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Effect of Plasma Oxygen Content on the Size and Content of Silicon Nanoclusters in Amorphous SiO_x Films Obtained with Plasma-Enhanced Chemical Vapor Deposition

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Abstract: The influence of Ar + SiH₄ + O₂ plasma formulation on the phase composition and optical properties of amorphous SiO_x films with silicon nanoclusters obtained using PECVD with DC discharge modulation was studied. Using a unique technique of ultrasoft X-ray emission spectroscopy, it was found that at a 0.15 mol.% plasma oxygen content, amorphous silicon *a*-Si films are formed. At a high oxygen content (≥21.5 mol.%), nanocomposite films based on SiO_x silicon suboxide containing silicon nanoclusters *ncl*-Si are formed. It was found that the suboxide matrix consists of a mixture of SiO_{1.3} and SiO₂ phases, and the average oxidation state *x* in the SiO_x suboxide matrix is ~1.5. An increase in the concentration of O₂ in the reactor atmosphere from 21.5 to 23 mol.% leads to a decrease in *ncl*-Si content from 40 to 15% and an increase in the average oxidation state *x* of SiO_x from 1.5 to 1.9. In this case, the suboxide matrix consists of two phases of silicon dioxide SiO₂ and non-stoichiometric silicon oxide SiO_{1.7}. Thus, according to the experimental data obtained using USXES, the phase composition of these films in pure form differs in their representation in both random coupling and random mixture models. A decrease in the *ncl*-Si content of SiO_x films is accompanied by a decrease in their sizes from ~3 to ~2 nm and a shift in the photoluminescence band from 1.9 eV to 2.3 eV, respectively.

Keywords: SiO_x; plasma-enhanced chemical vapor deposition; DC discharge modulation; silicon nanoclusters; photoluminescence



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1. Introduction

Dielectric SiO₂, Si₃N₄, and Al₂O₃ films containing nanocrystals (*nc*-Si) and nanoclusters (*ncl*-Si) of silicon are of great interest to researchers because, due to dimensional quantization, such films can produce photo- and electroluminescence at 300 K [1–6]. At the same time, the sizes of silicon nanoclusters/nanocrystals determine the range of their photoluminescence. The dependence of the position of the photoluminescence band of silicon nanocrystals and nanoclusters on their size is described in a large number of papers [1,3,4]. To obtain nanocrystalline silicon in a dielectric matrix, various technologies are used: ion implantation of Si⁺ ions into the SiO₂ matrix [7–9], laser ablation [10,11], molecular beam epitaxy [12,13], thermal evaporation of SiO powder [14,15], magnetron sputtering of Si and SiO₂ targets, etc. [16,17]. In order to obtain intense luminescence, the content of silicon nanocrystals in the film should be about 50%. With a decrease in the size of *nc*-Si to ≤4 nm, the surface-to-volume ratio becomes very large (up to 50% of atoms can

be on or near the surface); therefore, luminescence with the participation of surface states begins to prevail in such nanocrystals. Symmetry of the local atomic environment in the surface layers of the nanoparticle changes in comparison with the “bulk” material of the same composition and the role of defects increases significantly [3,6,18]. The presence of a large number of surface defects, which are the centers of nonradiative recombination, leads to a decrease in luminescence [11,19,20]. Therefore, to increase the efficiency of PL in such films, the passivation of broken bonds on the *nc*-Si surface with oxygen or hydrogen is required, and this can be attained by high-temperature annealing [3,20]. On the other hand, high-temperature annealing is widely used as one of the technological stages in the formation of Si nanocrystals from films of nonstoichiometric oxides SiO_x ($1 < x < 2$) obtained by low-temperature processes, such as ion-plasma- or plasma-enhanced chemical vapor deposition (PECVD) [1,2,21–23]. However, annealing can lead to an increase in the size of the nanocrystals as a result of their coalescence or due to a segregation process on the surface of elementary silicon recovered from non-stoichiometric oxide during their exposure to high temperature [24,25]. The size dispersion of Si nanocrystals formed during annealing (from 1 to 10 nm) results in a broadening of PL spectra, and an increase in the proportion of large nanocrystals (more than 10 nm) leads to a decrease in the intensity of PL [18,26].

At the same time, SiO_x films, even in their initial state (before annealing), have a rather complex structure and may contain amorphous silicon nanoclusters, which also exhibit luminescent properties [23]. Moreover, in the case of hydrogen surface passivation of silicon nanoclusters, their optical band gap ($\Delta E_{g, opt}$) is close in value to that of silicon nanocrystals of the same size [27]. In this regard, a low-temperature technology for the formation of SiO_x films with *ncl*-Si using chemical deposition of a plasma-stimulated gas mixture $\text{Ar} + \text{SiH}_4 + \text{O}_2$ (PECVD) with DC discharge modulation is rather interesting [2]. This technology makes it possible to obtain sufficiently thick amorphous films (about 400 nm), despite the fact that an increase in the thickness of the film to $d \approx 100$ nm can lead to its spontaneous crystallization even at 300 K [28]. In turn, plasma modulation makes it possible to control both the size of silicon nanoclusters and their concentration, and, accordingly, their optical properties [2]. It is known that oxygen has an exceptionally strong effect on the phase composition of films based on nonstoichiometric silicon oxides [29,30]. Oxygen can have a particularly strong effect in the case of DC plasma modulation, when, as a result of the self-induction effect, the process of O^{2+} and O^{2-} ion and electron adhesion to the surface of silicon nanoclusters increases [2]. Therefore, an additional way to control the size and concentration of amorphous silicon nanoclusters in SiO_x films can be the concentration of oxygen in the gas mixture during the deposition of the films. However, due to the high content of amorphous phases *a*-Si and *a*- SiO_x with varying degrees of oxidation in such systems, a large number of broken bonds significantly diminishes the range of informative methods for diagnosing the structure and phase composition of these objects. Ultrasoft X-ray emission spectroscopy (USXES) is a highly sensitive method of phase composition diagnostics which allows obtention of information about the presence and ratio of both crystalline and amorphous silicon phases from the spectra of the local partial density of valence states. Therefore, in this paper, it is proposed to study the effect of oxygen content in plasma composition on the concentration and size of silicon nanoclusters in amorphous *a*- $\text{SiO}_x\text{:H} + \text{ncl}$ -Si films using a unique technique of ultrasoft X-ray emission spectroscopy.

2. Materials and Methods

2.1. Preparation of *a*- $\text{SiO}_x\text{:H} + \text{ncl}$ -Si Films

The studied *a*- $\text{SiO}_x\text{:H} + \text{ncl}$ -Si films with a thickness of 400 nm were obtained by plasma-enhanced chemical vapor deposition (PECVD) using modulated DC-plasma. Plasma modulation was carried out by repeatedly ($n = 180$) switching on for a time of $t_{\text{on}} = 15$ s and turning off for a time of $t_{\text{off}} = 10$ s the coil of the DC-magnetron. The studied *a*- $\text{SiO}_x\text{:H} + \text{ncl}$ -Si films were formed on Si (111) *p*-type monocrystalline silicon substrates at the substrate temperature of $T_s = 265$ °C. Gas mixture based on argon and monosilane

(20%SiH₄ + 80%Ar) with the addition of oxygen O₂ in the amount of 0.15, 21.5 and 23 mol.% was used as the working gas. The total pressure of the gas mixture in the chamber of the process reactor was $P \sim 7.5 \times 10^{-3}$ Topp.

2.2. Methods for Estimating the Phase Composition and Optical Properties of *a*-SiO_x:H + *ncl*-Si Films

The possibility of formation of silicon nanocrystals with translational symmetry in *a*-SiO_x:H + *ncl*-Si films was investigated using X-ray diffractometry (XRD) on a PANalytical Empyrean B.V. diffractometer with monochromatized Cu *K*_{α1} radiation ($\lambda = 1.5406$ Å). Analysis of the formation of silicon nanocrystals with a size of less than 10 nm, including those with translational symmetry within several elementary cells, as well as the presence of an amorphous silicon phase was carried out using Raman Microscope RamMics M532 EnSpectr spectrometer in the 100–600 cm⁻¹ range using a laser with a wavelength of 532 nm and a power of 30 mW. The presence of hydrogen in *a*-SiO_x:H + *ncl*-Si films passivating the surface of silicon nanoclusters was estimated from Fourier-transform infrared spectroscopy (FTIR) absorption spectra obtained in the wavenumber range from 4000 to 400 cm⁻¹ using a Bruker Vertex 70 spectrometer equipped with an attenuated total internal reflection attachment (ATR). The main method for controlling the phase composition of *a*-SiO_x:H + *ncl*-Si films in this work is the method of ultra-soft X-ray emission spectroscopy (USXES), implemented with the RSM-500 spectrometer. This method makes it possible to register the characteristic Si *L*_{2,3} X-ray radiation resulting from electron transitions from the valence band to the vacancy at the Si 2*p* core level. As a result, we obtain information about the energy distribution of valence electrons throughout the valence band, which allows us detection of the presence of Si-Si or Si-O bonds, regardless of the degree of ordering in the atomic structure of the film [31,32]. Simulation using the reference spectra makes it possible to determine the contribution of amorphous, crystalline and oxide/suboxide phases of silicon to the formation of the experimental Si *L*_{2,3} spectra [33]. The excitation of X-ray emission S *L*_{2,3} spectra was carried out employing an electron beam with the energy of $E = 3$ keV, which provided an analysis depth of about 60 nm [34]. Thus, the USXES method is an important tool in the study of objects that do not have translational symmetry. To study the optical properties of *a*-SiO_x:H + *ncl*-Si films, photoluminescence spectra were recorded using the Ocean Optics USB4000-VIS-NIR optical spectrometer when excited by a laser with $\lambda = 405$ nm, as well as optical absorption spectra in the 6.5–1.4 eV range using the LAMBDA 650 Perkin Elmer spectrometer.

3. Results and Discussion

3.1. Analysis of the Presence of Amorphous Silicon Phase in *a*-SiO_x:H + *ncl*-Si Films according to XRD, Raman and IR Spectroscopy

To assess the possibility of formation of silicon nanocrystals in *a*-SiO_x:H + *ncl*-Si films, XRD patterns of the films obtained at an oxygen content of 0.15, 21.5 and 23 mol.% were obtained in the chamber of the process reactor (Figure 1).

Diffraction reflections at the angle of $2\theta = 28.48^\circ$ (d -spacing 3.13 Å) were detected in the XRD patterns of all the samples studied, which are associated with reflection from the crystallographic plane Si(111) from monocrystalline substrate (ICDD PDF-2 card No. 01-077-2110). Also, a low-intensity reflection was observed in XRD patterns at $2\theta = 25.75^\circ$, associated with the reflection of Cu *K*_β radiation with a wavelength $\lambda = 1.39$ Å from the same crystallographic plane Si(111). The absence of other diffraction lines indicates the absence of long-range order (translational symmetry) in these *a*-SiO_x:H + *ncl*-Si films, i.e., the films are X-ray amorphous.

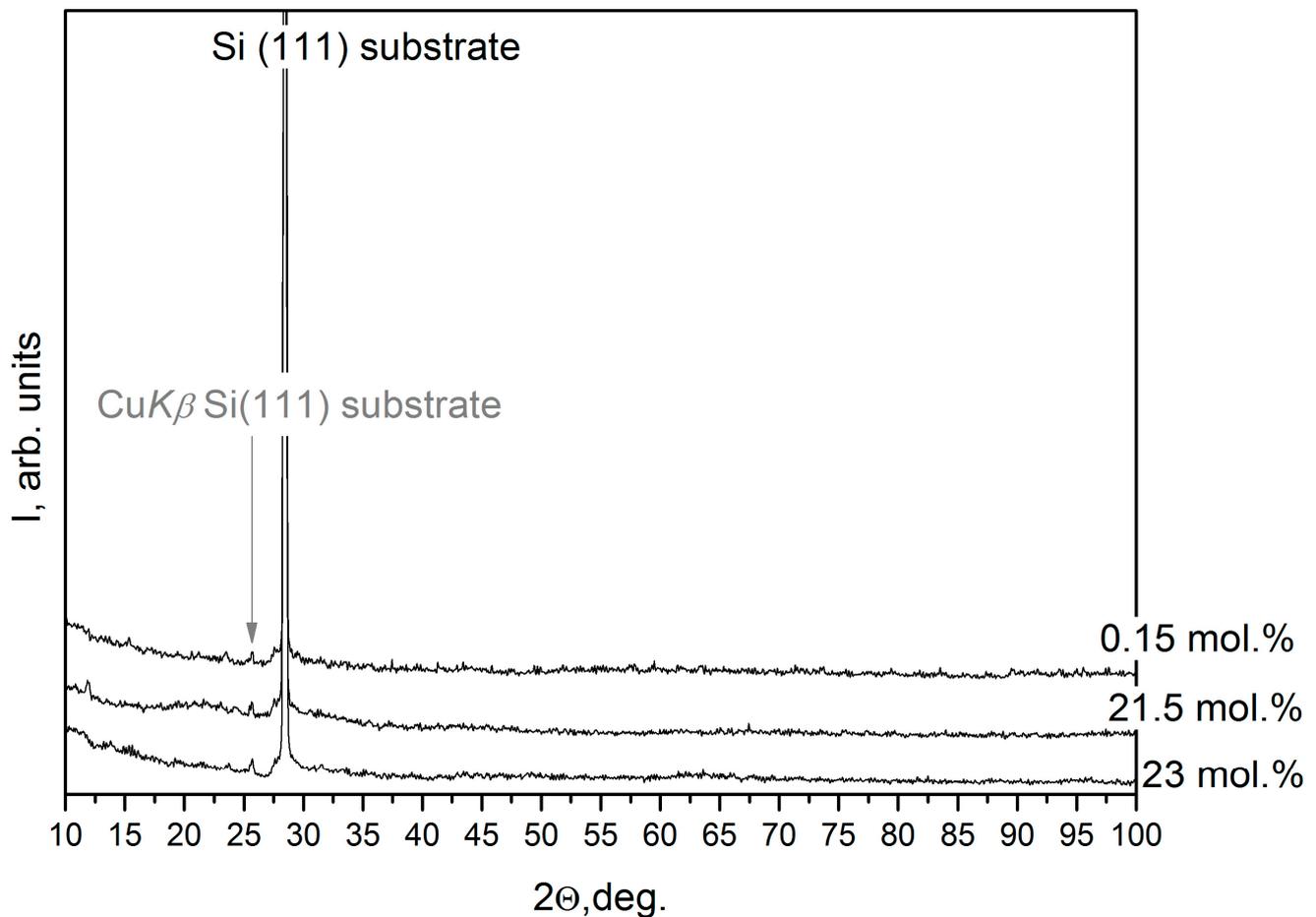


Figure 1. XRD patterns of PECVD $a\text{-SiO}_x\text{:H} + ncl\text{-Si}$ films obtained at different oxygen concentrations in the composition of a $\text{SiH}_4 + \text{Ar} + \text{O}_2$ gas mixture.

Therefore, to establish the presence of an amorphous silicon phase in $a\text{-SiO}_x\text{:H} + ncl\text{-Si}$ films, Raman spectra were obtained (Figure 2a). Figure 2a clearly shows that in the sample with a minimum oxygen content (0.15 mol%), transverse optical (TO) modes at $\Delta\nu \sim 480 \text{ cm}^{-1}$ and longitudinal optical (LO) modes at $\Delta\nu \sim 420 \text{ cm}^{-1}$ are well observed, as well as transverse acoustic (TA) modes at $\Delta\nu \sim 155 \text{ cm}^{-1}$ and longitudinal acoustic (LA) modes at $\Delta\nu \sim 310 \text{ cm}^{-1}$, which is characteristic of the amorphous phase in silicon $a\text{-Si}$ [35–38]. With an increase in the concentration of oxygen in the composition of the gas mixture from 0.15 to 23 mol.% in the Raman spectra of $a\text{-SiO}_x\text{:H} + ncl\text{-Si}$ films, the relative intensity of the optical mode of the TO ($\Delta\nu \sim 480 \text{ cm}^{-1}$) decreases from ~ 0.2 to 0.01 rel. units, respectively. In addition, in the Raman spectra of all the films, a strong TO mode is observed at $\Delta\nu \sim 521 \text{ cm}^{-1}$, which in its position and half-width (5.5 cm^{-1}) corresponds to the spectrum of single-crystal silicon ($c\text{-Si}$) [38]. Since, according to XRD data, silicon phases with translational symmetries were not detected in $a\text{-SiO}_x\text{:H} + ncl\text{-Si}$ films, the mode at $\Delta\nu \sim 521 \text{ cm}^{-1}$ occurs due to a monocrystalline silicon substrate. Thus, according to Raman spectroscopy data, the presence of an amorphous silicon phase was determined in $a\text{-SiO}_x\text{:H} + ncl\text{-Si}$ films.

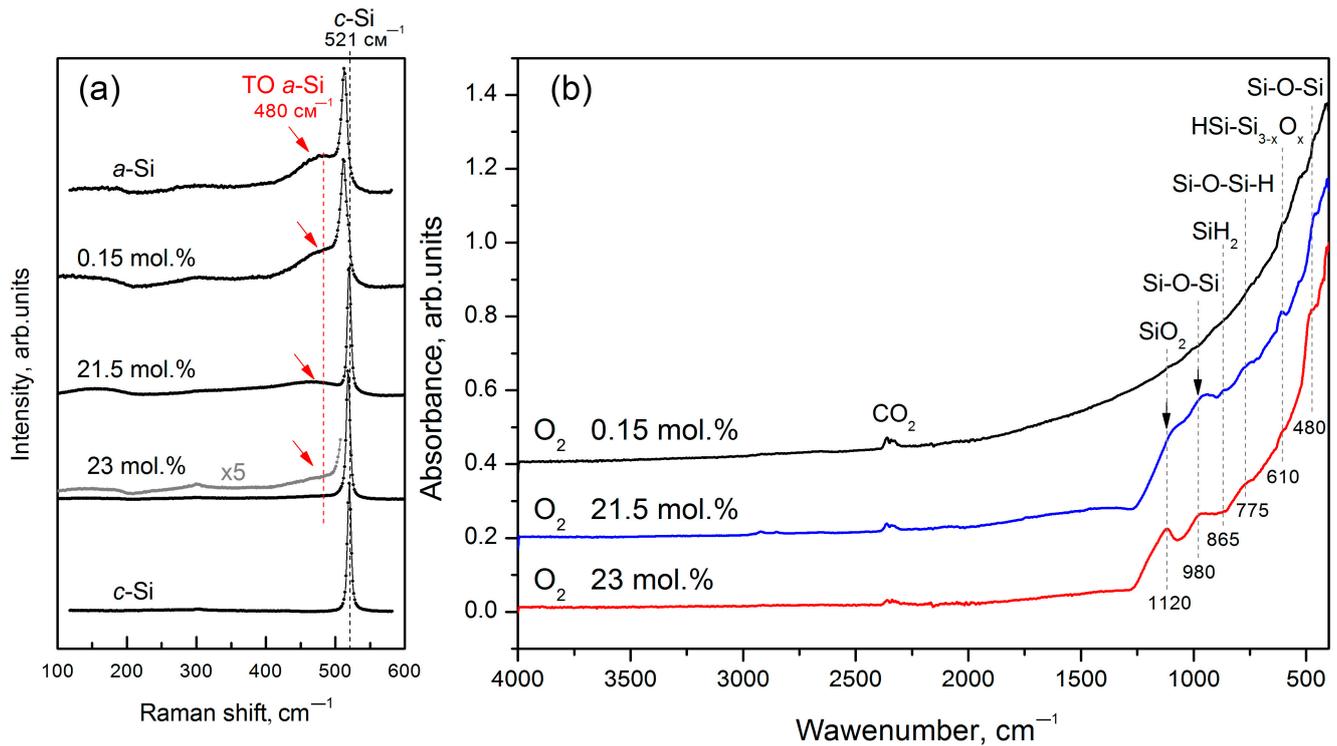


Figure 2. Raman (a) and FTIR absorption (b) spectra of *c*-Si, *a*-Si etalons and PECVD *a*-SiO_x:H + *ncl*-Si films obtained at different oxygen concentrations in the composition of SiH₄ + Ar + O₂ gas mixture.

Next, FTIR absorption spectra were obtained to determine the presence of hydrogen passivating the surface of silicon nanoclusters in *a*-SiO_x:H + *ncl*-Si films (Figure 2b). From Figure 2b, it can be seen that in the IR spectra of the studied films, there are absorption peaks at $\Delta\nu = 610\text{ cm}^{-1}$, $\Delta\nu = 775\text{ cm}^{-1}$, $\Delta\nu = 865\text{ cm}^{-1}$ corresponding to the doubly degenerate bending vibrations of the H atom in the HSi-Si_{3-x}O_x group [39], stretching vibrations of the Si-H bond in the Si-O-Si-H group [39], and in the isolated SiH₂ group [39], respectively. The presence of absorption peaks associated with Si-H groups in the FTIR spectra is quite expected and is due to the use of SiH₄ silane in the production process of the film. In addition, in FTIR spectra of the films with a high oxygen content (21.5 and 23 mol.%), vibrations of the oxygen atom perpendicular to the plane of the Si-O-Si group ($\Delta\nu = 480\text{ cm}^{-1}$) are detected [39], stretching vibrations of the bridged oxygen in the plane of the Si-O-Si group ($\Delta\nu = 980\text{ cm}^{-1}$) [39], as well as the high-frequency “shoulder” of the main band of stretching vibrations of the Si-O bond of amorphous SiO₂ containing no hydrogen ($\Delta\nu = 1120\text{ cm}^{-1}$) [39,40]. Thus, according to FTIR spectroscopy data, the presence of hydrogen necessary for passivation of the surface of amorphous silicon nanoclusters was detected in the studied *a*-SiO_x:H + *ncl*-Si films, as well as the presence of Si-O bonds in the films obtained with a high oxygen concentration from 0.15 to 23 mol.% in the technological reactor of plasma chemical deposition.

3.2. Analysis of the Phase Composition of *a*-SiO_x:H + *ncl*-Si Films by the Ultra-Soft X-ray Emission Spectroscopy

To determine the phase composition of *a*-SiO_x:H + *ncl*-Si films, the USXES method was applied, which allows determining the presence and ratio of crystalline (*c*-Si) and amorphous phases of silicon *a*-Si and *a*-SiO_x. The X-ray emission Si L_{2,3} spectra of *a*-SiO_x:H + *ncl*-Si films with silicon nanoclusters obtained by the USXES method are shown in Figure 3.

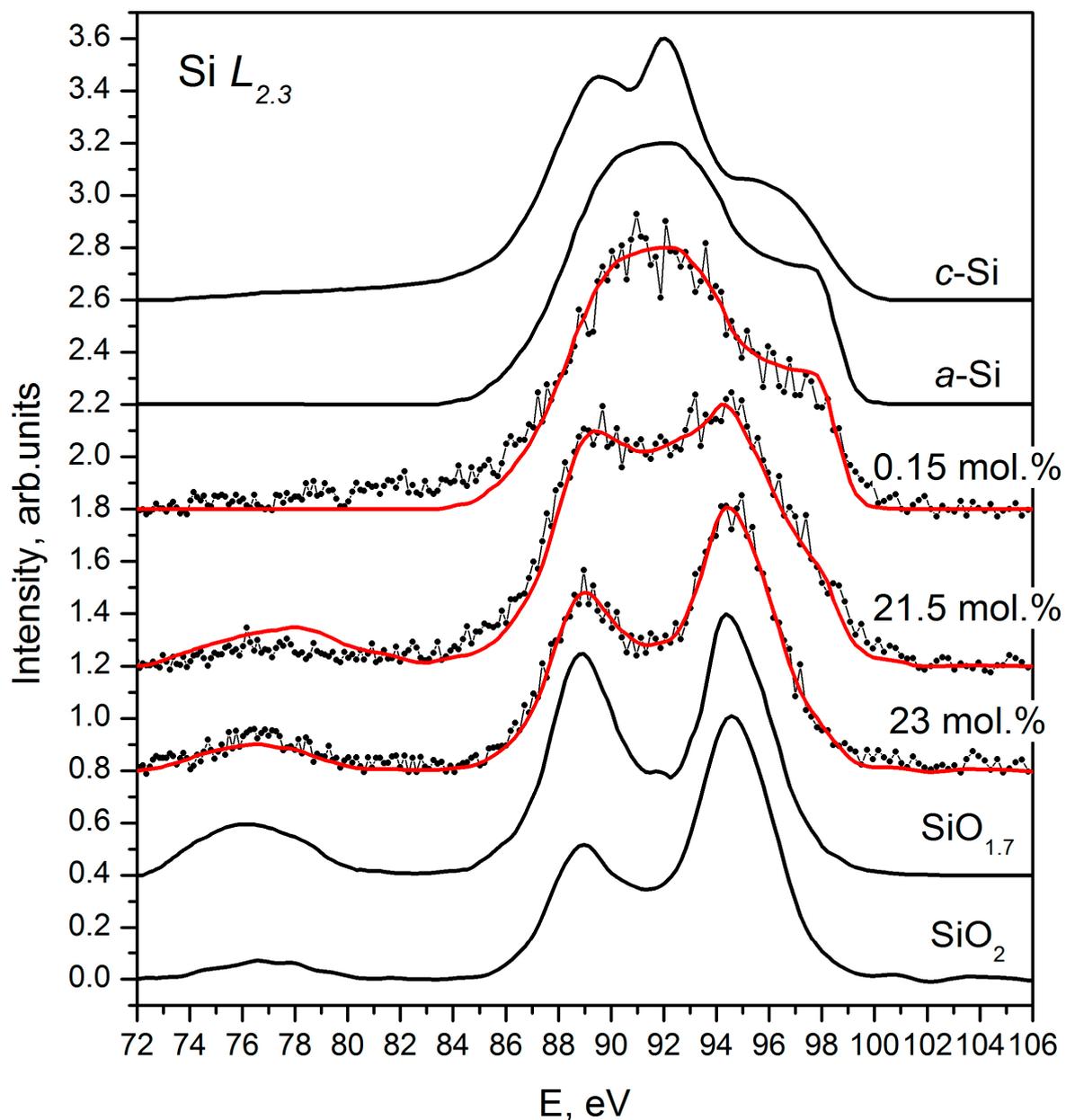


Figure 3. Ultra-soft X-ray emission Si $L_{2,3}$ spectra of PECVD $a\text{-SiO}_x\text{:H} + ncl\text{-Si}$ films obtained at different oxygen concentrations in the composition of a $\text{SiH}_4 + \text{Ar} + \text{O}_2$ gas mixture and reference samples of amorphous silicon $a\text{-Si}$, silicon dioxide SiO_2 and silicon suboxide $\text{SiO}_{1.7}$ [31].

In the X-ray emission Si $L_{2,3}$ spectrum of the $a\text{-SiO}_x\text{:H} + ncl\text{-Si}$ film obtained at a minimum oxygen concentration (0.15 mol%) in the reactor chamber, a broad intensive maximum is observed at $E = 92$ eV and a high density of electronic states near the valence band top E_v in the energy range $E = 95 - 100$ eV (Figure 3). These features of the spectrum are characteristic of amorphous silicon, and its spectrum is also shown in Figure 3 for comparison. This form of the X-ray emission spectrum of amorphous silicon $a\text{-Si}$ is due to the blurring of the density of electronic states in the valence band compared with crystalline silicon $c\text{-Si}$ (Figure 3). As a result of the lack of translational symmetry, disorder in the lengths and bond angles and breaking in the coordination number occur. With an increase in the oxygen concentration in the gas mixture up to 21.5 mol.%, the X-ray spectrum of the $a\text{-SiO}_x\text{:H} + ncl\text{-Si}$ film is strongly transformed and it includes two intensive peaks at $E = 89$ and $E = 94.5$ eV. The presence of these intensive maxima is due to the presence of Si $3s$ and $2p$ states and is characteristic of the SiO_2 silicon dioxide spectrum (Figure 3) [31]. At the

same time, some part of the density of the s-states of silicon appears in the energy region of the oxygen 2s orbital and this manifests itself as a long-wave satellite at $E = 76 - 77$ eV. However, the ratio of peak intensities at $E = 89$ and $E = 94.5$ eV in the spectrum of the a -SiO_x:H + ncl -Si (21.5 mol.%) differs from the spectrum of silicon dioxide. The increase in the intensity of maximum at $E = 89$ eV is due to an increase in the contribution of Si 3s states as a result of an increase in the number of Si-Si bonds, which is typical for non-stoichiometric silicon oxides, as it is observed in the SiO_{1.7} spectrum (Figure 3) [31]. In addition, in the X-ray spectrum of the a -SiO_x:H + ncl -Si film (21.5 mol.%), the intensity in the 92 eV region is 0.9 arb. units, which is noticeably higher than in the SiO₂ spectrum ($I = 0.35$ arb. units) and even in the SiO_{1.7} spectrum ($I = 0.4$ arb. units). Such an increase in the intensity of the spectrum at 92 eV is due to the presence of the a -SiO_x:H + ncl -Si (21.5 mol.%) phase of non-oxidized silicon a -Si in the film, since its maximum in the spectrum is just in this energy region. A further increase in oxygen concentration in the gas mixture up to 23 mol.% leads to an increase in the intensity of the maxima at $E = 89$ and $E = 94.5$ eV in the X-ray emission Si $L_{2,3}$ spectrum of a -SiO_x:H + ncl -Si film (23 mol.%). At the same time, the ratio of intensities of these maxima corresponding to Si 3s ($E = 89$ eV) and O 2p ($E = 94.5$ eV) states approaches this spectrum to that of silicon dioxide, and a decrease in the intensity of the spectrum in the region of 92 eV to 0.5 arb. units indicates a significant decrease in the content of non-oxidized silicon a -Si in this film.

For more accurate determination of the phase composition from the obtained Si $L_{2,3}$ spectra of a -SiO_x:H + ncl -Si films, computer simulation of the valence band spectrum based on reference spectra was carried out according to the method described in [33]. The simulated spectra are shown in Figure 3 (red curves); the results of analysis of the phase composition of a -SiO_x:H + ncl -Si films obtained at different concentrations of oxygen in the gas mixture, according to USXES data, are presented in Table 1.

Table 1. Phase composition of PECVD a -SiO_x:H + ncl -Si films obtained at different oxygen concentrations in the composition of a SiH₄ + Ar + O₂ gas mixture, according to USXES data.

Oxygen Concentrations in the SiH ₄ + Ar + O ₂ Gas Mixture	Phase Composition					x in SiO _x
	a -Si	SiO _{1.3}	SiO _{1.7}	SiO ₂	Error	
0.15 mol.%	100%	-	-	-		-
21.5 mol.%	40%	45%	-	15%	5%	~1.5
23 mol.%	15%	-	30%	55%		~1.9

According to the simulation results, the a -SiO_x:H + ncl -Si film obtained at the minimum oxygen concentration in the reactor (0.15 mol.%) during PECVD deposition is an amorphous silicon film (Table 1), which is consistent with Raman spectroscopy data. An increase in the oxygen content in the composition of the gas mixture up to 21.5 mol.% leads to the formation of the film with a complex phase composition containing about 40% a -Si, as well as silicon suboxide SiO_{1.3} and silicon dioxide SiO₂ (Table 1). Further increase in the oxygen content in the reactor atmosphere up to 23 mol.% leads to a significant decrease in the content of the amorphous silicon phase in the films to 15%, while the formation of silicon oxide phases SiO_{1.7} and SiO₂, which are more oxygen-rich (Table 1), takes place. Since, according to the results of computer simulation, in the spectra of Si $L_{2,3}$ a -SiO_x:H + ncl -Si films (21.5 mol.% and 23 mol.%) the presence of various silicon suboxides was detected, the average oxidation state x of the SiO_x suboxide matrix was calculated using the formula

$$x = \frac{\sum_i (x_i \cdot k_i)}{\sum_i k_i},$$

where x_i - and k_i - are the degree of oxidation and the relative content of the SiO_x phase identified as a result of simulating of USXES spectra.

Evaluation of the average degree of oxidation of SiO_x in $a\text{-SiO}_x\text{:H} + ncl\text{-Si}$ films (21.5 mol.% and 23 mol.%) allowed the detection of an increase in x for SiO_x from ~ 1.5 to ~ 1.9 (that is, close to SiO_2) with an increase in the oxygen content from 21.5 to 23 mol.% in the composition of the gas mixture during plasma chemical deposition.

Thus, according to USXES, the $a\text{-SiO}_x\text{:H} + ncl\text{-Si}$ film obtained with a minimum oxygen content in the gas mixture during plasma chemical sputtering is an amorphous silicon film that does not have translational symmetry, which is consistent with XRD and Raman spectroscopy data. An increase in oxygen concentration up to 21.5 mol.% leads to the formation of a composite film based on $\text{SiO}_{1.3}$ silicon suboxide, SiO_2 silicon dioxide and a sufficiently high content of amorphous silicon phase (40%). High content (45%) of the $\text{SiO}_{1.3}$ phase in the composition of the $a\text{-SiO}_x\text{:H} + ncl\text{-Si}$ film (21.5 mol.%) explains a high intensity of the absorption peak corresponding to the vibrations of the H atom in the $\text{H-Si-Si}_{3-x}\text{O}_x$ group and observed in the FTIR spectrum of this sample at $\Delta\nu = 610 \text{ cm}^{-1}$. With an increase in the oxygen content up to 23 mol.% in $a\text{-SiO}_x\text{:H} + ncl\text{-Si}$ films, the content of amorphous silicon $a\text{-Si}$ decreases to 15%. On the contrary, the content of the SiO_2 phase increases significantly to 55% and the formation of the $\text{SiO}_{1.7}$ silicon suboxide phase is also observed. Thus, even a slight increase in oxygen concentration from 21.5 to 23 mol.% in the reactor chamber during PECVD deposition of $a\text{-SiO}_x\text{:H} + ncl\text{-Si}$ films from a $\text{SiH}_4 + \text{Ar} + \text{O}_2$ gas mixture with DC-discharge modulation leads to a decrease in the content of amorphous silicon from 40 to 15%. The average degree of oxidation of the suboxide matrix also increases from $\text{SiO}_{1.5}$ to $\text{SiO}_{1.9}$. In addition, according to USXES data, all silicon-based phases present in the studied $a\text{-SiO}_x\text{:H} + ncl\text{-Si}$ films do not have translational symmetry (amorphous), which is consistent with XRD and Raman spectroscopy data. According to [2], amorphous silicon is present in such films in the form of nanoclusters embedded in SiO_x dielectric matrix and it possess photoluminescence. Therefore, further studies of the optical properties of $a\text{-SiO}_x\text{:H} + ncl\text{-Si}$ films were carried out.

3.3. Optical Properties of $a\text{-SiO}_x\text{:H} + ncl\text{-Si}$ Films

Figure 4 shows the dependences of the optical absorption coefficient of $a\text{-SiO}_x\text{:H} + ncl\text{-Si}$ films obtained in a gas mixture with different oxygen content (0.15, 21.5, 23 mol.%) during plasma chemical deposition. For the $a\text{-SiO}_x\text{:H} + ncl\text{-Si}$ (0.15 mol.%) film, three linear sections are observed in the UV spectrum, and their extrapolation offers the following values of the optical band gap: 1.2, 2.2 and 5.1 eV (Figure 4). If the absorption edge at 1.2 eV corresponds to amorphous silicon, then the edge at 2.2 eV can be attributed to silicon nanoclusters of $\sim 2 \text{ nm}$ in size [3,27]. The high-energy absorption edge at 5.1 eV is due to the absorption of SiO_x suboxides present on the silicon surface. According to [41], the optical band gap of $\sim 5 \text{ eV}$ corresponds to the $\text{SiO}_{1.8}$ suboxide. For the $a\text{-SiO}_x\text{:H} + ncl\text{-Si}$ (21.5 mol.%) film along with the interference pattern, two linear regions are observed in the optical absorption spectrum, and their extrapolation offers the optical band gap of 1.9 eV and 5.7 eV, which is due to the absorption of silicon nanoclusters with a size of $\sim 3 \text{ nm}$ [27,41] and a suboxide matrix [41], respectively. In the case of the $a\text{-SiO}_x\text{:H} + ncl\text{-Si}$ (23 mol.%) film, a strong interference pattern and one linear section are observed in the UV spectrum, and their extrapolation makes it possible to determine the optical band gap for $\text{SiO}_{1.9}$ suboxide (5.9 eV) [41]. Assumption of the relationship of the absorption edges at $h\nu \sim 2.2 \text{ eV}$ and $h\nu \sim 1.9 \text{ eV}$ with silicon nanoclusters of $\sim 2\text{--}3 \text{ nm}$ in size is consistent with the presence of photoluminescence (PL) bands in the energy range of $\sim 2.3\text{--}1.9 \text{ eV}$ (Figure 5). At the same time, in the PL spectrum of the $a\text{-SiO}_x\text{:H} + ncl\text{-Si}$ (0.15 mol.%) film, the main maximum in accordance with its position and half-width is close to the PL spectrum of silicon oxide SiO_2 (defect related luminescence in SiO_2 network). This is clearly seen in Figure 5b (black curve), where the normalized PL spectra are presented. In turn, the presence of the additional maximum of PL at 2.1 eV correlates with UV spectroscopy data, where a direct transition was detected for this sample at $h\nu \sim 2.2 \text{ eV}$, and this may be due to the luminescence of silicon nanoclusters with a size of $\sim 2 \text{ nm}$ [3,27]. However, the intensity of this maximum is quite low, i.e., the main contribution to the PL spectrum of this sample is offered by silicon oxide defects. Increasing oxygen content in the process reactor

chamber to 21.5 mol.% leads to the transformation of the PL spectrum with the appearance of pronounced PL bands at 2.2 and 1.9 eV (Figure 5b, blue curve). There is also a decrease in photoluminescence intensity, despite the high content of silicon nanoclusters in the film (40%), which is clearly seen in Figure 5a (blue curve). Such decrease in PL may be due to a high content of the $\text{SiO}_{1.3}$ phase in the dielectric matrix (detected according to USXES data) with a large number of defects that are the centers of non-radiative recombination. The presence of PL bands in the $a\text{-SiO}_x\text{:H} + ncl\text{-Si}$ (21.5 mol.%) film at 2.2 and 1.9 eV is consistent with UV spectroscopy data, and indicates the formation of two arrays of nanoclusters in the film with average sizes of ~ 2 and ~ 3 nm [3,27,41]. Further increase in the oxygen content up to 23 mol.% in the composition of the gas mixture during plasma chemical deposition leads to a short-wave shift of the PL peaks to ~ 2.3 and ~ 2.1 eV (Figure 5b), which may be due to a decrease in the size of silicon nanoclusters to $\sim 1.5\text{--}2$ nm [3,27,41]. In addition, despite the low content of silicon nanoclusters (15%) in this sample, there is no noticeable decrease in the intensity of the PL spectrum compared to the $a\text{-SiO}_x\text{:H} + ncl\text{-Si}$ (21.5 mol.%) sample (Figure 5a). This may be due to the presence of SiO_2 and $\text{SiO}_{1.7}$ phases (with a higher symmetry of silicon–oxygen tetrahedra and, as a consequence, a lower defect content compared to the $\text{SiO}_{1.3}$ phase) being a part of the dielectric matrix. Thus, nonlinear qualitative and quantitative changes in the phase composition of $a\text{-SiO}_x\text{:H} + ncl\text{-Si}$ films under the influence of oxygen lead to a short-wave shift of the PL peaks.

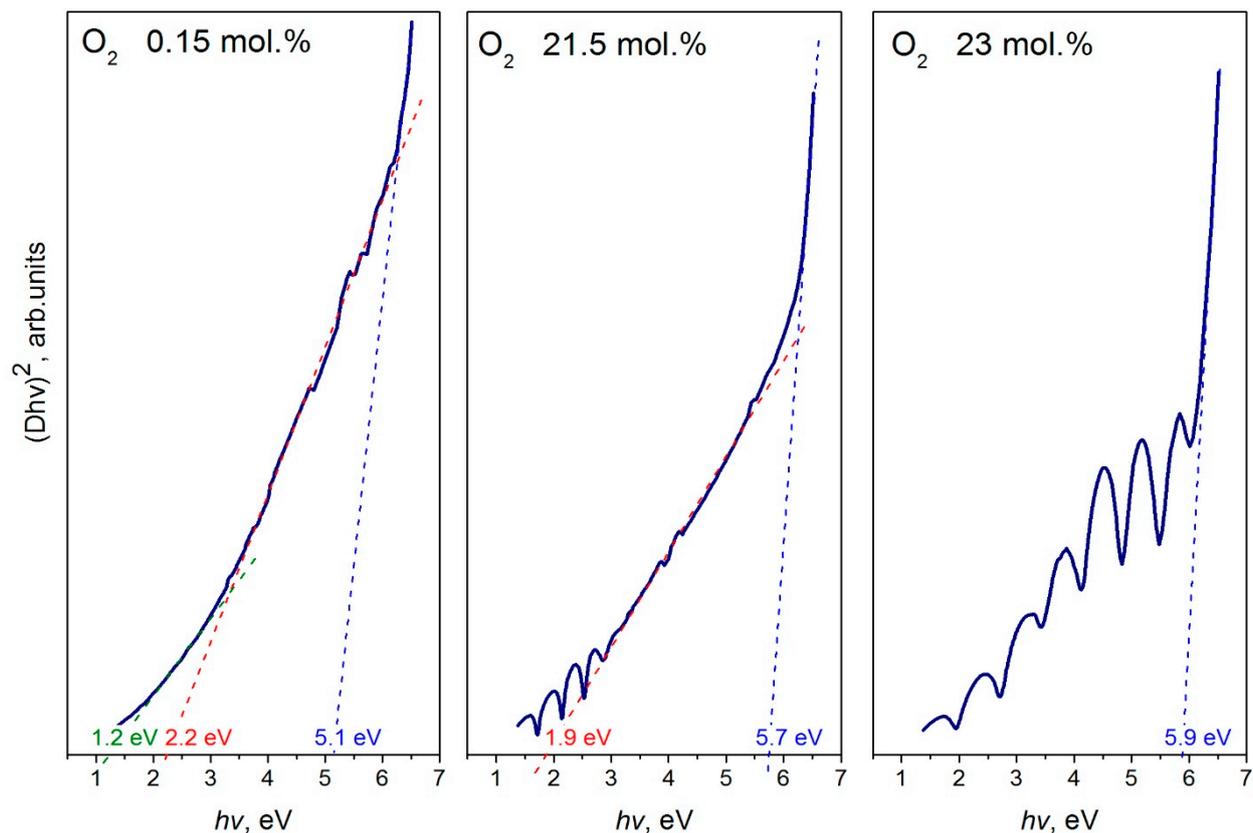


Figure 4. UV spectra of PECVD $a\text{-SiO}_x\text{:H} + ncl\text{-Si}$ films obtained at different oxygen concentrations in the composition of a $\text{SiH}_4 + \text{Ar} + \text{O}_2$ gas mixture.

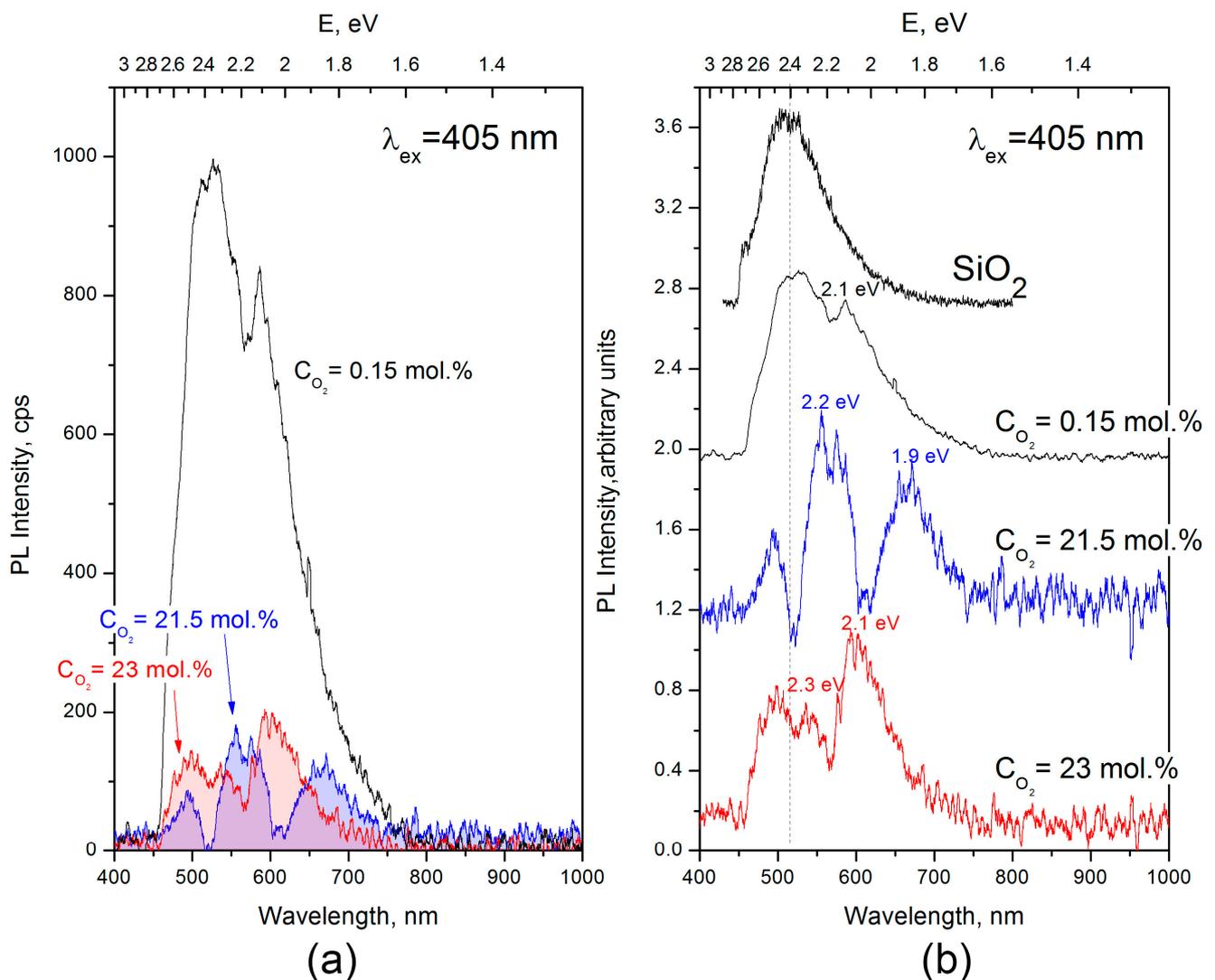


Figure 5. PL spectra of PECVD $a\text{-SiO}_x\text{:H} + ncl\text{-Si}$ films obtained at different oxygen concentrations in the composition of a $\text{SiH}_4 + \text{Ar} + \text{O}_2$ gas mixture, represented in the scale of absolute (a) and relative (b) intensity of the spectra.

4. Conclusions

The results of complex studies showed that during the PECVD deposition of $a\text{-SiO}_x\text{:H} + ncl\text{-Si}$ films from a $\text{SiH}_4 + \text{Ar} + \text{O}_2$ gas mixture with a DC-discharge modulation under oxygen content of 0.15 mol.% in the atmosphere of the process reactor, amorphous silicon film is formed that does not have translational symmetry.

An increase in the oxygen concentration in the composition of the gas mixture up to 21.5 mol.% leads to the formation of a composite film based on $\text{SiO}_{1.3}$ silicon suboxide, SiO_2 silicon dioxide with a sufficiently high content of amorphous silicon phase (40%). At the same time, the average degree of oxidation x in the SiO_x suboxide matrix is ~ 1.5 .

Even a slight increase in oxygen concentration from 21.5 to 23 mol.% in the reactor chamber during PECVD deposition of $a\text{-SiO}_x\text{:H} + ncl\text{-Si}$ films from a $\text{SiH}_4 + \text{Ar} + \text{O}_2$ gas mixture with a DC-discharge modulation leads to not only a decrease in the content of amorphous silicon from 40 to 15%, but also an increase in the average degree of oxidation of the suboxide matrix from $\text{SiO}_{1.5}$ to $\text{SiO}_{1.9}$.

According to USXES data, all silicon-based phases present in the studied $a\text{-SiO}_x\text{:H} + ncl\text{-Si}$ films do not have translational symmetry, i.e., they are amorphous, which is consistent with XRD and Raman spectroscopy data. At the same time, according to FTIR spectroscopy

data, the presence of hydrogen necessary for passivation of the surface of amorphous silicon nanoclusters was detected in the studied $a\text{-SiO}_x\text{:H} + n\text{cl-Si}$ films.

All $a\text{-SiO}_x\text{:H} + n\text{cl-Si}$ films have optical absorption edges in the energy range of ~ 2 eV and ≥ 5 eV due to silicon nanoclusters of $\sim 2\text{--}3$ nm in size and a suboxide dielectric matrix, respectively. In the PL spectra of $a\text{-SiO}_x\text{:H} + n\text{cl-Si}$ films, there are also PL bands in the range of $\sim 1.9\text{--}2.3$ eV associated with photoluminescence of amorphous silicon nanoclusters.

The PL spectrum of the $a\text{-SiO}_x\text{:H} + n\text{cl-Si}$ film (21.5 mol.%) exhibits pronounced maxima at 2.2 and 1.9 eV; their presence is due to the luminescence of nanoclusters with average sizes of ~ 2 and ~ 3 nm. Further increase in the oxygen content to 23 mol.% in the composition of the gas mixture during PECVD deposition leads to a short-wave shift of the PL peaks to ~ 2.3 and ~ 2.1 eV, respectively, that is associated with a decrease in the size of silicon nanoclusters to $\sim 1.5\text{--}2$ nm.

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