


Structure of Iso-Symmetric Operators

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Abstract: For a Hilbert space operator $T \in B(\mathcal{H})$, let L_T and $R_T \in B(B(\mathcal{H}))$ denote, respectively, the operators of left multiplication and right multiplication by T . For positive integers m and n , let $\Delta_{T^*,T}^m(I) = (L_{T^*}R_T - I)^m(I)$ and $\delta_{T^*,T}^n(I) = (L_{T^*} - R_T)^n(I)$. The operator T is said to be (m,n) -isosymmetric if $\Delta_{T^*,T}^m(\delta_{T^*,T}^n(I)) = 0$. Power bounded (m,n) -isosymmetric operators $T \in B(\mathcal{H})$

have an upper triangular matrix representation $T = \begin{pmatrix} T_1 & T_3 \\ 0 & T_2 \end{pmatrix} \in B(\mathcal{H}_1 \oplus \mathcal{H}_2)$ such that $T_1 \in B(\mathcal{H}_1)$

is a C_0 -operator which satisfies $\delta_{T_1,T_1}^n(I|_{\mathcal{H}_1}) = 0$ and $T_2 \in B(\mathcal{H}_2)$ is a C_1 -operator which satisfies $AT_2 = (V_u \oplus V_b)|_{\mathcal{H}_2} A$, $A = \lim_{t \rightarrow \infty} T_2^{*t} T_2^t$, V_u is a unitary and V_b is a bilateral shift. If, in particular, T is cohyponormal, then T is the direct sum of a unitary with a C_{00} -contraction.

Keywords: Hilbert space; left/right multiplication operator; (m,n) -symmetric operator; hyponormal operator; C_{00} -operator; unitary operator



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1. Introduction

Let $B(\mathcal{H})$ denote the algebra of operators, i.e., bounded linear transformations, on an infinite dimensional complex Hilbert space \mathcal{H} into itself. Let \mathbb{C} denote the complex plane and \bar{z} the conjugate of $z \in \mathbb{C}$. For a given polynomial $f(z) = \sum_{i,j} c_{ij} \bar{z}^i z^j$ on \mathbb{C} and an operator $T \in B(\mathcal{H})$, define $f(T)$ by $f(T) = \sum_{i,j} c_{ij} T^{*i} T^j$. Then T is said to be a (hereditary) root of f if $f(T) = 0$. An operator $T \in B(\mathcal{H})$ is n -selfadjoint for some positive integer n if T is a root of the polynomial $f(z) = (\bar{z} - z)^n$, equivalently, if

$$\delta_{T^*,T}^n(I) = \sum_{j=0}^n (-1)^j \binom{n}{j} T^{*(n-j)} T^j = 0,$$

and T is m -isometric for some positive integer m if it is a root of the polynomial $f(z) = (\bar{z}z - 1)^m$, equivalently, if

$$\Delta_{T^*,T}^m(I) = \sum_{j=0}^m (-1)^j \binom{m}{j} T^{*(m-j)} T^{m-j} = 0.$$

The classes consisting of n -selfadjoint and m -isometric operators have been studied extensively by a large number of authors in the recent past (see list of references for further references).

The development of the theory of m -selfadjoint operators in infinite dimensional Hilbert spaces was motivated by the seminal work of Helton [1], who observed an unexpected, intimate connection with differential equations, in particular conjugate point theory and disconjugacy. McCullough and Rodman [2] in their consideration of algebraic and spectral properties of n -symmetric operators remark [2] (p. 419), that the authors of [1,3,4] were certainly aware of the fact that every 2-symmetric operator is 1-symmetric, even though they do not explicitly state so. More generally, McCullough and Rodman [2] (Theorem 3.1)

state that the techniques of Helton [1] lead to a possible proof of the more general result that “ $2n$ -symmetric operators are $(2n - 1)$ -symmetric”. The class of m -symmetric operators was introduced by Agler [3] and studied in a series of papers by Agler and Stankus [5–7]; properties of m -isometric operators, amongst them the spectral picture, strict m -isometries, perturbation by commuting nilpotents and the product of m -isometries, have since been studied by a large number of authors, amongst them Bayart [8], Bermudez et al. [9–11], Botelho and Jamison [12], Duggal et al. [13–15], and Gu et al. [16–18]. The (hereditary) roots of the polynomial $(\bar{z}z - 1)^m(\bar{z} - z)^n = 0$ have been called (m, n) -isosymmetric operators; thus T is (m, n) -isosymmetric if and only if

$$\begin{aligned}\Delta_{T^*,T}^m(\delta_{T^*,T}^n(I)) &= \sum_{j=0}^m (-1)^j \binom{m}{j} T^{*(m-j)} \left(\sum_{k=0}^n (-1)^k \binom{n}{k} T^{*(n-k)} T^k \right) T^{m-j} \\ &= \delta_{T^*,T}^n(\Delta_{T^*,T}^m(I)) \\ &= \sum_{k=0}^n (-1)^k \binom{n}{k} T^{*(n-k)} \left(\sum_{j=0}^m (-1)^j \binom{m}{j} T^{*(m-j)} T^{m-j} \right) T^k \\ &= 0.\end{aligned}$$

Examples of (m, n) -isosymmetric operators occur naturally. Thus, every isometric operator $T \in B(\mathcal{H})$ is $(1, 1)$ -isosymmetric. Indeed, if $T \in B(\mathcal{H})$ is m -isometric, or n -symmetric, then T is (m, n) -isosymmetric. A study of this class of operators has been carried out by Stankus [19,20], and Gu and Stankus [18], amongst others.

For an operator $T \in B(\mathcal{H})$, define the operators L_T and $R_T \in B(B(\mathcal{H}))$ of left multiplication and (respectively) right multiplication by T by

$$L_T(X) = TX, \quad R_T(X) = XT.$$

Then T is n -symmetric, respectively, m -isometric, if and only if

$$(L_{T^*} - R_T)^n(I) = \delta_{T^*,T}^n(I) = 0, \text{ respectively } (L_{T^*} R_T - I)^m(I) = \Delta_{T^*,T}^m(I) = 0$$

and T is (m, n) -isosymmetric if and only if

$$(L_{T^*} R_T - I)^m((L_{T^*} - R_T)^n(I)) = \Delta_{T^*,T}^m(\delta_{T^*,T}^n(I)) = 0.$$

Trivially, $\delta_{T^*,T}^n(I) = 0$ if and only if $\delta_{(T-\lambda)^*, T-\lambda}^n(I) = 0$ for all $\lambda \in \mathbb{C}$, and if $\lambda \in \mathbb{R}$ is such that $\lambda \notin \sigma(T)$, then

$$\begin{aligned}\delta_{T^*,T}^n(I) = 0 &\iff \delta_{(T-\lambda)^*, T-\lambda}^n(I) = 0 \\ &\iff R_{T-\lambda}^{-n} \delta_{(T-\lambda)^*, T-\lambda}^n(I) = 0 \\ &\iff \Delta_{T^*-\lambda, (T-\lambda)^{-1}}^n(I) = 0 \\ &\iff L_{T^*-\lambda}^{-n} \delta_{(T-\lambda)^*, T-\lambda}^n(I) = 0 \\ &\iff \Delta_{(T^*-\lambda)^{-1}, T-\lambda}^n(I) = 0.\end{aligned}$$

In this note, we exploit relationships of this type, using little more than some basic properties of elementary operators, to give a formal, simple proof of the result that $2n$ -symmetric operators are $(2n - 1)$ -symmetric. The case $n = 1$ of this result is of some interest, more so for the reason that 2-symmetric operators are cohyponormal. Cohyponormal (m, n) -isosymmetric operators have a particularly simple structure: they are the direct sum of a unitary operator and a C_{00} -contraction (where either of the components may be absent). The cohyponormality condition is redundant in the case in which $(n = 2 \text{ and }) \delta_{T^*,T}^2(I) \geq 0$; if also $m = 2$, then $\Delta_{T^*,T}^2(\delta_{T^*,T}^2(I)) \geq 0$ is sufficient to guarantee T is the direct sum of a unitary operator and a C_{00} -contraction. For hyponormal, more generally normaloid,

(m, n) -isosymmetric T , T is a contraction, hence power bounded. Power bounded (m, n) -isosymmetric operators T have an upper triangular matrix representation $T \in B(\mathcal{H}_1 \oplus \mathcal{H}_2)$ such that the $(1, 1)$ -entry is a C_0 -operator T_1 satisfying $\delta_{T_1^*, T_1}^n(I|_{\mathcal{H}_1}) = 0$ and the $(2, 2)$ -entry T_2 satisfies $AT_2 = (V_u \oplus V_b)|_{\mathcal{H}_2} A$ for an injective positive operator $A \in B(\mathcal{H}_2)$ (defined by $A = \lim_{t \rightarrow \infty} T_2^{*t} T_2^t$), unitary V_u and a bilateral shift V_b .

We introduce our notation/terminology, along with some complementary results, in the following section, Section 3 is devoted to considering $2n$ -symmetric and related operators, and our Section 4 considers the structure of cohyponormal and power bounded (m, n) -isosymmetric operators.

2. Some Complementary Results

In the following, $\langle \cdot, \cdot \rangle$ will denote the inner product on \mathcal{H} . We shall denote the approximate point spectrum and the spectrum of an operator $T \in B(\mathcal{H})$ by $\sigma_a(T)$ and $\sigma(T)$, respectively. We shall denote the open unit disc in the complex plane \mathbb{C} by \mathbb{D} and the boundary of the unit disc in \mathbb{C} by $\partial\mathbb{D}$. The operator T is power bounded if there exists a scalar $M > 0$ such that

$$\sup_{n \in \mathbb{N}} \|T^n\| \leq M.$$

It is clear from the definition that if $T \in B(\mathcal{H})$ is power bounded, then T^* is power bounded, the spectral radius

$$r(T) = \lim_{n \rightarrow \infty} \|T^n\|^{\frac{1}{n}} \leq 1$$

and the spectrum $\sigma(T)$ of T satisfies $\sigma(T) \subseteq \overline{\mathbb{D}} (= \{\lambda \in \mathbb{C} : |\lambda| \leq 1\})$. The operator T is a C_0 -, respectively, C_1 -, operator if

$$\lim_{n \rightarrow \infty} \|T^n x\| = 0 \text{ for all } x \in \mathcal{H},$$

$$\text{respectively, } \inf_{n \in \mathbb{N}} \|T^n x\| > 0 \text{ for all } 0 \neq x \in \mathcal{H};$$

$T \in C_0$ (resp., $T \in C_1$) if $T^* \in C_0$ (resp., $T^* \in C_1$) and $T \in C_{\alpha\beta}$ if $T \in C_\alpha \cap C_\beta$ ($\alpha, \beta = 0, 1$). It is well known [21] that every power bounded operator $T \in B(\mathcal{H})$ has an upper triangular matrix representation

$$T = \begin{pmatrix} T_1 & T_3 \\ 0 & T_2 \end{pmatrix} \in B(\mathcal{H}_1 \oplus \mathcal{H}_2)$$

for some decomposition $\mathcal{H} = \mathcal{H}_1 \oplus \mathcal{H}_2$ of \mathcal{H} such that $T_1 \in C_0$ and $T_2 \in C_1$. Recall that every isometry $V \in B(\mathcal{H})$ has a direct sum decomposition

$$V = V_c \oplus V_u \in B(\mathcal{H}_c \oplus \mathcal{H}_u), V_c \in C_{10} \text{ and } V_u \in C_{11}$$

into its completely non-unitary (i.e., unilateral shift) and unitary parts [22]. Hyponormal contractions T , i.e., contractions $T \in B(\mathcal{H})$ such that $TT^* \leq T^*T$, are known to have C_0 cnu (=completely non-unitary) parts [23].

The following result from [24] will be used in some of our argument below.

Theorem 1. *If $A, B \in B(\mathcal{H})$, then the following statements are pairwise equivalent.*

- (i) $\text{ran}(A) \subseteq \text{ran}(B)$.
- (ii) There is a $\mu \geq 0$ such that $AA^* \leq \mu^2 BB^*$.
- (iii) There is an operator $C \in B(\mathcal{H})$ such that $A = BC$.

Furthermore, if these conditions hold, then the operator C may be chosen so that (a) $\|C\|^2 = \inf\{\lambda : AA^* \leq \lambda BB^*\}$; (b) $\ker(A) = \ker(C)$; (c) $\text{ran}(C) \subseteq \ker(B)^\perp$.

A pair of operators $A, B \in B(\mathcal{H})$ satisfies the Putnam–Fuglede (commutativity) property if $\delta_{A,B}^{-1}(0) \subseteq \delta_{A^*,B^*}^{-1}(0)$. It is easily seen that if A, B satisfy the Putnam–Fuglede property and $\delta_{A,B}(X) = 0$, then $\overline{X(\mathcal{H})}$ reduces A , $\ker^\perp(X)$ reduces B , and $A|_{\overline{X(\mathcal{H})}}$ and $B|_{\ker^\perp(X)}$ are unitarily equivalent normal operators. Normal operators satisfy the Putnam–Fuglede property [25]. Indeed, more is true. An asymmetric version of the Putnam–Fuglede property holds for a variety of classes of Hilbert space operators [26], amongst them hyponormal pairs A and $B^* \in B(\mathcal{H})$: if A, B^* are hyponormal operators, then $\delta_{A,B^*}^{-1}(0) \subseteq \delta_{A^*,B}^{-1}(0)$. Even more interestingly:

Theorem 2 ([26]). *If $A, B^* \in B(\mathcal{H})$ are hyponormal operators and n is some positive integer, then*

$$\delta_{A,B^*}^{n-1}(0) = \delta_{A,B^*}^{-1}(0) \subseteq \delta_{A^*,B}^{-1}(0).$$

3. n -Symmetric Operators for n Even

We start by proving that n -symmetric operators for n even are $(n-1)$ -symmetric. This property of n -symmetric operators is stated in [2] (Theorem 3.4) without a proof (but with the remark that a proof can be given using the techniques of [1]). Our proof below uses little more than some well understood properties of elementary operators of left and right multiplication.

Theorem 3. *If $T \in B(\mathcal{H})$ is n -symmetric for some positive even integer n , then T is $(n-1)$ -symmetric.*

Proof. A straightforward argument shows that $\sigma_a(T) \subset \mathbb{R}$ for n -symmetric operator T . Hence $\sigma(T) \subset \mathbb{R}$, and there exists a non-zero real number $\lambda \notin \sigma(T)$. Since

$$\delta_{T^*,T}^n(I) = 0 \iff \delta_{T^*-\mu, T-\mu}^n(I) = 0$$

for all real μ , we have

$$\delta_{T^*,T}^n(I) = 0 \iff R_{T-\lambda}^{-n} \delta_{T^*-\lambda, T-\lambda}^n(I) = 0 \iff \Delta_{T^*-\lambda, (T-\lambda)^{-1}}^n(I) = 0.$$

It is easily seen (use an induction argument) that

$$\begin{aligned} \Delta_{A,B}^n(I) = (L_A R_B - I)^n(I) = 0 &\iff (L_A R_B)^n(I) - \sum_{j=0}^{n-1} \binom{n}{j} \Delta_{A,B}^j(I) = 0 \\ &\iff (L_A R_B)^n(I) = \sum_{j=0}^{n-1} \binom{n}{j} \Delta_{A,B}^j(I) \end{aligned}$$

for all operators $A, B \in B(\mathcal{H})$. Hence, given $\Delta_{A,B}^n(I) = 0$,

$$\begin{aligned}(L_A R_B)^{n+1}(I) &= \sum_{j=0}^{n-1} \binom{n}{j} L_A R_B \Delta_{A,B}^j(I) \\&= \sum_{j=0}^{n-1} \binom{n}{j} \Delta_{A,B}^{j+1}(I) + \sum_{j=0}^{n-1} \binom{n}{j} \Delta_{A,B}^j(I) \\&= \binom{n}{n-1} \Delta_{A,B}^n(I) + \sum_{j=0}^{n-1} \binom{n+1}{j} \Delta_{A,B}^j(I) \\&= \sum_{j=0}^{n-1} \binom{n+1}{j} \Delta_{A,B}^j(I) \\&= \binom{n+1}{n-1} \Delta_{A,B}^{n-1}(I) + \sum_{j=0}^{n-2} \binom{n+1}{j} \Delta_{A,B}^j(I),\end{aligned}$$

and by an induction argument that

$$(L_A R_B)^t(I) = \binom{t}{n-1} \Delta_{A,B}^{n-1}(I) + \sum_{j=0}^{n-2} \binom{t}{j} \Delta_{A,B}^j(I) \quad (1)$$

for all $A, B \in B(\mathcal{H})$ and integers $t \geq n$. Translating to the operator $\delta_{T^*, T}^n(I) = \Delta_{T^*-\lambda, (T-\lambda)^{-1}}^n(I) = 0$, we have

$$(L_{T^*-\lambda} R_{T-\lambda}^{-1})^t(I) = \binom{t}{n-1} \Delta_{T^*-\lambda, (T-\lambda)^{-1}}^{n-1}(I) + \sum_{j=0}^{n-2} \binom{t}{j} \Delta_{T^*-\lambda, (T-\lambda)^{-1}}^j(I)$$

for all $t \geq n$ and real $\lambda \notin \sigma(T)$. Trivially,

$$\begin{aligned}\Delta_{A,B}^n(I) = L_A R_B \Delta_{A,B}^{n-1}(I) - \Delta_{A,B}^{n-1}(I) = 0 &\implies L_A R_B \Delta_{A,B}^{n-1}(I) = \Delta_{A,B}^{n-1}(I) \\&\implies \dots \\&\implies (L_A R_B)^t \Delta_{A,B}^{n-1}(I) = \Delta_{A,B}^{n-1}(I)\end{aligned}$$

for all $A, B \in B(\mathcal{H})$ and integers $t \geq 1$. Hence

$$\begin{aligned}I &= \binom{t}{n-1} \Delta_{T^*-\lambda, (T-\lambda)^{-1}}^{n-1}(I) + \sum_{j=0}^{n-2} \binom{t}{j} (L_{T^*-\lambda}^{-1} R_{T-\lambda})^t \Delta_{T^*-\lambda, (T-\lambda)^{-1}}^j(I) \\&\implies 0 \leq \|x\|^2 = \binom{t}{n-1} \langle \Delta_{T^*-\lambda, (T-\lambda)^{-1}}^{n-1}(I)x, x \rangle \\&\quad + \sum_{j=0}^{n-2} \binom{t}{j} \langle \Delta_{T^*-\lambda, (T-\lambda)^{-1}}^j(I)(T-\lambda)^t x, (T-\lambda)^{-t} x \rangle\end{aligned}$$

for all $x \in \mathcal{H}$ and integers $t \geq 1$. Letting $t \rightarrow \infty$, and observing that $\binom{t}{n-1}$ is of the order of t^{n-1} and $\binom{t}{j}, 0 \leq j \leq n-2$, is of the order of t^{n-2} as $t \rightarrow \infty$,

$$0 \leq \langle \Delta_{T^*-\lambda, (T-\lambda)^{-1}}^{n-1}(I)x, x \rangle$$

for all $x \in \mathcal{H}$. Conclusion:

$$\Delta_{T^*-\lambda, (T-\lambda)^{-1}}^{n-1}(I) \geq 0.$$

Equivalently,

$$\begin{aligned} 0 &\leq \Delta_{T^*-\lambda, (T-\lambda)^{-1}}^{n-1}(I) \\ &= R_{T-\lambda}^{-n+1} \delta_{T^*-\lambda, T-\lambda}^{n-1}(I) \\ &= (\Delta_{T^*-\lambda, (T-\lambda)^{-1}}^{n-1}(I))^* \\ &= \Delta_{(T^*-\lambda)^{-1}, T-\lambda}^{n-1}(I) \\ &= (-1)^{n-1} L_{T^*-\lambda}^{-n+1} \delta_{T^*-\lambda, T-\lambda}^{n-1}(I). \end{aligned}$$

Thus

$$L_{T^*-\lambda}^{n-1} R_{T-\lambda}^{-n+1} \delta_{T^*-\lambda, T-\lambda}^{n-1}(I) = (-1)^{n-1} \delta_{T^*-\lambda, T-\lambda}^{n-1}(I).$$

Since $\delta_{T^*-\lambda, T-\lambda}^n(I) = 0$ implies $(L_{T^*-\lambda} R_{T-\lambda}^{-1})^{n-1} \delta_{T^*-\lambda, T-\lambda}^{n-1}(I) = \delta_{T^*-\lambda, T-\lambda}^{n-1}(I)$, and the integer n is even,

$$\delta_{T^*-\lambda, T-\lambda}^{n-1}(I) = -\delta_{T^*-\lambda, T-\lambda}^{n-1}(I) \iff \delta_{T^*-\lambda, T-\lambda}^{n-1}(I) = \delta_{T^*, T}^{n-1}(I) = 0.$$

This completes the proof. \square

It is immediate from Theorem 3 that 2-symmetric $B(\mathcal{H})$ operators are symmetric. A proof of this of a different flavour and (in some respects) of interest in itself may be given as follows.

Corollary 1 ([2]). *A 2-symmetric $B(\mathcal{H})$ operator is self-adjoint.*

Proof. For operators $T \in B(\mathcal{H})$,

$$0 \leq (\delta_{T^*, T}(I))^* (\delta_{T^*, T}(I)) = T^* T + T T^* - T^2 - T^{*2}.$$

If also T is 2-symmetric, then

$$\delta_{T^*, T}^2(I) = T^{*2} - 2T^* T + T^2 = 0.$$

Hence

$$\delta_{T^*, T}^2(I) = 0 \leq (\delta_{T^*, T}(I))^* (\delta_{T^*, T}(I)) \implies T^* T \leq T T^*,$$

i.e., T^* is hyponormal. Set $\delta_{T^*, T}(I) = X$; then T is 2-symmetric if and only if

$$\delta_{T^*, T}(X) = T^* X - X T = 0.$$

Applying the Putnam–Fuglede commutativity theorem for hyponormal operators, we have

$$T^* X - X T = 0 \implies T X - X T^* = 0 \iff T^{*2} - 2T T^* + T^2 = 0.$$

Already $T^{*2} - 2T^* T + T^2 = 0$. Hence $T^* T = T T^*$, i.e., T is normal. However, then

$$\delta_{T^*, T}^2(I) = 0 \iff \delta_{T^*, T}(I) = 0$$

(see Theorem 2). Hence $T^* = T$. \square

The argument of the proof of Corollary 1 is suggestive of an interesting proof of a well known result on invertible 2-isometries [8].

Corollary 2. *Invertible 2-isometric $B(\mathcal{H})$ operators are unitary.*

Proof. The operator $\Delta_{T^*, T}(I) \in B(\mathcal{H})$ being self-adjoint,

$$(\Delta_{T^*, T}(I))^2 = (T^* T)^2 - 2T^* T + I \geq 0.$$

Since $\Delta_{T^*,T}^2(I) = T^*T^2 - 2T^*T + I = 0$ and T is invertible, we have

$$T^*T^2 \leq (T^*T)^2 \iff T^*T \leq TT^*,$$

i.e., T^* is invertible hyponormal (with a hyponormal inverse T^{*-1}). We have

$$\Delta_{T^*,T}^2(I) = 0 \iff \delta_{T^*,T^{-1}}^2(I) = 0.$$

Putnam–Fuglede commutativity theorem for hyponormal operators applies and we conclude that

$$\delta_{T^*,T^{-1}}^2(I) = 0 \iff \delta_{T^*,T^{-1}}(I) = 0 \iff T^*T = TT^* = I,$$

i.e., T is unitary. \square

A generalised version of Corollary 2 is known to hold: if $\Delta_{T^*,T}^m(I) = 0$ for an invertible $T \in B(\mathcal{H})$ and an even positive integer m , then $\Delta_{T^*,T}^{m-1}(I) = 0$ [8] (Proposition 2.4). Here the pair (T^*, T) may be replaced by the pair (T^*, T^{-1}) .

Corollary 3. *If $\Delta_{T^*,T^{-1}}^m(I) = 0$ for an invertible $T \in B(\mathcal{H})$ and even positive integer m , then $\Delta_{T^*,T^{-1}}^{m-1}(I) = 0$.*

Proof. The proof is an application of Theorem 3. The hypothesis $\Delta_{T^*,T}^m(I) = 0$ implies

$$\begin{aligned} L_{T^*}^{-m} \Delta_{T^*,T^{-1}}^m(I) &= (-1)^m \delta_{T^{*-1},T^{-1}}^m(I) = 0 \iff \delta_{T^{*-1},T^{-1}}^m(I) = 0 \\ &\implies \delta_{T^{*-1},T^{-1}}^{m-1}(I) = 0 \\ &\iff L_{T^*}^{m-1} \delta_{T^{*-1},T^{-1}}^{m-1}(I) = 0 \\ &\iff \Delta_{T^*,T^{-1}}^{m-1}(I) = 0. \end{aligned}$$

This completes the proof. \square

Yet another generalisation of Corollary 2 is obtained upon considering operators $T \in B(\mathcal{H})$ such that $T \in (m, X)$ -isometric, i.e., operators $T \in B(\mathcal{H})$ satisfying $\Delta_{T^*,T}^m(X) = \sum_{j=0}^m (-1)^j \binom{m}{j} T^{*m-j} X T^{m-j} = 0$, for some positive operator $X \in B(\mathcal{H})$. For such operators T , it is clear from the argument leading to equality (1) that

$$0 \leq (L_{T^*} R_T)^t(X) = \binom{t}{m-1} \Delta_{T^*,T}^{m-1}(X) + \sum_{j=0}^{m-2} \binom{t}{j} \Delta_{T^*,T}^j(X)$$

for all integers $t \geq m$. Letting $t \rightarrow \infty$, one obtains

$$0 \leq \Delta_{T^*,T}^{m-1}(X). \quad (2)$$

Proposition 1. *If $T \in B(\mathcal{H})$ is an invertible (m, X) -isometric operator for some positive operator $X \in B(\mathcal{H})$, then $T \in (m-1, X)$ -isometric.*

Proof. T being invertible

$$\Delta_{T^*,T}^m(X) = (L_{T^*} R_T - I)^m(X) = (-1)^m (L_{T^*} R_T)^m ((L_{T^*} R_T)^{-1} - I)^m(X)$$

and this since $T \in (m, X)$ -isometric implies $\Delta_{T^*,T}^m(X) = 0$. Arguing as above, we have

$$0 \leq \Delta_{T^*,T}^{m-1}(X) = (-1)^{m-1} (L_{T^*} R_T)^{-m+1} \Delta_{T^*,T}^{m-1}(X) \implies \Delta_{T^*,T}^{m-1}(X) \leq 0.$$

Combining with inequality (2), we obtain the required equality. \square

Remark 1. (i) In the presence of the hyponormality hypothesis on T (or T^*), the hypothesis that T is 2-symmetric is not necessary. Indeed, hyponormal n -symmetric operators T are self-adjoint. This is seen as follows. A straightforward argument shows $\sigma_a(T) \subset \mathbb{R}$; hence $\sigma(T) \subset \mathbb{R}$. Since hyponormal operators with spectrum in \mathbb{R} are self-adjoint [27], T is self-adjoint.

(ii) It is known that hyponormal m -isometric operators are isometric [28]. The following argument shows that a cohyponormal m -isometric operator is unitary. If T is m -isometric, then $\sigma_a(T)$ is a subset of the boundary of the unit disc in \mathbb{C} . Hence T is a contraction and therefore isometric [28] (Proposition 2.6). The proof now follows, since a cohyponormal isometry is necessarily unitary.

4. Structure of (m, n) -Isosymmetric Operators

In this section, we consider the structure of power bounded (m, n) -isosymmetric operators. We start, however, by considering cohyponormal (m, n) -isosymmetric operators. It is seen that such operators T have a particularly simple structure: T is the direct sum of a unitary operator with a C_{00} -contraction satisfying $T \in (1, 1)$ -isosymmetric.

By the definition of the approximate point spectrum of an operator, if a $\lambda \in \sigma_a(T)$, then there exists a sequence of unit vectors $\{x_t\} \subseteq \mathcal{H}$ such that $\lim_{t \rightarrow \infty} \|(T - \lambda)x_t\| = 0$. Hence, if $T \in (m, n)$ -isosymmetric and $\lambda \in \sigma_a(T)$, then

$$\begin{aligned} 0 &= \lim_{t \rightarrow \infty} \sum_{j=0}^n (-1)^j \binom{n}{j} \sum_{k=0}^m (-1)^k \binom{m}{k} \langle T^{m+j-k} x_t, T^{m+n-j-k} x_t \rangle \\ &= \sum_{j=0}^n (-1)^j \binom{n}{j} \bar{\lambda}^{(n-j)} \lambda^j \sum_{k=0}^m (-1)^k \binom{m}{k} |\lambda|^{2(m-k)} \\ &= (\bar{\lambda} - \lambda)^n (1 - |\lambda|^2)^m \\ \implies \quad \sigma_a(T) &\subseteq \partial \mathbb{D} \cup \mathbb{R} \text{ and } \sigma(T) \subseteq \bar{\mathbb{D}} \cup \mathbb{R}. \end{aligned}$$

Recall that an operator $T \in B(\mathcal{H})$ is normaloid if $\|T\|$ equals the spectral radius $r(T) = \lim_{t \rightarrow \infty} \|T^t\|^{\frac{1}{t}}$ of T . Hyponormal operators are normaloid.

Theorem 4. (a) If $T \in B(\mathcal{H})$ is cohyponormal, then the following statements are mutually equivalent.

- (i) $\Delta_{T^*, T}^m(\delta_{T^*, T}^n(I)) = 0$ for some positive integers m, n .
- (ii) $\Delta_{T^*, T}(\delta_{T^*, T}(I)) = 0$.
- (iii) T is the direct sum of a unitary with a selfadjoint C_{00} -contraction.

(b) If $T \in B(\mathcal{H})$ is an invertible operator and m is a positive even integer such that $\Delta_{T^*, T}^m(\delta_{T^*, T}^n(I)) \geq 0$ and $\delta_{T^*, T}^n(I) \geq 0$, or, $\Delta_{T^*, T}^m(\delta_{T^*, T}^n(I)) \leq 0$ and $\delta_{T^*, T}^n(I) \leq 0$, for some positive integer n , then $\Delta_{T^*, T}^m(\delta_{T^*, T}^n(I)) = 0$.

Proof. (a) (iii) \implies (ii) \implies (i). If we let $T = T_u \oplus T_c \in B(\mathcal{H}_1 \oplus \mathcal{H}_2)$, T_u unitary and T_c a C_{00} -contraction such that $T_c^* = T_c$, then

$$\begin{aligned} \Delta_{T^*, T}(\delta_{T^*, T}(I)) &= \Delta_{T^*, T}((T_u^* - T_u) \oplus 0) \\ &= (0 \oplus \Delta_{T_c^*, T_c})(T_u^* - T_u) \oplus 0 \\ &= 0 \end{aligned}$$

and

$$\Delta_{T^*, T}^m(\delta_{T^*, T}^n(I)) = \Delta_{T^*, T}^{m-1}[\delta_{T^*, T}^{n-1}(\Delta_{T^*, T}(\delta_{T^*, T}(I)))] = 0.$$

(i) \implies (iii). In view of our observation on the spectrum of operators $T \in B(\mathcal{H})$ satisfying the equality of (i), the hypothesis T^* is hyponormal implies T^* , hence T , is a contraction. Decompose T into its normal and pure (i.e., completely non-normal) parts by $T = T_1 \oplus T_2 \in B(\mathcal{H}_1 \oplus \mathcal{H}_2)$. Then T_2 is a cnu (= completely non-unitary) C_0 -contraction. The hypothesis

$$\Delta_{T^*,T}^m(\delta_{T^*,T}^n(I)) = 0 \iff \oplus_{i=1}^2 \Delta_{T_i^*,T_i}^m(\delta_{T_i^*,T_i}^n(I_i)) = 0,$$

where I_i is the identity of $B(\mathcal{H}_i)$. Since

$$\Delta_{T_i^*,T_i}^m(\delta_{T_i^*,T_i}^n(I_i)) = 0 \iff \delta_{T_i^*,T_i}^n(\Delta_{T_i^*,T_i}^m(I_i)) = 0,$$

if we let $\Delta_{T_i^*,T_i}^m(I_i) = X_i$ and apply Theorem 2 to $\delta_{T_i^*,T_i}^n(X_i) = 0$, then

$$\delta_{T_i^*,T_i}(X_i) = \delta_{T_i^*,T_i}(\Delta_{T_i^*,T_i}^m(I_i)) = \Delta_{T_i^*,T_i}^m(\delta_{T_i^*,T_i}(I_i)) = 0.$$

Choose $i = 2$. Set $\Delta_{T_2^*,T_2}^{m-1}(\delta_{T_2^*,T_2}(I_2)) = Y_{m-1}$ and consider $\Delta_{T_2^*,T_2}(Y_{m-1})$. Since

$$\Delta_{T_2^*,T_2}(Y_{m-1}) = 0 \implies T_2^* Y_{m-1} T_2 = Y_{m-1} \implies \dots \implies T_2^{*t} Y_{m-1} T_2^t = Y_{m-1}$$

for all positive integers t ,

$$|\langle Y_{m-1} x, x \rangle| = |\langle Y_{m-1} T_2^t x, T_2^t x \rangle| \leq \|Y_{m-1}\| \|T_2^t x\|^2$$

for all $x \in \mathcal{H}_2$. Since T_2 is a C_0 -contraction, letting $t \rightarrow \infty$, we have

$$|\langle Y_{m-1} x, x \rangle| = 0 \text{ for all } x \in \mathcal{H}_2.$$

Hence $Y_{m-1} = \Delta_{T_2^*,T_2}^{m-1}(\delta_{T_2^*,T_2}(I_2)) = 0$. Repeating the argument, considering $\Delta_{T_2^*,T_2}(Y_{m-2})$ and $\Delta_{T_2^*,T_2}(Y_{m-3})$ etc., it follows that

$$Y_1 = \Delta_{T_2^*,T_2}(\delta_{T_2^*,T_2}(I_2)) = 0 \implies Y_0 = \delta_{T_2^*,T_2}(I_2) = 0.$$

Thus, $T_2 \in C_{00}$ is a selfadjoint contraction.

Considering next the case $i = 1$, the normal contraction T_1 is the direct sum of a unitary and a cnu contraction. Let

$$T_1 = T_{11} \oplus T_{12} \in B(\mathcal{H}_{11} \oplus \mathcal{H}_{12}), \quad T_{11} \text{ unitary and } T_{12} \text{ cnu.}$$

Then

$$\Delta_{T_1^*,T_1}^n(\delta_{T_1^*,T_1}^n(I)) = \oplus_{j=1}^2 \Delta_{T_{1j}^*,T_{1j}}^n(\delta_{T_{1j}^*,T_{1j}}^n(I_{1j})) = 0,$$

where I_{1j} is the identity of $B(\mathcal{H}_{1j})$. Since T_{11} is unitary,

$$\begin{aligned} \Delta_{T_{11}^*,T_{11}}^n(\delta_{T_{11}^*,T_{11}}^n(I_{11})) = 0 &\iff \delta_{T_{11}^*,T_{11}}^n(\delta_{T_{11}^*,T_{11}}^n(I_{11})) = 0 \\ &\iff \delta_{T_{11}^*,T_{11}}^n(\delta_{T_{11}^*,T_{11}}^n(I_{11})) = 0 \\ &\iff \Delta_{T_{11}^*,T_{11}}^n(\delta_{T_{11}^*,T_{11}}^n(I_{11})) = 0. \end{aligned}$$

The operator T_{12} being a normal cnu-contraction is a C_{00} -contraction. Arguing as above, this implies

$$\Delta_{T_{12}^*, T_{12}}^n(\delta_{T_{12}^*, T_{12}}(I_{12})) = 0 \implies \delta_{T_{12}^*, T_{12}}(I_{12}) = 0,$$

i.e., $T_{12} \in C_{00}$ -contraction is selfadjoint. To complete the proof, define T_u and T_c by $T_u = T_{11}$ and $T_c = T_{12} \oplus T_2$.

(b) We prove that either of the hypotheses implies equality (i) of part a. The proof in both the cases being almost the same, simply substitute $-X$ for X in the argument below, we consider the case $\Delta_{T^*, T}^m(\delta_{T^*, T}^n(I)) \geq 0$ and $\delta_{T^*, T}^n(I) \geq 0$. Let $\delta_{T^*, T}^n(I) = X$; then

$$0 \leq \Delta_{T^*, T}^m(X) \iff 0 \leq (L_{T^*} R_T)^m(X) - \sum_{j=0}^{m-1} \binom{m}{j} \Delta_{T^*, T}^j(X)$$

implies

$$\begin{aligned} 0 &\leq (L_{T^*} R_T)^{m+1}(X) - \sum_{j=0}^{m-1} \binom{m}{j} L_{T^*} R_T \Delta_{T^*, T}^j(X) \\ &= (L_{T^*} R_T)^{m+1}(X) - \binom{m+1}{m-1} \Delta_{T^*, T}^{m-1}(X) - \sum_{j=0}^{m-2} \binom{m+1}{j} \Delta_{T^*, T}^j(X) \end{aligned}$$

and this (using an induction argument as in the proof of (1)) implies

$$0 \leq (L_{T^*} R_T)^t(X) - \binom{t}{m-1} \Delta_{T^*, T}^{m-1}(X) + \sum_{j=0}^{m-2} \binom{t}{j} \Delta_{T^*, T}^j(X) \quad (3)$$

for all integers $t \geq m$. Thus

$$\begin{aligned} \langle \Delta_{T^*, T}^{m-1}(X)x, x \rangle &\leq \frac{1}{\binom{t}{m-1}} \left[\left\langle \left\{ (L_{T^*} R_T)^t(X) + \sum_{j=0}^{m-2} \binom{t}{j} \Delta_{T^*, T}^j(X) \right\} x, x \right\rangle \right] \\ &= \frac{1}{\binom{t}{m-1}} \left[\|X^{\frac{1}{2}} T^t x\|^2 + \left\langle \sum_{j=0}^{m-2} \binom{t}{j} \Delta_{T^*, T}^j(X)x, x \right\rangle \right] \end{aligned}$$

for all $x \in \mathcal{H}$. Since $\binom{t}{m-1}$ is of the order of t^{m-1} and $\binom{t}{j}$ is of the order of t^{m-2} (for $0 \leq j \leq m-2$) as $t \rightarrow \infty$, letting $t \rightarrow \infty$ we have

$$\langle \Delta_{T^*, T}^{m-1}(X)x, x \rangle \leq 0 \text{ for all } x \in \mathcal{H} \implies \Delta_{T^*, T}^{m-1}(X) \leq 0.$$

The invertibility of T implies

$$\Delta_{T^*, T}^m(X) = (-1)^m (L_{T^*} R_T)^m \Delta_{T^{*-1}, T^{-1}}^m(X)$$

and hence since m is even

$$(L_{T^*} R_T)^m \Delta_{T^*, T}^m(X) = 0 \iff \Delta_{T^{*-1}, T^{-1}}^m(X) = 0.$$

Arguing as above, we conclude

$$\begin{aligned} 0 \leq \Delta_{T^*, T}^{m-1}(X) &= (L_{T^*}^{-1} R_T^{-1})^{m-1} (-1)^{m-1} \Delta_{T^*, T}^{m-1}(X) \implies 0 \leq (-1)^{m-1} \Delta_{T^*, T}^{m-1}(X) \\ &\iff 0 \geq \Delta_{T^*, T}^{m-1}(X). \end{aligned}$$

Hence

$$\Delta_{T^*, T}^{m-1}(X) = 0 \implies \Delta_{T^*, T}^m(X) = 0 \iff \Delta_{T^*, T}^m(\delta_{T^*, T}^n(I)) = 0$$

and the proof is complete. \square

The hypothesis T^* is hyponormal is redundant in the case in which $n = 2$ and $\delta_{T^*, T}^2(I) \geq 0$. (For then $\delta_{T^*, T}^2(I) \geq 0$ and $(\delta_{T^*, T}(I))^*(\delta_{T^*, T}(I)) \geq 0$ imply $TT^* \geq T^*T$.) Furthermore, if also $m = 2$, then the hypothesis T is invertible may be dispensed with in Theorem 4(b).

Theorem 5. If $\delta_{T^*, T}^2(I)$ and $\Delta_{T^*, T}^2(\delta_{T^*, T}^2(I))$ are both greater than or equal to 0, then $\Delta_{T^*, T}(\delta_{T^*, T}(I)) = 0$ and T is the direct sum of a unitary with a C_{00} -contraction.

Proof. The cohyponormality of T implies T is a contraction, hence has a direct sum decomposition

$$T = T_u \oplus T_c \in B(\mathcal{H}_1 \oplus \mathcal{H}_2), \quad T_u = T|_{\mathcal{H}_1} \text{ unitary and } T_c = T|_{\mathcal{H}_2} \text{ a } C_{00}\text{-contraction.}$$

If we let

$$X = \delta_{T^*, T}^2(I) = \delta_{T_u^*, T_u}^2(I_1) \oplus \delta_{T_c^*, T_c}^2(I_2) = X_1 \oplus X_2 \in B(\mathcal{H}_1 \oplus \mathcal{H}_2), \quad I_i = I|_{\mathcal{H}_i}, \quad i = 1, 2,$$

then $X_i \geq 0$ for $i = 1, 2$ and

$$\Delta_{T^*, T}^2(X) = \Delta_{T_u^*, T_u}^2(X_1) \oplus \Delta_{T_c^*, T_c}^2(X_2) \geq 0 \iff \Delta_{T_u^*, T_u}^2(X_1) \geq 0, \quad \Delta_{T_c^*, T_c}^2(X_2) \geq 0.$$

The operator T_u being unitary, Theorem 4(b) implies

$$\Delta_{T_u^*, T_u}^2(X_1) \geq 0 \iff \Delta_{T_u^*, T_u}(\delta_{T_u^*, T_u}(I_1)) = 0.$$

Consider now the operator $\Delta_{T_c^*, T_c}^2(X_2) = \Delta_{T_c^*, T_c}(X_{21}) \geq 0$; $X_{21} = \Delta_{T_c^*, T_c}(X_2)$. We have

$$\Delta_{T_c^*, T_c}(X_{21}) \geq 0 \implies T_c^* X_{21} T_c \geq X_{21} \implies T_c^{*2} X_{21} T_c^2 \geq X_{21} \implies \cdots \implies T_c^{*t} X_{21} T_c^t \geq X_{21}$$

for all positive integers t . Hence

$$\langle X_{21} x, x \rangle \leq \langle T_c^{*t} X_{21} T_c^t x, x \rangle \leq \|X_{21}\| \|T_c^t x\|^2$$

for all $x \in \mathcal{H}_2$. Letting $t \rightarrow \infty$, this implies

$$\langle X_{21} x, x \rangle \leq \lim_{t \rightarrow \infty} \|X_{21}\| \|T_c^t x\|^2 = 0$$

for all $x \in \mathcal{H}_2$. Hence

$$X_{21} = \Delta_{T_c^*, T_c}(\delta_{T_c^*, T_c}^2(I_2)) = \delta_{T_c^*, T_c}^2(\Delta_{T_c^*, T_c}(I_2)) = 0.$$

The operator T_c^* being hyponormal, it follows from an application of Theorem 2 that

$$\delta_{T_c^*, T_c}(\Delta_{T_c^*, T_c}(I_2)) = \Delta_{T_c^*, T_c}(\delta_{T_c^*, T_c}^2(I_2)) = 0.$$

This completes the proof. \square

A result similar to that of Theorem 4 does not hold for hyponormal T . For example, if T is the forward unilateral shift $T(x_1, x_2, x_3, \dots) = (0, x_1, x_2, \dots)$, then $\Delta_{T^*, T}^m(\delta_{T^*, T}^n(I)) = 0$ for all positive integers m, n . However, hyponormal T is neither unitary nor self-adjoint nor a direct sum of the two. If T is hyponormal and satisfies $\Delta_{T^*, T}^m(\delta_{T^*, T}^n(I)) = 0$, then T is a contraction, hence power bounded. For power bounded operators $T \in B(\mathcal{H})$ satisfying $\Delta_{T^*, T}^m(\delta_{T^*, T}^n(I)) = 0$, Theorem 4 has the following analogue.

Theorem 6. *If a power bounded operator $T \in B(\mathcal{H})$ satisfies $\Delta_{T^*, T}^m(\delta_{T^*, T}^n(I)) = 0$ for some positive integers m and n , then:*

- (i) $\Delta_{T^*, T}(\delta_{T^*, T}^n(I)) = 0$;
- (ii) *there exist decompositions $\mathcal{H} = \mathcal{H}_1 \oplus \mathcal{H}_2 = \mathcal{H}_1 \oplus (\mathcal{H}_{21} \oplus \mathcal{H}_{22})$, a Hilbert space $\mathcal{K} = \mathcal{H}_{22} \oplus (\mathcal{K} \ominus \mathcal{H}_{22})$ and operators $T_1 \in B(\mathcal{H}_1)$, $T_2 \in B(\mathcal{H}_2)$, $T_3 \in B(\mathcal{H}_2, \mathcal{H}_1)$, $V_u \in B(\mathcal{H}_{21})$, $V_c \in B(\mathcal{H}_{22})$, $V_b = \begin{pmatrix} V_c & Z \\ 0 & Y \end{pmatrix} \in B(\mathcal{K})$ (for some operators $Z \in B(\mathcal{K} \ominus \mathcal{H}_{22}, \mathcal{H}_{22})$, $Y \in B(\mathcal{K} \ominus \mathcal{H}_{22})$) such that $T = \begin{pmatrix} T_1 & T_3 \\ 0 & T_2 \end{pmatrix} \in B(\mathcal{H}_1 \oplus \mathcal{H}_2)$, $T_1 \in C_0$. satisfies $\delta_{T_1^*, T_1}^n(I|_{\mathcal{H}_1}) = 0$, V_u is unitary, V_c is a unilateral shift, V_b is a bilateral shift, the positive operator $\lim_{t \rightarrow \infty} T_2^{*t} T_2^t = A$ is injective and $AT_2 = (V_u \oplus V_b)|_{\mathcal{H}_2} A$.*

We remark here that either of the components in Theorem 6, as also in Theorem 4, may be missing.

Proof. If we set $\delta_{T^*, T}^n(I) = X$, then $\Delta_{T^*, T}^m(X) = 0$ and

$$(L_{T^*} R_T)^t(X) = \binom{t}{m-1} \Delta_{T^*, T}^{m-1}(X) + \sum_{j=0}^{m-2} \binom{t}{j} \Delta_{T^*, T}^j(X)$$

for all integers $t \geq m$ (see the proof of Theorem 4(b) above). The operator T being power bounded, there exists a real number $M > 0$ such that $\|T^t\| \leq M$ for all integers $t > 0$. We have

$$\left\| \Delta_{T^*, T}^{m-1}(X) \right\| \leq \lim_{t \rightarrow \infty} \frac{1}{\binom{t}{m-1}} \left[\left\| (L_{T^*} R_T)^t(X) \right\| + \left\| \sum_{j=0}^{m-2} \binom{t}{j} \Delta_{T^*, T}^j(X) \right\| \right] = 0.$$

Hence

$$\Delta_{T^*, T}^{m-1}(X) = 0.$$

Repeating the argument a finite number of time, we conclude

$$\Delta_{T^*, T}(X) = 0 \quad (\iff \Delta_{T^*, T}(\delta_{T^*, T}^n(I)) = \delta_{T^*, T}^n(\Delta_{T^*, T}(I)) = 0).$$

Recall [21], that the power bounded operator T has an upper triangular matrix representation

$$T = \begin{pmatrix} T_1 & T_3 \\ 0 & T_2 \end{pmatrix} \in B(\mathcal{H}_1 \oplus \mathcal{H}_2), \quad (4)$$

where $T_1 \in C_0$. and $T_2 \in C_1$. Evidently,

$$\Delta_{T^*, T}(X) = 0 \implies \Delta_{T_1^*, T_1}(\delta_{T_1^*, T_1}^n(I_1)) = 0, \quad I_1 = I|_{\mathcal{H}_1}.$$

Set $\delta_{T_1^*, T_1}^n(I_1) = X_1$. Then

$$\Delta_{T_1^*, T_1}(X_1) = 0 \iff T_1^* X_1 T_1 = X_1 \implies T_1^{*2} X_1 T_1^2 = X_1 \implies \dots \implies T_1^{*t} X_1 T_1^t = X_1$$

for all integers $t \geq 0$. Since $T_1 \in C_{0,}$ for every $x \in \mathcal{H}_1$,

$$|\langle X_1 x, x \rangle| = \lim_{t \rightarrow \infty} |\langle T_1^{*t} X_1 T_1^t x, x \rangle| \leq \lim_{t \rightarrow \infty} \|X_1\| \|T_1^t x\|^2 = 0.$$

Hence

$$X_1 = \delta_{T_1^*, T_1}^n(I_1) = 0.$$

Consider now the power bounded operator T_2 . Since $T_2 \in C_{1,}$ T_2 is injective and

$$\lim_{t \rightarrow \infty} T_2^{*t} T_2^t = A$$

exists and is a positive injective operator which satisfies

$$T_2^* A T_2 = A$$

Ref. [29] (Theorem 5.1). An application of Theorem 1 implies the existence of an isometry $V \in B(\mathcal{H}_2)$ satisfying

$$A^{\frac{1}{2}} T_2 = V A^{\frac{1}{2}}.$$

Since every isometry is part of a unitary, there exists a decomposition $\mathcal{H}_2 = \mathcal{H}_{21} \oplus \mathcal{H}_{22}$, a Hilbert space $\mathcal{K} = \mathcal{H}_{22} \oplus (\mathcal{K} \ominus \mathcal{H}_{22})$ and a unitary

$$W = \begin{pmatrix} V_u & 0 & 0 \\ 0 & V_c & Y \\ 0 & 0 & Z \end{pmatrix} \in B(\mathcal{H}_{21} \oplus \mathcal{H}_{22} \oplus (\mathcal{K} \ominus \mathcal{H}_{22})),$$

$Y \in B(\mathcal{K} \ominus \mathcal{H}_{22}, \mathcal{H}_{22})$ and $Z \in B(\mathcal{K} \ominus \mathcal{H}_{22})$ some operators, such that V_u is unitary, V_c is a unilateral shift, $\begin{pmatrix} V_c & Y \\ 0 & Z \end{pmatrix}$ is a bilateral shift and $V = W|_{\mathcal{H}_2}$ [22] (Lemma 5.7, Page 82).

Evidently $A^{\frac{1}{2}} T_2 = W|_{\mathcal{H}_2} A^{\frac{1}{2}}$. \square

If $n = 2$ in the preceding theorem, then $\delta_{T_1^*, T_1}^n(I_1) = 0$ and the operator T_1 is a selfadjoint C_{00} -operator. Furthermore, if the normal parts of the operator T reduce T , then $T_3 = 0$.

An operator $S \in B(\mathcal{H})$ is paranormal if $\|Sx\|^2 \leq \|S^2x\|^2$ for all unit vectors $x \in \mathcal{H}$. Hyponormal operators are paranormal, paranormal operators are normaloid, the restriction of a paranormal operator to an invariant subspace is again paranormal [25] and $\delta_{S, V^*}^{-1}(0) \subseteq \delta_{S^*, V}^{-1}(0)$ for paranormal S and isometric $V \in B(\mathcal{H})$ [30] (p. 316). Hence if the operator T^* of Theorem 4 is paranormal, then $\delta_{V, T_2}(A^{\frac{1}{2}}) = 0$ implies $\delta_{V^*, T_2^*}(A^{\frac{1}{2}}) = 0$. Consequently, T_2 is unitary and (since T^* is necessarily a contraction and the unitary parts of a contraction reduce the contraction) $T_3 = 0$ in representation (4) of T . Thus, $T = T_1 \oplus T_2$, $\delta_{T_1^*, T_1}^n(I_1) = 0$ and T_2 is unitary. If we now assume $n = 2$ in Theorem 4, then we have the following generalisation of a result of Stankus [19] (Proposition 5.22).

Corollary 4. If $\Delta_{T^*, T}^m(\delta_{T^*, T}^2(I)) = 0$ for some paranormal operator $T^* \in B(\mathcal{H})$ and integer $m \geq 1$, then T is the direct sum of a selfadjoint operator with a unitary.

Proof. As seen above $T = T_1 \oplus T_2$, where T_2 is unitary and $\delta_{T_1^*, T_1}^2(I_1) = 0$. Since $\delta_{T_1^*, T_1}^2(I_1) = 0$ if and only if $\delta_{T_1^*, T_1}(I_1) = 0$, the proof follows. \square

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