

## Article

# The Temporal and Spatial Distributions of the Near-Surface CO<sub>2</sub> Concentrations in Central Asia and Analysis of Their Controlling Factors

Liangzhong Cao <sup>1,2,3,4</sup>, Xi Chen <sup>1,4,\*</sup>, Chi Zhang <sup>1</sup>, Alishir Kurban <sup>1,4</sup>, Xiuliang Yuan <sup>1,2,3,4</sup>, Tao Pan <sup>1,2</sup> and Philippe de Maeyer <sup>3,5</sup>

<sup>1</sup> State Key Laboratory of Desert and Oasis Ecology, Xinjiang Institute of Ecology and Geography, Chinese Academy of Sciences, Urumqi 830011, China; liangzhong.cao@outlook.com (L.C.); zc@ms.xjb.ac.cn (C.Z.); alishir@ms.xjb.ac.cn (A.K.); yuanwinner1989@163.com (X.Y.); pantaohlj@163.com (T.P.)

<sup>2</sup> University of Chinese Academy of Sciences, Beijing 100039, China

<sup>3</sup> Department of Geography, Ghent University, Ghent 9000, Belgium; Philippe.DeMaeyer@UGent.be

<sup>4</sup> Sino-Belgian Joint Laboratory of Geo-information, Xinjiang Institute of Ecology and Geography, Chinese Academy of Sciences, Urumqi 830011, China

<sup>5</sup> Sino-Belgian Joint Laboratory of Geo-information, Ghent University, Ghent 9000, Belgium

\* Correspondence: chenxi@ms.xjb.ac.cn

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**Abstract:** As the main anthropogenic greenhouse gas that contributes most to global warming, CO<sub>2</sub> plays an important role in climate changes in Central Asia. Due to the lack of studies of near-surface CO<sub>2</sub> in this region, we first confirmed the applicability of the near-surface Greenhouse Gases Observing Satellite (GOSAT) CO<sub>2</sub> data in Central Asia using atmospheric CO<sub>2</sub> concentration data from nine ground-based station observations. We then analyzed the temporal and spatial distributions of the near-surface CO<sub>2</sub> concentrations in Central Asia and their controlling factors using statistical analysis methods. The results show that the near-surface CO<sub>2</sub> concentrations are high in the western part of this region and low in the east. From June 2009 to May 2013, the near-surface CO<sub>2</sub> concentrations increased gradually, with the highest value being in spring and the lowest in autumn. The temporal distribution of CO<sub>2</sub> concentrations is mainly affected by photosynthesis, respiration, and heating. The combined effect of terrestrial ecosystems and CO<sub>2</sub> diffusion by wind is responsible for the higher near-surface CO<sub>2</sub> concentration in the northern, western, and southwestern areas of the five Central Asian countries compared to the central, eastern, and southern areas, and energy consumption and wind are the major factors that affect the heterogeneity of the spatial distribution of the CO<sub>2</sub> concentrations in Xinjiang.

**Keywords:** CO<sub>2</sub>; GOSAT; Central Asia; statistical analysis; spatial and temporal distribution; wind; NPP; energy consumption

## 1. Introduction

The temporal and spatial dynamics of atmospheric CO<sub>2</sub> have important environmental effects. As a kind of major greenhouse gas, CO<sub>2</sub> is mainly produced by human activities. The increase of CO<sub>2</sub> concentrations has had significant effects on global climate change [1]. On the other hand, as a carbon source for plant photosynthesis, the increase of CO<sub>2</sub> concentrations has stimulated an increase in global vegetation productivity [2,3]. Because high CO<sub>2</sub> concentrations are beneficial for decreasing stomatal conductance and transpiration water consumption, the variation of near-surface CO<sub>2</sub> concentrations is closely related to the water use efficiency and productivity of the vegetation in arid regions [4–7].

Simulation studies of Central Asia have shown that the CO<sub>2</sub> fertilization effect was the main controlling factor for the carbon dynamics in this region in 1981–2007 [8]. Therefore, determining the temporal and spatial patterns of near-surface CO<sub>2</sub> is important for simulating the water use efficiency dynamics of the vegetation in arid regions under the background of climate change and for predicting the productivity and ecological stability of desert vegetation under future CO<sub>2</sub> emissions scenarios.

However, the current understanding of the temporal and spatial characteristics of CO<sub>2</sub> is insufficient because ground CO<sub>2</sub> observation stations are scarce, measurement methods are not consistent [9–12], the CO<sub>2</sub> source-sink mechanisms and atmospheric transportation processes are complex and difficult to simulate, and researchers lack reliable near-surface CO<sub>2</sub> spatial data over long time periods [13]. Because of the lack of spatial data, ecological studies of large regions and at the global scale can only rely on the annual global mean CO<sub>2</sub> background values that are observed on a few islands, but these data ignore the temporal and spatial heterogeneity of the near-surface CO<sub>2</sub> concentrations, which causes large uncertainties in study results. With the development of CO<sub>2</sub> satellite remote sensing techniques, researchers can now conduct long-term, stable, and high-frequency observations (six hours) of the global CO<sub>2</sub> distribution [14]. CO<sub>2</sub> remote sensing platforms can be divided into two types based on the different atmospheric CO<sub>2</sub> spectra [15]. The first type includes sensors that use thermal infrared radiation spectra for observations, such as the Atmospheric Infrared Sounder (AIRS) sensor on the Aqua satellite [16–18] and the Infrared Atmospheric Sounding Interferometer (IASI) hyperspectral infrared detector on the Meteorological Operational (Metop)-A satellite [19]. The other type includes sensors that use the near-infrared segment of solar reflectance spectra for observations, such as the SCanning Imaging Absorption SpectroMeter for Atmospheric CHartographY (SCIAMACHY) sensor on the ENVironmental SATellite (ENVISAT) [20,21] and the Thermal And Near-infrared Sensor for carbon Observation (TANSO), which includes two types of sensors, namely, the Fourier Transform Spectrometer (FTS) and Cloud and Aerosol Imager (CAI), on the Greenhouse gases Observing SATellite (GOSAT) [22,23]. As the first satellite dedicated to greenhouse gas observations, its primary task is to survey CO<sub>2</sub> and CH<sub>4</sub> concentrations [22]. The spectral wave bands used to observe CO<sub>2</sub> concentrations are 1.6  $\mu\text{m}$  and 2.06  $\mu\text{m}$ . The observation principle is that CO<sub>2</sub> has unique absorption properties in these bands. GOSAT has provided relatively long time-series information for CO<sub>2</sub> pattern studies that has been used to detect the distribution of CO<sub>2</sub> carbon sources [24,25]. With continuous improvement and the perfection of algorithms, the accuracy of GOSAT XCO<sub>2</sub> increased from 9 ppmv in Version 1 [26] to less than 2 ppmv in Version 2 [27]. By adding GOSAT data to the ground-based monitoring data (GLOBALVIEW-CO<sub>2</sub>), uncertainties in CO<sub>2</sub> flux estimates can be reduced by as much as 40%. In the regions not covered by the ground-based monitoring network, uncertainties of CO<sub>2</sub> fluxes were reduced by 20–30% [28]. Despite many trials, no study has successfully used GOSAT remote sensing data to quantitatively explain the mechanisms that control the CO<sub>2</sub> distributions [29,30]. This is mainly because the ground carbon sources and sinks of the regions focused on by previous studies, such as European and American metropolitan areas and the developed regions in eastern China, are highly spatially heterogeneous. In each 2.5° × 2.5° pixel of GOSAT L4B product, strong carbon sources and sinks densely overlap, and the different controlling factors cannot be separated. In addition, the climate systems of these regions are generally complex, and the prevailing wind directions are uncertain. Thus, it is difficult to analyze the effects of carbon sources in the surrounding areas on the CO<sub>2</sub> distributions in the study regions.

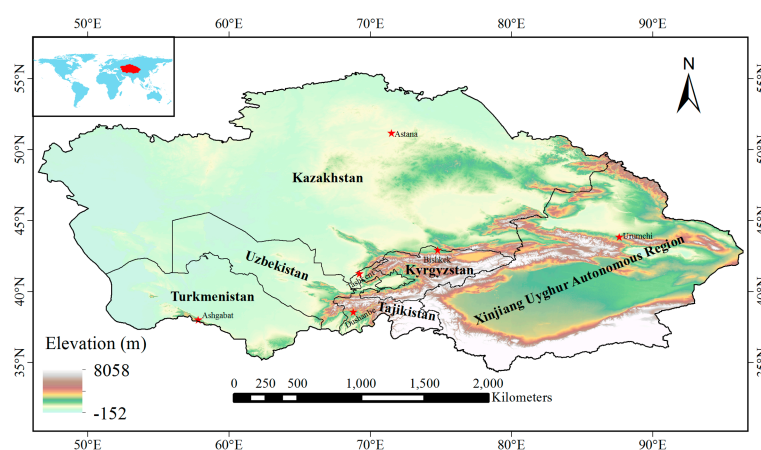
Because of this problem, we used Central Asia as the study area. Its advantages are as follows: (1) Because desert vegetation is sensitive to CO<sub>2</sub>, explaining the CO<sub>2</sub> distribution in this region has significance for ecological applications; (2) The carbon sources in this region are mainly concentrated in the urban agglomeration on the northern slope of Tianshan Mountains, and the other regions lack significant carbon sources except for a few medium and small towns. The carbon sinks in this area are mainly located in large areas of forests, grasslands, and large farms. Different carbon sources and sinks are often separated by deserts with extremely low net carbon fluxes. The simple and coarse surface source/sink pattern is beneficial to analyses that use coarse resolution GOSAT data; (3) This region is

located far from the complex effect of marine carbon sinks, and the climate is under the stable control of westerly circulation for the entire year. The four-season prevailing winds patterns in the sub-regions are stable, and the inputs from carbon sources outside the region (e.g., the European industrial region) are clear. All of these factors simplify the analysis of the carbon source and sink mechanism; (4) Finally, because this area is the core region of the continental Silk Road Economic Belt, monitoring the temporal and spatial patterns of CO<sub>2</sub> is important for managing carbon sources and sinks and achieving low-carbon construction of the Belt and Road. Therefore, this study utilized the near-surface CO<sub>2</sub> data product from the GOSAT satellite, analyzed the distribution of CO<sub>2</sub> concentrations in Central Asia from 2009 to 2013 and their annual and seasonal variations, and combined regional societal and economic, ecosystem productivity, meteorological, and atmospheric circulation data to analyze the temporal and spatial characteristics of CO<sub>2</sub> and the mechanisms that control the sources and sinks.

## 2. Materials and Methods

### 2.1. Research Area

The study region includes the five Central Asian countries (Kazakhstan, Kyrgyzstan, Tajikistan, Turkmenistan, Uzbekistan) and Xinjiang Uygur Autonomous Region, China, which are located in the hinterland of Eurasia (Figure 1). It is located between 34.17° N and 55.73° N and between 46.33° E and 96.47° E, covers an area of approximately  $563.8 \times 10^4$  km<sup>2</sup>, and represents one-third of the global arid regions [31]. It is one of the most representative arid regions in the middle latitudes of the northern hemisphere. The topography of the five Central Asian countries is mainly composed of hills and plains. The western and central regions are mainly broad plains, the northern regions are mainly hills, and the eastern regions are composed of the Tianshan Mountains and Pamir Plateau. The topography is significantly higher in the east than in the west. Xinjiang is composed of alternating mountains and basins, which form a topographic pattern of three mountain ranges that divide two basins from north to south. Xinjiang is divided by the Tianshan Mountains into northern Xinjiang and southern Xinjiang, which have significantly different natural conditions. This region has strong geographic heterogeneity, complex climate conditions, and water-stressed vegetation communities, and it is relatively sensitive to global climate change [32].



**Figure 1.** The study area and the topography in Central Asia.

### 2.2. Data and Methodology

We used multiple data sets, mainly including the GOSAT L4B CO<sub>2</sub> data product, the World Data Center for Greenhouse Gases (WDCGG) atmospheric CO<sub>2</sub> concentration data product from ground-based station observations, the Moderate Resolution Imaging Spectroradiometer (MODIS)

annual net primary production (NPP) data, energy consumption data from the World Bank, the China Statistical Yearbook, Digital Elevation Model (DEM) data, and ERA-Interim wind velocity data.

Four levels of data products (Levels 1–4) derived from GOSAT observations have been released since the GOSAT was successfully launched on 23 January 2009 [33]. Spectra and radiances are provided by the Level 1 product. The column abundances of CO<sub>2</sub> and CH<sub>4</sub> retrieved from Level 1 spectral observation data are provided by the FTS SWIR Level 2 data. Monthly global distributions of CO<sub>2</sub> and CH<sub>4</sub>, which are calculated from the Level 2 data using the Kriging interpolation method, are provided by the FTS SWIR Level 3 product. The Level 4 data product provides monthly CO<sub>2</sub> fluxes inferred from both the GOSAT Level 2 retrievals and ground-based CO<sub>2</sub> monitoring data (GLOBALVIEW-CO<sub>2</sub>) using a global atmospheric tracer transport model and a Bayesian inverse modeling scheme [34]. Details of the CO<sub>2</sub> inversion algorithm used by this satellite are found in the literature [23,35]. The data product consists of two datasets, the Level 4A (L4A) regional CO<sub>2</sub> flux data and the Level 4B (L4B) three-dimensional CO<sub>2</sub> distributions, the simulations of which are based on the L4A flux estimates. The CO<sub>2</sub> concentration data in this paper are derived from the GOSAT v02.04 near-surface CO<sub>2</sub> L4B product (975 hPa level). The horizontal resolution of the concentration distribution data is 2.5 degrees, and the data are available at 17 vertical levels that extend from near the surface to the top of the atmosphere. The data run from June 2009 to October 2013, and the time resolution is 6 h.

Before using the GOSAT data to study the temporal and spatial distributions of the near-surface CO<sub>2</sub> concentration in Central Asia, we need to verify the reliability of the near-surface CO<sub>2</sub> concentrations inverted from the GOSAT data. This study used ground-based station observations to verify the accuracy of the GOSAT data. The ground-based station observations in this study are from the WDCGG. Because the study region lacks station data, we selected the data from nine stations closest to the study region as the verification data (Table 1). Data from the stations are not used to generate the GOSAT L4B v02.04 product.

**Table 1.** Comparison of near-surface CO<sub>2</sub> concentrations retrieved from Greenhouse Gases Observing Satellite (GOSAT) with ground-based observation from June 2009 to May 2013. \*\*\* indicates significance level ( $p < 0.001$ ).

Site Names	Ground – Base Station		Yearly Growth (ppmv/a)			Monthly Average (ppmv)			
	Coordinate (°)	Altitude (m)	Ground	Satellite	Deviation	Ground	Satellite	Deviation	R
Assekrem	23.27° N, 5.63° E	2710	2.031	1.758	0.273	391.235	391.028	0.207	0.975 ***
Baltic Sea	55.35° N, 17.22° E	28	2.283	2.715	−0.432	393.778	392.259	1.519	0.950 ***
Cape Rama	15.08° N, 73.83° E	60	2.275	1.307	0.968	394.960	391.346	3.614	0.710 ***
King's Park	22.31° N, 114.17° E	65	4.433	1.977	2.456	403.771	401.340	2.431	0.852 ***
Pallas	67.97° N, 24.12° E	560	2.157	2.526	−0.369	394.285	393.527	0.757	0.960 ***
Shangdianzi	40.65° N, 117.12° E	287	2.070	2.204	−0.134	399.035	399.135	−0.100	0.757 ***
Teriberka	69.2° N, 35.1° E	40	2.056	2.344	−0.289	394.138	393.149	0.989	0.974 ***
Tiksi	71.59° N, 128.92° E	8	2.996	2.807	0.189	396.667	395.791	0.875	0.979 ***
Westerland	54.93° N, 8.32° E	12	4.334	4.940	−0.607	399.994	396.449	3.545	0.965 ***
Average			2.737	2.509	0.228	396.429	394.892	1.537	0.902 ***

To discuss the relationship between the near-surface CO<sub>2</sub> concentrations in Central Asia and energy consumption, we summarized the energy consumption data from the World Bank and China Statistical Yearbook [36]. To determine the effect of the vegetation carbon sink in this region on the near-surface CO<sub>2</sub> concentrations, we used the MODIS annual mean NPP product (MOD17A3, 1 km × 1 km) to analyze its effect on the spatial distribution of the near-surface CO<sub>2</sub> concentrations. The wind velocity and the direction of the prevailing winds are the most important meteorological factors that affect the near-surface CO<sub>2</sub> concentrations [37] and have important effects on the spatial distribution of near-surface CO<sub>2</sub>. The near-surface wind vector data (975 hPa) used in this study are from re-analyzed ERA-Interim data. The horizontal data resolution is 0.75° × 0.75°, and the time resolution is 6 h.



The correlation analysis method was used to analyze the effects of the anthropogenic and natural factors on the spatial distribution of near-surface CO<sub>2</sub> concentrations:

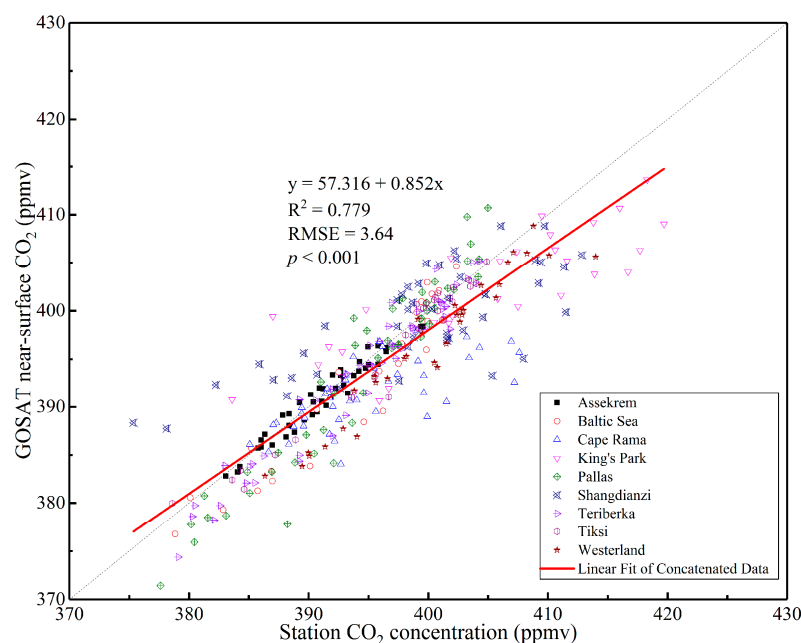
$$R_{xy} = \frac{\sum_{i=1}^n (X_i - \bar{X})(Y_i - \bar{Y})}{\sqrt{\sum_{i=1}^n (X_i - \bar{X})^2} \sqrt{\sum_{i=1}^n (Y_i - \bar{Y})^2}} \quad (1)$$

where  $X$  and  $Y$  are two essential factors,  $X_i$  and  $Y_i$  are their sample values, and  $\bar{X}$  and  $\bar{Y}$  are the means of  $X$  and  $Y$ , respectively.

### 3. Results

#### 3.1. Ground-Based Validation of the GOSAT CO<sub>2</sub> Product

The data from nine ground-based station observations were used to verify the GOSAT near-surface CO<sub>2</sub> concentrations. The results show a high degree of correlation between the ground observations over four years and the GOSAT inversion results ( $R^2 = 0.779$ , RMSE = 3.64,  $p < 0.001$ ) (Figure 2).

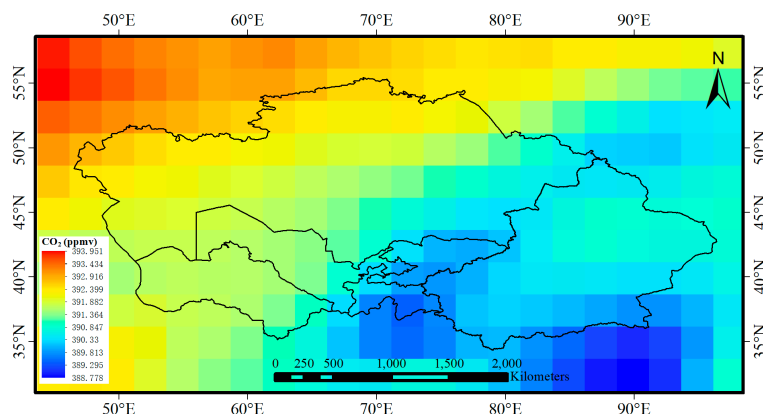


**Figure 2.** The comparison of CO<sub>2</sub> concentrations from GOSAT and nine ground-based station observations during June 2009 to May 2013.

All of the correlation coefficients between the observations at the nine WDCGG sites and the GOSAT inversion results are greater than 0.7 (Table 1). The monthly mean deviations are all less than 2 ppmv (1 ppmv = 1  $\mu\text{L/L}$ ), whereas the mean seasonal CO<sub>2</sub> variation is approximately 5 ppmv [17]. Therefore, the GOSAT inversion results can be used to capture the seasonal CO<sub>2</sub> variations. The mean annual growth rate of the CO<sub>2</sub> concentration at each station based on data from the ground-based station observations is 2.737 ppmv/a, whereas the corresponding satellite inversion results show that the annual growth rate is 2.509 ppmv/a (Table 1). The difference is less than 0.3 ppmv/a, which indicates that the GOSAT inversion data can capture the annual variation tendency of near-surface CO<sub>2</sub> concentrations. Rayner et al. demonstrated that a CO<sub>2</sub> concentration accuracy that is better than 1% (less than 4 ppmv) can decrease the uncertainty of estimates of regional CO<sub>2</sub> sources and sinks [38]. In summary, the GOSAT L4B near-surface CO<sub>2</sub> concentration data are highly accurate and stable and can be used to analyze the temporal and spatial distributions of the near-surface CO<sub>2</sub> concentrations in Central Asia.

### 3.2. The Temporal and Spatial Distributions of the Near-Surface CO<sub>2</sub> Concentrations in Central Asia

The distribution of the multi-year mean near-surface CO<sub>2</sub> concentrations in Central Asia shows significant spatial heterogeneity. The regions with high values are primarily located in the northern and western regions of Kazakhstan and the southwestern region of Turkmenistan. The regions with low values are primarily located in southern and eastern Central Asia, particularly in the Altai Mountains, Tianshan Mountains, Pamir Plateau, and Kunlun Mountains (Figure 3).



**Figure 3.** Annual average distribution of the near-surface CO<sub>2</sub> concentrations from June 2009 to May 2013 over Central Asia.

Of the six countries/regions, Kazakhstan has the highest annual mean CO<sub>2</sub> concentration (391.663 ppmv), and Tajikistan has the lowest annual mean concentration (389.903 ppmv). Kazakhstan has the highest annual mean growth over the four years (2.296 ppmv/a), which is 13.5% higher than that of Xinjiang, which has the lowest growth rate (Table 2).

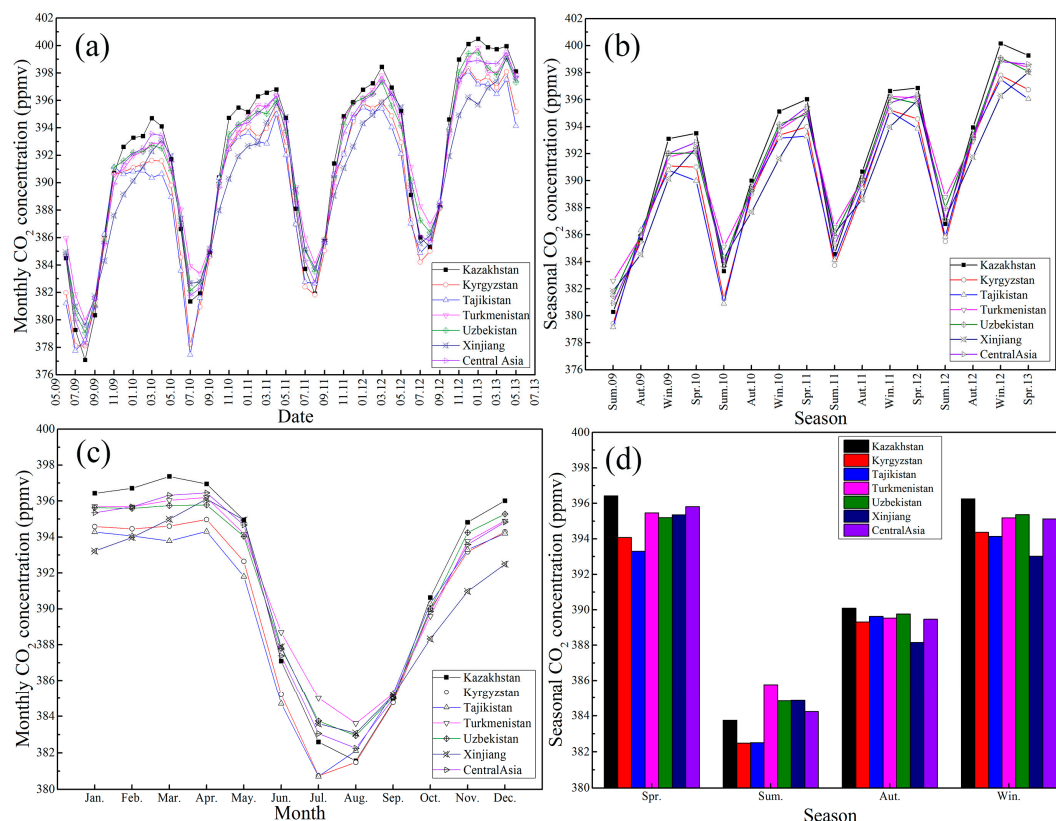
**Table 2.** The seasonal and annual CO<sub>2</sub> concentration variations in different regions of Central Asia from June 2009 to May 2013.

Areas	Yearly Average (ppmv)	Annual Growth (ppmv/a)	Seasonal Average (ppmv)				Seasonal Fluctuation (ppmv)		
			Spring	Summer	Autumn	Winter	Average	Maximum	Minimum
Kazakhstan	391.663	2.296	396.422	383.749	390.091	396.257	6.336	12.673	0.165
Kyrgyzstan	390.076	2.145	394.074	382.478	389.309	394.371	5.946	11.596	0.297
Tajikistan	389.903	2.209	393.296	382.511	389.622	394.137	5.813	10.785	0.841
Turkmenistan	391.549	2.217	395.469	385.769	389.529	395.179	4.850	9.700	0.291
Uzbekistan	391.321	2.253	395.190	384.830	389.764	395.363	5.267	10.361	0.173
Xinjiang	390.394	2.023	395.347	384.852	388.153	393.013	5.248	10.495	2.334
Central Asia	391.201	2.207	395.818	384.230	389.467	395.127	5.794	11.588	0.691

The near-surface CO<sub>2</sub> concentrations in Central Asia have significant seasonal and monthly cycles (Figure 4). The near-surface CO<sub>2</sub> concentrations in the six regions during each season are higher than those of the corresponding seasons in the previous year (Figure 4b). The CO<sub>2</sub> concentrations of all of the months in Central Asia are higher than those of the same months in the previous year (Figure 4a), which reflects the continuous increase in atmospheric CO<sub>2</sub> in this area.

The multi-year mean monthly concentrations (Figure 4c) show that Kazakhstan reaches its maximum concentration in March, whereas the other countries reach their maximums in April. Tajikistan and Kyrgyzstan reach their minimums concentration in July, whereas the other five regions reach their minimums in August. The near-surface CO<sub>2</sub> concentrations in all six regions reach their minimums in the summer (Figure 4d). Kazakhstan, Turkmenistan, and Xinjiang reach their maximums in the spring, whereas the other three regions reach their maximums in the winter. The largest variation between adjacent seasons is the variation from spring to summer, and the smallest variation is between

winter and spring. Table 2 shows that the mean variation between adjacent seasons in Central Asia is 5.794 ppmv. Kazakhstan has the largest variation between adjacent seasons; its mean variation is 1.31 times that of Turkmenistan, which has the smallest variation.



**Figure 4.** The near-surface (975 hPa) CO<sub>2</sub> concentrations variation in different regions of Central Asia based on GOSAT data from June 2009 to May 2013. (a) Monthly variation; (b) Seasonal variation; (c) The multi-year mean monthly concentration; (d) The multi-year mean seasonal concentration.

## 4. Discussion

### 4.1. Factors Affecting the Temporal Distribution of CO<sub>2</sub> Concentrations in Central Asia

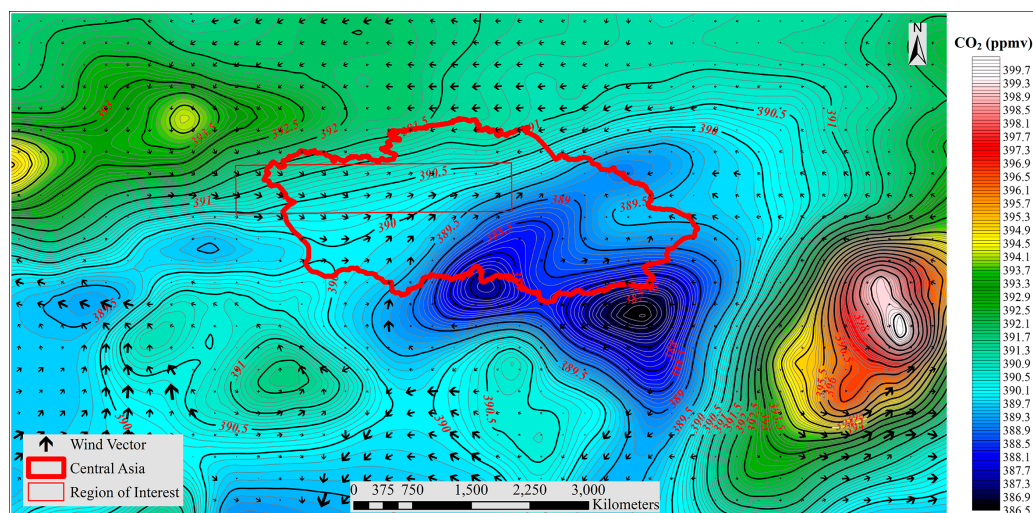
The near-surface CO<sub>2</sub> concentrations in Central Asia are highest in the spring (April) and lowest in the summer (August). The CO<sub>2</sub> concentrations increase in the fall, continue to increase in the winter, and reach a peak in the spring. The CO<sub>2</sub> concentrations have this seasonal variation because in the winter and spring, the populations of Central Asia use heating systems that consume large amounts of fossil energy, including natural gas, coal, and oil, and thus produce large amounts of CO<sub>2</sub> that are discharged into the atmosphere. On the other hand, plants are in the dormant and recovery stage in the spring and winter. Plant respiration is strong, but photosynthesis is weak, and the CO<sub>2</sub> absorption capability of plants is poor. The concentrated emissions and weak consumption of CO<sub>2</sub> cause the significant increase of atmospheric CO<sub>2</sub> concentration during this period, which causes the increase in CO<sub>2</sub> concentrations in the atmosphere. In addition, the temperature in the winter is lower, which hinders the decomposition of plant litter. Soil microorganism activity is also inhibited. The temperature starts to increase in the late spring. Soil microorganism activity increases, so CO<sub>2</sub> is decomposed and released from biological materials. The CO<sub>2</sub> in the soil is also released. Therefore, the maximum near-surface CO<sub>2</sub> concentrations observed by satellites occur in April. The near-surface CO<sub>2</sub> concentrations decrease from April to August. The largest decrease during this period (7.286 ppmv) occurs in May and June. The minimum near-surface CO<sub>2</sub> concentration (382.254 ppmv) occurs in

August. The main reason is that the lighting, temperature, and precipitation factors from April to August are beneficial to vegetation growth. Photosynthesis increases, and vegetation with good growth and high coverage can absorb large amounts of CO<sub>2</sub>, so the near-surface CO<sub>2</sub> concentrations decrease during this period.

The results show that the maximum intra-annual seasonal variation of CO<sub>2</sub> in Central Asia is 11.588 ppmv, which is much greater than the global intra-annual seasonal variation over the same time period. Thus, neglecting the seasonal and spatial CO<sub>2</sub> heterogeneities can severely affect the accuracy of ecosystem model predictions of vegetation productivity and carbon dynamics in arid regions.

#### 4.2. Factors Affecting the Spatial Distribution of CO<sub>2</sub> Concentrations in Central Asia

Figure 5 shows the distribution of the direction and velocity of the prevailing winds in Central Asia in 2010 (January 2010~December 2010), and the distribution of the near-surface CO<sub>2</sub> concentrations is represented by contours. Northern Central Asia is affected by southeasterly winds, its western region is affected by westerly winds, and its southern regions that border Afghanistan are affected by southerly winds. The five Central Asian countries are characterized by lower topography in the west and higher topography in the east, especially in the Tianshan Mountains and Pamir Plateau (Figure 1). Because of the unique geographic location, all of the surrounding countries and regions, except for Afghanistan, northern Pakistan, southern India, and western Xinjiang, China, have high CO<sub>2</sub> emissions. Due to the synergistic effect of these three factors, the CO<sub>2</sub> concentrations in the northern, western, and southwestern areas of the five Central Asian countries are high, and the concentrations in the central, eastern, southern, and southeastern areas are low.



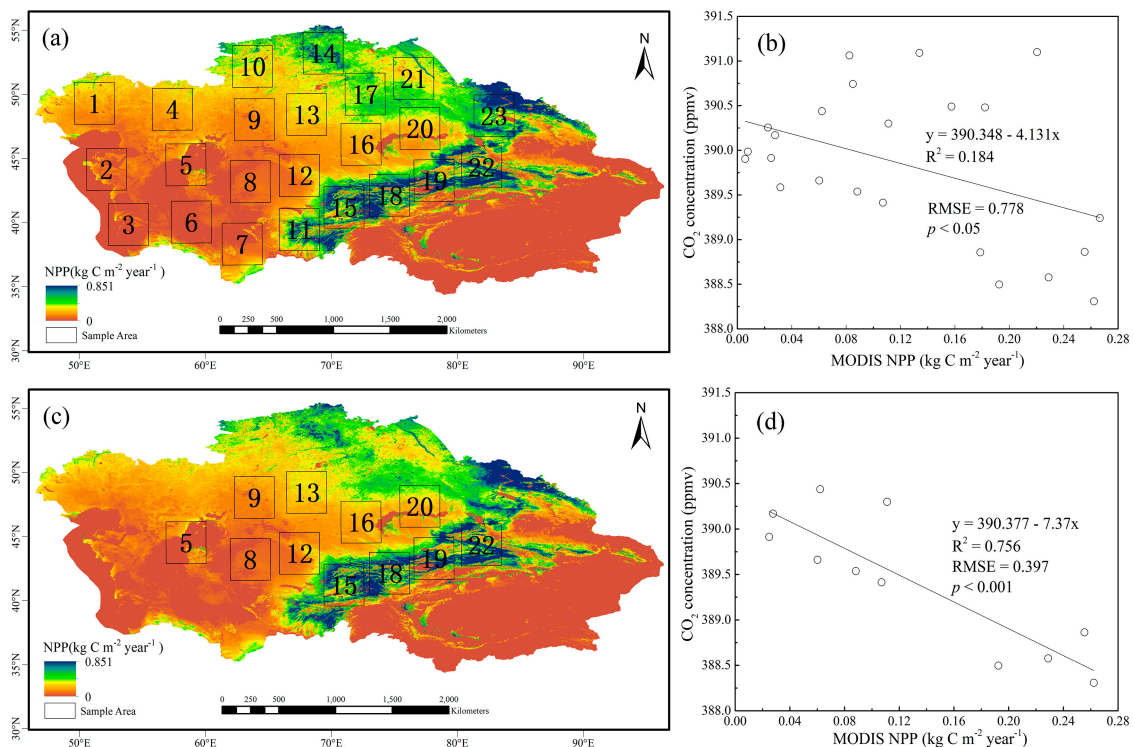
**Figure 5.** The prevailing winds and near-surface CO<sub>2</sub> isoclines over Central Asia and surrounding areas.

To determine the effect of wind on the five Central Asian countries, we delimited a rectangular region of interest (Figure 5). The region has a small population, and the vegetation coverage is approximately constant. Therefore, in the absence of CO<sub>2</sub> diffusion, the CO<sub>2</sub> concentrations in this region should be approximately constant. However, the CO<sub>2</sub> contours clearly show that due to the wind, the CO<sub>2</sub> concentrations are higher in the west and lower in the east. The distance between the CO<sub>2</sub> contours represents the effect of CO<sub>2</sub> being carried by the wind to this region from the west. From west to east, the distance between the CO<sub>2</sub> contours first increases gradually toward the center of Central Asia and then decreases gradually. This indicates that the diffusion of CO<sub>2</sub> by the wind gradually decreases from west to east. East of the center of the study area, the continental ecosystem plays a controlling role in the CO<sub>2</sub> concentrations. We can explain the factors that control the CO<sub>2</sub> in



this region by selecting several study areas and extracting the NPP and the corresponding mean CO<sub>2</sub> concentrations in these areas.

We first uniformly select 23 sampling areas in the five Central Asian countries and nearby regions using equal rectangular boxes and summarize the average NPP and near-surface mean CO<sub>2</sub> concentrations in these sampling areas (Figure 6). The NPP and CO<sub>2</sub> concentration are negatively correlated, but the correlation is very weak ( $R^2 = 0.184$ ,  $p < 0.05$ ) (Figure 6a,b). When we eliminate the sampling areas near boundaries that are strongly affected by wind and only use the sampling areas in the central and eastern regions, the NPP and CO<sub>2</sub> concentrations show a strong negative correlation ( $R^2 = 0.756$ ,  $p < 0.001$ ) (Figure 6c,d).

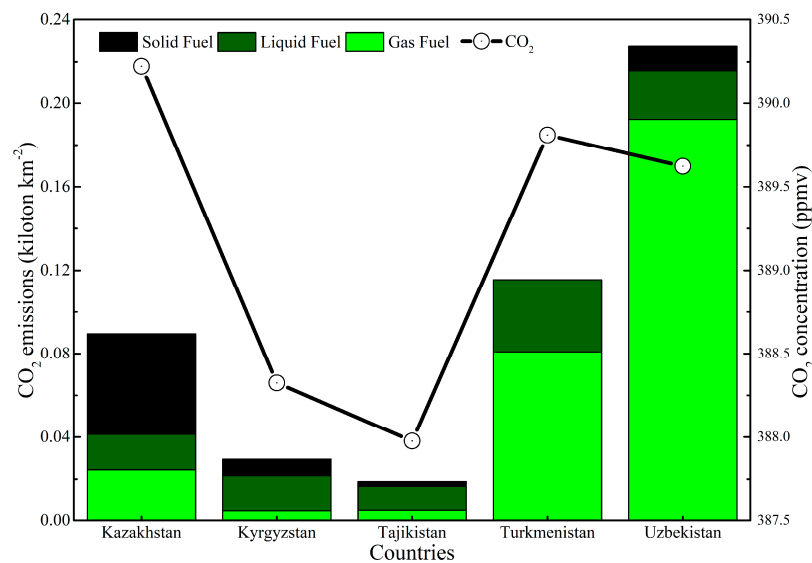


**Figure 6.** The relationship between yearly average net primary production (NPP) spatial distribution and yearly average of near-surface CO<sub>2</sub> concentrations over the five Central Asian countries in 2010. (a) Uniform sampling in the five Central Asian countries; (b) The relationship between near-surface CO<sub>2</sub> concentration and NPP; (c) Uniform sampling in the central and eastern regions; (d) The relationship between near-surface CO<sub>2</sub> concentration and NPP.

In summary, the CO<sub>2</sub> concentrations of the five Central Asian countries are generally not statistically correlated with the continental ecosystem because they are affected by the topography, wind velocity, and wind direction. However, due to the decreasing effect of wind on CO<sub>2</sub> diffusion from the west, the continental ecosystem plays a controlling role in the spatial distribution of the near-surface CO<sub>2</sub> concentrations in the central and eastern regions.

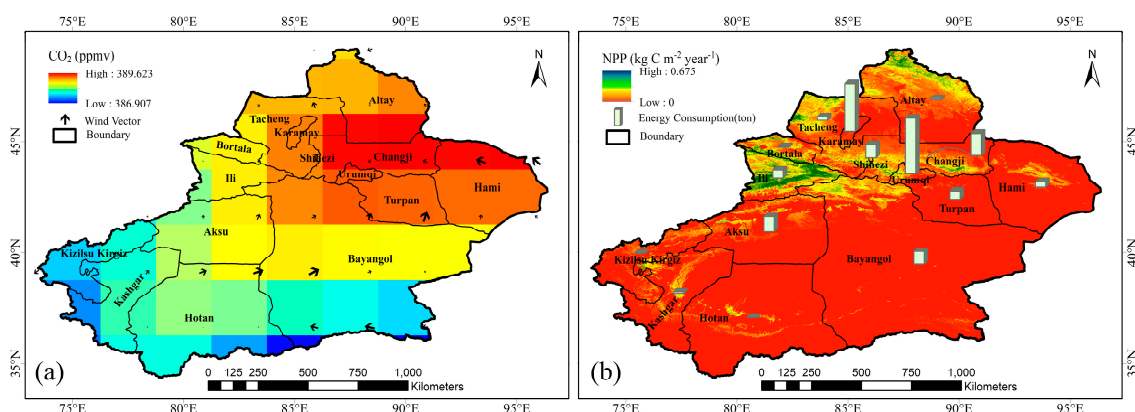
Figure 7 shows the relationship between the CO<sub>2</sub> emissions due to energy consumption in the five Central Asian countries and the near-surface CO<sub>2</sub> concentrations. The CO<sub>2</sub> concentrations and the energy consumptions are not statistically correlated at the national scale. This conclusion is contrary to that of the study on China by Hou [39]. The reason may be that the near-surface CO<sub>2</sub> in Central Asia is affected by CO<sub>2</sub> diffusion from surrounding regions. This result indicates that the distribution of the near-surface CO<sub>2</sub> concentrations in the five Central Asian countries is mainly affected by the CO<sub>2</sub> emitted by surrounding countries. The CO<sub>2</sub> produced by energy consumption in this region has an insignificant effect on the distribution of the CO<sub>2</sub> concentrations in this region.





**Figure 7.** The relationship between annual anthropogenic CO<sub>2</sub> emission and yearly average of near-surface CO<sub>2</sub> concentrations in 2010.

Because of the gradually weakening effect of diffusion of CO<sub>2</sub> from the west by wind and blocking by the Tianshan Mountains and Pamir Plateau, the Xinjiang region is not affected by the diffusion of CO<sub>2</sub> from the west. Due to the unique topography, in which three mountain ranges separate two basins, the CO<sub>2</sub> concentrations in Xinjiang are not affected by the diffusion of CO<sub>2</sub> from outside regions. The CO<sub>2</sub> distribution map for 2010 shows that the CO<sub>2</sub> concentrations in northern Xinjiang are significantly higher than those in southern Xinjiang, and these regions are separated by the Tianshan Mountains (Figure 8a). An analysis of the CO<sub>2</sub> emissions due to energy consumption in different cities in Xinjiang and the NPP data from Xinjiang demonstrates that the spatial heterogeneity of the CO<sub>2</sub> distribution in Xinjiang is mainly caused by energy consumption and the diffusion of CO<sub>2</sub> (Figure 8b). The energy consumption in the Altai and Tacheng regions in northern Xinjiang is low; however, due to the effect of the northwesterly wind, the CO<sub>2</sub> concentrations are very high. The NPP has an insignificant effect in Xinjiang.



**Figure 8.** Energy consumption, prevailing winds, and annual total NPP spatial distribution over Xinjiang, China in 2010. (a) Near-surface CO<sub>2</sub> concentrations and prevailing winds in Xinjiang; (b) average annual NPP spatial distribution and energy consumption in Xinjiang.

## 5. Conclusions

This study used the GOSAT near-surface product and relevant auxiliary data to study the spatial and temporal distributions of near-surface CO<sub>2</sub> concentrations in Central Asia and their controlling factors. The main conclusions are summarized below:

- (1) The near-surface CO<sub>2</sub> product inverted from the GOSAT data is strongly correlated with data from the nine stations that surround Central Asia. The correlation coefficients are all higher than 0.7, and the mean monthly deviation is less than 2 ppmv. The GOSAT results are highly accurate and stable and can be used to capture the seasonal and annual variations of near-surface CO<sub>2</sub>.
- (2) The near-surface CO<sub>2</sub> concentrations in Central Asia are regionally heterogeneous. CO<sub>2</sub> concentrations in the western arid region are higher than those in the east. The near-surface CO<sub>2</sub> concentrations are lower in high altitude areas, namely, in the Altai Mountains, Tianshan Mountains, Pamir Plateau, and Kunlun Mountains.
- (3) The near-surface CO<sub>2</sub> concentrations in Central Asia have an annual increasing trend. The annual mean rate of increase is 2.207 ppmv/a. The near-surface CO<sub>2</sub> concentrations of each season increase compared with the preceding years. The near-surface CO<sub>2</sub> concentrations of each month are higher than those of the corresponding months of the previous year in Central Asia. The near-surface CO<sub>2</sub> concentrations have seasonal and monthly cycles.
- (4) The temporal variations of the near-surface CO<sub>2</sub> concentrations in Central Asia are mainly affected by the photosynthesis/respiration of the continental ecosystem and heating. The controlling factors are different during the different seasons. Due to the topography and the prevailing winds, the spatial distribution of the CO<sub>2</sub> concentrations in the five Central Asian countries is mainly affected by the CO<sub>2</sub> emissions from surrounding countries. Due to the gradual decrease in the diffusion of CO<sub>2</sub>, the central, eastern, and southeastern regions of the five Central Asian countries are significantly affected by the NPP. At the national scale, the near-surface CO<sub>2</sub> concentrations of the five Central Asian countries are not significantly related to energy consumption. Due to the topography, the CO<sub>2</sub> concentrations in Xinjiang are not significantly affected by the diffusion of CO<sub>2</sub> from outside regions; the spatial heterogeneity is mainly affected by energy consumption and the prevailing winds, and the NPP has an insignificant effect on the CO<sub>2</sub> concentrations.

Although this study analyzed the controlling factors of the temporal distribution of the near-surface CO<sub>2</sub> concentrations in Central Asia, we cannot perform a verification of the analyses because this region lacks monthly scale NPP and energy consumption data. In addition, because the time scale of the GOSAT data is short, we only have GOSAT data for four years. Therefore, the analysis in this study has some level of uncertainty; however, the magnitude of the uncertainty is unclear. Furthermore, the accuracy in detecting CO<sub>2</sub> concentration levels is influenced by the absorption and scattering from aerosols and clouds. Large expanses of desert with high aerosol dust loads and complex topography in Central Asia may lead to significant biases in the GOSAT retrieval, although CAI is used to correct for these effects. Due to the lack of ground-based CO<sub>2</sub> observations in Central Asia, the accuracy of near-surface CO<sub>2</sub> concentration levels was verified based on sites around the study area. It is unclear whether the verification results reflect the actual precision of the GOSAT in Central Asia. These questions will be the focus of a future study.

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