



Article A Modified Compression Field Theory Based Analytical Model of RC Slab-Column Joint without Punching Shear Reinforcement

Linfeng Wu¹, Tiancan Huang², Yili Tong¹ and Shixue Liang^{1,*}

- ¹ School of Civil Engineering and Architecture, Zhejiang Sci-Tech University, Hangzhou 310018, China; livan2222@163.com (L.W.); t1612345@gmail.com (Y.T.)
- ² Earthquake Engineering Research & Test Centre, Guangzhou University, Guangzhou 510006, China; tiancanhuang@163.com
- * Correspondence: liangsx@zstu.edu.cn; Tel.: +86-136-6157-9157

Abstract: RC slab–column structures are widely used because of the advantages of small space occupation for horizontal members, easy construction and good economy. However, slab–column joints are prone to punching shear failures, which deteriorates structural safety. This paper provides an analytical model to predict the punching shear capacity of the RC slab–column joint. A database of 251 test results is established for the shear punching capacity of slab–column joints without punching shear reinforcement. The performance of existing design codes in predicting the shear resistance of slab–column joints is investigated and compared based on the database. Then, based on the modified compression field theory (MCFT) model, an equation for calculating the punching shear resistance of slab–column joints without punching shear reinforcement is established. The prediction results of the analytical model are enhanced by using the regression analysis method. The model proposed in this paper is based on both reliable theoretical and the summary of a large number of test results, which has higher prediction accuracy than the design codes.



1. Introduction

The RC slab–column structure has no beams between the columns, and thus, is a form transfer load directly from slabs to columns [1,2]. The slab–column structure also has the advantages of flexible layout, full use of height space, fast construction speed and low cost. It is widely used in warehouses, underground garages, bridges and ports [3,4]. However, the RC slab column structure may undergo punching shear at the slab column joints under bending and shear loads. With small slab–column deformation, sudden punching shear failure can occur which leads to serious problems [5–8]. Brittle punching shear failure of RC slabs is usually caused by high shear stresses around the slab–column joint [9]. Due to the mechanisms of brittle failure at slab–columns joints, it is easy to cause progressive collapse, which affects the integrity of the structures [10]. On 24 June 2021, a condominium building collapsed [11] in which 98 people were killed in the accident (Figure 1). Scholars have carried out a lot of research in the hope of improving the understanding of the punching shear [9,12,13]. However, the mechanism of punching shear of slab–column structures is complex; therefore, the punching shear problem is still open for investigation.



Citation: Wu, L.; Huang, T.; Tong, Y.; Liang, S. A Modified Compression Field Theory Based Analytical Model of RC Slab-Column Joint without Punching Shear Reinforcement. *Buildings* 2022, *12*, 226. https:// doi.org/10.3390/buildings12020226

Academic Editors: Jun Xu, Fan Kong and Ding Wang

Received: 18 January 2022 Accepted: 15 February 2022 Published: 17 February 2022

Publisher's Note: MDPI stays neutral with regard to jurisdictional claims in published maps and institutional affiliations.



Copyright: © 2022 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/).



Figure 1. Collapsed condominium building (photo via Agence France-Presse) [11].

Many researchers started with theoretical approaches to find mechanical explanations for punching shear and predicted the punching shear resistance of slab-columns by using various theoretical models. Bazant and Cao [14] introduced the fracture mechanics model to study the punching shear process of slab-column and Hallgren [15] also used nonlinear fracture mechanics to study the effect of size effect on high-strength concrete. Yankelevsky et al. [16], Nielsen [17], Johansen [18], Silva [19], Cai and Lin [20], studied the punching shear of slab-column based on the theory of plasticity. Based on seismic mitigation strategies and cost-benefit criteria, the deformation and forces at slab-column joints need to be analyzed and quantified [21]. However, the above models give poor consideration to the deformation capacity of slab–columns [21]. Based on elastic thin-plate theory, Long [22] presented an analytical model for punching shear capacity and summarized the criteria for different failure types of slab–column joints. Kinnunen and Nylander [23] proposed a model to calculate the ultimate rotation of the slab to study the punching shear capacity of RC slabs. The object of study for this model is sector elements in the radial flexure cracks. This model considers that when the radial inclined compression stress and the tangential compression strain near the column reach critical values the punching occurs. Then, the size effect was taken into account and a solution for the ultimate rotation was derived by Broms [12,24] to make the model more accurate. According to nonlinear fracture mechanics, Hallgren [15] proposed a model that the height of the concrete compression zone was used to derive the effects of size effect for the punching capacity of high-strength RC slab-column joints. Based on the conclusion of Walraven [25], assuming that the width of a critical shear crack is proportional to the rotation of the slab, Ruiz and Muttoni [2] proposed critical shear crack theory (CSCT). The effects of crack width and aggregate interlocking on the punching resistance of the slab-column joints were considered in the model. The accuracy of the relationship between the rotation angle (φ) and the internal forces in CSCT was verified by experiments. Then, the aggregate was considered and CSCT was improved by Guandalini et al. [26]. The theoretical models mentioned above study the punching

shear behavior of slab–columns from multiple perspectives. The prediction of punching shear becomes more accurate, but the models and equations are relatively complex.

In RC slabs without punching shear reinforcement, the brittle punching failure has a higher probability [6], and thus, the slab–column joints without punching shear reinforcement are the research subjects in this paper. As far as we are concerned the punching shear (within vertical load) of RC slab–column joints without punching shear reinforcement are similar to shear diagonal tension failure of RC beams with a large shear-to-span ratio, and this failure is caused by the direct stress and shear stresses in the concrete. Therefore, based on the Modified Compression Field Theory (MCFT), a punching shear equation is proposed by transforming the three-dimensional mechanics into two-dimensional plane mechanics. With detailed specimen parameters, the equation has a relatively simple form and can achieve relatively accurate prediction.

The purpose of this paper is to establish an analytical model for punching shear capacity of RC slab–column joint that is based on justified theoretical deduction and the experimental database. In Section 1, a database that includes 251 slab–column joints tests are established. The database collects and collates the specific parameters of the slab–column joints without punching shear reinforcement. In Section 2, a total of five design codes are selected in this paper. The performance of existing design codes in predicting the shear resistance of slab–column joints are investigated and compared with the experimental results from the database. In Section 3, the Modified Compression Field Theory (MCFT) is applied to give the mechanical basis of punching shear behavior in interior slab–column joints without punching shear reinforcement is established. In order to improve the proposed model, parameters in the model are determined by regression analysis. The proposed model is compared with the existing methods provided by other design codes, verifying the correctness and effectiveness of the model.

2. Experimental Database

According to a literature review, a database of 251 tests is established. The following criteria are used in collecting tests data: (1) The selected tests are RC slab–column joints (within vertical load), and the shape of the specimen is rectangular, which can exclude the influence caused by the shape; (2) The flexure reinforcement is arranged at the bottom of the slab, and there is no other punching resistance element; (3) The position of the column is in the middle of the RC slab.

The parameters recorded in the database include the side length of the slab (B); the side length of the load area or column (c); the effective height of slab (h_0 : the distance from the top of the ultimate compression zone to the center of flexure reinforcement); the ratio of punching-span (λ : ratio of half of the span to the effective height); the cylindrical compressive strength of concrete (f'_c); the axial tensile strength of concrete (f_t); the reinforcement ratio (ρ); the yield strength of reinforcement (f_y); the experimental punching shear capacity (V_t). The concrete compressive strength of some tests is 150 × 150 mm cube compressive strength $f_{cu,k}$. Thus, it needs to be converted to the cylindrical compressive strength f'_c through Equation (1).

$$f_{cu,k} = 1.226f_c'$$
(1)

Figure 2 demonstrates the distribution of the above mentioned parameters. As depicted in Figure 2, the range of the side length is mainly distributed in 125–1925 mm. The range of side length of the loading area or column is mainly distributed in 150–280 mm. It clearly shows that most specimens are not full scale tests. The effective height of the specimen is around 115 mm. Moreover, 75% of the specimens exhibit the slenderness ratio h/B = 0.07-0.10. This value conforms to international standards and ensures that the slab's flexural behavior is acceptable [27]. In order to study the effects of size effect, another 25% of the specimens which the slenderness ratio h/B are more than 0.10 or less than 0.07 are also collected in the database. Zaghlool and Paiva [28] reported that if the rotation constraint is imposed on the boundary of the slab–column joints, the punching

resistance capacity can be increased at least 10%. In order to reduce the effects of boundary constraints, the tests selected are mostly simply-supported. The range of f'_c is 11–78 MPa, mostly less than 60 MPa. The range of f_t is 1.21–6.01 MPa. The specimens selected in the database are RC slab–column joints, and the proportion of high-strength concrete is small. Some scholars [3,8,29] have found that the reinforcement ratio has a great influence on the punching capacity of the slab–column joints. Therefore, the range of ρ selected is wide in the database, which is in the range 0.33% to 2.73% and mostly concentrated in 0.6–1.8%. The range of V_t is 105–1041 kN, where 91% of the specimens are less than 700 kN.

The tests sources include: Urban T. et al. (2019) [30]; Goldyn M. et al. (2018) [31]; Sun J. J. et al. (2018) [32]; Caratelli A. et al. (2016) [33]; Carmo R. N. F. et al. (2016) [34]; Youm K. et al. (2014) [35]; Peng J. (2013) [36]; Yang J. et al. (2010) [37]; Guandalini S. et al. (2009) [26]; Widianto et al. (2009) [38]; Zhang Y.W. et al. (2009) [39]; Lee J. et al. (2008) [40]; Teng S. et al. (2004) [41]; Ospina C.E. et al. (2003) [42]; Reineck K. et al. (2003) [43]; Liu G. Y. et al. (1994) [44]; An Y. J. and Zhao G. F. (1994) [45]; Zheng J. L. and Zheng J. Z. (1992) [7]; Marzouk H. and Hussein A. (1991) [46]; Regan P. E. (1986) [47]; Li D.G. et al. (1984) [6]; Mowrer R.D. and Vanderbilt M.D. (1967) [48].









Figure 2. Distribution of specimens: (**a**) Side length of the slab; (**b**) Test punching resistance capacity; (**c**) Yield strength of reinforcement; (**d**) Compressive strength of concrete; (**e**) Reinforcement ratio; (**f**) Effective height; (**g**) Axial tensile strength of concrete; (**h**) Side length of column.

3. Design Codes for Punching Shear Resistance

3.1. Punching Shear Design Provisions

As for the design codes of RC structures, most of them determine punching shear failure by the nominal stress in the critical section. Although various aspects are considered in the design codes, such as the shape of punching shear critical section, size of the critical section, nominal stress calculation, reinforcement ratio and other controlling factors. There are five design codes shown in Table 1. In summary, the design codes selected in this paper can be split into two categories as follows: (1) design codes that do not take into account the effect of reinforcement ratio, including "Code for Design of Concrete Structures (GB50010-2010: 2015) [49]", "Building Code Requirements for Structural Concrete and Commentary on Building Code Requirements (ACI318-19) [50]" and "Design of Concrete Structures (CAN/CSA A23.3:19) [51]"; (2) design codes that take into account the effect of reinforcement ratio including "Design of Concrete Structures (EN 1992-1-1: 2004) [52]" and "Standard Specifications for Concrete Structures (JSCE: 2012) [53]" Due to the divergences of existing design codes, an investigation of their predicting results of punching shear capacity will be conducive to the structural design of slab–column structures.

Design Codes	Punching S	hear Strength
GB50010 [49]	$V_{GB} = 0.7\beta_h f_t \eta u_m h_0$	$\eta = \min egin{cases} \eta_1 = 0.4 + rac{1.2}{eta_s} \ \eta_2 = 0.5 + rac{lpha_s h_0}{4u} \end{cases}$
ACI318 [50]	$V_{ACI1} = 0.17(1 + \frac{2}{\beta_s})\xi\sqrt{f_c'}u_mh_0 V_{ACI2} = 0.000$	$083 \left(\frac{a_{s}h_{0}}{u_{m}}+2\right) \xi \sqrt{f_{c}'} u_{m} V_{ACI3} = 0.33 \xi u_{m} h_{0} \sqrt{f_{c}'}$
CSA [51]	$V_{CSA} = \min \left\{ 0.38 \sqrt{f_c'} u_m h_0, \ 0.19(1 + t_0) \right\}$	$-\frac{2}{\beta_c}\sqrt{f'_c}u_mh_0, \ (0.19+\frac{\alpha_{sc}h_0}{u_m})\sqrt{f'_c}u_mh_0\Big\}$
JSCE [53]	$V_{JSCE} = \beta_c \beta_p \beta_r f_p u_m h_0$	$\beta_d = \sqrt[4]{1000/h_0} \le 1.5\beta_p = (100\rho)^{1/3} \le 1.5$ $\beta_r = 1 + 1/(1 + 0.25u_m/h_0)f_p = 0.2(f_c')^{1/2} \le 1.2$
EC2 [52]	$V_{EC} = \frac{1}{\partial_{sc}} \left[0.18k(100\rho f_c')^{1/3} - 0.1\sigma \right] u_m h_0$ $\geq \frac{1}{\partial_{sc}} u_m h_0(0.028k^{3/2}\sqrt{f_c'} - 0.1\sigma)$	$k = 1 + (200/h_0)^{0.5} \le 2 \ ho = (ho_x ho_y)^{0.5} \le 2\%$

Table 1. Design codes for punching shear resistance.

The section height influence coefficient $\beta_h = 1.0$; η_1 is the influence coefficient of the shape of loaded area; η_2 is the influence coefficient (u_m/h_0) ; u_m is the critical perimeter; (The critical section does not consider the angle). β_s is the ratio of long side to short side ($2 \le \beta_s \le 4$ for GB50010 [49]); α_s is the column position influence coefficient, which is taken as 40, 30, 20 for the inner, edge and corner column; α_{se} is taken as 1.15, 1.4, 1.5 for the inner, edge and corner column; ζ is the size effect factor, which is taken 1 (normal weight concrete) or 0.75 (lightweight concrete); k is the size effect coefficient.

Table 2 clearly shows the difference in the parameters included in the punching shear design. The main influencing parameters include concrete strength f'_c and f_t , flexure reinforcement ratio ρ , the critical section u_m , effective height h_0 , the position of the column and size effect. The punching shear capacity is related to the concrete tensile strength directly. Thus, the tensile strength of concrete f_t is used in the equation of punching shear strength in GB50010 [49]. ACI318 [50], EC2 [52], CSA [51] and JSCE [53], take $0.33\sqrt{f'_c}$, $(f'_c)^{1/3}$, $0.38\sqrt{f'_c}$ and $0.2\sqrt{f'_c}$ as the tensile strength of concrete in the equation of punching shear strength. In addition, only EC2 [52] and JSCE [53] include the effect of flexure reinforcement ratio, and the punching shear capacity is proportional to $\rho^{1/3}$. All design codes hope to increase the punching shear capacity by increasing the effective height h_0 of the slab.

T 11 0	· ·	1 4	•	1 •	1	
Inhia 7	I omnaricon	hottizoon	W3110110	nunching	choar	oaustione
Iavic 2.	Companson	DELWEEN	various	Dununne	Silear	equations.
	r r			1 0		1

Parameter	ACI318 [50]	GB50010 [49]	EC2 [52]	CSA [51]	JSCE [53]
Compressive strength of concrete	$0.33\sqrt{f_c'}$	f_t	$(f_c')^{1/3}$	$0.38\sqrt{f_c'}$	$0.2\sqrt{f_c'}$
Ratio of flexure reinforcement	/	/	$\rho^{1/3}$	/	$ ho^{1/3}$
Location of critical section	$0.5h_{0}$	$0.5h_0$	$2h_0$	$0.5h_{0}$	$0.5h_0$
Size effect	\checkmark	/	\checkmark	/	\checkmark
Column position		\checkmark	/	\checkmark	/

3.2. Evaluation of Design Codes

Figure 3 shows the ratio of the experimental punching shear capacity (V_t) to the value calculated by the design codes (V_e) for RC slab–column joints. The tests are divided by the ratio of punching-span (λ), and the ordinate is V_t/V_e . The data analyzed include average value, standard deviation, coefficient of variation, the maximum and minimum of V_t/V_e and the percentage of exceeding the average (Table 3).



Figure 3. Punching shear resistance of different design codes: (a) ACI318; (b) GB50010; (c) EC2; (d) CSA; (e) JSCE.

Table 3.	Comparis	son of pu	nching sl	near resistance	between	various	design	codes
----------	----------	-----------	-----------	-----------------	---------	---------	--------	-------

Design Codes	Average Value of V _t /V _e	Standard Deviation	Coefficient of Variation	Max	Min	Value of Max-Min	Over-Average Percentage
ACI318 [50]	1.4369	0.1797	0.1200	1.8539	1.0784	0.7575	39.04%
GB50010 [49]	1.2118	0.1489	0.1227	1.5718	0.7178	0.8540	32.67%
EC2 [52]	1.1839	0.1708	0.1443	1.6838	0.8725	0.8113	36.65%
CSA [51]	1.2457	0.1609	0.1292	1.7034	0.8549	0.8485	35.46%
JSCE [53]	1.1826	0.1744	0.1475	1.8124	0.9758	0.8366	40.63%

Figure 3 shows that the calculation results of the equation used in ACI318 [50] are mostly larger than the experimental results. Table 3 shows that the average value of V_t/V_e (1.4369) is large and the coefficient of variation is small. One of the reasons [21] for these performances is that the flexure reinforcement ratio is not considered in ACI318 [50]. The punching shear strength is typically controlled by V_{ACI3} [13]. However, Some researchers [54–56] have found that if the size of the load area is considerably greater than the height of the slab, V_{ACI1} and V_{ACI2} are more appropriate. JSCE [53] and EC2 [52] have the same characteristics, the coefficient of variation is large and the average value of V_t/V_e is smaller, which means the discreteness of predictions is larger than ACI318 [50], GB50010 [49] and CSA [51]. Besides, only JSCE [53] and EC2 [52] consider the effect of flexure reinforcement ratio and the contribution of the yield strength of the flexure reinforcement to resisting punching shear will become limited when $\rho > 2\%$ [57]. The discreteness and the average value of the calculation results of CSA [51] and GB50010 [49] are small. However, they both have big differences between the maximum of V_t/V_e and the minimum of V_t/V_{e_r} which means GB50010 [49] and CSA [51] have the limitations to predict the punching resistance for different size slab-columns.

4. Analytical Model of Shear Punching Capacity

4.1. Modified Compression Field Theory

Modified compression field theory (MCFT) is a method to solve the shear problem of reinforced concrete members proposed by Vecchio and Collins [58,59]. Based on the average stress and strain of cracked RC elements, MCFT establishes balance, compatibility and constitutive equations. Punching failure of RC slab–column joints essentially is shearing failure [16]. Therefore, in this paper, the basic equations established by MCFT are used to calculate the stress of RC cracked elements under the plane shear and axial force. Then, the calculation equation for the punching shear capacity of the slab column is established.

According to the stress state of the cracked concrete element, the stress state of the reinforcement element and the average stress Mohr circle shown in Figure 4, the balance equations are established as follows:

$$\tau = \tau_c \tag{2}$$

$$\sigma_{cx} = \rho_x \sigma_{sx} + \sigma_f - \tau_c \cot\theta \tag{3}$$

$$\sigma_{cy} = \rho_y \sigma_{sy} + \sigma_f - \tau_c \tan \theta \tag{4}$$

$$\tau_c = (\sigma_f - \sigma_g) / (\tan \theta + \cot \theta) \tag{5}$$



Figure 4. Stress state of RC element and average stress Mohr circle: (**a**) Cracked concrete element; (**b**) Reinforcement element; (**c**) Mohr circle.

The stress of concrete element at the crack is as follows:

$$\sigma_{sxcr} = (\sigma_f + \tau_k \cot \theta + \sigma_{sx}) / \rho_{sx}$$
(6)

$$\sigma_{sycr} = (\sigma_f - \tau_k \tan \theta + \sigma_{sy}) / \rho_{sy} \tag{7}$$

where σ_{cx} and σ_{cy} are the average stress of the cracked concrete element in the x direction and y direction, respectively, ρ_x and ρ_y are the horizontal and vertical reinforcement ratios, respectively, σ_{sx} and σ_{sy} are transverse and longitudinal reinforcement stress, respectively, σ_f is the principal tensile stress of the cracked concrete element, σ_k is the principal compressive stress of the cracked concrete element, τ and τ_c are the shear stress and average shear stress of the element, respectively.

According to the geometric deformation conditions of the cracked concrete element and the average strain Mohr circle (Figures 5 and 6), the strain compatibility equations of the cracked concrete element can be established:

$$\varepsilon_1 = \varepsilon_x + \varepsilon_y + \varepsilon_2 \tag{8}$$

$$\tan^2 \theta = \frac{\varepsilon_x + \varepsilon_2}{\varepsilon_1 + \varepsilon_x} \tag{9}$$

$$\gamma_c = 2(\varepsilon_y + \varepsilon_2)\cot\theta \tag{10}$$

where ε_1 is the average principal tensile strain of the vertical crack, ε_2 is the principal compressive strain of parallel cracks, ε_x and ε_y are the average strains in the x and y directions, γ_c is the average shear strain.

The softening effect occurs when the concrete reaches its tensile strength, the following stress-strain relationships (Figure 7) are used [58] as:

$$\sigma_{f} = \begin{cases} E_{c}\varepsilon_{1} & (\varepsilon_{1} \leq \varepsilon_{cr}) \\ \frac{0.33\sqrt{f_{c}'}}{1+\sqrt{500\varepsilon_{1}}} & (\varepsilon_{1} > \varepsilon_{cr}) \end{cases}$$
(11)

$$\sigma_g = \frac{f_c'}{0.8 + 170\varepsilon_1} \left[\frac{2\varepsilon_2}{\varepsilon_0} - \left(\frac{\varepsilon_2}{\varepsilon_0}\right)^2 \right]$$
(12)

$$\sigma_{g\max} = \frac{f_c'}{0.8 + 170\varepsilon_1} \le f_c'$$
(13)

where E_c is the Young's modulus concrete, ε_0 is the peak strain of the concrete, σ_{gmax} is the peak compressive stress of softened concrete.



Figure 5. Strain of cracked concrete element.



Figure 6. Mean strain Mohr circle.



Figure 7. The stress-strain relationships of concrete.

The stress-strain relationship of the reinforcement (Figure 8) is elastic-perfectly plastic as follows:

$$\sigma_{sx} = E_s \varepsilon_{sx} \le f_{sy} \tag{14}$$

where E_s , ε_{sx} , f_{sy} is the Young's modulus, strain, and yield strength of longitudinal reinforcement.



Figure 8. The stress-strain relationships of reinforcement.

The RC slab–column joint without punching shear reinforcement presents a rigidplastic failure form when punching shear occurs [60], and the failure area forms a punching cone (Figure 9). In this paper, the effect of the parts which directly contact the surface of the column (part I) is not considered. Only consider the part of the cone connected with the column (part II) and 4 prisms can be taken as the free-body. One of the prisms free-body is shown in Figure 10. Then integrate the stress on the surfaces (part III) of the prisms to represent the punching shear strength.



Figure 9. Schematic diagram of punching failure.





In order to apply the model in a rational way during the analysis, three assumptions are proposed: (1) The study objects are experimental slab–column joints, which load through the column. The self-weight of the specimens is not considered, and thus, the vertical squeeze is not considered. (2) The stress distribution on the analysis surface is assumed to be uniformly distributed. (3) The pinning of the reinforcement is not considered.

Punching shear failure of the slab–column joints has a three-dimensional property. In order to transform into two-dimensional plane mechanics, take the lateral surfaces of the prism (part IV) as the analysis object (Figure 11). Due to the assumptions mentioned before, the vertical squeeze is not considered, and thus, the vertical load at the punching crack can be neglected, and there is no vertical reinforcement in the free-body. Therefore, take σ_{cy} and ρ_y as 0.

$$\sigma_f = \tau_c \tan \theta \tag{15}$$



Figure 11. Stress at the crack: (a) Crack local stress; (b) Average stress.

The equations according to static equivalent principle can be established as follows:

$$\rho_x \sigma_{sx} \sin \theta + \sigma_f \sin \theta = \rho_x \sigma_{sx} \sin \theta - \sigma_k \sin \theta - \tau_k \cos \theta \tag{16}$$

$$\sigma_f \cos \theta = -\sigma_k \cos \theta + \tau_k \sin \theta \tag{17}$$

The local compressive stress σ_k is so small that the possible effects can be ignored here and Equation (18) is obtained from Equations (16) and (17):

$$\sigma_f = \tau_k \tan \theta \tag{18}$$

Equation (19) can be obtained from Equations (4), (15) and (18):

$$\tau = \tau_k \tag{19}$$

The equation of shear stress at the crack proposed by MCFT [58] is:

$$\tau_k \le 0.18\sqrt{f_c'} / (0.31 + \frac{24\omega}{d_s + 16}) \tag{20}$$

The equation of shear strength of RC slab can be established as follows:

$$\tau \le 0.18\sqrt{f_c'} / (0.31 + \frac{24\omega}{a_d + 16}) \tag{21}$$

The equation for punching shear strength of slab–column joint is established by integrating Equation (21) as follows:

$$V_{\rm p} = 0.36Lh_0\sqrt{f_c'}/(0.31 + \frac{24\omega}{a_d + 16})$$
(22)

where L is the perimeter of the column. ω is the width of critical crack. a_d is the size of aggregate. For ease of calculation, taking $\omega = 0.0005 \frac{0.9h_0}{\sin \theta}$ and $a_d = 20$ mm [29].

In order to verify the accuracy of the bearing capacity equation, the database of this paper is used for testifying, as shown in Figure 12.



Figure 12. Calculation result of the uncorrected bearing capacity equation.

Figure 12 shows that there is some deviation in the results of the punching shear capacity equation established by MCFT. MCFT believes that the shear capacity of the concrete slab is provided by the shear stress transferred along the crack surface [58]. However, the contribution of the flexure reinforcement to resist punching shear is ignored, so the results are relatively small. For slab–column joints without punching shear reinforcement, the ratio of flexure reinforcement is the key index that affects the punching shear strength. Through experiments and numerical simulations, Li [61] found that when the reinforcement ratio was greater than 0.95%, the probability of punching failure occurred at the slab–column joint was the largest. Li [6] increased the reinforcement ratio by 0.4% in the experiments, but the punching shear capacity increased by 12%. Chen et al. [62] concluded that the increase in the reinforcement ratio significantly improved the punching shear capacity, ductility and lateral stiffness of the slab–column joints. EC2 [52] and JSCE [53] also considered the effects of the ratio of flexure reinforcement on the punching shear strength of the slab–column joints.

It is necessary to consider the effects of the reinforcement ratio. Therefore, the reinforcement ratio ρ is used to modify the equation of punching shear strength (Equation (22)). Moreover, referring to various design codes, the critical section u_m is added to the equation. Assuming that the punching shear strength equation is:

$$V_{\rm p} = 0.36\alpha(\rho)^k u_m h_0 L \sqrt{f_c'} / (0.31 + \frac{24\omega}{a_d + 16})$$
(23)

$$f_t = 0.395\alpha_{c2} f_{cu,k}^{0.55} \tag{24}$$

where α is the undetermined coefficient.

Regression analysis can be used to study the effect of the single variable reinforcement ratio ρ for punching shear capacity, and the effects of other parameters can be eliminated at the same time. Firstly, the middle term $Y = (\rho)^k$ is defined, and the specimens in the database are grouped with similar parameters (deviation less than 3%) except ρ . For example, in the first group of specimens, ρ is different, but the values of parameters, such as $f_{cu,k}$, λ , f_y , and h_0 are similar (the deviation is less than 3%). The specimens in the database can be divided into *m* groups (i = 1, 2, ..., m), and the number of specimens in each group is greater than or equal to 2. Each group has a total of *n* specimens (j = 1, 2, ..., n).

The value of *k* is taken 1 at first. The ratio of the experimental punching shear capacity V_t to the middle term $Y = (\rho)^k$ can be calculated. Then the average value of each group $\overline{X_i}$ can be calculated as follows:

$$\overline{X_i} = \frac{\sum\limits_{j=1}^{n} \frac{V_{ij}}{Y_{ij}}}{n}$$
(25)

The relative deviation e_{ij} of each specimen and the average deviation \overline{e} of all specimens can be obtained as follows:

$$e_{ij} = \frac{\left|\frac{V_{ij}}{Y_{ij}} - \overline{X}_i\right|}{\overline{X}_i}$$
(26)

$$\bar{e} = \frac{\sum_{i=1}^{m} \sum_{j=1}^{n} e_{ij}}{\sum_{i=1}^{m} n}$$
(27)

The coefficient *k* is adjusted until the average deviation \overline{e} is the smallest. When *k* = 0.2, the average deviation \overline{e} reaches the smallest value (0.05379).

It can be seen from Table 3 that the value of the critical section perimeters are taken differently. The location of $0.5h_0$, $1.5h_0$ and $2h_0$ from the load area are selected as the critical section, respectively, that is: $u_m = 4(c + h_0)$, $u_m = 4(c + 3h_0)$ and $u_m = 4(c + 4h_0)$. Therefore, $\alpha_{0.5h_0} = 2.60$, $\alpha_{1.5h_0} = 1.56$ and $\alpha_{2.0h_0} = 1.30$ can be calculated.

The equation of punching shear strength with different critical section perimeters can be established as follows:

$$V_{\rm p} = 0.94(\rho)^{1/5} u_m h_0 L \sqrt{f_c'} / (0.31 + \frac{24\omega}{a_d + 16}), \text{ when } u_m = 4(c + h_0)$$
(28)

$$V_{\rm p} = 0.56(\rho)^{1/5} u_m h_0 L \sqrt{f_c'} / (0.31 + \frac{24\omega}{a_d + 16}), \text{ when } u_m = 4(c + 3h_0)$$
(29)

$$V_{\rm p} = 0.47(\rho)^{1/5} u_m h_0 L \sqrt{f_c'} / (0.31 + \frac{24\omega}{a_d + 16}), \text{ when } u_m = 4(c + 4h_0)$$
(30)

4.2. Calculation Results

Based on the database in this paper, the punching shear capacity of the slab–column joints without punching shear reinforcement can be calculated using Equations (28)–(30). The calculation results are shown in Figure 13 and Table 4. It is clearly shown that the dispersion of Equation (30) is smaller than Equations (28) and (29). At the same time, referring to EC2 [52], the critical section perimeter u_m is taken $4(c + 4h_0)$.



Figure 13. Punching shear capacity of different critical section perimeter: (a) $u_m = 4 (c + h_0)$; (b) $u_m = 4 (c + 3h_0)$; (c) $u_m = 4 (c + 4h_0)$.

Table 4.	Com	parison o	f punching	shear with	ı different	critical	section	perimeter.
----------	-----	-----------	------------	------------	-------------	----------	---------	------------

Critical Section Position	α	Average Ratio	Standard Deviation	Coefficient Of Variation	Over-Average Percentage
$0.5h_0$	2.60	1.00	0.180247	0.180247	39.8%
$1.5h_0$	1.56	1.00	0.148922	0.148922	42.3%
$2.0h_0$	1.30	1.00	0.139113	0.139113	42.3%

In the above analysis process, the solution of coefficient *k* can evaluate the contribution of the single parameter reinforcement ratio ρ to the punching shear capacity of slab–column joints. When k = 0.2 and the average deviation \overline{e} reaches the smallest, the effect of the reinforcement ratio ρ to punching shear is best demonstrated. The revised equation of punching shear strength can be established as follows:

$$V_{\rm p} = 0.47(\rho)^{1/5} u_m h_0 L \sqrt{f_c'} / (0.31 + \frac{24\omega}{a_d + 16})$$
(31)

In order to verify the accuracy of Equation (31). Some specimens are selected which are out of the database in this paper. Table 5 shows the specimens parameters [63]. The punching shear strength is calculated by Equation (31) and the equations used in various design codes. The calculation results are shown in Figure 14. It can be seen that the results of the punching shear capacity calculated by the equation proposed in this paper have a lower degree of dispersion, and the calculated value is closer to the experimental value.

Number	<i>h</i> ₀ /mm	<i>f_c</i> /MPa	ρ	f _u /MPa	λ	V _t /kN
C70-30-1 [63]	150	32.29	0.86%	604.0	7	443
C70-30-1 [63]	150	29.69	1.28%	604.0	7	570
C70-30-1 [63]	150	35.56	1.73%	453.6	7	690
C70-30-1 [63]	150	52.96	0.86%	604.0	7	693
C70-30-1 [63]	150	48.56	1.28%	604.0	7	771
C70-30-1 [63]	150	48.98	1.73%	453.6	7	800

Table 5. Specimens parameters.



Figure 14. Comparison of MCFT with design codes.

5. Conclusions

The present paper introduces the models for punching shear strength of slab–column joints without punching shear reinforcement in the design codes. A database with 251 specimens is established by searching the literature. Then, the punching shear strength is predicted by the various design codes. Finally, referring to the CMFT theory, an equation for the punching shear capacity of the slab–column joints without punching shear reinforcement is established. By summarizing the investigation of design codes and the analytical model established in this paper, the following conclusions can be obtained:

- (1) The database established in this paper has the characteristic of a small amount of extreme data and adequate specimens. It is suitable for the various research aspects of the slab–column joints without punching shear reinforcement in further work.
- (2) There are some differences in the parameters included in the design codes. The design codes proposed in this paper all consider the relative of punching shear capacity with the concrete strength. Where only GB50010 [49] uses tensile strength in the equation, the other design codes use compressive strength. Moreover, only EC2 [52] and JSCE [53] consider the effects of reinforcement ratio for punching shear. Other parameters, such as the position of the column, the size effect and the critical perimeter are not the same.
- (3) Design codes have different results in predicting punching shear for the database in this paper. ACI318 [50] has a relatively small coefficient of variation and a low degree of discreteness. But the predicting values are visibly higher than the experimental re-

sults which indicate conservative results. JSCE [53] has a large coefficient of variation. EC2 [52] has a large dispersion, but the average value is the smallest of other design codes. GB50010 [49] has a small dispersion and average value, but the applicable range of the equation is small.

- (4) The punching shear failure criterion of RC slab–column (within vertical load) without punching shear reinforcement proposed are combined the shear diagonal tension failure of RC beams with a large shear-to-span ratio, and the failure is caused by the direct stress and shear stresses in the concrete.
- (5) The model of MCFT can be used to predict the punching shear is based on three assumptions, which are essential to transform the three-dimensional mechanics into two-dimensional planar mechanics. The punching shear strength is decided by integrating the stress on the punching surfaces, which is proposed by the stress state of the cracked concrete units in the critical shear crack.
- (6) The punching shear capacity equation established based on the MCFT model has limitations before being modified, which is conservative. To ensure the accuracy of the equation, a regression analysis is also applied according to the database. It is shown by the comparison between existing design codes and the proposed model that the model is more accurate when the reinforcement ratio and critical section are considered. The characteristic of the equation established in this paper is that both have reliable theoretical support and summaries of a large number of test results, as well as high prediction accuracy and low dispersion.

Author Contributions: Conceptualization, L.W. and S.L.; methodology, L.W. and S.L.; formal analysis, L.W., T.H., Y.T. and S.L.; investigation, L.W., T.H., Y.T. and S.L.; resources, L.W., Y.T. and S.L.; data curation, L.W.; writing—original draft preparation, L.W., Y.T. and S.L.; writing—review and editing, S.L.; visualization, S.L.; supervision, S.L.; project administration, S.L. All authors have read and agreed to the published version of the manuscript.

Funding: This research was funded by Science Foundation of Zhejiang Province of China, grant number LY22E080016; National Science Foundation of China, grant number 51808499.

Institutional Review Board Statement: Not applicable.

Informed Consent Statement: Not applicable.

Data Availability Statement: Not applicable.

Acknowledgments: This work is supported by Zhejiang Sci-Tech University, who provided administrative and technical support.

Conflicts of Interest: The authors declare no conflict of interest.

References

- 1. Park, H.G.; Choi, K.G.; Lan, C. Strain-based strength model for direct punching shear of interior slab-column connections. *Eng. Struct.* 2011, 33, 1062–1073. [CrossRef]
- Ruiz, M.F.; Muttoni, A. Applications of Critical Shear Crack Theory to Punching of Reinforced Concrete Slabs with Transverse Reinforcement. ACI Struct. J. 2009, 106, 485–494. [CrossRef]
- Kadhum, M.M.; Harbi, S.M.; Khamees, S.S.; Abdulraheem Mustafa, S.; Farsangi, E.N. Punching shear behavior of flat slabs utilizing reactive powder concrete with and without flexural reinforcement. *Pract. Period. Struct. Des. Constr.* 2021, 26, 04020060. [CrossRef]
- Menna, D.W.; Genikomsou, A.S. Punching shear response of concrete slabs strengthened with ultrahigh-performance fiberreinforced concrete using finite-element methods. *Pract. Period. Struct. Des. Constr.* 2021, 26, 04020057. [CrossRef]
- 5. Hueste, M.B.D.; Wight, J.K. Nonlinear punching shear failure model for interior slab-column connections. *J. Struct. Eng.* **1997**, *9*, 997–1008. [CrossRef]
- Li, D.G.; Shu, Z.F.; Yu, Z.W. Experimental study on the punching shear strength of reinforced concrete slab-column connections without punching shear reinforcement. J. Hunan Univ. 1986, 8, 22–35.
- Zhen, J.L.; Zhen, Z.J. Experimental research on punching shear strength of reinforced concrete slab. J. Fuzhou Univ. Nat. Sci. Ed. 1992, 2, 65–69.
- Koppitz, R.; Kenel, A.; Keller, T. Effect of punching shear on load-deformation behavior of flat slabs. *Eng. Struct.* 2014, 80, 444–457. [CrossRef]

- 9. Vakhshouri, B.; Nejadi, S. Instantaneous deflection of light-weight concrete slabs. *Front. Struct. Civ. Eng.* **2017**, *11*, 412–423. [CrossRef]
- 10. Milligan, G.J.; Polak, M.A.; Zurell, C. Finite element analysis of punching shear behaviour of concrete slabs supported on rectangular columns. *Eng. Struct.* 2020, 224, 111189. [CrossRef]
- Lu, X.; Guan, H.; Sun, H.; Li, Y.; Zuo, L. A preliminary analysis and discussion of the condominium building collapse in surfside, Florida, US, June 24, 2021. Front. Struct. Civ. Eng. 2021, 15, 1097–1110. [CrossRef]
- 12. Broms, C.E. Elimination of flat plate punching failure mode. ACI Struct. J. 2000, 1, 94–101. [CrossRef]
- 13. Pinto, V.C.; Branco, V.; Oliveira, D.R. Punching in two-way RC flat slabs with openings and L section columns. *Eng. Comput.* **2019**, *36*, 2430–2444. [CrossRef]
- 14. Bazant, Z.P.; Cao, Z. Size effect in punching shear failure of slabs. ACI Struct. J. 1987, 1, 44–53.
- Hallgren, M. Punching Shear Capacity of Reinforced High Strength Concrete Slabs. Ph.D. Thesis, KTH Royal Institute of Technology, Department of Structural Engineering, Stockholm, Sweden, November 1996.
- 16. Yankelevsky, D.Z.; Leibowttz, O. Punching shear in concrete slabs. Int. J. Mech. Sci. 1999, 41, 1–15. [CrossRef]
- 17. Nielsen, M.P.; Hoang, L.C. Limit Analysis and Concrete Plasticity; CRC Press: Boca Raton, FL, USA, 2010.
- 18. Johansen, K.W. Yield-Line Theory; Cement and Concrete Association: London, UK, 1962.
- Silva, R.J.C.; Regan, P.E.; Melo, G.S.S.A. Punching of post-tensioned slabs—Tests and codes. ACI Struct. J. 2007, 104, 123–132. [CrossRef]
- 20. Cai, J.; Lin, F. Ultimate punching shear strength for concrete slabs based on twin-shear strength theory. *Eng. Mech.* 2006, 23, 110–113. [CrossRef]
- 21. Huang, C.; Shuang, P.; Ding, B.; Srikanta, P. An analytical punching shear model of RC slab-column connection based on database. J. Intell. Fuzzy Syst. 2018, 35, 469–483. [CrossRef]
- 22. Long, A.E. Punching failure of slabs—Transfer of moment and shear. J. Struct. Div. 1973, 8, 665–685. [CrossRef]
- 23. Kinnunen, S.; Nylander, H. Punching of Concrete Slabs without Shear Reinforcement; KTH Royal Institute of Technology: Stockholm, Sweden, 1960.
- Broms, C.E. Concrete Flat Slabs and Footings—Design Method for Punching and Detailing for Ductility. Ph.D. Thesis, KTH Royal Institute of Technology, Stockholm, Sweden, 2005.
- 25. Walraven, J.C. Fundamental analysis of aggregate interlock. J. Struct. Div. 1981, 11, 2245–2270. [CrossRef]
- Guandalini, S.; Burdet, O.; Muttoni, A. Punching tests of slabs with low reinforcement ratios. ACI Struct. J. 2009, 106, 87–95. [CrossRef]
- 27. Pani, L.; Ftochino, F. Punching of reinforced concrete slab without shear reinforcement: Standard models and new proposal. *Front. Struct. Civ. Eng.* **2020**, *48*, 21–34. [CrossRef]
- 28. Zaghlool, E.R.F.; Paiva, H.A.R.D. Tests of flat-plate corner column-slab connections. J. Struct. Div. 1973, 3, 551–572. [CrossRef]
- 29. Bentz, E.C.; Vecchio, F.J.; Collins, M.P. Simplified modified compression field theory for calculating shear strength of reinforced concrete elements. *ACI Struct. J.* 2007, *103*, 614–624.
- Urban, T.; Goldyn, M.; Krawczyk, L.; Sowa, L. Experimental investigations on punching shear of lightweight aggregate concrete flat slabs. *Eng. Struct.* 2019, 197, 109371. [CrossRef]
- 31. Goldyn, M.; Krawczyk, L.; Ryzynski, W.; Urban, T. Experimental inverstigations on punching shear of falt slabs made from lightweight aggregate concrete. *Arch. Civ. Eng.* **2018**, *64*, 293–306. [CrossRef]
- Sun, J.J.; Yang, Q.N.; Mao, M.J.; Zhang, W.B. Effect of punching shear-span ratio on punching strength of reinforced concrete slab. *Ind. Constr.* 2018, 48, 84–88. [CrossRef]
- Caratelli, A.; Imperatore, S.; Meda, A.; Rinaldi, Z. Punching shear behavior of lightweight fiber reinforced concrete slabs. *Compos.* Part B Eng. 2016, 99, 257–265. [CrossRef]
- Carmo, R.N.F.; Costa, H.; Rodrigues, M. Experimental study of punching failure in LWAC slabs with different strengths. *Mater. Struct.* 2016, 49, 2611–2626. [CrossRef]
- Youm, K.S.; Kim, J.J.; Moon, J. Punching shear failure of slab with lightweight aggregate concrete (LWAC) and low reinforcement ratio. *Constr. Build. Mater.* 2014, 65, 92–102. [CrossRef]
- 36. Peng, J. Experimental Research on Punching Shear Resistance of Reinforced Concrete Slab-Column Joints. Master's Thesis, Hunan University, Changsha, China, 2013.
- 37. Yang, J.M.; Yoon, Y.S.; Cook, W.D.; Mitchell, D. Punching shear behavior of two-way slabs reinforced with high-strength steel. *ACI Strcutural J.* 2010, *4*, 468–475. [CrossRef]
- Widianto; Bayrak, O.; Jirsa, J.O. Two-way shear strength of slab-column connections: Reexamination of ACI 318 provisions. ACI Struct. J. 2009, 106, 160–170.
- Zhang, Y.W. Experimental Research on Punching Shear Resistance of Reinforced Concrete Slabs. Master's Thesis, Hunan University, Changsha, China, 2010.
- Lee, J.-H.; Yoon, Y.-S.; Lee, S.-H.; Cook, W.D.; Mitchell, D. Enhancing performance of slab-column connections. *J. Struct. Eng.* 2008, 3, 448–457. [CrossRef]
- Teng, S.; Cheong, H.K.; Kuang, K.L.; Geng, J.Z. Punching shear strength of slabs with openings and supported on rectangular columns. ACI Struct. J. 2004, 5, 678–687. [CrossRef]

- Ospina, C.E.; Alexander, S.D.; Roger, C.J.J. Punching of two-way concrete slabs with fiber-reinforced polymer reinforcing bars or grids. ACI Struct. J. 2003, 82, 431–446. [CrossRef]
- 43. Reineck, K.H.; Kuchma, D.A.; Kang, S.K.; Marx, S. Shear database for reinforced concrete members without shear reinforcement. *ACI Struct.* 2003, 2, 240–249. [CrossRef]
- 44. Liu, G.Y.; Liu, X.D. Experimental research on punching problem of plate with anti-punching bent ribs. *J. Harbin Inst. Civ. Eng. Archit.* **1994**, *3*, 47–52.
- 45. An, Y.J.; Zhao, G.F. Experimental research on the punching shear resistance of reinforced steel fiber concrete slabs. *J. Build. Struct.* **1994**, *2*, 11–14.
- 46. Marzouk, A.H.H. Punching shear analysis of reinforced high-strength concrete slabs. Can. J. Civ. Eng. 1991, 5, 954–963. [CrossRef]
- 47. Regan, P.E. Symmetric punching of reinforced concrete slabs. Mag. Concr. Res. 1986, 136, 115–128. [CrossRef]
- 48. Mowrer, R.D.; Vanderbilt, M.D. Shear strength of lightweight aggregate reinforced concrete flat plates. *ACI Struct. J.* **1967**, *64*, 722–729. [CrossRef]
- 49. *GB50010-2010* (2015); Code for Design of Concrete Structures. Ministry of Housing and Urban-Rural Development: Beijing, China, 2015.
- ACI-318 (2019); Committee 318—Building Code Requirements for Structural Concrete (ACI 318-19) and Commentary on Building Code Requirements. American Concrete Institute: Farmington Hills, MI, USA, 2019.
- 51. CSA (2019); Design of Concrete Structures. Canadian Standards Association: Rexdale, ON, Canada, 2019.
- 52. *EC2* (2004); EN 1992-1-1:2004: Eurocode 2: Design of Concrete Structures—Part 1-1: General Rules and Rules for Buildings. National Standards Authority of Ireland: Dublin, Ireland, 2014.
- 53. JSCE Concrete Committee. Standard Specifications for Concrete Structures—2007; Japan Society of Civil Engineers: Tokyo, Japan, 2007.
- 54. El-Gendy, M.G.; El-Salakawy, E.F. GFRP shear reinforcement for slab-column edge connections subjected to reversed cyclic lateral load. *J. Compos. Constr.* 2020, 24, 04020003. [CrossRef]
- Mostofinejad, D.; Jafarian, N.; Naderi, A.; Mostofinejad, A.; Salehi, M. Effects of openings on the punching shear strength of reinforced concrete slabs. *Structures* 2020, 25, 760–773. [CrossRef]
- Hassan, M.; Fam, A.; Benmokrane, B.; Ferrier, E. Effect of column size and reinforcement ratio on shear strength of glass fiber-reinforced polymer reinforced concrete two-way slabs. *Struct. J.* 2017, 114, 937–950. [CrossRef]
- 57. Zhao, J.; Yi, W.J. Calculation and study on punching bearing capacity of slab-column joints without shear reinforcement. *Build. Struct.* **2019**, *13*, 120–131. [CrossRef]
- Vecchio, F.J.; Collins, M.P. The modified compression-field theory for reinforced concrete elements subjected to shear. ACI J. Proc. 1986, 2, 219–231. [CrossRef]
- 59. Vecchio, F.J.; Collins, M.P. Predicting the response of reinforced concrete beams subjected to shear using modified compression field theory. *ACI Struct. J.* **1988**, *3*, 258–268. [CrossRef]
- 60. Eladawy, M.; Hassan, M.; Benmokrane, B.; Ferrier, E. Lateral cyclic behavior of interior two-way concrete slab-column connections reinforced with GFRP bars. *Eng. Struct.* **2020**, 209, 109978. [CrossRef]
- Li, Z.P.; Mao, M.J.; Yang, Q.N. Effect of reinforcement ratio on punching capacity of reinforced concrete bridge deck. *Ind. Constr.* 2020, 50, 44–51. [CrossRef]
- 62. Chen, W.J.; Bian, J.J.; Wang, N.; Su, Y.P. Punching shear capacity analysis of a slab-column connection considering the effect of different reinforcement ratios. *China Earthq. Eng. J.* 2016, *38*, 525–532. [CrossRef]
- 63. Yi, W.J.; Hong, F.; Peng, J. Experimental investigation of punching shear failure of reinforced concrete slab-column conections. *Build. Struct.* **2016**, *46*, 11–18. [CrossRef]