

Article

Integrated Close Range Remote Sensing Techniques for Detecting, Documenting, and Interpreting Lost Medieval Settlements under Canopy: The Case of Altanum (RC, Italy)

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Abstract: This paper focuses on the potential of an integrated approach using aerial LiDAR, aerial and terrestrial photogrammetry, terrestrial laser scanning, and archaeological survey to detect the presence and configuration of lost medieval settlements under canopy. This approach was applied to the site of Altanum (Calabria, Italy), on the hill of Sant'Eusebio, completely covered by vegetation. Altanum was a large fortified settlement characterised by a long occupation, especially during the Byzantine and Norman-Swabian periods. The activity began by carrying out a LiDAR survey of the whole hill. The acquired LiDAR data were processed and filtered in order to obtain a DFM (Digital Feature Model) useful for the identification of features of archaeological interest. Several enhancement techniques were performed on DFM to increase the visibility of archaeological features. The features thus identified were subsequently surveyed through the use of terrestrial and aerial photogrammetry integrated with laser scanning to document the visible buildings. The most significant result of the study was to create a single GIS platform with the integration of all data in order to delineate the whole settlement layout, as well as to produce 2D and 3D datasets useful for the for knowledge and protection of the identified remains.

Keywords: landscape medieval archaeology; LiDAR; photogrammetry; terrestrial laser scanner; GIS; remote sensing in archaeology



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1. Introduction

Technological development in close-range Remote Sensing (RS) for landscape archaeology has enabled many important advances in the field of both knowledge and methodologies for the discovery, conservation, and protection of Cultural Heritage (CH) [1–8]. In particular, the development of technologically advanced close-range RS tools for (i) discovery, (ii) 2D and 3D surveying, and (iii) non-invasive prospecting, has allowed archaeologists to overcome many problems associated with archaeological fieldwork. Although these tools cannot replace the work of the archaeologist, they provide support in research and identification of high potential archaeological areas, in order to plan activities and avoid unnecessary expenditure of resources, time, and energy [9–11]. For the latter reason, RS has proved to be a useful tool for archaeologists as it allows them to undertake landscape archaeology remotely over very large or difficult-to-access areas [12–15].

Among the most exciting and complex challenges faced in archaeological prospecting, the study of a densely-forested landscape is one of the most challenging. Forest cover preserves the archaeological remains and, at the same time, limits their visibility through both traditional survey methodology and indirect techniques, including passive remote sensing

and geophysics [9,16–20]. In such operational circumstances, archaeological investigation is even more challenging: (i) in conditions of articulated or complex orography or (ii) when the archaeological proxies are partly due to micro-topographic variations, thus making it difficult to distinguish those of cultural interest from those of a geomorphological nature.

This study focuses on the integration of different close-range remote sensing techniques for the knowledge of medieval settlements under canopy. Currently, there are not many scientific works that simultaneously integrate the use of ALS, TLS, aerial/terrestrial PHG, and archaeological surveys for archaeological research in under-canopy contexts. In most cases, this involves the use of a single or two tools (e.g., ALS and TLS, PHG and TLS), while case studies that aim to achieve a complete integration in a single project are rare, and often for forestry and non-archaeological studies [5,12,21–31]. Non-invasive close-range RS techniques (aerial and terrestrial Laser Scanner—ALS and TLS, aerial and terrestrial photogrammetry, topographic survey, GNSS survey) were used together to collect data at different resolutions. The work aims to show a virtuous methodological approach (operational chain) based on: (i) data acquired from airborne LiDAR and archaeological studies (e.g., archaeological bulletins), for the identification of archaeological remains; (ii) validation of the data through field surveys; (iii) creation of detailed documentation through 3D and 2D surveying; and (iv) and creation of a GIS information database and 2D and 3D documentation. The case study used to achieve this purpose was the fortified site of Altanum in Calabria, a densely-vegetated area in southern Italy. Altanum was chosen as a case study because, to date, no updated and modern archaeological documentation exists for the hill of Sant’Eusebio and the conditions of the scenario were ideal for the purpose of the project.

2. Materials and Methods

The investigations were coordinated by the CNR (National Research Council) under the financial support of the Italian Ministry of the University and Research (MUR), and were conducted within the framework of E-RIHS.it, the Italian node of the European research infrastructure on Heritage Science (see acknowledgement).

The choice of tools to be used was mainly dictated by the densely-vegetated scenario with steep slopes, and the need to know the location of the archaeological remains and document them in depth.

Both were used from the ground and from UAS, and the data acquired by them were then complemented by field survey and topographic acquisition activities (GNSS and total station).

The survey activities started with an extensive aerial survey of the site (ALS and PHG) in order to identify both areas to be further surveyed (field survey, TLS and PHG) and to detect surface anomalies under the canopy (e.g., buildings or archaeological features). The work was carried out according to the following steps:

1. Identification, record of the extent of the medieval settlement and detection of archaeological anomalies using non-invasive technologies such as drone LiDAR (ALS) and aerial photogrammetry (PHG);
2. Direct investigation and survey of archaeological features and earthwork anomalies, using aerial photogrammetry (PHG) and terrestrial laser scanner (TLS);
3. Surveying remains under the canopy, hardly accessible by the means of TLS and PHG, and built phases characterization by stone masonry observation; and
4. Providing in-depth documentation for use in future archaeological investigations by creating a single project using open-source GIS (QGIS) containing: (i) data acquired by archaeological research, (ii) data acquired by ALS and TLS, (iii) data acquired by PHG, and (iv) data acquired by field surveys and topographic surveys.

The flowchart in Figure 1 summarizes the activities carried out at Altanum.

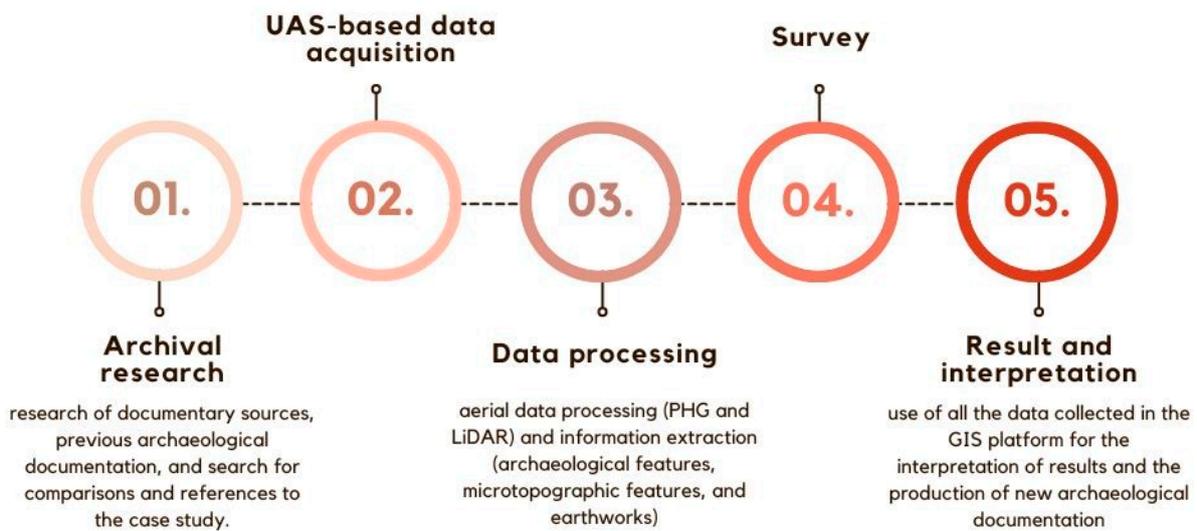


Figure 1. Flowchart of research on the Altanum site.

2.1. Study Area

The site stands on top of Sant'Eusebio hill in San Giorgio Morgeto Municipality (RC) (Figure 2).

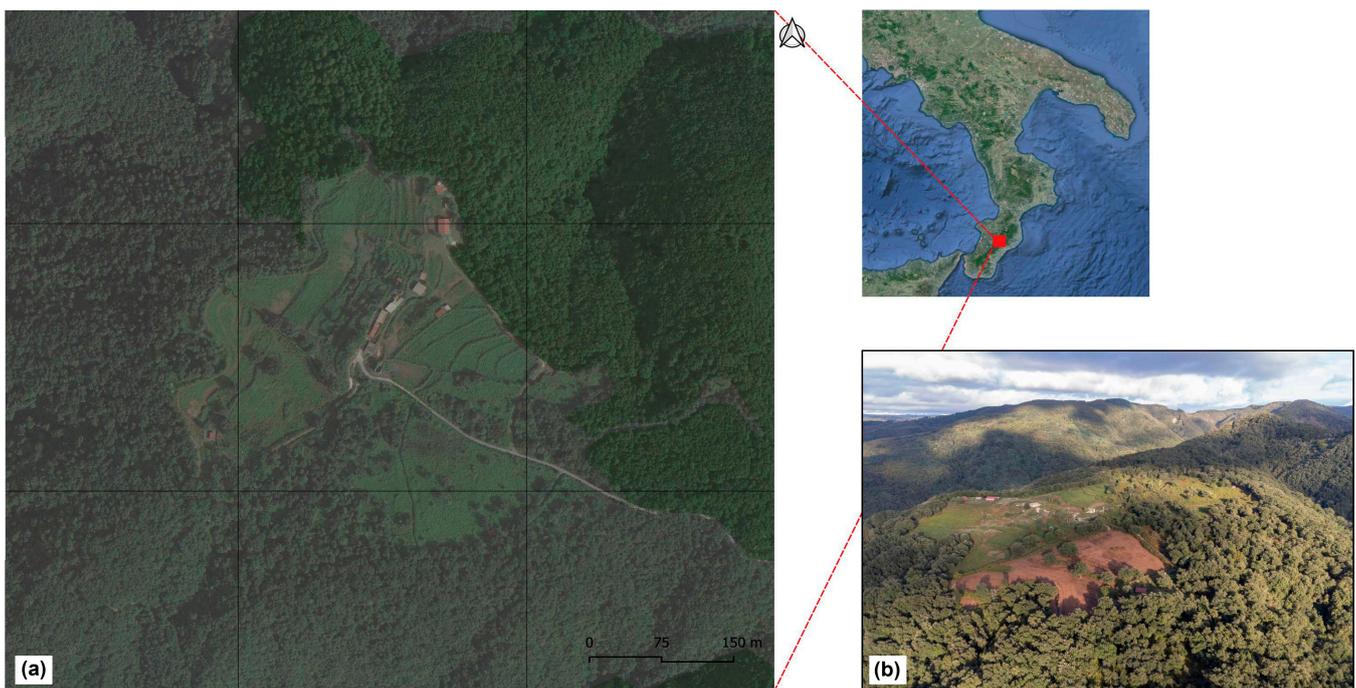


Figure 2. (a) Site's location; (b) Sant'Eusebio hill oblique.

San Giorgio Morgeto is a small town in the province of Reggio Calabria and is dominated by the homonymous castle, a great example of Norman-Swabian defensive architecture in Calabria [32]. The castle is dated to the 13th century A.D., according to historical sources. The whole area was characterized by different occupation periods, such as those of the Greek, Byzantine, and Norman-Swabian eras. The oldest Byzantine presence is located on the hill of Sant'Eusebio, about 2 km from the city. The site is located 800 m above sea level (a.s.l.) and had an important strategic position in control of the Valley of Gioia Tauro and the Tyrrhenian Sea. Historical interpretations of the structure of the hill of Sant'Eusebio were offered by local scholars during the 17th and 18th centuries.

Marafioti claimed that the ruins belonged to a Roman *statio* (Latin name for rest or post station) called *Altanum*, while other scholars argued that they only belonged to a late antique or early medieval fortification (*kastron*) called *Sant'Eusebio* [33]. None of the proposed chronologies were based on archaeological observations since the structures of *Altanum* were never documented or systematically studied, except for a sketch drawn by Vincenzo De Cristo in 1921 (Figure 3).

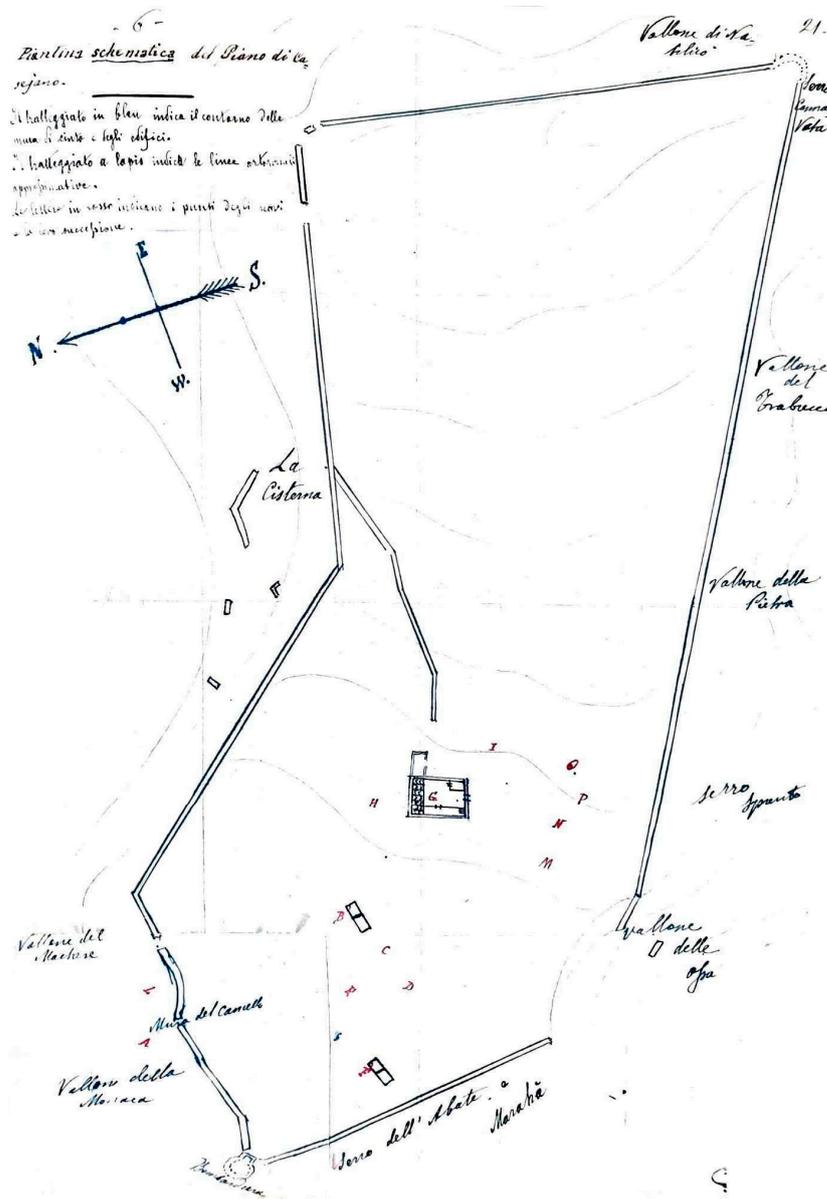


Figure 3. Altanum eidotype dating from 1921 (most of the drawn structures are no longer visible).

In 1921, Vincenzo De Cristo carried out the first archaeological excavations conducted by Soprintendenza di Reggio Calabria, providing a first eidotype. De Cristo recorded the fortified walls and a circular tower (10 m diameter), which he called “bombard tower” (*bombardiera*). In addition, he found two ancient cisterns, a large amount of pottery, and three Byzantine coins during excavations on the western part of the hill.

The bombard tower and the wall were investigated for a second time (2002–2003) and late medieval archaeological remains were recorded [34,35]. The analysis of the defensive walls revealed the presence of different masonry techniques, implying the existence of several construction phases. The current hypothesis suggests that the structures built with regular courses of bricks could be associated with the first phase, while the western wall,

built with stones arranged in irregular courses, could refer to a later phase. The construction of the bombard tower could be dated to the last medieval defensive activities [34,35].

The outer wall was probably built to defend the Byzantine village as known from other contemporary sites (e.g., Sant'Aniceto and Calanna [32]). The area is indeed located at a strategic route point, the so-called Passo della Limina, connecting the Tyrrhenian coast to the colony of Locri and, through the Ionian coast, to the cities of Medma and Hipponion. Some remains could be instead dated to the late Middle Ages, precisely during the war between Aragonese and Angevins, while the last construction phase belongs to the modern era (18th century). Unfortunately, all documentation produced in the past cannot be considered as modern documentation as it lacks proper metric restitution as well as georeferencing, although it was very useful for the present study.

2.2. Active and Passive Sensors Used for This Study

This section describes the rationale, theory, and the physical rules of sensors used for the study, while a more detailed description (e.g., technical settings and equipment models), as well as the state-of-the-art on individual uses, is deferred to the following paragraphs (see Sections 2.3 and 2.4).

As the need was to discover and record remains of archaeological interest mostly under vegetation, both active and passive sensors were used [36–38]. Specifically, the tools used were: (i) ground and UAS-based lasers (TLS and ALS), and (ii) ground and drone cameras (PHG).

2.2.1. Active Sensors

Two types of laser tools were chosen for this study: (i) terrestrial laser scanners (see Section 2.4) and (ii) UAS LiDAR (light detection and ranging) (see Section 2.3.2). Laser scanners are active sensors capable of calculating the distance between the sensor and the target [16,39]. These produce a three-dimensional point cloud, with x-y-z coordinates and other information (e.g., colour, intensity), with high density and high accuracy [40]. These tools are extremely useful for the creation of high-resolution three-dimensional documentation. To date, both terrestrial (TLS) and airborne and drone (ALS) laser scanners are used in several fields such as environmental monitoring [41], structural and architectural analysis [42,43], forests [44,45], and cultural heritage [5,46,47]. The most commonly-used laser sensors are time-of-flight (TOF) lasers and phase shift lasers. The differences between the two techniques are in the distance, speed, and accuracy of the acquisition. The phase shift technique is more accurate, precise and fast, while the time-of-flight technique has a greater range [48]. TOF lasers send a short laser pulse (a few nanoseconds) to a target and the distance is measured calculating the time between the emission and the reception of the reflected pulse from the target itself (1). Phase shift lasers measure the distance using the phase difference between the departed and returned signal (2) [40,49,50].

$$D = \frac{C}{2} * \Delta t \quad (1)$$

$$D = \frac{C}{2f} * \frac{\varphi}{2\pi} \quad (2)$$

where D is the distance, C is the light speed, Δt is the time elapsed from emission to reception of the pulse, f is the modulation frequency, and φ is the phase shift.

In addition to distance measurement for the acquisition of three-dimensional point clouds, a LiDAR sensor from UAS was chosen as it was considered the best performing tool in a densely-vegetated environment to observe archaeological remains covered by vegetation, as described in [19,51]. The reason for this performance is inherent in the LiDAR sensor itself. LiDAR used for this type of activity records both the distance travelled by light and the targets encountered along the route. In fact, with pulses of only a few nanoseconds, the backscattered signal that forms a return wave consists of a succession of echoes corresponding to any obstacle encountered by the laser beam. The energy distribution

returning to the sensor is called the waveform, and the amount of energy returning to the LiDAR sensor is known as the intensity, sometimes also referred to as reflectance, and expressed in dB (decibels). The data can be recorded and analysed as: (i) single echo (first or last echo), (ii) first and last echo, and (iii) multi-echo (first, intermediate, last) [52]. In the field of the study of archaeological remains under vegetation, this feature is very important as the acquisition of a number (>1) of echoes makes it possible to digitally remove the vegetation (point cloud classification) in order to observe the ground and structures on or partially embedded in it, as well as microtopographical anomalies [16,19,51,53].

2.2.2. Photogrammetry

Passive sensors used were terrestrial (see Section 2.4) and UAS (see Section 2.3.1) cameras. They were used for the creation of accurate three-dimensional models through the use of three-dimensional photogrammetry. Three-dimensional photogrammetry is a technique that allows a digital model of the real object to be obtained from photos, using a technique called SfM (Structure from Motions) [54–59]. It is a widely-used technique in the field of cultural heritage close range RS because it is affordable compared to other techniques, fast, and easy to apply. Furthermore, when combined with other techniques to enhance its performance, such as topographic survey and GNSS, it provides precise and accurate results, and very-high-resolution three-dimensional models. This method is based on metric image processing and works on precise mathematical and geometrical rules (projective-prospective geometry) to extract 3D metric coordinates from images. Each image, in fact, contains all the information necessary to reproduce both the shape (mesh) and the colour (texture) of the photographed object [60]. In photogrammetry, if an object is imaged from at least two points of view, it is possible to obtain stereoscopic views and derive three-dimensional information based on the overlapping areas of the images. Unlike other three-dimensional visualisation techniques, photogrammetry aims to obtain metrically-accurate, precise and geometrically-reliable information. Photogrammetry is based on a principle called collinearity [61]. This principle is based on two Equations (11) and (12) to correlate the coordinates in the sensor plane (2D) with those of the three-dimensional object (3D) [55,60,62] (3)–(5):

$$x - x_0 = -\ell(x_p - x_0) \quad (3)$$

$$y - y_0 = -\ell(y_p - y_0) \quad (4)$$

$$c = \ell(z_p - z_0) \quad (5)$$

where x, y, z refer to the sensor's coordinate system with x and y in the sensor's plane; x_p, y_p, z_p are the coordinates of point P on the object (3D); x, y are the coordinates of P on the sensor plane (2D); x_0, y_0, z_0 are the coordinates of the optical projection center; ℓ is the fixed ratio between $x - x_0$ and $x_0 - x_p$, $y - y_0$ and $y_0 - y_p$, and the distance from the projection center to the sensor plane is given by $z_0 = c$ and $z_p - z_0$. Solved in (6) and (7):

$$x - x_0 = -c \frac{x_p - x_0}{z_p - z_0} \quad (6)$$

$$y - y_0 = -c \frac{y_p - y_0}{z_p - z_0} \quad (7)$$

and then in (8)–(10):

$$x - x_0 = R_{11} (X - X_0) + R_{21} (Y - Y_0) + R_{31} (Z - Z_0) \quad (8)$$

$$y - y_0 = R_{12} (X - X_0) + R_{22} (Y - Y_0) + R_{32} (Z - Z_0) \quad (9)$$

$$z - z_0 = R_{13} (X - X_0) + R_{23} (Y - Y_0) + R_{33} (Z - Z_0) \quad (10)$$

where X, Y, Z are the coordinates of P outside the camera; X_0, Y_0, Z_0 are the coordinate of the projection center; and R is a 3×3 rotation matrix. Finally, the two collinearity equations are generated (11) and (12):

$$x - x_0 = -c \frac{R_{11}(X - X_0) + R_{21}(Y - Y_0) + R_{31}(Z - Z_0)}{R_{13}(X - X_0) + R_{23}(Y - Y_0) + R_{33}(Z - Z_0)} \quad (11)$$

$$y - y_0 = -c \frac{R_{12}(X - X_0) + R_{22}(Y - Y_0) + R_{32}(Z - Z_0)}{R_{13}(X - X_0) + R_{23}(Y - Y_0) + R_{33}(Z - Z_0)} \quad (12)$$

2.3. Aerial Survey

During last decade, aerial photogrammetry (PHG) and LiDAR (Light Detection And Ranging) acquisitions from UAS have been widely used in archaeology [46,55,58,63–65].

Aerial photogrammetry is currently a widely-spread tool in aerial archaeology, allowing large-scale acquisition of three-dimensional data in visible, multispectral, and thermal spectra [55,56,59,65–67]. This technique has long case history in visible (3D models and large-scale acquisitions), multispectral and thermal (identification of crop- and soil-marks, and buried structures) surveys, such as the case of 3D models in Hierapolis (Phrygia, Turkey) by Chiabrando et al. [58], the reconstruction of the plan of the medieval monastery of San Vincenzo al Volturno (Isernia, Molise) using multitemporal and multispectral analysis by Abate et al. [68], and the discovery of traces of archaeological interest through the analysis of thermal intertie and evapotranspiration analyzed by Casana [66].

LiDAR technology (ALS) is currently used on both airplanes and drones and, to date, is probably one of the most effective approaches among RS techniques applied to identification of archaeological remains under vegetation [46,51,54,63,69–72]. In modern literature, several studies are related to LiDAR implementation leading to significant archaeological discoveries under canopy, such as those conducted by Khan et al. [73] for the reconstruction of ancient landscape covered by the Amazon Forest; by Chase et al. [74,75] on the ancient Maya civilizations; by Evans et al. [76] in Angkor Wat in Cambodia; by Masini et al. [17,70] in Italian medieval sites; and by Danese et al. [77] related to the looting phenomena. In addition, recent trends applied to LiDAR for archaeological prospection led numerous scholars to assess pipelines for data filtering [51,64] and data extraction, through the use of machine and deep learning [78,79], as well as the use of supervised or non-supervised archaeological features detection [80].

Two different approaches were used for UAS acquisitions at the Altanum site: an RGB camera-equipped UAS was used to produce a high-resolution orthophoto of the area, and a LiDAR-equipped UAS was used to produce a high-resolution DFM (Digital Feature Model) or NVS (Non-Vegetated Surface) [51,63,64] in order to analyse features and earthwork anomalies to be associated with archaeological evidence. The area surrounding the hill is covered by a dense forest of oaks and cork. Operating conditions dictated the use of LiDAR technology as the only one able to obtain a ground model without vegetation [63,64,81].

2.3.1. Aerial Photogrammetry Survey

Aerial photogrammetric survey was performed by DJI Matrice 210 v.2 multirotor UAS, equipped with a high-resolution (20 MP) RGB DJI Zenmuse X5S camera with a DJI MFT 15 mm/1.7 ASPH lens (Figure 4a). The area investigated was approximately 43 hectares (ha) and has a highly variable morphology. The flight mission was planned with the UGCS[®] software (v.4.6.520). The software allows the creation of flight plans and setting of all parameters (e.g., speed, altitude, lateral/frontal overlap). In addition, it allows autonomous flight function called terrain awareness, useful for flying at constant height following the orographic profile of the ground, having a constant GSD (Ground Sample Distance) in all photos. DEMs (Digital Elevation Model) provided by Tinitaly (<http://tinitaly.pi.ingv.it/>, accessed on 10 October 2021) were used for both aerial photogrammetry and LiDAR flights [82–85].

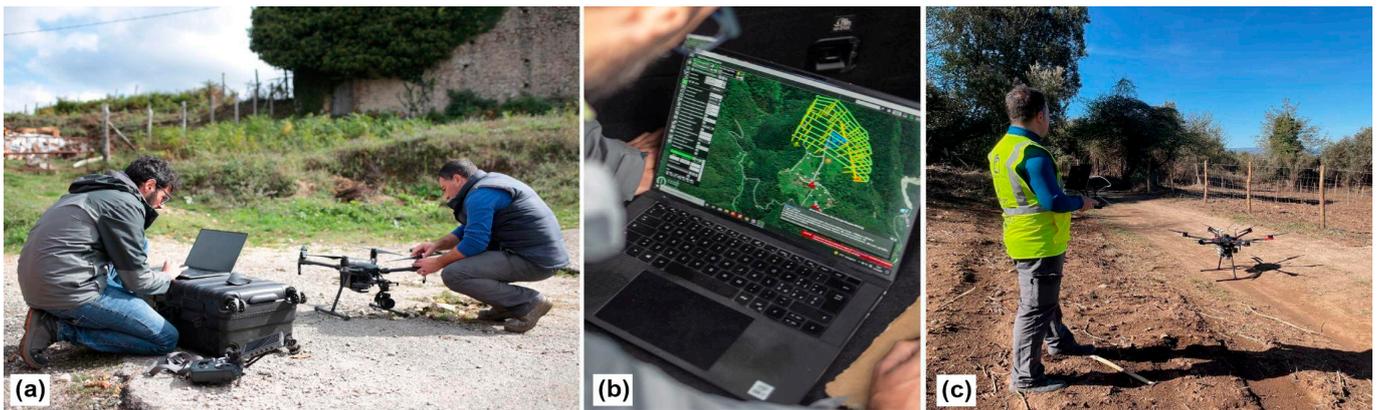


Figure 4. Left to right: (a) Matrice 210 assembling and set-up; (b) UGCS software flight planning; (c) flight operations with Matrice 600 equipped with Riegl miniVux-3 LiDAR sensor.

The area was acquired flying: (i) at 120 m Above Ground Level (AGL); (ii) at a speed of 3 m/s; (iii) using single flight path mode; (iv) nadiral camera (90°); and (v) with a lateral and frontal overlap of 80% and 80% between images.

High-visibility coded targets, also called Ground Control Points (GCPs), have been distributed in the area and recorded using a GNSS Topcon GR5, in order to correct the accuracy of the captured data (photogrammetric and TLS). A total of 20 GCPs in the reference system WGS 84 UTM 33N were used: 15 as control points and 5 as verification points.

The acquisition produced 693 RGB photos, which were then processed within Agisoft Metashape[®]. The process steps within the photogrammetry software were: (i) dataset creation; (ii) camera calibration; (iii) alignment (sparse cloud); (iv) identification of Ground Control Points in photos; (v) addition of GCP coordinates; (vi) data correction and alignment adjustment (native correction in Agisoft Metashape); (vii) dense cloud creation; (viii) DTM creation; and (ix) orthophoto creation. The use of GCPs allowed us to obtain an orthophotosomosaic with 2.6 cm/pixel resolution, with an average error, estimated (thanks to the validation points on the ground) as approx. ≤ 2 cm (East and North) and ≤ 4 cm (Altitude).

2.3.2. LiDAR Survey

LiDAR survey was performed using a Riegl MiniVux-3 5-echo laser scanner, equipped with a GNSS PPK positioning system, used as a payload on a DJI Matrice 600 drone (Figure 4b,c). LiDAR acquisition covered an area of about 60 ha. Flights were carried out at 70 m AGL, at a constant speed of 3 m/s, in double acquisition grid pattern [80]. In order to cover the entire area, 16 flights were carried out in 8 sub-rectangular areas of approx. 250×250 m (Figure 5a). The LiDAR was used: (i) at 300 Khz pulse repetition frequency, (ii) 120° of FOV (Field Of View), and (iii) 100,000 measurements per second.

Data processing followed the steps described below:

1. Acquisition of GNSS RINEX data from the permanent stations located in the Italian peninsula;
2. Correction of the route acquired by the drone PPK antenna based on the data from the permanent GNSS stations, in Applanix POSPac UAV software (reference system WGS 84 UTM 33N) (Figure 5b);
3. Use of Riegl's RiPROCESS[®] suite for the creation of the point cloud;
4. Use of Riegl's RiPRECISION function to refine the alignment of the scans obtained from the several flights (Riegl software standard correction parameters);
5. Georeferenced LiDAR point cloud export.

Once the points cloud was produced, ground points were classified using Global Mapper[®] v.22.1 software. Global Mapper[®] is a software produced by BMG (Blue Marble

Geographics—U.S.A.) that uses a type of hybrid filter called BMHF (Blue Marble Hybrid Filter), already discussed in [86].

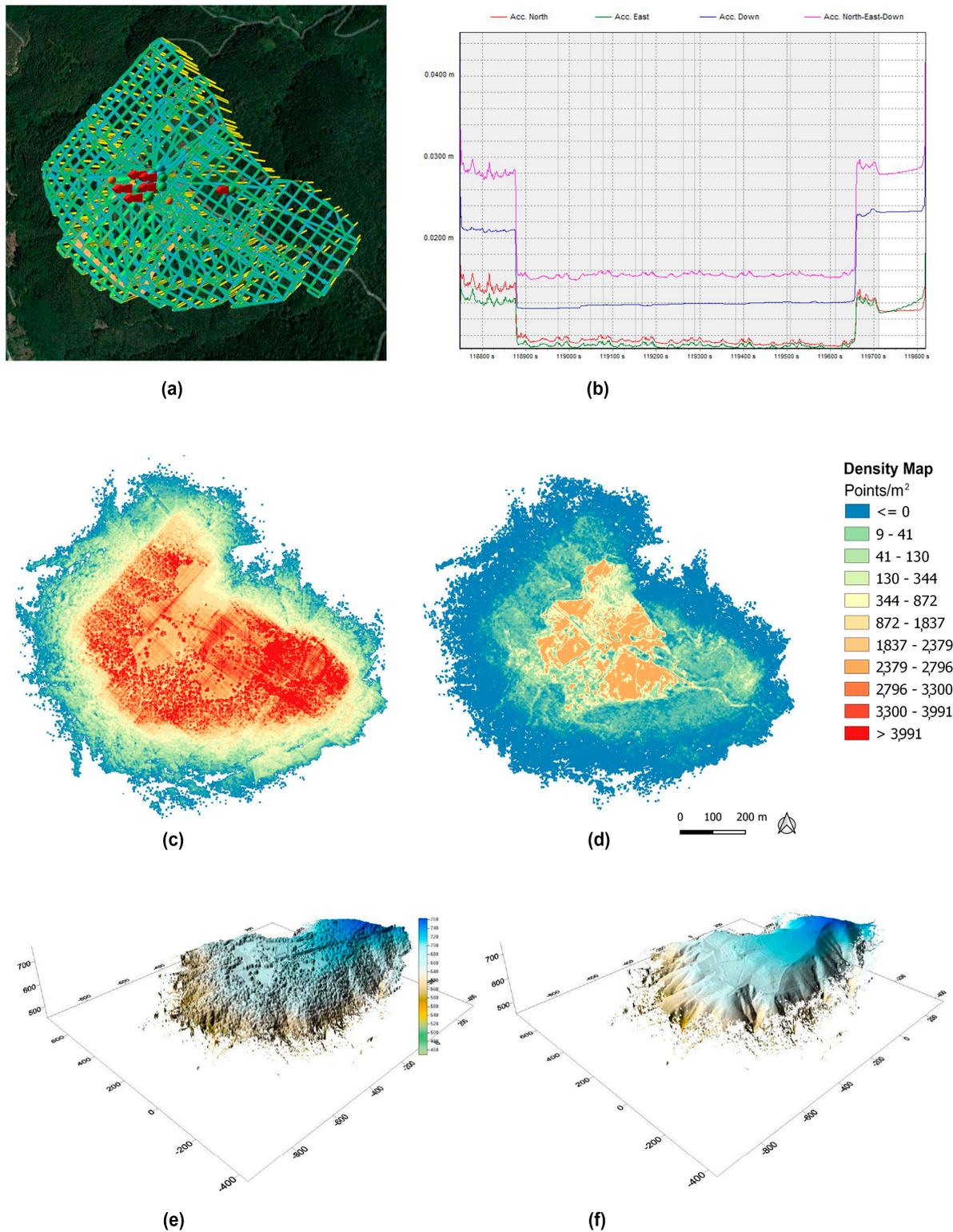


Figure 5. (a) LiDAR data acquisition grid (16 flights in 8 areas); (b) corrected GPS flights data; (c) point density map for the whole produced point cloud (unclassified); (d) point density map for the classified point cloud (ground class); (e) axonometric view of DSM obtained from LiDAR survey; (f) DFM obtained as a result of vegetation filtering operations.

Point cloud filtering operations were:

1. Classification of ground points, noise, and other classes, using the software’s automatic classification algorithm (using BMG-suggested parameters for high density point clouds in stepped scenario [87]). The density of the classified point cloud (ground class) was between approx. 1 and 2.300 points/m² (Figure 5c,d). The high number of points in the vegetation-free areas was due to the overlap of LiDAR acquisitions, increased by the double-grid flight, as well as by the lateral overlap between the lines (30 m) and by the large FOV (120°) of the Riegli LiDAR;
2. Creation of a TIN (Triangulated Irregular Network) model from the classified point cloud at 0.1 m/pixel [88,89];
3. Creation of the DSM (Digital Surface Model) and DFM (Digital Feature Model) (Figure 5e,f).

The acronym DFM (Digital Feature Model) will be used in the following text instead of DTM. In fact, the term DFM, also synonymous with NVS (Non-Vegetated Surface), indicates the digital terrain model by adding structures above it or partially embedded in it [51,64,81].

The DFM was then exported at a 0.1 m/pixel of resolution for subsequent analysis.

Finally, the DFM obtained from LiDAR acquisition was subjected to several operations to improve archaeological features rendering and visibility. Operations can be summarized in two types: (i) noise reduction and (ii) creation of derivative DFM models from different visualization techniques.

General noise and speckle reduction was performed using an Enhanced Lee Filter in QGIS software [90], using a 9 × 9 pixel window, according to (13):

$$L_M \text{ for } C_I \leq C_U$$

$$L_M * K + P_C * (1 - K) \text{ for } C_U < C_I < C_{max}$$

$$P_C \text{ for } C_I \geq C_{max}$$
(13)

where:

- (i) L_M is the Local Mean of the filter window;
- (ii) $C_U = \frac{1}{\sqrt{NLooks}}$ is the noise variation coefficient;
- (iii) $C_{max} = \sqrt{\frac{1+2}{NLooks}}$ is the maximum noise variation coefficient;
- (iv) $C_I = \frac{SD}{L_M}$ is the image variation coefficient;
- (v) $K = e^{(-D(C_I - C_U) / (C_{max} - C_I))}$;
- (vi) P_C is the Center Pixel value of window;
- (vii) SD is the Standard Deviation in filter window;
- (viii) NLooks is the Number of Looks; and
- (ix) D is the Damping factor.

The second operation performed on DFM, after filtering with the Enhanced Lee filter, was the production of different derivatives based on visualization techniques (VTs) [51,63,64]. These techniques, generally based on light direction calculation over DFM’s nodes, can be categorised in the following two classes: (i) ones based on direct or diffuse illumination models calculation, and (ii) ones based on topographical parameters computation (Table 1).

Table 1. Derivatives based on visualization techniques (RVT software) [91].

Visualization Method	Parameters
Analytical Hill Shading	Sun azimuth (deg): 315; Sun elevation angle (deg): 35
Hill Shading from Multiple Directions	Number of directions: 16; Sun elevation angle (deg): 35
PCA of Hill Shading	Number of components to save: 3
Slope Gradient	No parameters required

Table 1. *Cont.*

Visualization Method	Parameters
Simple Local Relief Model	Radius for trend assessment (pixel): 20
Sky-View Factor	Number of search directions: 16; search radius (pixel): 20
Openness Positive	Number of search directions: 16; search radius (pixel): 20
Openness Negative	Number of search directions: 16; search radius (pixel): 20
Archaeological (VAT)	Used preset: general

The first VT class includes: Hill Shading (HS), evidencing the surface's relief (as Lambertian surface) with given zenith and azimuth artificial light direction; Multi Hill Shading and diffuse (isotropic) illumination, such as Sky View Factor (SVF) [92] and "Openness" [93]. The second VT class is focused on computation, based on topographical features such as slope gradient, convexity, Local Relief Model (LRM) and Trend Removal. The result is obtained by removing macro-topography, computing a smoothed DFM, using a low pass filter, and subtracting the smoothed DFM to the initial one, thus calculating zero meter contours from the difference model in order to obtain break lines [94,95].

All the produced data were subsequently analyzed in a GIS environment to produce archaeological cartography displaying contour lines, architectural features, and anomalies. These results were used as base for subsequent activities—in particular, planning field survey activities (ground truth and data validation) and establishing areas to further acquire with TLS and terrestrial PHG.

2.4. Land Survey

Field surveys were conducted according to LiDAR data and its derivatives.

The field survey made it possible to recognise some surviving portions of the settlement's walls, while elsewhere, steep elevation variation was noted. These earthworks often have a straight course characterised by the abundant presence of scattered stones. The cropmarks visible in many areas from aerial photography are an indication of probable buried structures (Figure 6). A detailed tridimensional survey, using TLS and PHG, was carried out on: (i) the remains of a circular structure already known as the "bombard tower"; (ii) parts of the defensive wall; (iii) the remains of the two buildings (cisterns); and (iv) the building interpreted as a church (Figure 7). The survey was conducted according to a well-established methodology [96–98].

Preliminary to the 3D surveying was the topographical survey, carried out using a GPS receiver (Topcon GR5) and a total station (Ruide RCS), concerning the measurement of a control network. Ground Control Points (GCP) have been positioned on the ground, for both TLS scans alignment and PHG data integration. After targets positioning and acquisition with total station, local coordinates obtained were transformed into geographical coordinates by measuring coded targets with a GNSS and performing a rototranslation with Toposoft® software. This strategy was necessary due to the distance among areas to be subjected to topographic surveys, requiring the implementation of four local coordinate system networks, one for each building to be surveyed, subsequently connected through GNSS technique. GPS accuracy was further incremented by downloading Rinex data from the Smartnet Network service (Figure 8).

TLS survey was performed using a high-resolution phase shift scanner Faro Focus 3D MS120 (1 point every 6 mm @ 10 m). This sampling choice allowed reasonable acquisition times and adequate metric resolution, performing 40 scans to completely document all the remains. The acquisitions produced point clouds of approx. 1 billion points. Such numbers facilitated data registration and reduced, as much as possible, shadow cones caused by dense vegetation growing on structures. Point cloud registration was performed within Faro Scene® software using the "Cloud to Cloud" procedure. Registration was

subsequently verified using topographic measurements of coded targets (the Root Mean Square Error—RMSE is less than 1 cm), that were also used to georeference range maps.



Figure 6. Mounds with rectilinear trend and related cropmarks anomalies, revealing the presence of buried archaeological structures.



Figure 7. Left: remains of the so called “bombard tower”; right: visible stretch of settlement’s defensive wall.



Figure 8. From left to right: topographic survey of GCPs using a differential GNSS; measurement of photogrammetric targets placed on archaeological structures with total station; 3D survey of a cistern with the laser scanner.

PHG acquisition was performed using the 3DEye system[®] in order to capture images at different heights, since some wall portions were higher than 4 m. 3DEye is a photogrammetric solution composed by a Sony Alpha camera remotely controlled via software. The camera is stabilized by a pivoted support and it is mounted on a telescopic pole. In this case, it was impossible to use drones for a detailed survey of wall surfaces due to the presence of vegetation close to the structures.

Integration among PHG and TLS data was carried out within RealityCapture[®]. Integration of range maps in this software is based on the generation of synthetic images from TLS registered point clouds using color or intensity. These spherical images, one for each standpoint, are converted into six .lsp files [99]. The .lsp files are calibrated and oriented for each rotation, generating a cube that is externally positioned and orientated as the TLS standpoint. Photogrammetry image matching and orientation is subsequently based on the TLS synthetic images [100] (Figure 9).

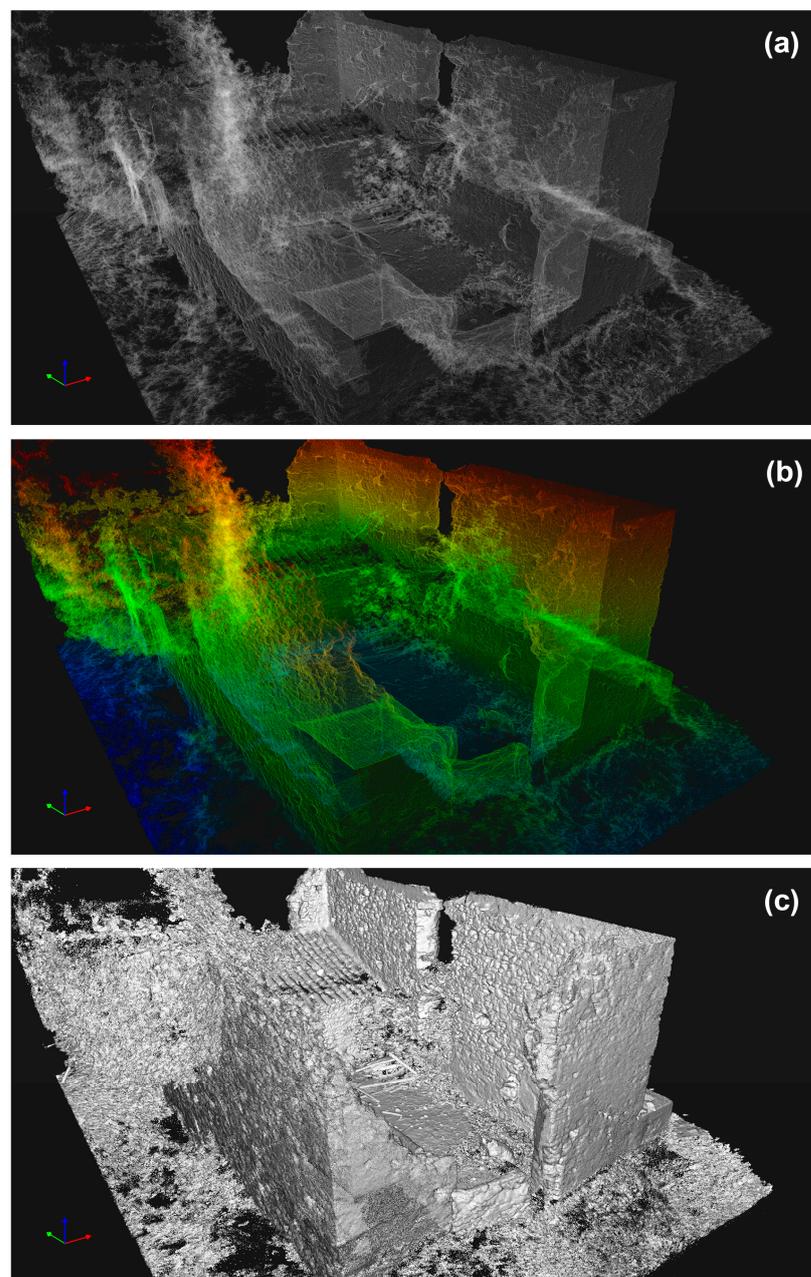


Figure 9. (a) Point cloud in X-ray visualization; (b) point cloud in elevation visualization; (c) Mesh model.

3. Results

As mentioned, the activities conducted using different tools to document the remains at different scales allowed an accurate and, above all, adequate analysis for the creation of a useful dataset. Such documentary efforts were carried out in order to accomplish two main aims: to understand and discover the true extent of the site and structures of archaeological interest, which were unknown due to the presence of vegetation, and to create, for the first time, a detailed map of all visible archaeological remains, attempting to define their function within their historical period [71].

3.1. Aerial Survey Data

Results from the processing of aerial data (PHG and LiDAR), in particular the post-processing of LiDAR data as described, provided important information for understanding and analysing the site (Figure 10).

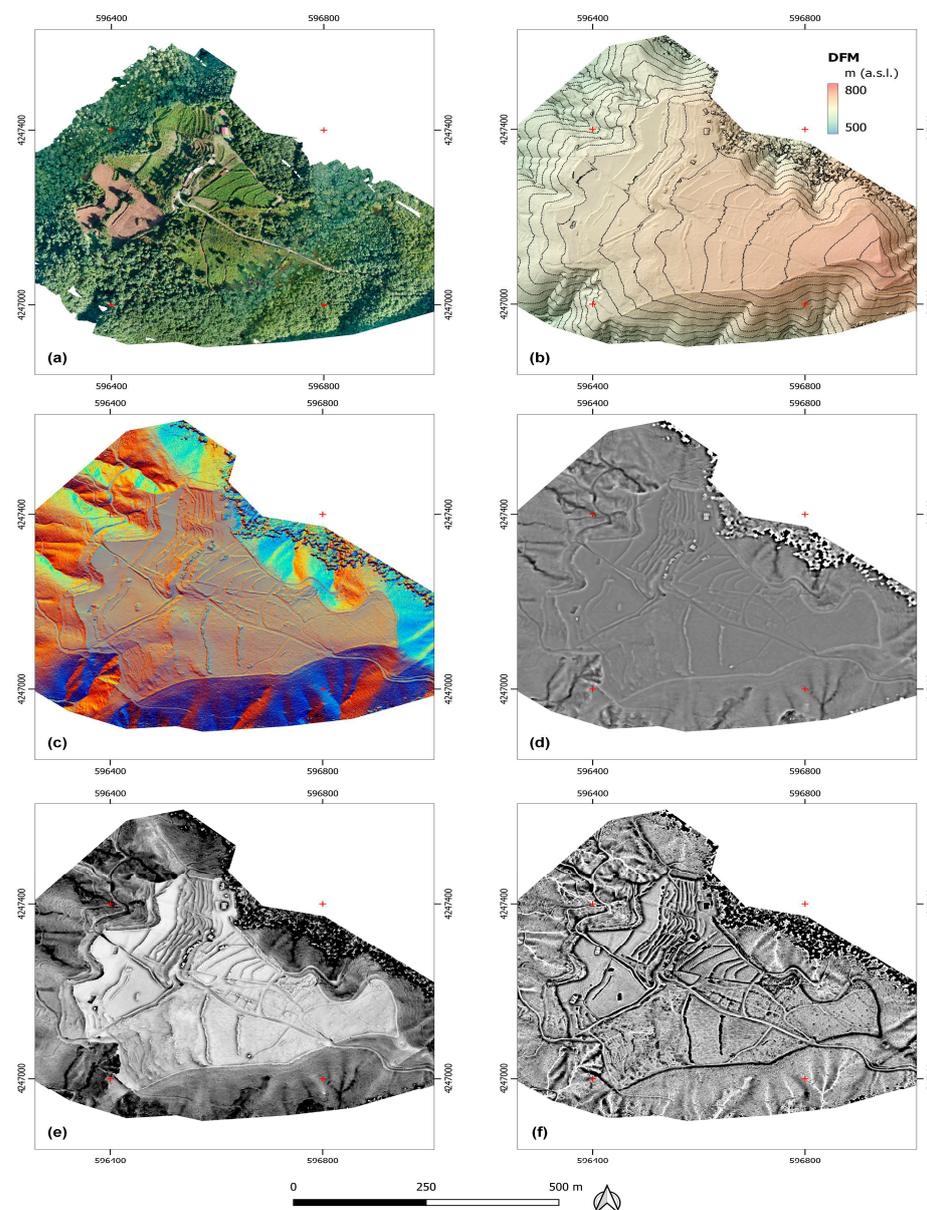


Figure 10. Example of data obtained from operations to improve yield and visibility: (a) RGB drone orthophoto, (b) Digital Feature Model (DFM), (c) Multi Hill Shading, (d) Simple Local Relief Model (SLRM), (e) Sky-view-factor (SVF), (f) Openness Negative (ON) (Reference system WGS 84—UTM33N).

Multi Hill Shading, Simple Local Relief Model (SLRM), Sky-view-factor (SVF), and Openness Negative (ON) proved to be particularly useful for the Altanum case study. These LiDAR derivatives significantly improved visibility of earthwork and feature anomalies under canopy, as mentioned in [17,70,75,92,101,102]. The analyses provided information useful to: (i) identify multiple structures previously undocumented in the 1921 eidotype (Figure 3); (ii) establish the areas to be deep documented with TLS and PHG surveys; and (iii) create for the first time a GIS-based archaeological map for the area (Figure 11).

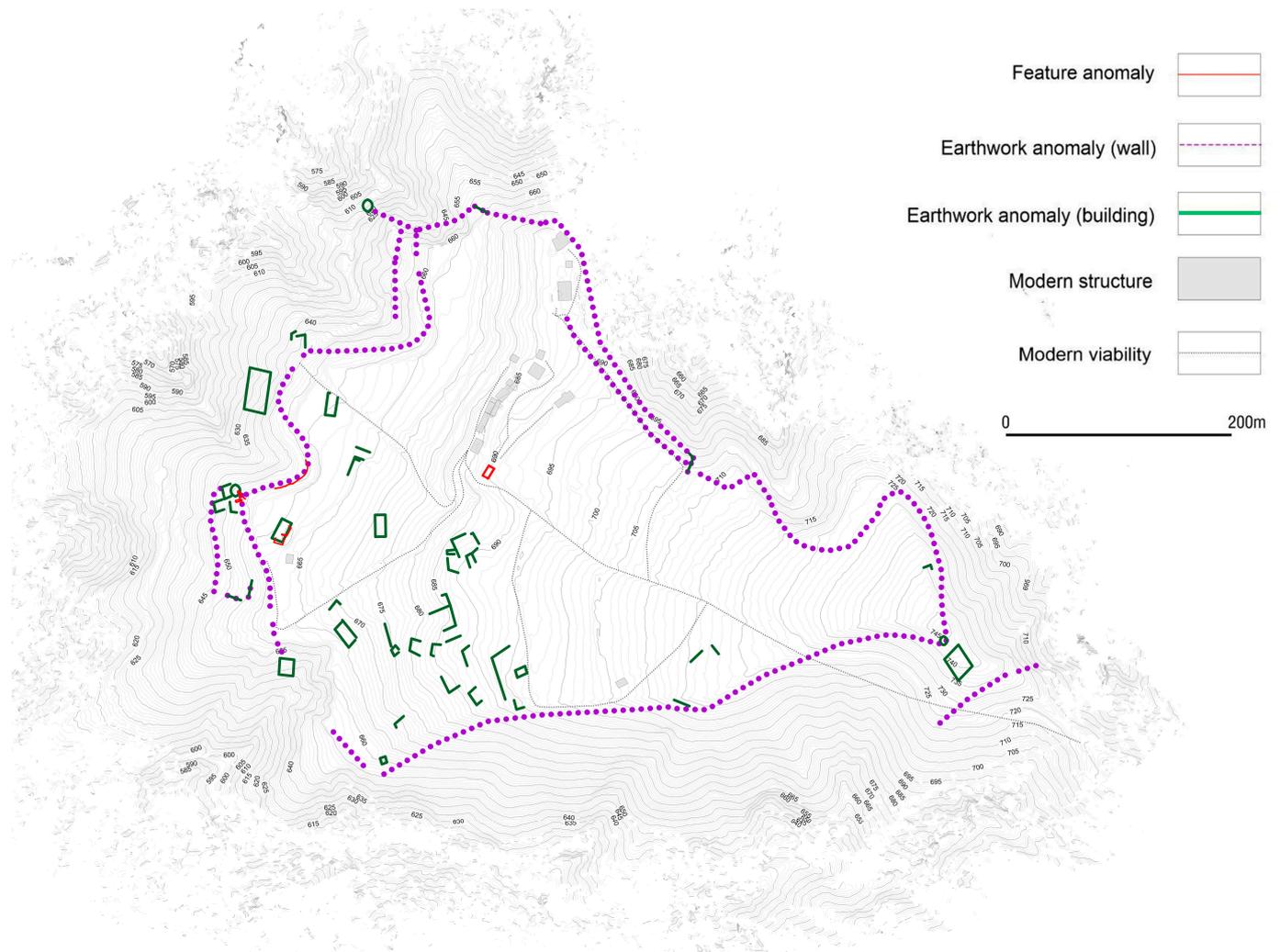
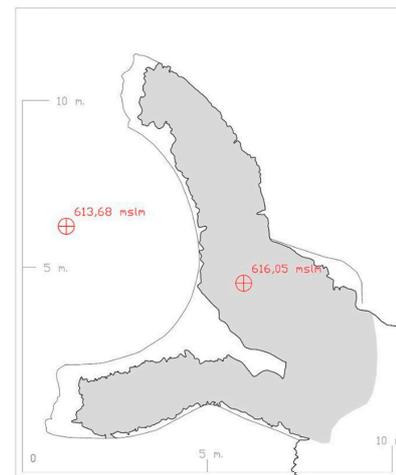


Figure 11. Archaeological cartography integrating feature and earthwork anomalies.

3.2. Land Survey Data

Land survey was aimed at the generation of architectural scale surveys (plans, elevations and cross-sections) useful both in the general mapping of the area and for the restitution of detailed documentation of the surviving archaeological structures. In order to produce 2D deliverables as described (Figure 12), four high-resolution 3D textured models were generated (Table 2).



(f)

Figure 12. (a–e) 3D model of the building interpreted as a church resulting from TLS and photogrammetric data integration: (a) real building, (b) point cloud, (c) mesh model, (d,e) 3D textured model; (f) dense point cloud of the bombard tower obtained after processing; right: blueprint of the tower obtained by cross-sectioning the point cloud.

Table 2. Survey sampling metrics. The table gives account for both acquired data and deriving models in Reality Capture software. For TLS acquisitions: Scan Pos. and #points. For meshes deriving from the process: #polygons. For 2D deliverables: GSD.

Feature Anomalies	Scan Pos.	#Points	#Polygons	GSD
Tower	18	389 × 106	28.6 × 106	1 mm/px
Defensive wall	9	118 × 106	14.3 × 106	1 mm/px
Cisterns	5	178 × 106	16.4 × 106	1 mm/px
Church	8	111 × 106	30.7 × 106	1 mm/px

4. Discussion

Processing and filtering operations performed on DFM were aimed at enhancing images, improving rendering and visibility. This allowed small contours to be emphasised and, consequently, the identification of archaeological features and the drawing of them on the map. These features are generated by topographical and microtopographical variations called terrain proxy indicators. Some of them were confirmed through field survey and matched those described in Vincenzo De Cristo's 1921 eidotype. Newly-identified anomalies and those depicted in the eidotype mainly refer to surrounding wall structures, towers, and cisterns. Figure 13 shows how the rectilinear mounds visible in the DFM match the cropmarks identified in the aerial photos. At the same time, Figure 13 shows how far the eidotype deviates from the real pattern of structures.

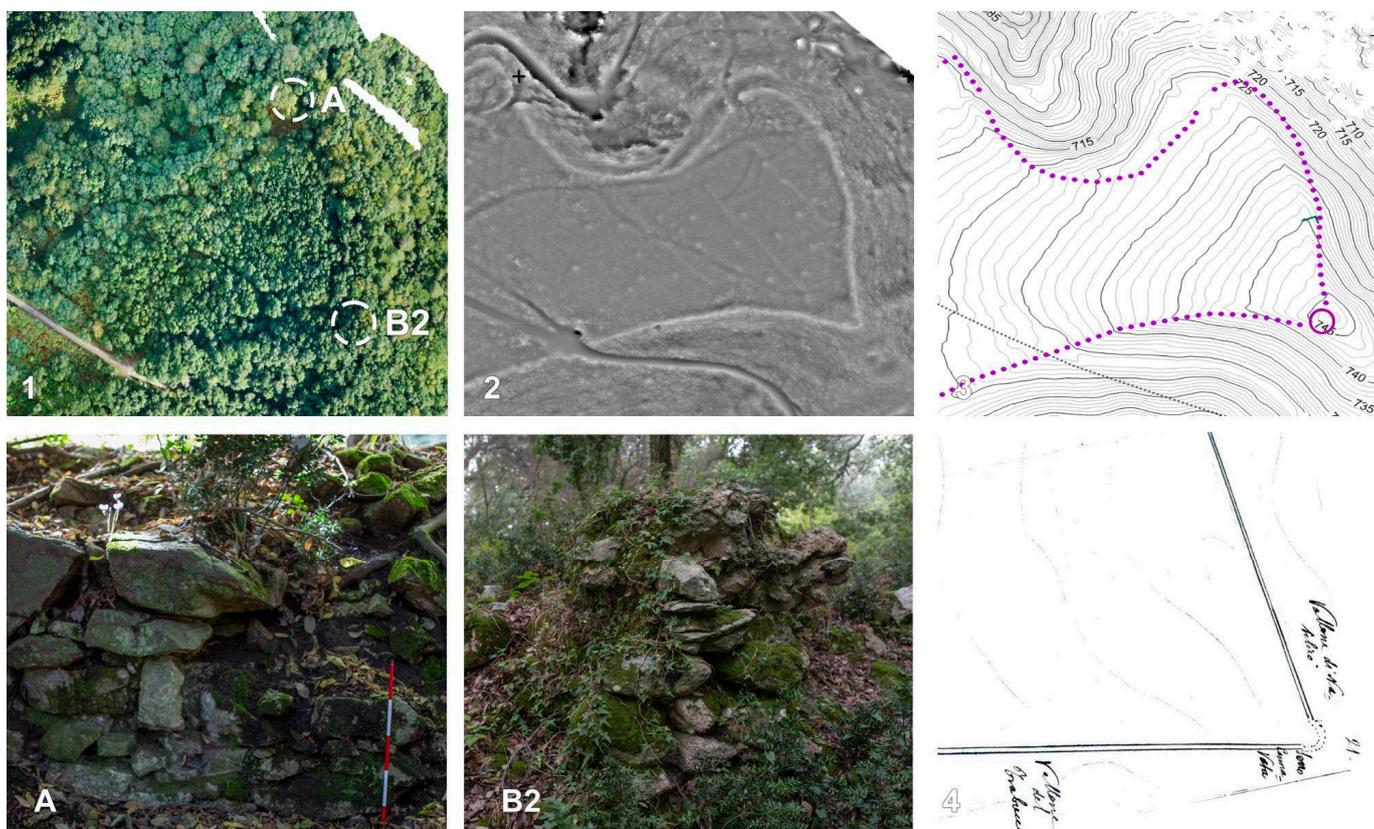


Figure 13. (1) Orthophoto RGB. The circles indicate the position of wall portions (A) and (B2); (2) SLRM filter applied on DFM shows rectilinear anomalies and a circular feature in the area where B2 was found; (3) archaeological cartography reporting walls' path as deduced by anomalies; (4) rectilinear walls and circular tower (B2) in this area are roughly represented in the drawing of De Cristo.

A further in situ survey allowed for the identification of wall portions precisely placed along these anomalies, thus confirming the existence of a defensive circuit.

The DFM analysis allowed the identification of new traces referable to archaeological remains within the defensive wall circuit. The analysis of the DFM obtained by LiDAR highlighted the difference between agricultural and wooded areas in the preservation of archaeological remains. In fact, as already mentioned (see Section 1), in agricultural areas, land use has almost erased microtopographical features, whereas in the forest, structures have been preserved. The identified traces are mainly concentrated in area C and present regular shapes (Figures 13 and 14).

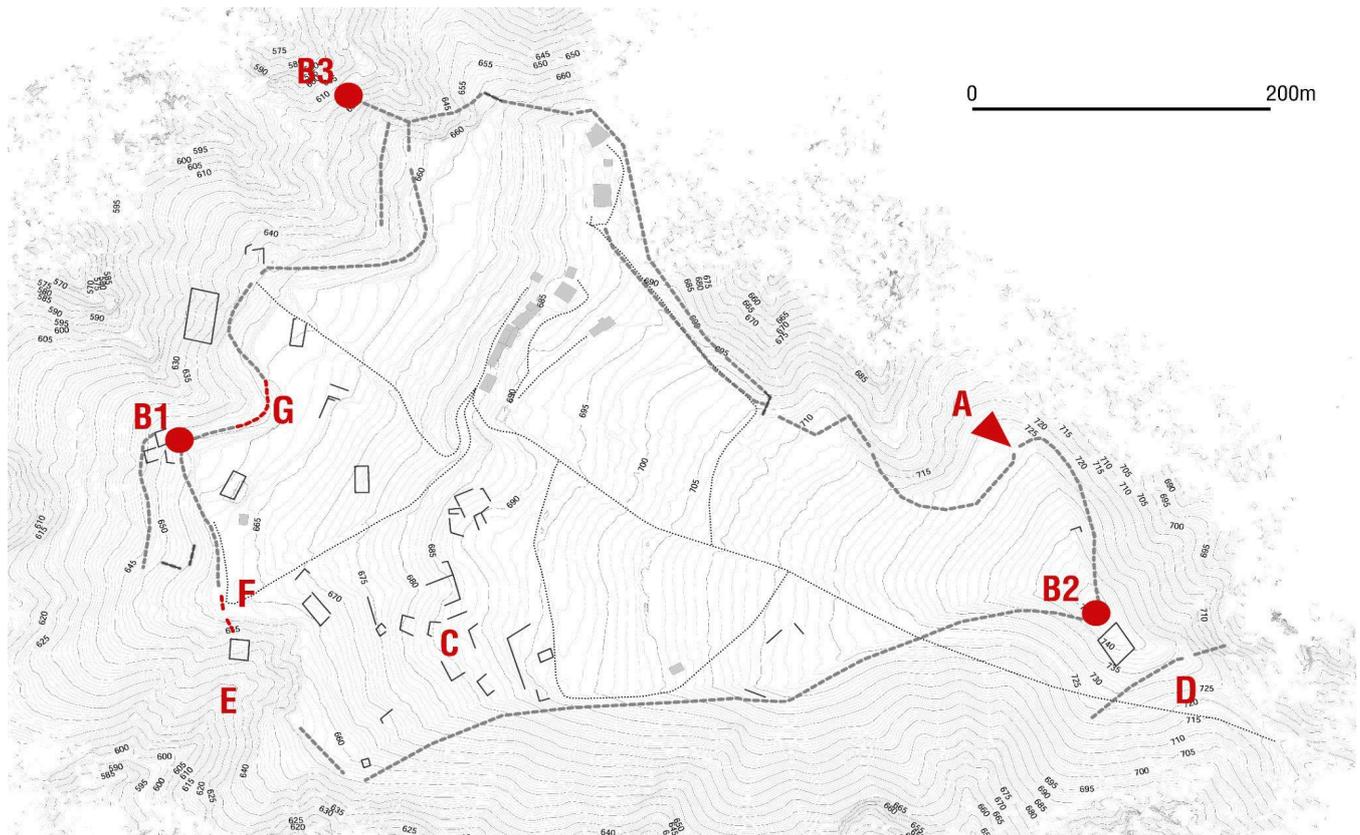


Figure 14. Archaeological cartography reporting position of archaeological evidence of major significance. Interpreted anomalies: (A) gateway; (B) towers; (C) buildings; (D) defensive wall or ravelin; (E) cliff; (F,G) visible ruins of the defensive wall.

These anomalies are located in the area where some investigations were conducted in 1921 by De Cristo and duly indicated by the letters N, M, P and Q in his eidotype. Probably, the wall included within its circuit a whole inhabited area, or a large number of dependent rectangular buildings.

Another important result from data processing was the reconstructive hypothesis of the defensive walls. In fact, both identified anomalies and visible remains run all along the hill's top, following contour lines and adapting to changes in the morphology of the terrain.

Along the defensive wall, three circular structures have also been re-discovered and interpreted as towers. The first (B1), the so-called "bombardier tower", is the only one still visible. It must have been about 10 m in diameter and 7 m high and is placed completely outside the defensive wall, to which it is connected through a quadrangular room.

The second building (B2) is located at the opposite side of the site in a strategic position, occupying the highest standpoint on the hill. The structure is present in the 1921 eidotype and sketched with "approximate orthogonal lines" (as quoted by the author). The third hypothesized tower is B3 and is not described in the 19th-century archaeological documentation. The tower, that could be a "*turris auxiliaria*", was not built along the walls. It was connected to the settlement walls by a fortified walkway. The "*turris auxiliaria*" was an advanced fortified building, created to control access routes. The area, marked with the letter A, could be one of the gateways to the settlement. Considering the morphology of the site, anyone who wanted to access the complex from this side of the hill, before arriving at gate A, had to pass by tower B2 and through a second fortified wall, perhaps functioning as a ravelin (D).

A break in the circuit wall has been identified by LiDAR data and field survey in the area called E (also reported in De Cristo's drawing). Contrary to what was observed in

1921, analyses conducted have demonstrated that the identified opening is partly due to a landslide that partially damaged the wall.

The well-preserved wall segment G (30 m long and 4.5 m high) presents a masonry technique similar to that of the tower. In fact, it has an irregular laying of heterogeneously-shaped and -sized stones, both river pebbles and split stones, and a few brick fragments, bonded with abundant mortar. The internal materials, used for the core of the wall, generally consist of pebbles, stone fragments, and chips of stone arranged horizontally. Three alignments of beam-holes are visible. They are located at a regular distance of about 70 cm in height, which is functional for the installation of wooden scaffolding. Unfortunately, it was not possible to detect the thickness because the section leans against a knoll. A small wall section F, preserving both wall faces (inner and outer), is still visible in the southern part of the settlement, near the bombardment tower, and is partially covered by vegetation. The thickness here is roughly between 1.2 and 1.3 m. Thanks to the data acquired, it was possible to confirm some hypotheses for which supporting documentation was lacking:

- (i) Altanum is a multi-layered site consisting of several building phases and built in a strategic position; and
- (ii) the so-called bombard tower (B1) seems to belong to the last phases and could date back to a period between the 14th and 16th centuries. The visible wall is typical of the early firearms period. The tower has an impressive thickness of about 1.8 m at the base and the wall construction technique is characterized by stone blocks. The stones have extremely variable size and shape and are irregularly arranged and bonded with plenty of tenacious mortar. On the eastern side of the tower, there are rectangular holes for the location of horizontal beams probably supporting the wooden platform floor. Furthermore, there are some circular openings that have already been interpreted as loopholes for firearms.

5. Conclusions

Data and procedure integration (LiDAR, PHG, TLS, GNSS, total station, and field survey) allowed us to define the settlement's shape and extent, and detect new archaeological features. The most significant survey result has been outlining the presence and path of an extensive defensive wall provisioned with towers and gates, and other rectangular structures – buildings whose function could be investigated in the future with targeted excavations. The added value of a multi-scale, multi-sensor close range remote sensing approach allowed for both a general look at the site and a particular look at structures already known or discovered during the acquisition campaign. This is a level of detailed knowledge from air to ground that would not have been possible without the combined use of terrestrial (TLS and PHG) and aerial (photogrammetry and LiDAR) techniques, as well as the canonical archaeological survey. Several new data have been acquired at the site as a result of this approach.

In fact, although the accuracy of De Cristo's work was remarkable for the time (1921) and his work was indeed pioneering, the LiDAR investigation of this study nevertheless provides some new and remarkable data from an archaeological perspective as well. For example, with the exception of the 2002–2003 surveys, whose activity was focused only on the area of the bombard, for the first time in 100 years there is direct evidence of the existence and condition of the structures, located in such a particular environment. The study was not only able to detect all the remaining evidence, but also able to determine the exact position and perimeter of the wall, at some points very different from those described by De Cristo. At the same time, the final output, particularly the DFM model, highlighted the close link between morphology and defensive structures at the site. The size of the settlement and the probable presence of large cisterns and numerous structures within the defensive perimeter (evidenced by the earthwork anomalies as already indicated in the previous section) suggest that on the Sant'Eusebio hill there was a large inhabited settlement and thus that it was not exclusively a military garrison. Indeed, these first

analyses carried out using integrated technologies have clearly begun to highlight the size of the site of Altanum and its archaeological potential that is not yet fully uncovered.

The data acquired allowed for a much more detailed topographical documentation of the site than that possessed so far, but did not allow for any further hypotheses on the dating of the archaeological site, which can only be further investigated through archaeological excavation.

The archaeological cartography produced in the GIS environment will remain a valuable help in the coming years for the protection activities conducted by the Superintendence of Reggio Calabria. The study is part of a larger project that will develop through future campaigns of archaeogeophysical acquisitions and archaeological excavation. In fact, only targeted excavation activities and the support of geophysical surveys (especially in the agricultural area) will be able to collect new data, circumscribe the period of construction of the structures, and shed more light on the nature and functions of the settlement.

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