The following parameters define the details of the simplified schematic diagram:

 $l_1$ : the length of the connecting rod A<sub>1</sub>B<sub>1</sub>,  $l_2$ : the length of the vertical rod B<sub>1</sub>B<sub>11</sub>,  $l_3$ : the length of the vertical rod C<sub>1</sub>D<sub>1</sub>.

 $h_1$ : the moving distance of the prismatic pair A<sub>11</sub>B<sub>11</sub>,  $h_2$ : the moving distance of the prismatic pair A<sub>22</sub>B<sub>22</sub>,  $h_3$ : the moving distance of the prismatic pair A<sub>3</sub>D<sub>3</sub>.

*c*: the distance from the moving platform P to D<sub>1</sub>.

*a*: the distance of OA<sub>1</sub>, i.e., the abscissa of A<sub>1</sub> in the static coordinate system.

*d*: the distance of  $OA_2$ , i.e., the abscissa of  $A_2$  in the static coordinate system.

*e*: the abscissa of A<sub>3</sub> in the static coordinate system.

*f*: the ordinate of A<sub>3</sub> in the static coordinate system.



**Figure 2.** A 2T1R-type parallel mechanism (**a**) three-dimensional physical model. (**b**) Simplified diagram of the mechanism.

As shown in Figure 2, it is defined that the branch of  $A_1B_1D_1$  is the first branch, the branch of  $A_2B_2D_2$  is the second branch, and the branch of  $A_3D_3$  is the third branch. In the first branch, the  $A_1B_1$  rod is the actuating rod, and  $A_1B_1B_{11}A_{11}$  constitutes a parallelogram linkage, where  $A_{11}B_{11}$  is the prismatic pair. In the second branch, the  $A_2B_2$  rod is the actuating rod and  $A_2B_2B_{22}A_{22}$  constitutes a parallelogram linkage, where  $A_{22}B_{22}$  is the prismatic pair. In the third branch, the  $A_3D_3$  rod is the actuating rod. When the first branch is disassembled alone, there are two degrees of freedom. However, from the analysis of the overall mechanism, the  $C_1D_1$  rod is restricted by the moving platform. Since the moving distance of the rod  $A_{11}B_{11}$  can be expressed by the rotation angle of  $A_1B_1$ , the actuating link of the first branch is 1. In the whole mechanism, the number of links including the frame is 12, the number of kinematic pairs is 15, and the order of the mechanism is 3.

Therefore, the DoF can be calculated as follows:

$$F = \lambda \cdot (n - g - 1) + \sum_{i=1}^{g} f_i = 3$$
(2)

where *F* represents the degree of freedom of the mechanism,  $\lambda$  represents the order of the mechanism, *n* represents the number of links, *g* represents the number of kinematic pairs and *f*<sub>i</sub> represents the degrees of the *i*-th kinematic pair.

## 4. Kinematics Analysis and Numerical Simulation Verification

#### 4.1. Inverse Kinematics

The inverse position solution is to calculate the rotation angle of the active arm based on the pose of the moving platform. As shown in Figure 2, the output parameters of the mechanism are the position parameters x, y and rotation angle  $\theta$  of the moving platform. The input parameters are the angle parameters  $\varphi$  and  $\phi$  of the drive pair and the movement parameter of  $h_3$ . The key to solving the inverse position solution is to obtain the coordinates of each point of the moving platform D<sub>1</sub>D<sub>2</sub>D<sub>3</sub>. The solution process is as follows:

The origin of the moving coordinate system is located at P, which is denoted as (x, y). The rotation matrix of the moving coordinate system relative to the static coordinate system

is 
$${}^{o}_{p}T = \begin{pmatrix} \cos\theta & -\sin\theta\\ \sin\theta & \cos\theta \end{pmatrix}$$
.

According to the rotation matrix transformation formula, the coordinate of  $D_i$  in the moving coordinate system can be denoted as  $[D_1', D_2', D_3'] = \begin{pmatrix} -\frac{\sqrt{3}}{2} \cdot c & \frac{\sqrt{3}}{2} \cdot c & 0\\ -\frac{1}{2} \cdot c & -\frac{1}{2} \cdot c & c \end{pmatrix}$ , which can also be expressed as  $D_i' = (D_{ix}', D_{iy}')^T$ . The coordinate of  $D_i$  in the static coordinate system is denoted as  $D_i = (D_{ix}, D_{iy})^T$ , i = 1, 2, 3. Then, the coordinate transformation equation is as follows:

$$D_{i} = P^{T} + {}_{p}^{o}T \cdot D_{i}' = \begin{bmatrix} x \\ y \end{bmatrix} + \begin{pmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{pmatrix} \cdot \begin{bmatrix} D_{ix}' \\ D_{iy}' \end{bmatrix}$$
(3)

The mathematical model equation of inverse position solution can be obtained by analyzing the geometrical relationship.

 $(x - c \cdot \cos(\theta + \frac{\pi}{6}) - a - l_1 \cdot \cos \varphi)^2 + (y - c \cdot \sin(\theta + \frac{\pi}{6}) - l_1 \cdot \sin \varphi)^2 = l_3^2 + (\frac{l_2}{2})^2$  $(x - c \cdot \cos(\theta + \frac{\pi}{6}) + \sqrt{3} \cdot c \cdot \cos \theta - d + l_1 \cdot \cos \varphi)^2 + (y - c \cdot \sin(\theta + \frac{\pi}{6}) + \sqrt{3} \cdot c \cdot \sin \theta - l_1 \cdot \sin \varphi)^2 = l_3^2 + (\frac{l_2}{2})^2$ (4)  $(x - c \cdot \cos(\theta + \frac{\pi}{6}) + \sqrt{3} \cdot c \cdot \sin(\frac{\pi}{6} - \theta) - e)^2 + (y - c \cdot \sin(\theta + \frac{\pi}{6}) + \sqrt{3} \cdot c \cdot \cos(\frac{\pi}{6} - \theta) - f)^2 = h_3^2$ 

Equation (4) is solved and the input parameters  $\varphi$ ,  $\phi$  and  $h_3$  can be expressed as:

$$\varphi = 2\arctan\left(\frac{\frac{m_2 - \sqrt{-A^2 + m_1^2 + m_2^2}}{A + m_1}}{e^{-n_2 + \sqrt{-B^2 + n_1^2 + n_2^2}}}\right)$$

$$\phi = 2\arctan\left(\frac{-n_2 + \sqrt{-B^2 + n_1^2 + n_2^2}}{B + n_1}\right)$$

$$h_3 = \sqrt{k_1^2 + k_2^2}$$
(5)

where:

$$m_{1} = x - c \cdot \cos(\theta + \frac{\pi}{6}) - a$$

$$m_{2} = y - c \cdot \sin(\theta + \frac{\pi}{6})$$

$$m_{3} = l_{3}^{2} + \left(\frac{l_{2}}{2}\right)^{2}$$

$$n_{1} = x - c \cdot \cos(\theta + \frac{\pi}{6}) + \sqrt{3} \cdot c \cdot \cos(\theta) - d$$

$$n_{2} = y - c \cdot \sin(\theta + \frac{\pi}{6}) + \sqrt{3} \cdot c \cdot \sin(\theta)$$

$$A = \frac{m_{1}^{2} + m_{2}^{2} + l_{1}^{2} - m_{3}}{2 \cdot l_{1}}$$

$$B = \frac{m_{3} - n_{1}^{2} - n_{2}^{2} - l_{1}^{2}}{2 \cdot l_{1}}$$

$$k_{1} = x - c \cdot \cos(\theta + \frac{\pi}{6}) + \sqrt{3} \cdot c \cdot \cos(\theta + \frac{\pi}{3}) - e$$

$$k_{2} = y - c \cdot \sin(\theta + \frac{\pi}{6}) + \sqrt{3} \cdot c \cdot \sin(\theta + \frac{\pi}{3}) - f$$
(6)

## 4.2. Jacobian Matrix

The Jacobian matrix represents the transmission relationship of the velocity and force between the operating space and the joint space, which is the basis for the performance analysis and evaluation of the parallel mechanism [20].

In the process of solving the inverse position solution, the position constraint equations of first limb, second limb and third limb are obtained. Equation (4) is expressed as:

$$\begin{cases} F_1(x, y, \theta, \varphi) = 0 \\ F_2(x, y, \theta, \phi) = 0 \\ F_3(x, y, \theta, h_3) = 0 \end{cases}$$

$$(7)$$

where the input speed of the driving rod are  $\dot{\varphi}$ ,  $\dot{\varphi}$  and  $\dot{h}_3$ ; the derivation of the output parameters of the moving platform are  $\dot{x}$ ,  $\dot{y}$  and  $\dot{\theta}$ .

Equation (7) is derived from time to obtain the velocity constraint equations as follows:

$$\begin{cases} \frac{\partial F_1}{\partial x} \cdot \dot{x} + \frac{\partial F_1}{\partial y} \cdot \dot{y} + \frac{\partial F_1}{\partial \theta} \cdot \dot{\theta} + \frac{\partial F_1}{\partial \phi} \cdot \dot{\phi} = 0\\ \frac{\partial F_2}{\partial x} \cdot \dot{x} + \frac{\partial F_2}{\partial y} \cdot \dot{y} + \frac{\partial F_2}{\partial \theta} \cdot \dot{\theta} + \frac{\partial F_2}{\partial \phi} \cdot \dot{\phi} = 0\\ \frac{\partial F_3}{\partial x} \cdot \dot{x} + \frac{\partial F_3}{\partial y} \cdot \dot{y} + \frac{\partial F_3}{\partial \theta} \cdot \dot{\theta} + \frac{\partial F_3}{\partial h_3} \cdot \dot{h}_3 = 0 \end{cases}$$
(8)

Therefore, Equation (8) can be guided:

$$J_i \cdot i = J_o \dot{o} \tag{9}$$

where  $J_i$  is denoted as the input-quantity,  $J_i = \begin{pmatrix} -\frac{\partial F_1}{\partial \varphi} & 0 & 0\\ 0 & -\frac{\partial F_1}{\partial \phi} & 0\\ 0 & 0 & -\frac{\partial F_1}{\partial h_3} \end{pmatrix}$  and  $J_o$  is denoted as

the output-quantity, 
$$J_o = \begin{pmatrix} \frac{\partial F_1}{\partial x} & \frac{\partial F_1}{\partial y} & \frac{\partial F_1}{\partial \theta} \\ \frac{\partial F_2}{\partial x} & \frac{\partial F_2}{\partial y} & \frac{\partial F_2}{\partial \theta} \\ \frac{\partial F_3}{\partial x} & \frac{\partial F_3}{\partial y} & \frac{\partial F_3}{\partial \theta} \end{pmatrix}; \dot{i} = (\dot{\varphi}, \dot{\varphi}, \dot{h}_3)^T \text{ and } \dot{o} = (\dot{x}, \dot{y}, \dot{\theta})^T.$$

Hence, the Jacobian matrix of the mechanism is the following:

$$J = J_i^{-1} \cdot J_o \tag{10}$$

#### 4.3. The Verification of Inverse Kinematics and Jacobian Matrix

The correctness of the inverse kinematics and the Jacobian matrix is verified by the ADAMS dynamic simulation software. The trajectory equations are the coordinates of the point in the moving platform and the angle of rotation around the *z*-axis. The trajectory equations of the moving platform are as follows:

$$\begin{cases} x = 250 - t \\ y = 190 - t \\ \theta = -\frac{\pi}{18} \cdot \sin(\frac{t}{5}) \end{cases}$$
(11)

where *t* represents the duration of the movement (the unit is second).

The simulation model of the mechanism in ADAMS software is presented in Figure 3. In the first limb,  $A_1$ ,  $A_{11}$ ,  $B_1$ ,  $B_{11}$  and  $D_1$  are set as revolute joints and  $h_1$  is set as a prismatic joint. In the second limb,  $A_2$ ,  $A_{22}$ ,  $B_2$ ,  $B_{22}$  and  $D_2$  are set as revolute joints and  $h_2$  is set as the prismatic joint. In the third limb,  $A_3$  and  $D_3$  are set as revolute joints and  $h_3$  is set as the prismatic joint. To ensure the uniqueness of the solution, the center P of the moving platform is set to the revolute joint. The elementary parameters for each component of the mechanism are given in Table 2.

Table 2. The parameters of the mechanism.

Parameter	Value (mm)	Parameter	Value (mm)
а	20	f	450
С	134	$\tilde{l}_1$	100
d	500	$l_2$	40
е	260	$l_3$	80
$h_1$	(45, 135)	$h_2$	(45, 135)

The comparisons between the theory and simulation results of inverse kinematics are shown in Figure 4, and the comparisons between the theory and simulation results of the input velocity of the rods are shown in Figure 5. The solver of the ADAMS software establishes the dynamic equation of the mechanical system through Lagrange's equation of the first kind. The result of its solution is accurate.



Figure 3. Simulation model of the mechanism in ADAMS.



**Figure 4.** Comparisons of inverse kinematics theory and simulation results: (a)  $\varphi_i$  (b)  $\varphi_i$  (c)  $h_3$ .



**Figure 5.** Comparisons of input velocity curves theory and simulation results: (a)  $\varphi_i$  (b)  $\varphi_i$  (c)  $h_3$ .

In the process of verifying the inverse kinematics, due to the counterclockwise rotation of the moving platform, the motion amplitude of the first branch of the mechanism is greater than that of the second and third branches, resulting the larger errors in Figure 4a than those in Figure 4b,c. It can be concluded that the theoretical data obtained from the inverse kinematics solution and the Jacobian matrix of the mechanism are basically consistent with the simulation data, and the maximum deviation is 0.48%. Thus, the correctness of the

results is verified, which provides a theoretical basis for the subsequent solution of the workspace and the performance analysis of the mechanism.

#### 5. Performance Analysis

5.1. Workspace

(1) Constraint

The workspace of the mechanism is the working area that the end-effector can reach. It is an important basis for evaluating the performance of the mechanism. In this paper, the workspace is considered as the maximum active range of the center P point of the end-effector. The main constraints that restrict the workspace include link length constraint, the constraint of the angle of actuating rod, travel constraint of the prismatic pair, the interference of adjacent rods, etc. The above constraints are defined as follows:

(a) Constraint of link-length

$$l_{\min} \le l_i \le l_{\max}, \ i = 1, 2, 3$$
 (12)

where  $l_{\min}$  is the minimum length of the *i*-th rod; and  $l_{\max}$  is the maximum length of the *i*-th rod.

(b) Constraint of the angle of actuating rod

In the simplified diagram of the parallel mechanism, the actuating rods of the revolute pair are the rod  $A_1B_1$  and the rod  $A_2B_2$ . Due to the existence of the prismatic pair  $A_{11}B_{11}$  and  $A_{22}B_{22}$ , the rotation angles of the revolute pair are limited. Due to the differences between the length of the rod and the position of the frame, the rotation angles of the revolute pair are also different. Let the maximum rotation angles of the revolute pair be  $\varphi_{max}$  and  $\varphi_{max}$ , and let the minimum rotation angles be  $\varphi_{min}$  and  $\varphi_{min}$ . Then, the constraint conditions of rotation angle are

$$\varphi_{\min} \le \varphi \le \varphi_{\max}, \ \phi_{\min} \le \phi \le \phi_{\max}$$
 (13)

#### (c) Travel constraint of the prismatic pair

The travel of the prismatic pairs is also an important factor to restrict the workspace of the mechanism. Among them, let the maximum displacement of the prismatic pair  $A_3D_3$  and the auxiliary prismatic pairs  $A_{11}B_{11}$  and  $A_{22}B_{22}$  be  $h_{max}$ , and let the minimum displacement be  $h_{min}$ . Then, the travel constraints of the prismatic pair are:

$$h_{\min} \le h_i \le h_{\max} \tag{14}$$

#### (d) The interference of adjacent rods

Since the moving platform and frame are connected by connecting rods, and there may be some interference between the connecting rods when the mechanism works. Let

$$D_i \ge D, i = 1, 2, 3$$
 (15)

where  $D_i$  is the shortest distance between two adjacent rods; D is the diameter of the cylindrical rod.

The numerical method is used to solve the workspace of the parallel mechanism [21]. According to the extreme value theory and optimization method, as many joint variables as possible are selected, and the inverse position solution and the constraint conditions between the rods are defined. The position coordinates of as many output points as possible are obtained by MATLAB. The coordinate points form the workspace of the parallel mechanism. The more coordinates are solved, the better the workspace of the mechanism can be reflected.

The workspace of the end-effector is shown in Figure 6, and the relevant data obtained in the diagram are shown in Table 3. The boundaries of the workspace are  $x \in [177.75 \text{ mm},$ 

341.22 mm],  $y \in [-6.51 \text{ mm}, 201.37 \text{ mm}]$ . By analyzing the data in Figure 6, it can be concluded that the workspace of the mechanism is symmetrical about x = 260 mm, and the value of the *y*-coordinate largely depends on the range of the prismatic pair  $h_3$ . It can be further concluded that the workspace area of the mechanism accounts for 28% of the whole triangle area, which meets the transport requirements of the transport platform.



Figure 6. End-effector workspace.

Table 3. The workspace parameters of the mechanism.

Parameter	Minimum Value	Maximum Value
Х	177.75 mm	341.22 mm
Y	-6.51 mm	201.37 mm
heta	$0^{\circ}$	90°
$\varphi$	$0^{\circ}$	97°
$\phi$	$0^{\circ}$	$94^{\circ}$
$h_3$	115 mm	331 mm

#### (2) Comparison to 3-PRR workspace

Due to the different rod sizes and frame positions of the mechanism, the comparisons of the workspace are also different. The method used in this paper to compare the workspace is the proportion method, that is, the ratio of the workspace obtained by the mechanism to the space occupied by the entire mechanism. The optimized workspace obtained by the 3-PRR mechanism accounts for about 13% of the entire area [22], while the workspace of the mechanism accounts for 28% of the entire area. It is noted that the workspace performance of the mechanism can be further improved after the optimized design.

#### 5.2. Stiffness Modeling

When the force  $F_0$  acts on the moving platform, the maximum deformation of the moving platform can be used as an index to evaluate stiffness [10]. The stiffness of the parallel mechanism mainly relies on the following factors, such as the length and material of the rods, the orientation and position of the moving platform, etc.

It is supposed that the stiffness of the *i*-th chain is expressed as  $k_i$ . Hence, the relationship between the joint torque and the deformation of the branch chain is

$$\tau_i = k_i \cdot \Delta q_i, \ i = 1, \ 2, \ 3 \tag{16}$$

where  $\tau_i$  is the joint torque and  $\Delta q_i$  is the deformation of the branched chain. Equation (16) is derived in matrix form as:

 $\tau = K_p \cdot \Delta q \tag{17}$ 

where  $\Delta q$  is the deflection of the force transmission system,  $K_p$  is the spring matrix of the mechanism,  $K_p = diag(k_1, k_2, k_3), \Delta q = (\Delta q_1, \Delta q_2, \Delta q_3)^T, \tau = [\tau_1, \tau_2, \tau_3]^T$ .

/

The relationship between  $\Delta p$  and  $\Delta q$  is

$$\Delta q = J \cdot \Delta p \tag{18}$$

where  $\Delta p$  is the deflection of the moving platform.

Based on the duality of kinematics and statics, the forces and moments applied at the end-effector under static conditions are related to the forces or moments required at the actuators to maintain the equilibrium by transposing the Jacobian matrix *J* [23]. Then

$$F = J^T \cdot \tau \tag{19}$$

Combining Equations (17)-(19) guides to:

$$\Delta p = K^{-1} \cdot F \tag{20}$$

where  $K = J^T \cdot K_p \cdot J$  is the stiffness matrix.

To obtain the maximum value of terminal deformation, the Lagrange function is constructed.

$$L = F^T \cdot K^{-T} \cdot K^{-1} \cdot F - \lambda_{pi} \cdot (F^T \cdot F - 1)$$
(21)

where  $\lambda_{pi}$  is the Lagrangian multiplier.

The conditions for the conditional extremum are:

$$\begin{cases} \frac{\partial L}{\partial F} = 0 & K^{-T} \cdot K^{-1} \cdot F - \lambda_{pi} \cdot F = 0\\ \frac{\partial L}{\partial \lambda_{pi}} = 0 & (F \cdot F^T - 1) = 0 \end{cases}$$
(22)

Then:

$$K^{-T} \cdot K^{-1} \cdot F = \lambda_{ni} \cdot F \tag{23}$$

From Equation (23),  $\lambda_{pi}$  is the eigenvalue of  $K^{-T} \cdot K^{-1}$ .

Therefore, the following equation can be guided:

$$\|\Delta p\|^2 = F^T \cdot K^{-T} \cdot K^{-1} \cdot F = \lambda_{pi}$$
<sup>(24)</sup>

From Equation (24), the limit value of  $||\Delta p||^2$  is equal to the maximum or minimum eigenvalue of  $(K^{-1})^T \cdot K^{-1}$ . Let  $K_p$  be the unit matrix and ||F|| = 1, then:

$$\begin{cases}
\|p_{\max}\| = \sqrt{\max(|\lambda_{pi}|)} \\
\|p_{\min}\| = \sqrt{\min(|\lambda_{pi}|)}
\end{cases}$$
(25)

When the moving platform of the mechanism rotates at  $10^{\circ}$ , the distribution of local stiffness index (*LSI*) in the workspace is shown in Figure 7. The ranges of the *x*-axis and *y*-axis are (195, 265) and (80, 190), respectively. The minimum deformation value in the workspace is taken as the evaluation index. The smaller the minimum deformation of a mechanism, the better the stiffness performance. When the singular value of the mechanism is removed, it can be obtained that the maximum value of  $||p_{min}||$  is 0.09, which is smaller than 0.14 for 3-RRR and 0.35 for 2-RRR [23]. Therefore, the stiffness of the mechanism is higher than that of the 2-RRR mechanism and the 3-RRR mechanism.



Figure 7. Distribution diagram of stiffness index.

It can be concluded from Figure 7 that the maximum stiffness is near (240, 170) and the minimum stiffness is in the workspace area. Two high points appear near (240, 170) and (215, 40), while the value tends to be stable in other areas. The reason is that when the two links  $l_1$  and  $l_2$  are in the collinear position (that is, the singular position of the mechanism), the stiffness of the mechanism tends to be infinite. Compared to the singular positions of the first type of the 3-RRR mechanism [24], it can be found that it is similar to the positions of the highest stiffness in this paper. The stiffness increases rapidly when the mechanism is singular. In addition, the analysis of stiffness provides a basis for the parameter design, working area selection and stiffness control of the mechanism.

#### 5.3. Dexterity Analysis

At present, there are two main indexes for measuring robot dexterity: one is the Jacobian condition number, and the other is manipulability. The manipulability can directly identify the type of singularity, but it has defects in the evaluation of the dexterity index [25]. In this paper, the Jacobian condition number is used to evaluate the dexterity of the parallel mechanism.

The Jacobian condition number can be calculated by means of spectrum norm as follows:

$$k(J) = \|J\| \cdot \|J^{-1}\|$$
(26)

where  $||J|| = \max_{|x|=1} ||Jx||$ .

Square both sides of Equation (26) and then

$$\|J\|^2 = \max_{|x|=1} x^T J^T J x \tag{27}$$

Therefore, the maximum singular value of matrix  $J^T J$  is the spectrum norm of matrix J, which is expressed as  $\sigma_{\text{max}} = \sqrt{tr(J^T J)}$ . Similarly, the reciprocal of the minimum singular value of matrix  $J^T J$  is the spectrum norm of  $J^{-1}$ , which is expressed as  $1/\sigma_{\text{min}}$ . Then

$$k(J) = \frac{\sigma_{\max}}{\sigma_{\min}}$$
(28)

To understand the dexterity values of the mechanism in the workspace, when the end-effector rotates at 30°, the local dexterity index distribution in the workspace is shown in Figure 8. The ranges of the *x*-axis and *y*-axis in Figure 8 are [200, 260] and [60, 145], respectively. The value of k(J) ranges from approximately 0 to 1, and the higher the value, the better the overall kinematic performance of the mechanism in the workspace. In Figure 8, the maximum and minimum dexterity values in the workspace are 0.91 and 0.16. The dexterity values are mostly in the range of 0.3~0.65.



Figure 8. Distribution diagram of dexterity index.

As shown in Figure 8, the value of k(J) in the dark purple area is small and the kinematics performance is slightly poor. The reason is that the first limb and the second limb of the mechanism adopt a parallelogram structure. The shorter side of the parallelogram is relatively close to the edge of the workspace, which limits the activity space of the mechanism. Therefore, the value of k(J) here is small and the kinematics performance is slightly worse. The kinematics performance of the mechanism can be further improved after optimized design.

#### 6. Size Optimization

#### 6.1. Evaluation Standard

The essence of optimization is to adjust the geometric dimensions of the mechanism in order to achieve better performance [15]. In order to evaluate the characteristics of the mechanism, a comprehensive evaluation standard is proposed.

The mechanism's workspace is the result of the superposition of each discrete point. The workspace can be measured by the area composed of the obtained points. Therefore, the workspace (*W*) is defined as:

$$W = \int_{W} dW = \int \int dx dy \tag{29}$$

For the mechanism's stiffness, the concept of global stiffness index (*GSI*) [26] is proposed. The *GSI* refers to the average stiffness of points in the workspace, which is denoted as follows:

$$GSI = \frac{\int_{W} (LSI)dW}{\int_{W} dW}$$
(30)

The mechanism's dexterity is also an effective factor that involves the performance of the mechanism. The global dexterity index (*GDI*) can be used as an index to evaluate dexterity, which is defined as the average dexterity in the workspace. Hence, it can be expressed as:

$$GDI = \frac{\int_{w} (LDI) dW}{\int_{W} dW}$$
(31)

Based on the above criteria, the size parameters of the parallel mechanism are optimized to obtain optimal performance. It is required to maximize the workspace on the premise of better dexterity and stiffness performance of the mechanism.

#### 6.2. Optimization Process

The genetic algorithm (GA) draws on Darwin's theory of evolution and Mendel's theory of genetics, which simulates the process of inheritance and evolution of organisms

in the natural environment, and forms an adaptive global optimization search algorithm. It has the advantages of good convergence and robustness [27].

The genetic algorithm is utilized to optimize the size of the parallel mechanism. In the optimization process, the optimization variables are  $l_1$ ,  $l_2$ ,  $l_3$ , c. The variable constraints are shown in Table 4. Then, the optimization parameters are set as follows: population = 30, crossover probability = 0.8, mutation probability = 0.05, evolutional generation = 300. The optimization results of the optimized design variables are shown in Table 5. Furthermore, Table 6 shows the 30 sets of objective functions. Furthermore, the orders of the relevant objective function values are consistent with the orders of the optimization design variables.

Table 4. Variable constraints.

Variable	Variable Constrants (mm)		Constrants (mm)		
$l_1$	70,150	$l_3$	50,100		
$l_2$	3070	С	60,110		

Table 5.	Optimized	design	variables.

Number	$l_1$	$l_2$	l <sub>3</sub>	с	Number	$l_1$	$l_2$	l <sub>3</sub>	с
1	122.33	60.43	76.46	105.51	16	148.16	53.72	83.50	106.35
2	149.55	30.77	87.86	76.30	17	134.42	69.74	65.97	100.10
3	110.40	43.34	94.95	88.59	18	149.79	62.94	78.92	64.27
4	115.93	40.61	99.92	101.53	19	125.14	34.19	69.15	86.13
5	145.04	53.29	52.16	108.27	20	114.68	54.79	69.02	103.86
6	117.85	52.73	75.35	86.10	21	127.92	58.95	53.49	98.18
7	134.38	35.75	86.65	83.83	22	116.65	60.65	90.99	106.06
8	107.08	58.06	80.04	95.25	23	144.73	67.56	58.16	103.34
9	130.24	34.02	64.50	98.35	24	107.88	67.58	68.37	103.65
10	121.66	56.24	89.78	91.65	25	121.83	60.72	53.53	102.34
11	137.60	45.83	62.47	83.27	26	145.47	43.75	93.90	90.22
12	122.47	52.57	66.86	93.96	27	85.55	63.56	67.35	97.22
13	117.70	51.60	75.05	87.02	28	101.36	51.12	90.21	107.50
14	130.94	38.76	90.12	74.80	29	106.34	60.34	83.12	105.12
15	144.78	68.38	71.68	78.30	30	129.38	31.82	51.48	104.85

Table 6. Objective function values.

No.	Value								
1	5.04	7	4.01	13	5.08	19	4.84	25	4.11
2	5.66	8	5.13	14	4.35	20	6.24	26	3.64
3	5.54	9	5.32	15	6.34	21	4.12	27	5.02
4	4.82	10	4.62	16	3.20	22	3.30	28	4.64
5	4.80	11	4.21	17	5.35	23	4.79	29	4.14
6	6.70	12	5.02	18	6.03	24	4.85	30	5.72

In order to select the best size scheme, a comprehensive index is proposed to evaluate 30 groups of design variables. According to the data, *GSI* is mostly in the  $10^{-2}$  scale, *GDI* is in the  $10^{-1}$  scale, and the workspace is in the  $10^{3}$  scale. Therefore, the appropriate weights can be selected to reflect the overall performance of the mechanism, so the objective function value is

$$f(x_i) = \eta_1 \cdot GSI_i \cdot 10^2 + \eta_2 \cdot GDI_i \cdot 10^1 + \eta_3 \cdot Workspace_i \cdot 10^{-3} (i = 1, 2, 3, ..., 30)$$
(32)

where the weights of different objective functions are defined  $\eta_1 = 0.4$ ,  $\eta_2 = 0.4$ ,  $\eta_3 = 0.2$ . By comparing the objective function values in Table 6, it can be concluded that the sixth group of solutions is the optimal solution. In the sixth group of data, the corresponding workspace of the mechanism is 4443.18, the stiffness is 5.72, and the dexterity is 0.88. It is concluded that the performance of the optimized mechanism is improved. Furthermore, the sixth group of convergence diagrams based on the optimization process is shown in Figure 9.



Figure 9. Convergence graph of best fitness value.

#### 7. Conclusions

- (1) A planar 3-DoF (2T1R) parallel mechanism is derived by structural evolution from the parallelogram by means of Grassmann line geometry and the Atlas method. The key property of the mechanism is that the two branches are designed as parallel closed-loop structures. The overall mechanism has the characteristics of high stiffness and large carrying capacity.
- (2) The position equation of the mechanism is established and the inverse kinematics and Jacobian matrix are investigated. The maximum deviation between the theory and simulation results of the inverse kinematics and Jacobian matrix is 0.48%, which verifies the accuracy of the theoretical model. Based on the results of inverse kinematics, the workspaces of the mechanism can be produced. The ratio of the workspace to the entire triangle space is about 15% higher than that of the 3-PRR parallel mechanism.
- (3) Based on the Jacobian matrix, the performance indexes are established to evaluate the stiffness and dexterity of the mechanism. Finally, the genetic algorithm is used to optimize the size of the rods to improve the comprehensive performance of the mechanism.

In addition, future work will focus on the position deployment of the frame and the branches, the singularity of the mechanism and the development of the prototype to enhance the practicability of the research.

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