

Article



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Abstract: In order to study the bearing behavior and soil-squeezing of jacked piles in stiff clay, two groups of pile penetration tests were performed, with a rough pile that can reproduce the quick-shear behavior of the pile-soil interface, i.e., group 1 in stiffer clay, and group 2 in softer clay for comparison. For each group, the adjacent pile was additionally penetrated at different pile spacings to study the soil-squeezing effect on an adjacent pile. The results show that the penetration resistance increased rapidly at the beginning and then increased at a lower rate. This is because the resistance at the pile end increased rapidly at the beginning and then kept stable with fluctuations, whereas the resistance at the pile side continually increased due to the increasing contact area. Therefore, the ratio of the resistance at the pile end to the total penetration resistance exhibited a softening behavior, which first increased to a peak and then gradually decreased. In addition, there was soil-squeezing stress and soil-squeezing displacement in the ground and adjacent piles due to pile penetration. In stiffer clay, the soil-squeezing stress was larger than that in softer clay due to the higher strength, whereas the soil-squeezing displacement was smaller than that in softer clay due to the low compressibility. In addition, the nonlinear equation form $y = ae^{-bx}$ can be employed to describe the effect of pile spacing on the vertical flotation, horizontal deviation, and pile strain of the adjacent pile.

Keywords: jacked pile; soil squeezing effect; bearing behavior; model test

1. Introduction

Jacked piles have been widely employed in civil engineering due to the advantages of low cost, strong quality controllability, fast construction speed, and convenient quality testing [1-4]. In the design and construction of jacked piles, the soil-squeezing effect is essential to prevent engineering accidents such as pile breakage and pile floating [5–7].

Great efforts have been made to study the soil-squeezing effect during pile penetration by theoretical methods [8-10], numerical simulations [11-14], field tests [15-17], and indoor model tests [18–22]. The theoretical methods, e.g., cavity expansion theory [23], can be employed to predict the soil displacement and ground deformations for jacked pile penetration, but the pile-soil frictional behavior is ignored and more reliable experimental data are necessary for validation. The numerical simulation can provide a unique view of the soil deformation and stress evolution, but large soil deformation and soil failure appear during jacked pile penetration, which can hardly be well simulated by the finite element method [24,25]. The discrete element method, which can capture the soil's large deformation and failure process well, is limited by its huge computation cost [14,26,27], especially when the water effect and unique particle shape should be taken into consideration for clays [28,29]. The field test can provide the most reliable data about the soil-squeezing effect but is limited by its huge cost and complex geological conditions [15,30]. In recent decades, the physical model test has been quite popular in investigating the bearing behavior and soil-squeezing effect. With a focus on the penetration resistance of jacked piles, previous



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research has investigated the effects of time [31], soil plugging [32], friction fatigue [33], the pile-bearing layer [34], pile diameters [16], etc. With a focus on the soil-squeezing effect, various test methods and techniques have been employed, including the half-model test [35,36], X-ray radiography tomography [32]), computed tomography (CT) [19], and transparent soils [37–39], which are quite expensive when applied to monitoring soil deformation.

However, most indoor model tests of jacked piles focus on the penetration resistance and soil-squeezing effect in sands [33,35,40] and soft clays [30,34] with restively low shear strength. Little attention has been paid to those in stiffer clays with low compressibility and high shear strength, especially the soil-squeezing effect on the adjacent pile in stiffer clay. As a result, the design method and experimental findings on sands and soft clays can hardly be applied to the analysis of the soil–pile interaction in stiffer clays of Central China, which constitutes the main motivation for this paper.

In addition, the piles in most experimental tests are usually smooth, simulated by aluminum piles [6,19,34], steel piles [31,33,40], polymethyl methacrylate piles [27], etc., which cannot capture the quick-shear behavior between concrete piles and soil. Therefore, a rough pile, which can reproduce the quick-shear behavior between a concrete pile and stiffer clay, was employed here to study the penetration resistance and soil-squeezing effect in stiffer and softer clays. After briefly introducing the stiffer clay and rough pile, the closed-end rough piles were penetrated into softer and stiffer clays to analyze the penetration resistance and soil-squeezing effect. After this, the adjacent pile was penetrated at different pile spacings, focusing on the soil-squeezing effect on the adjacent pile. The experimental data can provide advice for better designing and constructing jacked piles in stiffer clay areas of Central China.

2. Model Setup

2.1. Experimental Apparatus

Figure 1 provides the experimental apparatus used in the test, including the soil bin, bracket, two railways, and penetration system. The soil bin was carefully designed and manufactured to remove the boundary effects on experimental results. Gui et al. [41] stated that the boundary effect was neglectable when the distance between the penetration point and the side boundary wall was 10 times larger than the cone diameter. Here, the pile diameter *D* was scaled to be 40 mm in the test, and the square soil bin was designed to be 1.0 m in side length L. Thus, the ratio of the soil bin side length to the pile diameter was 25, which is larger than suggested in previous research [16,41,42], and the boundary effect can be ignored. The bracket (i.e., 400 mm in height) is employed to provide enough space to allow a stable penetration resistance to occur. The railways can move freely on the bracket and the penetration system can freely move on the railways, allowing the pile to penetrate at different positions. The penetration system consists of the motor and shaft. The pile can be fixed on the shaft and forced into the stiffer clay by the motor with a velocity ranging from 0.2 to 2.0 mm/s.

2.2. Test Material

In China, stiffer clay is generally distributed in the middle areas (e.g., Anhui province), whereas softer clay is generally distributed in the east–south areas (e.g., Shanghai City and Guangdong province), where jacked piles were first widely employed in civil engineering. As a result, the abundant engineering experience and design method for softer clays leads to frequent engineering accidents in stiffer clay, e.g., pile breakage and pile floating [43]. Thus, two clays were employed here to perform a pile penetration test, one simulating natural stiff clay with high strength and low compressibility and the other simulating soft and saturated clay, aiming to show the difference in the penetration resistance and soil-squeezing effect of jacked piles. The stiffer clay in Hefei, Anhui province, was employed to prepare these two clays. In light of the remolded clay exhibiting a lower strength and higher compressibility than the natural stiff clay, the remolded clay treated with 1% chunam in mass was employed to reproduce the mechanical and compressive behavior of natural stiff

clay here. Note that a similar technique has been employed to mimic the structure effect of natural clay [21,44]. Figure 2 presents the relationships between the deviatoric stress and the axial strain of the stiffer and softer clays employed in the test. Figure 2 shows that the stiffer clay (i.e., remolded clay treated with 1% chunam in mass) exhibited a larger shear strength than the softer clay, which represents a constitutive model for cohesive soils, as portrayed in [45,46]. The mechanical strength of the stiffer clay (i.e., *c* = 82.3 kPa, φ = 13.8°) was quite similar to those of the natural stiff clay in Hefei (i.e., *c* = 82.8 kPa, φ = 14.1°). The saturated softer clay exhibited a much lower strength, i.e., *c* = 46.2 kPa, φ = 10.6°. The related physical and mechanical parameters are provided in Table 1.



Figure 1. Experimental apparatus.



Figure 2. Stress-strain relationships of the stiffer and softer clays.

Table 1. Physical and mechanical	parameters of	of stiffer and	softer	clays
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Soil	Specific Gravity	Internal Friction Angle	Cohesion	Bulk Density	Water Content
Stiffer clay	2.73	13.8°	82.3 kPa	1.902 g/cm^3	20.2 (%)
Softer clay	2.73	10.6°	46.2 kPa	2.275 g/cm^3	40.1 (%)

2.3. Quick-Shear Behavior of Pile–Soil Interface

Concrete jacked pile has a rough surface significantly different from smooth aluminum piles [6,19,34], steel piles [31,33,40], polymethyl methacrylate piles [27], etc. To obtain

reasonable penetration resistance and the soil-squeezing effect of a jacked pile, the model pile should be rough to reproduce the inter-surface quick-shear behavior between the concrete pile and natural stiff clay. Here, an acrylic plexiglass pile with a surface stuck by sand uniformly was employed to capture the frictional behavior of the concrete pile, as shown in Figure 3a. Figure 3b provides the relationships between shear stress τ and normal force σ using sands with diameters of <1 mm, 1–2 mm, and 2–3 mm by performing direct shear tests at a rate of 0.8 mm/min. Note that the quick-shear behavior for the concrete–soil interface was also examined for comparison. It shows that the interface frictional angle and cohesion increased with the sand size, and the acrylic plexiglass sample stuck uniformly by sands with sizes < 1 mm reproduced the shear behavior of the concrete–clay interface well. Therefore, an acrylic plexiglass pile uniformly stuck by sand with a size of < 1 mm (Figure 4) was employed in the physical model test to simulate a concrete pile. Note that the pile end also plays an important role in pile penetration. Therefore, a steel pile end (Figure 4) in the shape of a cross was employed in the test, which has been widely employed in jacked pile engineering in China. The characteristic of the model pile is provided in Table 2.



Figure 3. Acrylic plexiglass sample stuck uniformly by sands for direct shear test: (**a**) sample; (**b**) shear–stress relationship.



Figure 4. Model pile (a) and pile end (b).

Table 2. Model pile characteristics.

Material	Sand Size	Outside Diameter (m)	Inside Diameter (m)	Pile Length (m)	Elastic Modulus (GPa)
Acrylic plexiglass	<1 mm	0.04 m	0.02	0.7	3.16

2.4. Monitoring System

Figure 5 provides the arrangements of the monitoring sensors in the tests. A force sensor was installed between the shaft and the pile to monitor the total penetration re-

sistance. In the ground, three earth pressure cells and pore pressure cells were buried at depths of 50 mm, 100 mm, and 150 mm to monitor the squeezing stress and pore pressure, respectively. On the surface, three displacement sensors were placed with a spacing of 50 mm to monitor the soil-squeezing displacement. Note that the resistance at the pile end could not be monitored directly because the actual shaped pile end was employed instead of an earth pressure cell in the test. Therefore, two strain gauges were attached to the pile top and end to monitor the pile strain, which could be used to calculate the total penetration and end resistances. It is worth mentioning that the monitored data in the reduced-scale model tests could hardly be scaled to the real-life jacked pile accurately because some important factors (e.g., soil particles and stress level, cohesion) were not perfectly scaled using the in situ clays instead of similar material, which has been widely employed in geotechnical engineering [6,34].



Figure 5. Test schematic diagram.

2.5. Test Preparation

The testbed was filled with five layers, with each layer measuring 160 mm. For stiffer clay, the dried and crushed clay particles were mixed with 1% chunam by mass uniformly by a mixer. Then, the mixture was wetted to a water content of 20.2%, which was the same as the natural stiff clay. Afterwards, the stiffer clay was poured into the soil bin and compacted to the target dry density layer by layer to a final thickness of 800 mm. As for the softer clay, the dried clay particles were wetted to a water content of 40.1% and then poured into the soil bin layer by layer. Note that more water was sprayed on the ground to ensure saturation after compacting each layer to the target density. After finishing the soil filling, the testbed was consolidated for one week.

The jacked pile penetration showed a significant squeezing effect on the adjacent pile, leading to engineering accidents, including breakage and floating of the adjacent pile. Therefore, after the jacked pile was forced at the center to study the penetration resistance and soil-squeezing effect, another pile was forced at different pile spacings from the center pile to investigate the soil-squeezing effect on the adjacent pile. Two groups of tests were performed, i.e., group 1 in stiffer clay and group 2 in softer clay, as shown in Table 3. In light of the suggested pile spacing in Chinese standards [47] being 3.5–4.5 *D*, the pile spacings were chosen to be 2.5 *D*, 3.5 *D*, 4.5 *D*, and 5.5 *D* in the test.

Test Group	Soil	Pile Spacing	Penetration Velocity
1		2.5 D	0.5 mm/s
	Chiffon alors	3.5 D	0.5 mm/s
	Sumer clay	4.5 D	0.5 mm/s
		5.5 D	0.5 mm/s
2		2.5 D	0.5 mm/s
	Cotton alar	3.5 D	0.5 mm/s
	Softer Clay	4.5 D	0.5 mm/s
		5.5 D	0.5 mm/s

Table 3. Test scheme.

3. Results

3.1. Bearing Behavior and Soil Squeezing Effect in the Ground

3.1.1. Total Penetration Resistance

Figure 6 presents the monitored total penetration resistance F_t monitored by the force sensor in stiffer and softer clays. It shows that F_t increased rapidly at the beginning and then increased at a lower rate. This is because both the pile end and the side resistances increased significantly at the beginning, which led to a relatively high increasing rate. As the pile penetrated, the resistance at the pile end reached the peak and then tended to be stable, whereas the resistance at pile side increased continually due to the increasing contacting side area, which contributed to the increasing total penetration resistance at a lower increasing rate. As expected, the stiffer clay exhibited a much larger penetration resistance due to the higher shear strength and a higher increasing rate due to the lower compressibility compared with the softer clay. Note that the penetration resistance in stiff clay seemed to increase more rapidly when the penetration depth exceeded 250 mm, which was probably caused by the inevitable inhomogeneity in the ground.



Figure 6. Total pile penetration resistances in the stiffer and the softer clay.

3.1.2. Resistance at Pile End

The monitored strain at the pile top and end was employed to calculate the axial force at the pile top and end using the following equation based on elastic mechanics [48]:

$$F = E\varepsilon_z \pi (r_o^2 - r_i^2) \tag{1}$$

where *E* is the pile modulus, ε_z is the measured strain, and r_o and r_i are the outside and inside radius of the pile, respectively. Note that the axial force at the pile end is similar in value to the total penetration resistance F_t and that the axial force at the pile end is similar to the resistance at the pile end F_e . Figure 7 provides the calculated F_t and F_e in stiffer and softer clays. It shows that the calculated F_t evolved in a similar trend and value as that

monitored by the force sensor. Therefore, the strain at the top and end could be employed to show the evolution of F_e/F_t , as shown in Figure 8. Figure 8 shows that F_e/F_t exhibited a softening behavior, which first increased to a peak and then gradually decreased. It can be easily understood that the increase in F_e caused by soil strength was larger than that of the resistance at pile side F_s due to the increasing contact area at the beginning. After the soil beneath the pile end failed, F_e tended to be stable and F_s increased continually, which led to a decreasing F_e/F_t . It is worth mentioning that the peak and final F_e/F_t for stiffer and softer clays were quite similar in value, probably because pile–soil quick-shear behavior decreased with the soil's mechanical properties. However, due to lower compressibility, the penetration depth needed to reach the peak F_e/F_t was larger in stiffer clay. Nevertheless, the ratio of the resistance at the pile end to the total penetration resistance in stiffer clay can directly refer to that in softer clay.



Figure 7. Pile end resistances in the stiffer and the softer clay.



Figure 8. Contribution of the pile end resistances.

3.1.3. Earth Pressure

Figure 9 presents the evolutions of the horizontal pressures at different depths to show the soil-squeezing stress. Note that the initial earth pressure caused by consolidation was set to be 0 kPa, and only the pressure due to pile penetration was monitored here. Figure 9 shows that the horizontal earth pressure first increased to a peak with the increasing penetration depth and then decreased gradually. Note that the peak earth pressures in the stiffer clay were larger than those in the softer clay, indicating that larger squeezing stress appeared in the stiffer clay.



Figure 9. Soil-squeezing stress in the ground at a depth of (a) 100 mm, (b) 200 mm, and (c) 300 mm.

In cohesionless soil, the peak pressure increased to the peak value at the buried depth of the earth pressure, i.e., the distance between the penetrator cone and earth pressure cell d_p approached 0 mm when the earth pressure reached the peak [14]. However, d_p in the cohesive soil here was non-zero and increased with the buried depth of the earth pressure cell, as shown in Figure 10. Note that the d_p for the cell buried at a depth of 300 mm was chosen to be 50 mm, although the earth pressures were still increasing. In addition, d_p in the softer clay was much larger than that in the stiffer clay at the same buried depth. This is because both the resistances at the pile end and the side contributed to the increasing earth pressure. However, the resistance at the pile end increased rapidly to the peak and then tended to be stable, whereas the resistance at the pile end contributed more to the shallow earth pressure cell, whereas the resistance at the pile end contributed more to the deep earth pressure cell.



Figure 10. Relationships between d_p and buried depth.

3.1.4. Pore Pressure

Previous research has shown that the pore pressure in saturated clay increases significantly during pile penetration, which weakens the soil shear strength and leads to low pile resistance [15]. Figure 11 provides the pore pressure evolution in both the stiffer and the softer clay. It shows that the pore pressure increased rapidly to peak when the pile approached and then tended to be stable, which is different from the earth pressure, which decreased significantly after the peak value. This is because several days were needed for the pore pressure to dissipate due to clay's low permeability. In addition, the peak pore pressures in stiffer clay were much smaller than in softer clay, although the earth pressures were larger, as shown in Figure 9. It can be easily understood that the stiffer clay was unsaturated and the soil skeleton bore more squeezing stress from pile penetration.



Figure 11. Pore pressure in the ground at a depth of (a) 100 mm, (b) 200 mm, and (c) 300 mm.

3.1.5. Soil-Squeezing Displacement

Figure 12 shows the ground heave in the stiffer and the softer clay, i.e., the soil-squeezing displacement on the ground surface. Note that no ground heave was observed at the point with a distance of 150 mm from the pile. Figure 12 shows that the ground heaved more significantly with the softer clay than with the stiffer clay. In particular, the ground heave at the point with a distance of 50 mm in the softer clay was nearly four times larger than that in the stiffer clay. This is because the softer clay was easier to compact and move due to its higher compressibility.



Figure 12. Ground heave.

Above all, it can be concluded that both soil-squeezing stress and soil-squeezing displacement exist in the ground due to pile penetration. Compared with the softer clay, the stiffer clay exhibited larger soil-squeezing stress due to the higher shear strength and smaller soil-squeezing displacement due to the low compressibility.

3.2. Soil-Squeezing Effect on the Adjacent Pile

3.2.1. Soil-Squeezing Displacement on the Adjacent Pile

The maximum soil-squeezing displacement on the adjacent pile was nearly 1 mm, which could barely be observed. Thus, the displacement sensors placed on the pile top were employed to monitor the soil-squeezing displacements. Figure 13 provides the center pile's vertical flotation and horizontal deviation caused by adjacent pile penetration at different pile spacings in the stiffer and the softer clay. Note that larger vertical flotation and horizontal pile deviation lead to pile floating in engineering, which affects the pile-bearing behavior. Figure 13 shows that the vertical pile flotation and horizontal pile deviation increased nearly linearly with the penetration depth. As expected, the smaller the pile spacing, the larger the vertical flotation and horizontal deviation.



Figure 13. Vertical pile flotation and horizontal pile deviation due to adjacent pile penetration: (a) stiffer clay; (b) softer clay.

Figure 14 presents the relationships between vertical flotation/horizontal deviation and the pile spacing in the stiffer and the softer clay. Figure 14 shows that the vertical pile flotation and the horizontal pile deviation in the softer clay were much larger, indicating that the piles were easier to float and incline. This is because the soil squeezing displacement is larger and the pore pressure is higher in softer clay, leading to larger vertical pile flotation and horizontal pile deviation. Therefore, from the view of soil-squeezing displacement due to adjacent pile penetration, the spacing distance necessary to reduce the soil-squeezing effect is larger in softer clay. The linear, exponential, and logarithmic equations were employed to describe the relationships between vertical flotation/horizontal deviation and pile spacing. The fitting equations are provided in Table 4, which shows that the nonlinear equation form $y = ae^{-bx}$ could be employed to describe the effect of pile spacing on the vertical flotation/horizontal deviation of the adjacent pile. In other words, the vertical flotation/horizontal deviation of the adjacent pile could be predicted using the equation form $y = ae^{-bx}$ with the help of some filed test data.



Figure 14. Relationships of vertical flotation (a)/horizontal deviation (b) and pile spacing.

Table 4. Fitting equations.

Soil	Equation	Horizontal Deviation	Vertical Flotation
Stiffer clay	Linear Exponential	$\begin{split} I_{\rm h} &= -0.093 n_{\rm D} + 0.5545, R^2 = 0.972 \\ I_{\rm h} &= 1.23 e^{(-0.13 n {\rm D})}, R^2 = 0.981 \end{split}$	$I_{\rm v} = -0.086 n_{\rm D} + 0.499, R^2 = 0.996$ $I_{\rm v} = 1.16 e^{(-0.14 n_{\rm D})}, R^2 = 0.966$
	Logarithmic	$I_{\rm h} = -0.356 {\rm In}(n_D) + 0.66, R^2 = 0.979$ $I_{\rm h} = -0.342 n_D + 1.848, R^2 = 0.802$	$I_v = -0.33 \ln(n_D) + 0.6, R^2 = 0.992$ $I_v = -0.091 n_D + 0.611, R^2 = 0.854$
Softer clay	Exponential Logarithmic	$I_{h} = -0.342 n_{D} + 1.340, R^{2} = 0.302$ $I_{h} = 13.75 e^{(-0.25nD)}, R^{2} = 0.989$ $I_{h} = -1.363 \ln(n_{D}) + 2.319, R^{2} = 0.884$	$I_{\rm v} = -0.054 \text{ m} + 0.011, \text{ R}^2 = 0.004 $ $I_{\rm v} = 1.17 e^{(-0.1n\text{D})}, R^2 = 0.945 $ $I_{\rm v} = -0.359 \text{In}(n_{\rm D}) + 0.73, R^2 = 0.920 $

3.2.2. Soil-Squeezing Stress on the Adjacent Pile

Figure 15 presents the pile strain at the pile end due to adjacent pile penetration in the stiffer and the softer clay. Note that large pile strain due to soil-squeezing stress leads to pile breakage in engineering. Figure 15 shows that as the pile spacing increased, the strain at the pile end decreased significantly, which implies that the squeezing stress at the pile end decreased with the increasing pile spacing, as expected.

Figure 16 presents the relationships between the strain at the pile end and the pile spacing in the stiffer and the softer clay. Figure 16 shows the strain at the pile end in the stiffer clay was larger than that in the softer clay, indicating that larger squeezing stress appeared on the pile end in the stiffer clay. Therefore, from the view of soil-squeezing stress due to adjacent pile penetration, the spacing distance necessary to reduce the soil-squeezing effect is larger in softer clay. In addition, the nonlinear equation form $y = ae^{-bx}$ can also be employed to describe the effect of pile spacing on the strain at the adjacent pile end.

Above all, it can be concluded that the soil-squeezing effect due to adjacent pile penetration also leads to a smaller squeezing displacement but a larger squeezing force on the pile in stiffer clay than in softer clay due to the high shear strength and low compressibility of stiffer clay. Consequently, the pile spacing for stiffer clay should be determined mainly by controlling the pile strain caused by the squeezing stress to avoid pile breakage, whereas the pile spacing for softer clay should be determined mainly by controlling the pile vertical flotation and horizontal deviation to avoid pile flotation. In addition, high-strength PHC piles should be employed in stiff clay to avoid pile breakage.



Figure 15. Strain at the pile end due to adjacent pile penetration: (a) stiffer clay; (b) softer clay.



Figure 16. Relationships between the strain at the pile end and the pile spacing.

4. Conclusions

To better design and construct jacked piles in stiff clay areas of Central China, two groups of jacked pile penetration tests were performed with a rough pile that can reproduce the quick-shear behavior of the pile–soil interface, i.e., group 1 in stiffer clay and group 2 in softer clay for comparison, to study the bearing behavior and soil-squeezing effect in stiffer clay. For each group, the adjacent pile was additionally penetrated at different pile spacings to investigate the soil-squeezing effect due to adjacent pile penetration. The main conclusions are summarized as follows:

- (1) During pile penetration, the resistance at the pile end increased rapidly at the beginning and then tended to be stable with fluctuations, whereas the resistance on the pile side continually increased due to the increasing contact area. As a result, the total penetration resistance increased rapidly at the beginning and then increased at a lower increasing rate.
- (2) The ratio of the resistance at the pile end to the total penetration resistance exhibited a softening behavior, which first increased to a peak and then gradually decreased. The peak and final proportion for the stiffer and the softer clay were similar in value, whereas the penetration depth needed to reach the peak proportion was larger in the stiffer clay due to its low compressibility.
- (3) There was soil-squeezing stress and soil-squeezing displacement in the ground due to pile penetration. In the stiffer clay, the soil-squeezing stress was larger due to the higher strength, whereas the soil-squeezing displacement was smaller due to the low compressibility.
- (4) The nonlinear equation form $y = ae^{-bx}$ could be employed to describe the effect of pile spacing on the vertical flotation, horizontal deviation, and pile strain of the adjacent pile.

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