

## Article

# Short-Term Evapotranspiration Forecasting of Rubber (*Hevea brasiliensis*) Plantations in Xishuangbanna, Southwest China

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**Abstract:** Rubber (*Hevea brasiliensis*) plantations have high water consumption through evapotranspiration, which can contribute to water scarcity. In addition, there is a lack of spatial observation data and estimation methods for evapotranspiration ( $ET$ ) for rubber plantations. To alleviate the water stress of expanding rubber plantations caused by seasonal drought in Xishuangbanna, Southwest China, an up to 7 days crop evapotranspiration ( $ET_c$ ) forecast method, “ $K_c-ET_0$ ” for rubber plantations with limited meteorological data, was proposed by using rubber crop coefficient  $K_c$  and public weather forecasts. The results showed that the average absolute error (MAE) of forecasted  $ET_c$  was  $0.68 \text{ mm d}^{-1}$ , the root mean square error (RMSE) was  $0.85 \text{ mm d}^{-1}$ , and the average correlation coefficient (R) was 0.69 during the rainy season, while during the dry season these metrics were  $0.52 \text{ mm d}^{-1}$ ,  $0.68 \text{ mm d}^{-1}$ , and 0.85, respectively. The accuracy of  $ET_c$  forecast in the dry season was higher. Additionally,  $K_c$  was the main factor influencing the accuracy of rubber plantations  $ET_c$  forecast, while the accuracy of the temperature forecast and the chosen Hargreaves-Samani (HS) model were also considerable. Our results suggested that the “ $K_c-ET_0$ ” short-term rubber plantation  $ET_c$  forecasting method shows good performance and acceptable accuracy, especially in the dry season. The study provides an important basis for creating  $ET$ -based irrigation scheduling for improving regional-scale water management in high water consumption rubber plantations.

**Keywords:** crop evapotranspiration; rubber plantations; public weather forecasts; crop coefficient; Hargreaves-Samani (HS) model



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## 1. Introduction

Evapotranspiration ( $ET$ ) is considered a key process in biosphere-atmosphere exchange that is closely linked to biochemical cycles and hydrologic cycles [1–3]. Crop evapotranspiration ( $ET_c$ ) is a fundamental component of agrohydrology that influences interactions among soil–vegetation–atmosphere systems, as well as irrigation scheduling design related to agricultural practices [4].  $ET_c$  can be measured based on water balance [5,6], micrometeorology [1,7], and remote sensing [8,9], which are usually difficult in terms of being time-consuming and having high costs on a large scale. However,  $ET_c$  forecasting is the basis for irrigation scheduling design and is an effective way to mitigate disasters in agricultural production from extreme weather events [10,11]. Researchers have proposed an  $ET_c$  forecasting method based on reference evapotranspiration ( $ET_0$ ) calculated using

weather information and the corresponding crop coefficient ( $K_c$ ), which has been named the “ $K_c-ET_0$ ” method in the literature [4,12,13].

$ET_0$  is the key to real-time  $ET_c$  forecasting and an important indicator for revealing global and regional agricultural climate change, drought disasters, and ecological environment monitoring [14–17]. Although  $ET_0$  can be directly measured by lysimeters, it is a high cost and complex technical device for long-term monitoring or at the spatial scale. Alternatively,  $ET_0$  estimation models based on meteorological variables including the Penman-Monteith model (PM) [18], Priestley-Taylor model [3], Hargreaves-Samani model [19,20], and Irmak-Allen model [21] are widely used. The CROPWAT irrigation model was developed using the Penman-Monteith model (PM) and the single crop coefficient method [22]. A fundamental obstacle to PM calculations is the frequent absence of large amounts of meteorological data. While the Hargreaves-Samani (HS) model, based on easily accessible air temperature and solar radiation data, has been recommended by the FAO (Food and Agriculture Organization, Rome, Italy) as the alternative method to the PM method when meteorological data are limited, which stated that “Hargreaves’ method has shown reasonable  $ET_0$  results with a global validity” [18]. Many other studies [3,23–25] have used the HS model to estimate  $ET_0$ . The advantages and challenges of using the HS-based “ $K_c-ET_0$ ” approach to forecast  $ET_c$  have also been reported [4,13,26,27]. The public weather forecast (PWF) contains air temperature, weather type, and wind scale, which have been widely used as input parameters to forecast  $ET_0$  in China [1,28–31].

Rubber plantation areas have significantly increased in Xishuangbanna, Southwest China, since the 1960s. The total area of rubber plantations reached 571,400 ha in 2018 with a growth rate of up to 76%. It has since been extended to “non-traditional” areas (colder and drier)  $10^\circ$  N/S of the Equator and over 600 m a.m.s.l., and has replaced large amounts of natural forest and agricultural land. Natural rubber is a commercial tree and has an annual evapotranspiration 28–30% higher than that of tropical rainforests [6,32]. Rubber plantation expansion has raised concerns regarding its negative impacts on regional hydrological processes, including water balance and water cycling [33–35]. Ling et al. [5] estimated that the evapotranspiration of rubber plantations was  $1035.91 \text{ mm yr}^{-1}$  higher than natural forests at Xishuangbanna. Giambelluca et al. [7] reported that the average annual evapotranspiration of rubber plantations in Thailand and Cambodia were 1211 mm and 1459 mm, respectively, higher than that of other tree-dominated land covers in the region, including tropical seasonal forest ( $812\text{--}1140 \text{ mm yr}^{-1}$ ) and savanna ( $538\text{--}1060 \text{ mm yr}^{-1}$ ). Compared with tropical rainforests or rubber plantations in other regions, the transpiration water consumption of rubber plantations in Xishuangbanna is much higher. The annual evapotranspiration of four different rubber plantations of different ages was about 34.95–83.34% of the total precipitation in Xishuangbanna [36]. Rubber-tree-induced water stress during the dry season causes the tapping of water from deeper soil layers and has caused a significant drop in groundwater table [37,38].

Additionally, Xishuangbanna is located in the south of the Longitudinal Range-Gorge Region, which has complex water-air circulation processes and multiscale correlations regarding “channel-barrier” effects on atmospheric circulation and heat distribution, and which has experienced seasonal meteorological disasters of moderate and locally severe drought in recent years [39,40]. Water shortages have threatened rubber plantations, since high water consumption in the hot dry season (March–April) leads to seasonal drought [6,41–44].

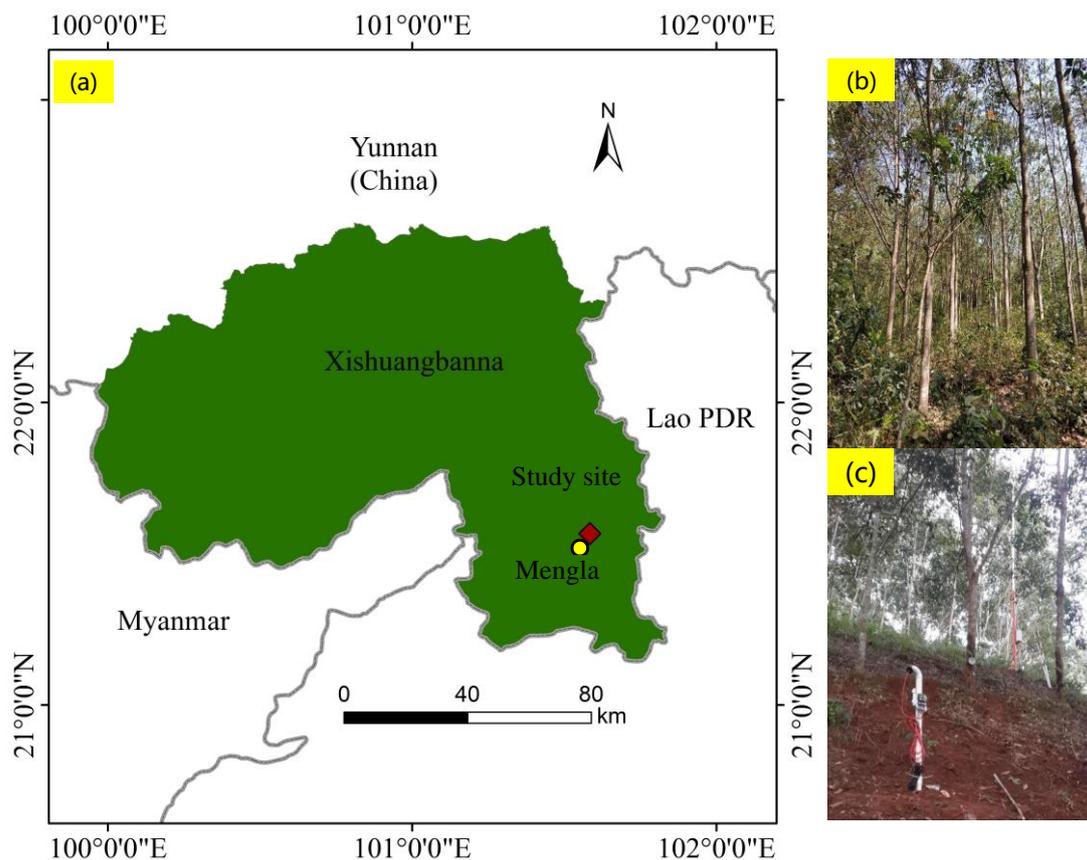
A literature survey reveals that although both experimental and numerical studies have been carried out on the evapotranspiration of rubber trees, short-term forecasting of the  $ET_c$  of rubber plantations is of vital importance for improving regional-scale water management in high-water-consuming rubber plantations, and studies on  $ET_c$  forecasts in regional expansion “water pumps” rubber plantations are rare due to lacking meteorological data. Moreover, improving the process of understanding and the forecasting accuracy of regional rubber plantations  $ET_c$  to reduce negative consequences for seasonal water resources shortage is an urgent need, especially in Xishuangbanna in Southwest China.

The main objectives of our research are therefore to: (1) propose and apply an approach for forecasting the  $ET_c$  values of rubber plantations based on limited meteorological data, public weather forecasting information, and the HS model; (2) evaluate the accuracy of the  $ET_c$  daily forecasts using the result of the Bowen ratio-energy method at the experimental sample sites; and (3) identify the uncertainty sources and compare their impact on forecasting  $ET_c$ .

## 2. Materials and Methods

### 2.1. Study Area

The study site is located in Bubeng Village, Xishuangbanna, Southwest China ( $21^{\circ}34'10''$  N,  $101^{\circ}35'24''$  E) (Figure 1). One of the meteorological observation stations sits up in Mengla ( $21^{\circ}28'$  N,  $101^{\circ}35'$  E) near the Yunnan Meteorological Service Bureau. The average annual temperature is  $21.5^{\circ}\text{C}$ . The average annual sunshine duration is 1853.4 h. The multi-year average annual rainfall was 1599 mm from 1970 to 2017, while the dry season (from November to April) only receives about 15% of the annual precipitation [5]. The rubber plantation of our study site was transformed from an original tropical monsoon forest with a slope length of approximately 300 m. Rubber trees were planted at  $300 \pm 50$  trees  $\text{ha}^{-1}$ . A small number of shrubs and weeds grow under the rubber forest. The characteristics of the rubber plantation in the experimental site are presented in Table 1.



**Figure 1.** The experimental site of a rubber plantation (indicated by a solid square;  $21^{\circ}34'10''$  N,  $101^{\circ}35'24''$  E) in Xishuangbanna, Yunnan Province, Southwest China. Mengla indicated by a yellow circle ( $21^{\circ}28'$  N,  $101^{\circ}35'$  E) (a) Location of area; (b) Observed sample rubber plantation; (c) Automatic meteorological system stations (WS-BR06, Campbell, CA, USA) and Drain gauge G3 (METER, Pullman, WA, USA).

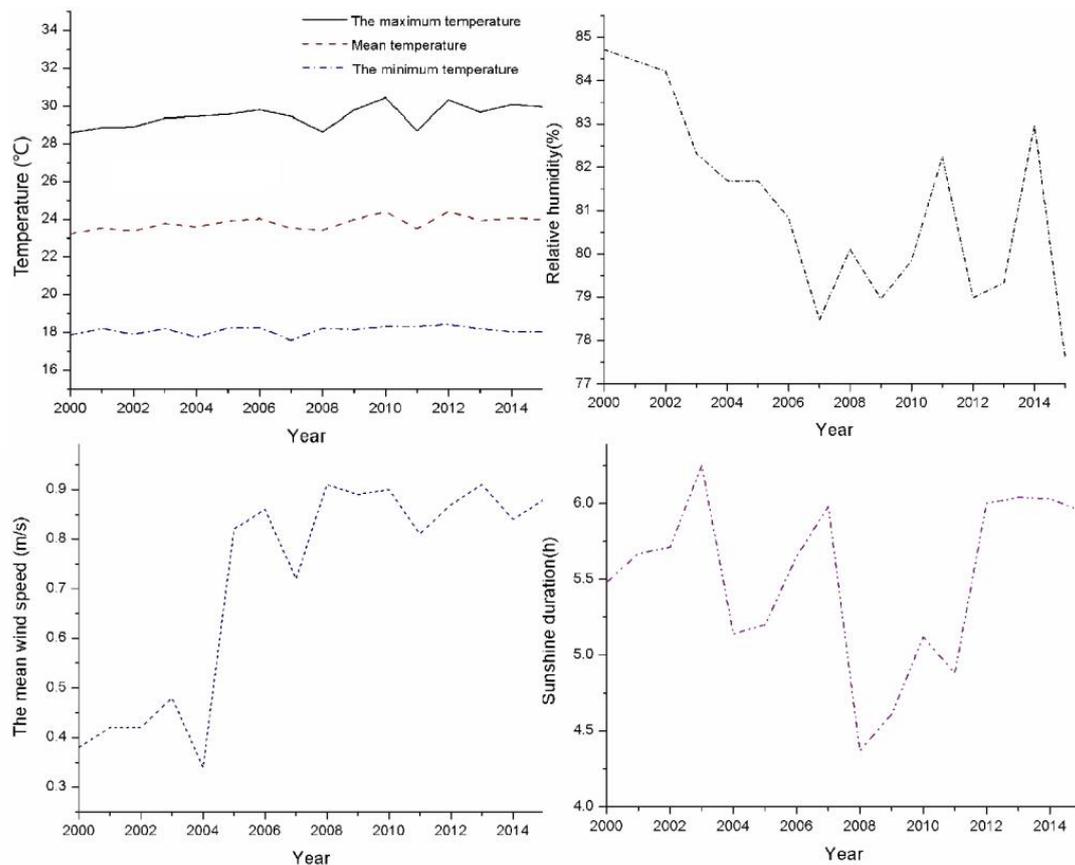
**Table 1.** Characteristics of the rubber plantation and the experimental site.

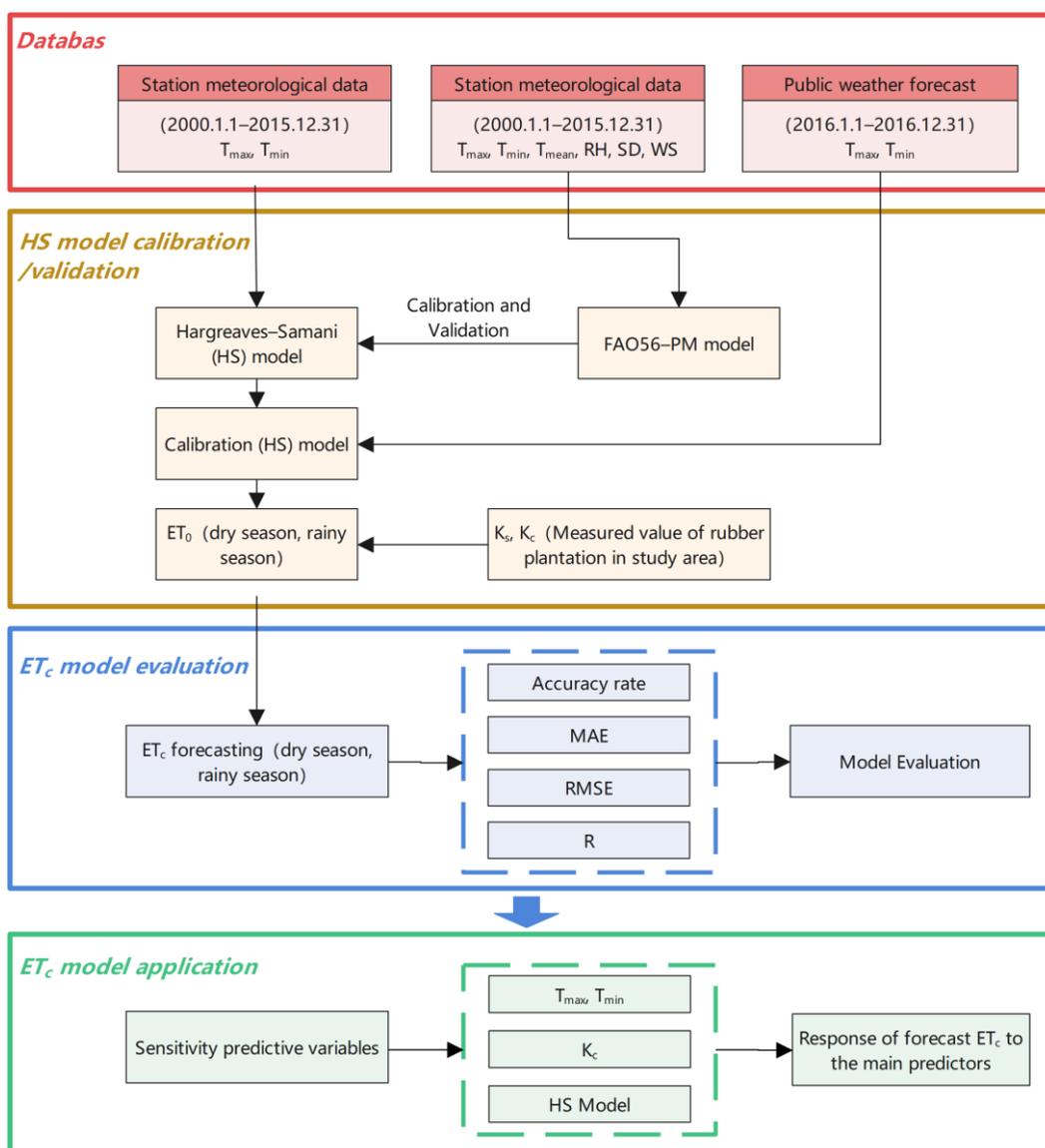
Planting Year	Location	Altitude (m)	Slope (°)	Plot Area (m × m)	Mean Stem Diameter (cm)	Tree Height (m)	Planting Density (Trees/ha)
2001	21°34′10″ N 101°35′24″ E	726	22	200 × 200	17 ± 2	11.58 ± 2.3	300 ± 50

## 2.2. Data

### 2.2.1. Meteorological Data

The daily meteorological data for the period of 2000–2015 were collected from the China Meteorological Data Sharing Service System (<http://cdc.cma.gov.cn>, accessed on 23 November 2020) and Yunnan Meteorological Service Bureau. The trend of meteorological variables in Mengla is shown in Figure 2. The public weather forecast data from 2016 were acquired from Weather China (<http://www.weather.com.cn>, accessed on 12 December 2020). The framework of the rubber plantation  $ET_c$  forecast is shown in Figure 3. The weather forecast data include daily maximum air temperature ( $T_{max}$ ) and daily minimum air temperature ( $T_{min}$ ) forecasts for 7-day forecasting horizons, and the observed daily meteorological data include  $T_{max}$  and  $T_{min}$ , mean temperature ( $T_{mean}$ ), relative humidity, average wind speed, and sunshine duration.  $ET_0$  forecasts were calculated by the calibrated HS model using the temperature forecast data from 2016 as input parameters. The observed meteorological data were divided into three parts: the calibration period (2000–2012), the validation period (2013–2015), and 2016 was the test period.

**Figure 2.** Trend of meteorological variables at Mengla Meteorological Station from 2000 to 2015.



**Figure 3.** Framework of the rubber plantation  $ET_c$  forecast integrating public weather forecast data with the “ $K_c-ET_0$ ” method using the HS model. (RH, relative humidity; SD, sunshine duration; WS, wind speed;  $ET_0$ , reference evapotranspiration;  $ET_c$ , crop evapotranspiration;  $K_c$ , the crop coefficient;  $K_s$ , soil water stress coefficient; MAE, the average absolute error; R, the average correlation coefficient; RMSE, the root mean square error).

Furthermore, the accuracy of  $ET_0$  predictions was assessed by comparing the forecasted and calculated  $ET_0$  values using observed weather data into the FAO56-PM model. Daily  $ET_c$  forecasts were based on  $ET_0$  predictions using the temperature forecast and multiplied by values of the rubber crop coefficient ( $K_c$ ) and the soil water stress coefficient ( $K_s$ ). Finally, the proposed  $ET_c$  forecast method in our research was verified by comparing the  $ET_c$  forecast values with the observed values of the experimental sample site in 2016.

### 2.2.2. The Observed $ET_c$ and Soil Water Content

The  $ET_c$  of the rubber plantation based on the meteorological and energy data measured by the Bowen ratio system at Mengla in the experimental sample site in 2016 are considered as observed  $ET_c$  to validate the model forecasting results. The volumetric water content (VWC, %) of soil moisture was automatically recorded at 10, 20, 30, and 40 cm depths, respectively, via sensors (ECH2O 5TE, Pullman, WA, USA) sampled. The  $ET_c$  was

1035.91 mm and the average daily  $ET_c$  was 2.83 mm d<sup>-1</sup>. The  $ET_c$  was 630.19 mm in the rainy season, 211.67 mm in the cool-dry season, and 194.05 mm in the hot-dry season. The VWC values varied from 0.22 to 0.37 cm<sup>3</sup>/cm<sup>3</sup> throughout the whole year.

### 2.3. Calculation of Reference Evapotranspiration

#### 2.3.1. Hargreaves-Samani (HS) Model

The temperature and extraterrestrial-radiation-based Hargreaves-Samani (HS) model, as expressed in Equation (1), is used in the study to forecast daily  $ET_0$  [29,45–47].

$$ET_{0,HS} = C \cdot R_a (T_{\max} - T_{\min})^E \cdot [(T_{\max} - T_{\min})/2 + 17.8] \quad (1)$$

where  $ET_{0,HS}$  is the  $ET_0$  value calculated by the HS model, mm d<sup>-1</sup>;  $R_a$  is the extraterrestrial radiation, MJ m<sup>-2</sup> d<sup>-1</sup>;  $T_{\max}$  and  $T_{\min}$  are the maximum and minimum temperatures, °C;  $C$  is an empirical coefficient, which is 0.0023, and  $E$  is an exponent, which is 0.5 [46,48–50]. Values of parameters  $E$  and  $C$  need to be locally determined by calibration. The HS method has been recommended by the FAO as the alternative method to the PM method when meteorological data are limited [18].

#### 2.3.2. Penman-Monteith Model

The Penman-Monteith method is recommended by the Food and Agriculture Organization of the United Nations (FAO) and the World Meteorological Organization (WMO) as the standard method by which to calculate  $ET_0$  and for the evaluation of other methods [51–54]. Therefore, in this study, the  $ET_0$  value calculated by the PM model was used as a standard reference to calibrate the parameters  $C$  and  $E$  of the HS model.

The PM method is expressed as follows:

$$ET_0 = \frac{0.408\Delta (R_n - G) + \gamma \frac{900}{T+273} u_2 (e_s - e_a)}{\Delta + \gamma(1 + 0.34u_2)} \quad (2)$$

where  $ET_0$  is the reference crop evapotranspiration, mm d<sup>-1</sup>;  $G$  is the soil heat flux, MJ m<sup>-2</sup> d<sup>-1</sup>;  $R_n$  is the net canopy surface radiation, MJ m<sup>-2</sup> d<sup>-1</sup>;  $u_2$  is the mean wind speed at 2 m (which is converted from the wind speed at 10 m), m s<sup>-1</sup>;  $T$  is the mean air temperature, °C;  $e_a$  is the actual water vapor pressure, kPa;  $e_s$  is the saturated water vapor pressure, kPa;  $\gamma$  is the hygrometer constant, kPa/°C; and  $\Delta$  is the saturated water vapor pressure—temperature slope, kPa/°C.

The PM equation is established on physical and aerodynamic parameters, and thus produces reliable  $ET_0$  results in most climates without local calibration. Many studies have also confirmed the superior performance of the PM method in various climates [51–54]. Thus, the PM method was used as a reference to calibrate the HS model based on the nonlinear least squares method in this study. The observed meteorological data were provided as an input into the PM equation and the output  $ET_0$  results were used to quantify the accuracy of the forecasted  $ET_0$  computed using the HS models.

#### 2.3.3. Soil Water Stress Coefficient ( $K_s$ ) and Crop Coefficient ( $K_c$ )

In the study, the  $ET_c$  of vegetation is calculated as follows:

$$ET_c = K_s \times K_c \times ET_0 \quad (3)$$

where  $ET_c$  is the crop evapotranspiration under nonstandard conditions, mm d<sup>-1</sup>, and  $K_s$  is the soil water stress coefficient, and in rubber plantations it can be calculated using the following equation [55]:

$$K_s = \begin{cases} 1, & \theta \geq \theta_{thr} \\ \frac{\theta - \theta_{wp}}{\theta_{thr} - \theta_{wp}}, & \theta_{wp} \leq \theta < \theta_{thr} \end{cases} \quad (4)$$

where  $\theta$  is the soil volume water content, %;  $\theta_{wp}$  is the soil volume water content at withering, %; and  $\theta_{thr}$  is the critical the soil volume water content, %; where  $\theta_{thr} = (1 - p)\theta_{fc} + p\theta_{wp}$ ,  $\theta_{fc}$  is the field water holding capacity, %;  $p$  is the ratio of water available in the rhizosphere to the total water, and, for the rubber plantation, is taken as 0.4 [18].

In our research, the observed  $ET_c$  value (as  $ET_{c,obs}$ ) was measured by the Bowen ratio system at rubber plantations at the study site. The meteorological parameters monitored by automatic weather stations for the same time period were used for the calculation of the  $ET_0$ . The crop coefficient ( $K_c$ ) in rubber plantations under nonstandard conditions was calculated as follows [56]:

$$K_c = \frac{ET_{c,obs}}{ET_0 \cdot K_s} \quad (5)$$

All notations are as defined above.

#### 2.4. Model Evaluation Criteria

To evaluate the accuracy of  $ET_0$  forecasts using the HS model and  $ET_c$  forecasts of the rubber plantation in our study site, three indices, i.e., mean absolute error, MAE, root-mean-square error, RMSE, and correlation coefficient, R, were calculated as follows:

$$MAE = \sum_{i=1}^n |x_i - y_i| / n \quad (6)$$

$$RMSE = \sqrt{\sum_{i=1}^n (x_i - y_i)^2 / n} \quad (7)$$

$$R = \left[ \sum_{i=1}^n (x_i - \bar{x})(y_i - \bar{y}) \right] / \left[ \sqrt{\sum_{i=1}^n (x_i - \bar{x})^2} \sqrt{\sum_{i=1}^n (y_i - \bar{y})^2} \right] \quad (8)$$

where  $x_i$  is the forecasted value of each meteorological factor or the  $ET_0$  value;  $y_i$  is the observed value of each meteorological factor or the  $ET_0$  value calculated by FAO56-PM;  $i$  is the forecasted sample number ( $i = 1, 2, \dots$ );  $\bar{x}$  is the average value of  $x_i$ ;  $\bar{y}$  is the average value of  $y_i$ ; and  $n$  is the number of forecast values. The statistical indices MAE, RMSE, and R for evaluating the accuracy of (i) forecast versus observed  $T_{max}$  and  $T_{min}$ , and  $ET_0$ , (ii) HS versus PM ( $ET_0$  in calibration and validation), (iii)  $ET_c$  estimated versus  $ET_c$  were observed.

#### 2.5. Sensitivity Analysis

To investigate the impact of the errors in input variables,  $T_{max}$  and  $T_{min}$ , and model parameter  $K_c$  on  $ET_c$ , a sensitivity analysis was performed using the mean values of the  $ET_c$  in the dry and rainy seasons as base values.  $ET_c$  was analyzed in terms of changes in  $T_{max}$  and  $T_{min}$ , and  $K_c$  values within a range of  $\pm 20\%$  in 5% steps. During the process,  $ET_c$  values were computed using the proposed method by changing one parameter at a time while keeping the other parameters constant [57].

### 3. Results

#### 3.1. Evaluation of Weather Forecast ( $T_{max}$ , $T_{min}$ )

We compared the observed and forecasted temperature values at Mengla in Xishuangbanna (Table 2). It was seen that the accuracy of temperature forecasting declined with increasing lead time. The MAE, RMSE, and R of the  $T_{max}$  forecast ranged from 1.74 to 2.10 °C, 2.37 to 3.16 °C, and 0.84 to 0.75, respectively, for the lead time from 1 to 7 days. For the  $T_{min}$  forecast, the MAE, RMSE, and R ranged from 1.39 °C to 1.91 °C, 1.87 °C to 2.44 °C, and 0.93 to 0.83. The observed and forecasted temperature variables had strong linear relationships, as measured by the R values. All statistical indicators showed that the air temperature forecasts had good accuracy for  $ET_c$  forecasting.

**Table 2.** The  $T_{max}$  and  $T_{min}$  forecasts statistical index.

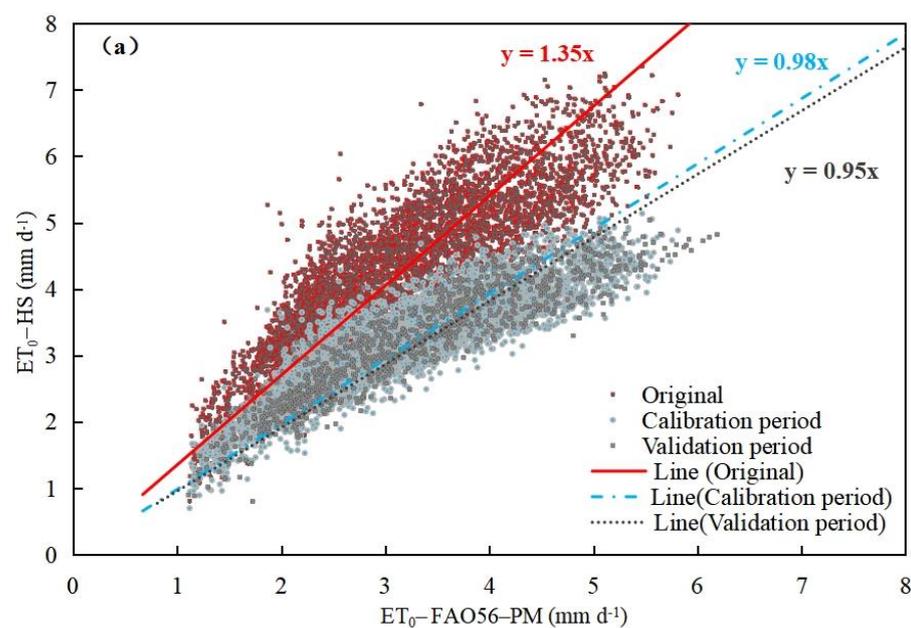
Lead Time (Day)	$T_{max}$			$T_{min}$		
	MAE (°C)	RMSE (°C)	R	MAE (°C)	RMSE (°C)	R
1	1.74	2.37	0.84	1.39	1.87	0.93
2	1.76	2.43	0.85	1.42	1.88	0.93
3	1.76	2.45	0.84	1.49	1.96	0.91
4	1.77	2.49	0.83	1.84	2.52	0.85
5	1.93	2.71	0.81	1.77	2.33	0.87
6	2.01	2.89	0.77	1.92	2.41	0.83
7	2.10	3.16	0.75	1.91	2.44	0.83
Average	1.86	2.64	0.81	1.68	2.20	0.88

MAE, the average absolute error; R, the average correlation coefficient; RMSE, the root mean square error.

### 3.2. Calibration and Validation of the HS Model

The HS model was calibrated against the PM model using observed data; the optimized values for C and E were 0.002 and 0.43, respectively, which were different from the default parameter values (C was 0.0023 and E was 0.5) [46] due to the different area and climate conditions. However, the C and E values were close to the suggested values by Hu et al. [48], who calibrated the HS model against PM model over 105 climate stations in China.

The results showed that the calibrated HS model had great applicability in the study region. As shown in Figure 4a, the scatter plot of original data points calculated using default C and E values is biased with a slope of 1.35, and the  $ET_0$  values calculated by the Hargreaves-Samani equation (as  $ET_{0,HS}$ ) are much greater than the  $ET_0$  values calculated by the Penman-Monteith equation (as  $ET_{0,PM}$ ) (Figure 4b). After calibration, the slope of the linear correlation is 0.98, which is very close to 1, and in the validation period it is 0.95, indicating a very good performance (Figure 4a). In the calibration periods, the accuracy of MAE improved from 1.20 to 0.36; the RMSE improved from 1.30 to 0.45. In the validation periods, the accuracy of MAE improved from 1.20 to 0.35, the RMSE improved from 1.30 to 0.46 (Table 3). Figure 4b shows a time series comparison of  $ET_{0,HS}$  and  $ET_{0,PM}$  in the calibration and validation periods. Overall, the accuracy of the calibrated HS model could be used for forecasting  $ET_0$  values in the study site.



**Figure 4.** Cont.

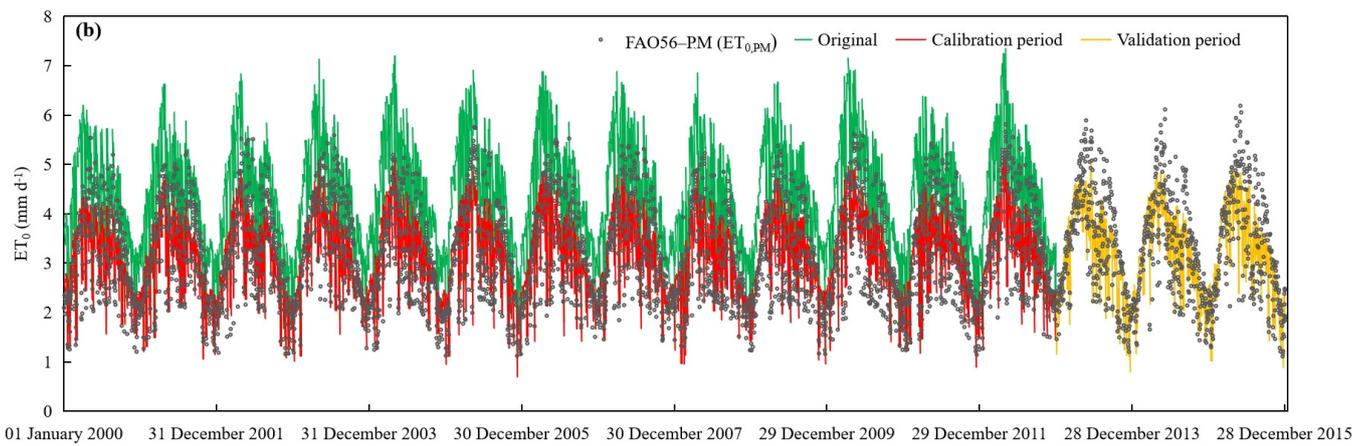


Figure 4. (a,b) The  $ET_0$  calibration and validation of the HS model.

Table 3. Statistical indices of  $ET_0$  calculated by the HS model.

	Original (2000–2015)			Calibration Period (2000–2012)			Validation Period (2013–2015)		
	MAE (mm)	RMSE (mm d <sup>-1</sup> )	R	MAE (mm)	RMSE (mm d <sup>-1</sup> )	R	MAE (mm)	RMSE (mm d <sup>-1</sup> )	R
HS	1.20	1.30	0.88	0.36	0.45	0.89	0.35	0.46	0.91

### 3.3. The Analysis of $ET_0$ Forecasts

The daily  $ET_0$  forecast values (as  $ET_{0,for}$ ) for 1-, 4-, and 7-day horizon forecasts and  $ET_{0,PM}$  for rubber plantations are displayed in Figure 5. The  $ET_{0,for}$  forecasts for rubber plantations range from 0.76 to 5.86 mm d<sup>-1</sup>. Despite some over-predicted and under-predicted values, 1-, 4-, and 7-day horizon  $ET_{0,for}$  values follow the trend of  $ET_{0,PM}$  values.

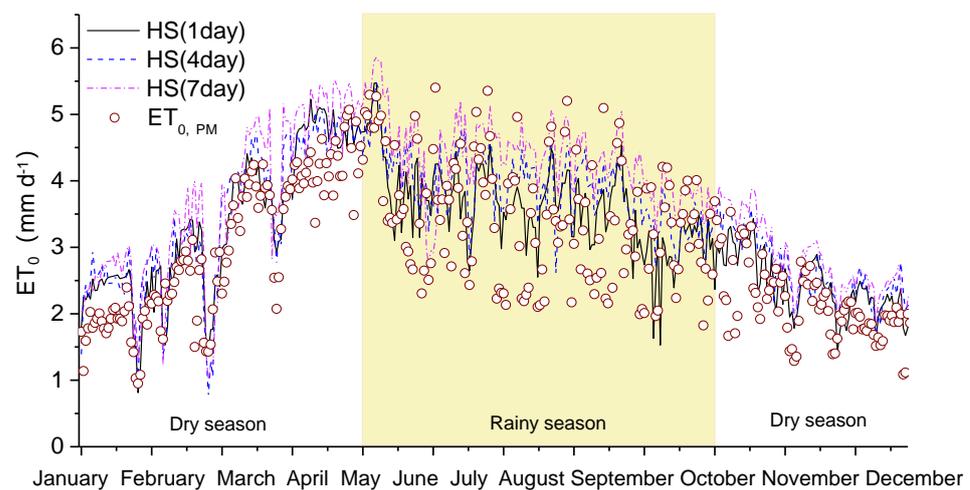


Figure 5. The  $ET_0$  for 1-, 4-, and 7-day forecast periods and the daily variation of  $ET_{0,PM}$  in 2016 (1 January 2016–31 December 2016).

The average values of the three statistical indicators of  $ET_0$  for the dry and rainy seasons in the 1–7-d ahead forecasting period in Xishuangbanna are shown in Table 4. In the dry season, the MAE was 0.43 to 0.52 mm, RMSE was 0.54 to 0.65 mm, and R was 0.91 to 0.85. While, in the rainy season, the MAE was 0.47 to 0.57 mm, RMSE was 0.58 to 0.72 mm, and R was 0.83 to 0.69 (Table 4). The deviation of daily  $ET_{0,for}$  values during the rainy season was relatively lower than that during the dry season. The accuracy of

the three statistical indicators indicated that the performance of the  $ET_0$  forecast slightly decreased with the increase in lead time regardless of the dry season or the rainy season.

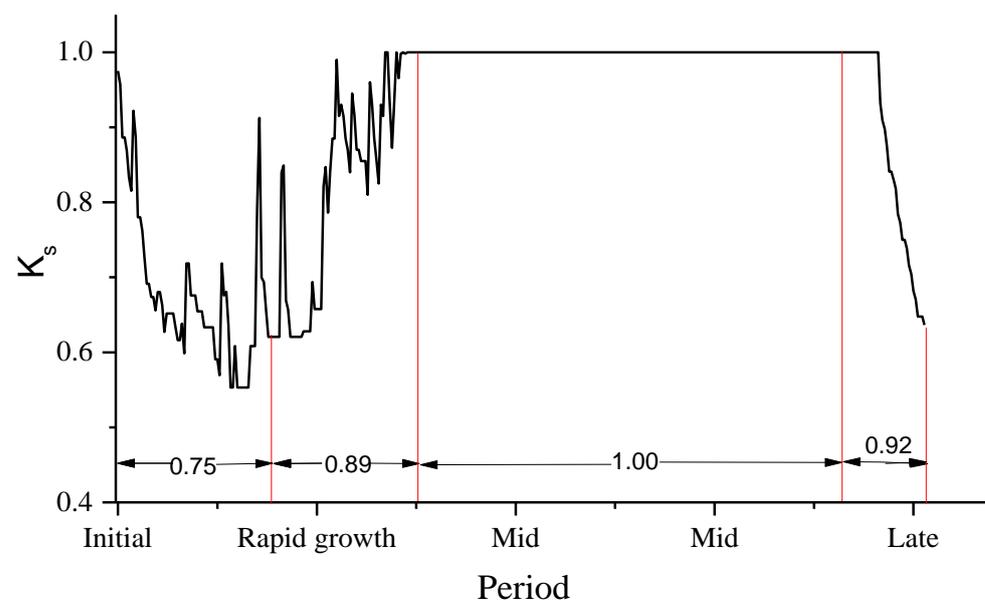
**Table 4.** Statistical indices of the  $ET_0$  forecasts during 2016.

Lead Time (Day)	Dry Season			Rainy Season		
	MAE (mm d <sup>-1</sup> )	RMSE (mm d <sup>-1</sup> )	R	MAE (mm d <sup>-1</sup> )	RMSE (mm d <sup>-1</sup> )	R
1	0.52	0.62	0.91	0.47	0.58	0.83
2	0.43	0.54	0.90	0.49	0.60	0.77
3	0.45	0.56	0.89	0.50	0.62	0.76
4	0.48	0.60	0.88	0.51	0.63	0.75
5	0.48	0.61	0.87	0.51	0.63	0.74
6	0.52	0.65	0.85	0.57	0.72	0.72
7	0.52	0.65	0.85	0.57	0.72	0.69
Average	0.49	0.60	0.88	0.52	0.64	0.75

### 3.4. Results of Calculated Soil Water Stress Coefficient ( $K_s$ ) and Crop Coefficient ( $K_c$ )

The growing season of the rubber plantation was split into four standard stages, i.e., the initial period, the rapid-growth period, the mid period, and the late period [18]. The length of the crop development stage at Xishuangbanna Tropical Botanical Garden follows that determined by the Chinese Academy of Science.

The Soil Water Stress Coefficient ( $K_s$ ) was deduced with the measured soil water content of the rubber plantation sample site (Figure 6) that ranged from 0.55 to 1.00. The average values of  $K_s$  in each growing period of rubber plantations are as follows. In the initial-period,  $K_s$  is 0.75; in the rapid-growth period it is 0.89, in the mid period it is 1.00, and in the late period it is 0.92. While the crop coefficient ( $K_c$ ) of rubber plantations calculated using Equation (5) (Figure 7) for the growing period ranges from 0.72 to 1.49. In the initial period, it is 0.89, in the mid period it is 1.10, and in the late period it is 0.91. The calculated  $K_c$  value in each growing period of the rubber plantations was taken as the basic parameter by which to calculate the multiyear  $ET_c$  of the rubber plantations in Xishuangbanna.



**Figure 6.** The soil water stress coefficient ( $K_s$ ) of rubber plantations.

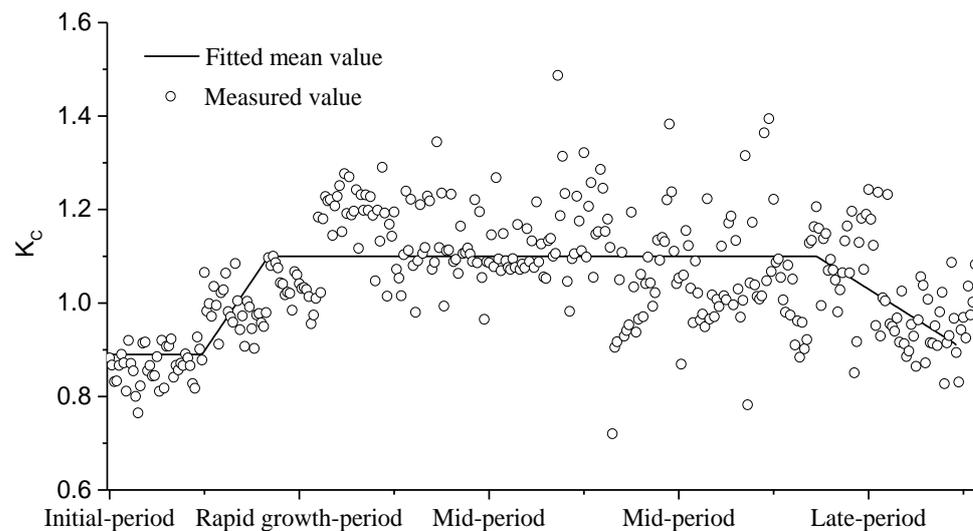


Figure 7. The crop coefficient ( $K_c$ ) of rubber plantations.

### 3.5. Performance of $ET_c$ Forecasts

The forecast accuracy of the 7-day horizon forecast values compared with the  $ET_c$  values of the PM method in 2016 is presented in Table 5. The differences in the forecast values were not significant. In the rainy season, the MAE was 0.57–0.65  $\text{mm d}^{-1}$ , RMSE was 0.73–0.83  $\text{mm d}^{-1}$ , the R ranged from 0.70–0.65. While in the dry season, these values were 0.61–0.65  $\text{mm d}^{-1}$ , 0.82–0.85  $\text{mm d}^{-1}$ , and 0.82–0.79, respectively.

Table 5. Forecast accuracy of  $ET_c$  in rubber plantations in 2016.

Lead Time (d)	Dry Season			Rainy Season		
	MAE ( $\text{mm d}^{-1}$ )	RMSE ( $\text{mm d}^{-1}$ )	R	MAE ( $\text{mm d}^{-1}$ )	RMSE ( $\text{mm d}^{-1}$ )	R
1	0.64	0.85	0.82	0.57	0.73	0.70
2	0.61	0.82	0.80	0.59	0.75	0.69
3	0.62	0.83	0.80	0.60	0.77	0.68
4	0.62	0.84	0.81	0.63	0.81	0.65
5	0.61	0.82	0.81	0.64	0.82	0.65
6	0.63	0.84	0.80	0.65	0.83	0.65
7	0.65	0.85	0.79	0.65	0.83	0.65
Average	0.63	0.84	0.80	0.62	0.79	0.67

The variations of the 1-day, 4-day, and 7-day  $ET_c$  forecast values (as  $ET_{c,for}$ ) and the precipitation during the dry season and the rainy season in 2016 are shown in Figure 8. The  $ET_{c,for}$  values followed the same variation patterns as the  $ET_{c,obs}$  values, and captured most of the important daily fluctuations for rubber plantations, except for a small number of predicted values. Precipitation in the rainy season (up to 1241.6 mm) is about four times that in the dry season (324 mm). The  $ET_{c,for}$  values have larger fluctuations than the measured  $ET_{c,obs}$  values in the rainy season.

### 3.6. The Results of the Sensitivity Analysis

The HS model has been commonly chosen in  $ET_c$  calculations due to its good performance and easy use. However, it is worth noting that the  $ET_c$  forecast has uncertainty associated with it.

The temperature forecast error directly affects the accuracy of  $ET_0$  forecasts, which in turn leads to  $ET_c$  forecast errors. This study shows that  $ET_c$  forecasts are less influenced by temperature forecast errors; only  $-0.38$  to  $0.14 \text{ mm day}^{-1}$  in the rainy season for a 1-day

forecast (Figure 9b).  $ET_c$  forecasts are more influenced by temperature forecast errors in the dry season when a  $\pm 20\%$  temperature forest error results in a  $-1.47$  to  $0.94$   $\text{mm day}^{-1}$  error in  $ET_c$  forecasts (Figure 9c).

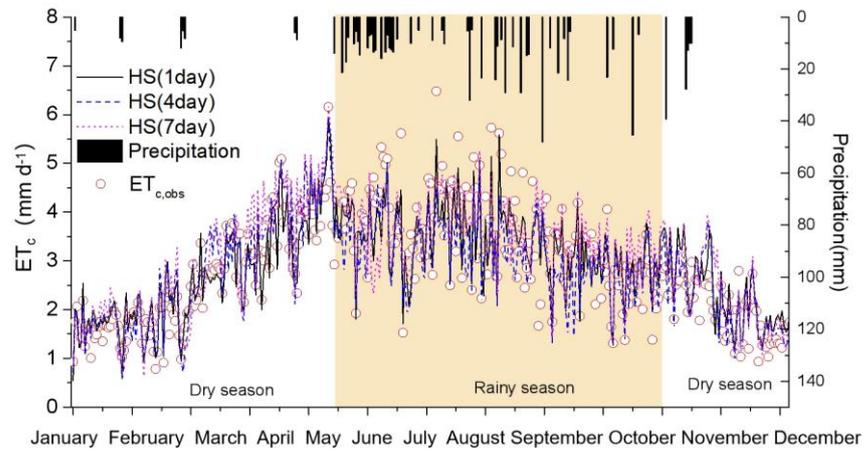


Figure 8.  $ET_{c,for}$  for 1-, 4-, and 7-day forecasts and daily observed  $ET_c$  values.

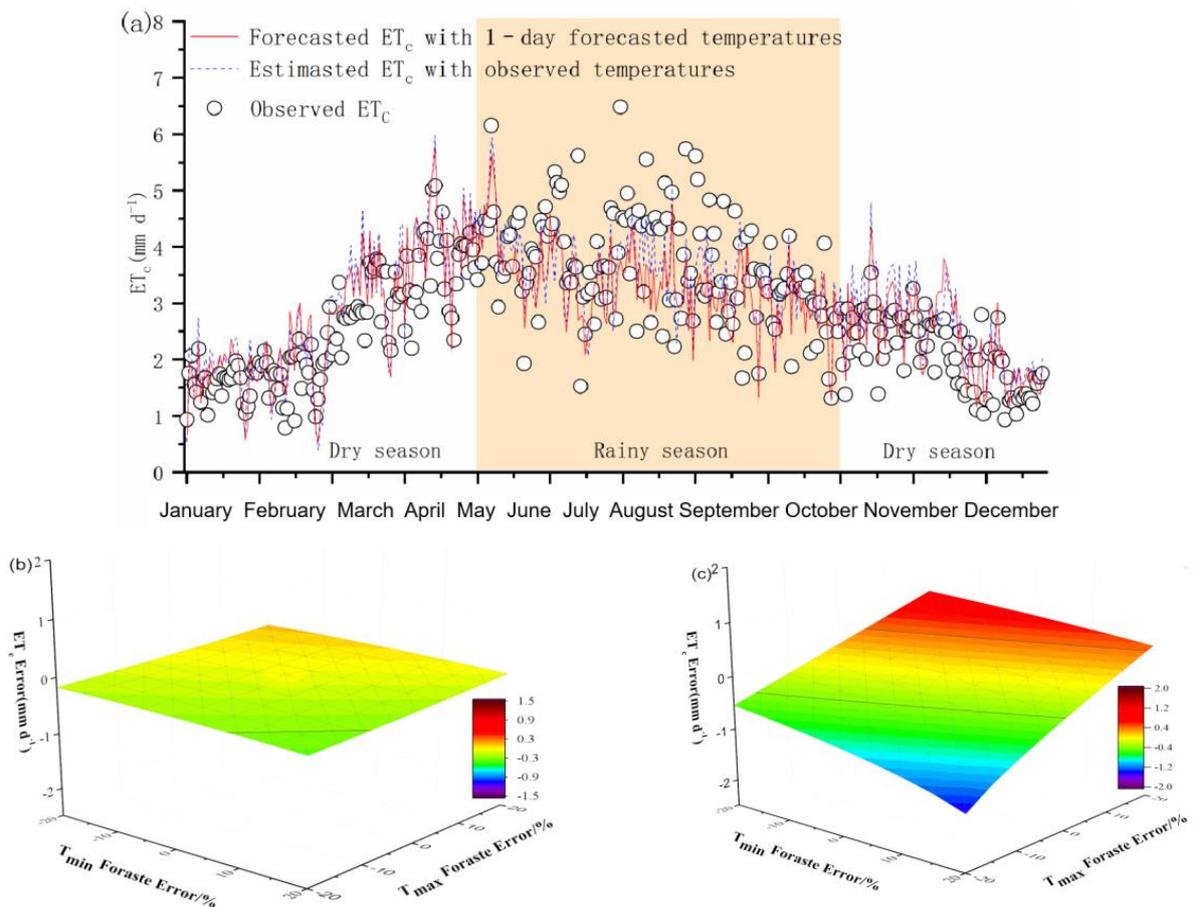
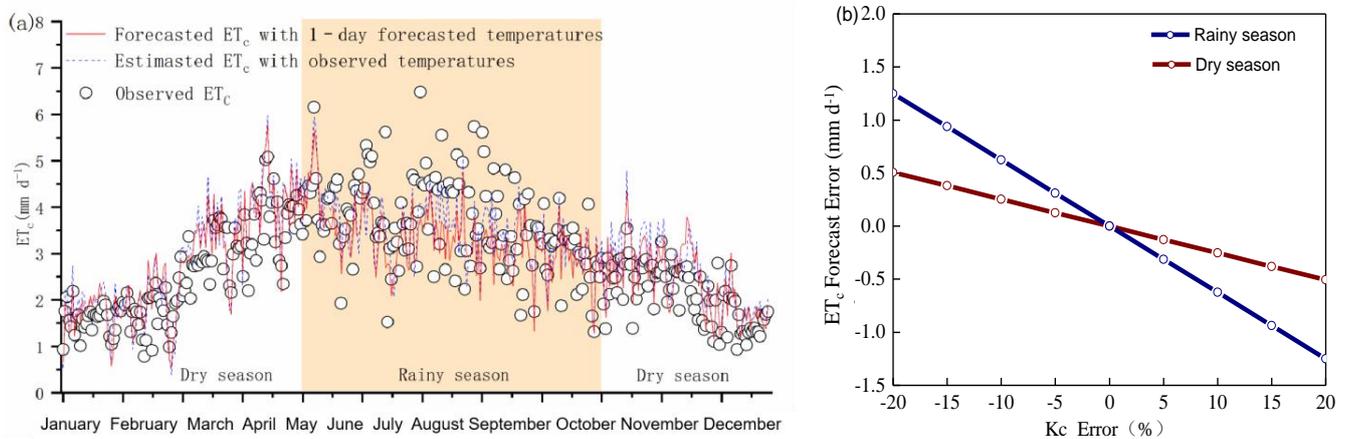


Figure 9. The influence of temperatures on  $ET_{c,for}$  (a) 1-day forecast and observed temperatures; (b) multi-factor method on error sensitivity analysis based on  $T_{min}$  and  $T_{max}$  in the rainy season; (c) multi-factor method on error sensitivity analysis based on  $T_{min}$  and  $T_{max}$  in the dry season.

Meanwhile, the  $ET_c$  errors also come from the mean values of  $K_c$ . For illustrative purposes, the effect of  $K_c$  error on  $ET_c$  forecast is shown in Figure 10, where it compares the  $ET_{c,obs}$  values and  $ET_{c,for}$  values for the 1-day lead time temperature forecast in 2016

(Figure 10a). In the dry season, the error between the forecasted  $ET_c$  with original  $K_c$  and with observed  $ET_c$  value is 11.39%. The error between the estimated  $ET_c$  with calibrated  $K_c$  and observed  $ET_c$  value is 3.91%. In the rainy season, the errors are  $-7.05\%$  and  $-0.99\%$ , respectively.



**Figure 10.** The influence of  $K_c$  on  $ET_{c,for}$  (a) comparisons of original  $K_c$  values and calibrated  $K_c$  values; (b) single factor method on  $K_c$  error on  $ET_c$  forecast sensitivity analysis.

The sensitivity of the  $ET_c$  forecast to  $K_c$  is shown in Figure 10b. The  $ET_c$  forecast error is  $-0.51$  to  $0.51$  mm day<sup>-1</sup> in the dry season and  $-1.24$  to  $1.24$  mm d<sup>-1</sup> in the rainy season when the  $K_c$  error varies from  $-20\%$  to  $20\%$ . The rainy season is the peak growing period of rubber trees with higher  $ET_c$ . The temperature forecast errors and the  $K_c$  value error vary from  $-20\%$  to  $20\%$ ; the  $ET_c$  forecast error is  $-0.38$  to  $0.14$  mm d<sup>-1</sup> and  $-1.24$  to  $1.24$  mm d<sup>-1</sup>, respectively.

#### 4. Discussion

In our research, a " $K_c$ - $ET_0$ " method was proposed to forecast the short-term daily  $ET_c$  of rubber plantations using weather forecast information and the HS model.  $ET_0$  is the key to real-time  $ET_c$  forecasting. The quality of the weather forecasts is checked as it affects daily  $ET_0$  forecasts. We analyzed the performance of temperature for a lead time of 1–7 days. For both  $T_{max}$  and  $T_{min}$ , the average R values ranged from 0.81 and 0.88, respectively; i.e., the observed and forecasted temperature variables had strong linear relationships. The  $T_{min}$  forecasts had a relatively better performance than that of  $T_{max}$ , and this result is in accordance with most of the previous findings in China [30,58,59]. We concluded that the accuracy of the minimum and maximum air temperature forecast was acceptable for  $ET_c$  forecasts in Xishuangbanna.

The HS model was calibrated using  $ET_{0,PM}$ . Due to the different area and climate conditions, the calibrated parameter C was 0.002, which agreed well with the original value (0.0023). The parameter E was 0.43 which was slightly lower than the original value of 0.50 [41]. The calibrated values of C and E for HS were suitable for the  $ET_0$  modeling of Xishuangbanna. The calibrated  $ET_0$  values calculated were closer to the line  $y = x$  compared with the PM method than the uncalibrated models, and with  $R = 0.91$ . Regional calibration increased the goodness-of-fit between all of the  $ET_0$  values calculated by the HS model and  $ET_{0,PM}$  [60,61].

The changes in  $ET_{0,for}$  in the 1–7 day lead time strongly matched with  $ET_{0,PM}$  throughout the rubber plantation growing period. With the increase in lead time, the accuracy of the  $ET_0$  forecast slightly decreased, which was caused by the decreasing accuracy of the temperature forecast mentioned above. The accuracy of  $ET_0$  daily forecasts in the rainy season was slightly lower than in the dry season, which may be attributed to the HS model ignoring the effects of wind speed and relative humidity, which vary more in the rainy

season [62]. However, the forecasted  $ET_0$  results using the HS model were considered reliable for estimating  $ET_c$ , as revealed from the accuracy metrics.

The length of the crop development stage was different from that recommended by FAO-56, likely due to the differences in climate regions, elevation, crop varieties, and cropping conditions [18]. In our study, we considered the soil water stress coefficient ( $K_s$ ), which is obtained through observed soil water content because rubber plantations are suffered from water stress during their growth period [63]. Then, the calculated  $K_c$  of different growth periods is closer to the actual local situation.

The initial  $K_c$  value (0.89) was slightly less than the value obtained by the procedure proposed by Allen et al. [18] (0.95). The  $K_c$  value for the mid period (1.10) was slightly larger than the value obtained by the process recommended by Allen et al. [18] (1.00). The late season  $K_c$  value (0.91) was lower than the value proposed by Allen et al. [18] (1.00). This deviation could be due to different rubber plantation varieties and climate regions, among others [4,13]. It should be noted that the  $K_c$  value was estimated based on 1-year experiment data in our research. Longer series of measured data is advisable to improve the accuracy of  $K_c$  estimation and the forecasting performance for  $ET_c$  [4].

The performance of  $ET_{c,for}$  in the dry season ( $R = 0.85$ ) was better than that in the rainy season ( $R = 0.69$ ). Precipitation can influence air temperature, which impacts the accuracy of the  $ET_0$  forecasts, which, in turn, directly influences the accuracy of  $ET_c$  through “ $K_c$ - $ET_0$ ” method. No matter whether in the dry season or the rainy season, the accuracy of  $ET_{c,for}$  is slightly lower than that of  $ET_{0,for}$ , but fulfills the requirement of rubber plantations  $ET_c$  forecast. Compared with  $ET_{c,obs}$ , the deviation of  $ET_{c,for}$  values increased with the increase in forecast lead time. The forecast error is lower in the dry season than in the rainy season. Rubber plantations usually suffer from drought during the dry season, so accurate  $ET_{c,for}$  in the dry season could provide guidance pertaining to water allocation decisions.

The temperature forecast error,  $K_c$ , and the HS model are the main sources of uncertainty associated with  $ET_c$  forecasts. After sensitivity analysis, we found that the maximum positive error occurs when the maximum temperature forecast fluctuates by 20% and the minimum temperature forecast fluctuates by  $-20\%$ , while the maximum negative error occurs when the maximum temperature forecast fluctuates by  $-20\%$  and the minimum temperature forecast error fluctuates by 20%. The negative error is much larger than the positive error [24].

Both  $T_{max}$  and  $T_{min}$  forecasts may lead to errors, but, in general, its influence is relatively smaller than that caused by the  $K_c$  value error. Similar findings have been reported in the study of Zhang et al. [13], although with different vegetation types. The error is partly caused by the HS model. The HS model only considers temperature and extraterrestrial radiation, and does not consider wind speed and relative humidity. It leads to errors in  $ET_0$  estimation, which in turn affect the accuracy of  $ET_c$ . This study shows that using the locally calibrated values of parameters C and E in the HS model largely improved the accuracy of  $ET_0$  forecasting, especially in a tropical and subtropical monsoon region like Xishuangbanna [30,59]. Analysis of the overall results showed that the HS model was suitable for forecasting  $ET_c$  using the proposed approach.

The accuracy of rubber plantation  $ET_c$  forecasts is expected to be further improved when more meteorological variables are available and considered, or a more physical-based model is used to estimate  $ET_0$ .

## 5. Conclusions

Based on short-term weather forecasting and the HS model, the daily  $ET_c$  forecasting of a rubber plantation was conducted in the study. We evaluated the forecasting performance of  $ET_c$  by comparing observed data from actual rubber plantations at the experimental sites, analyzed the differences between the forecasting performances of rubber plantations, and also identified the  $ET_c$  forecasts error sources and their sensitivity. The following conclusions are drawn from the study.

- (1) The forecasting accuracy of  $ET_c$  based on the “ $K_c-ET_0$ ” method in our research shows good performance and acceptable accuracy. The accuracy of  $ET_c$  forecasting in the dry season is higher than that in the rainy season. The results indicate that the proposed method is considered suitable for  $ET_c$  forecasting of rubber plantations in Xishuangbanna, Southwest China.
- (2)  $ET_c$  forecast errors come from temperature forecasts, the  $K_c$  value, and the HS model. The HS model does not consider meteorological variables such as wind speed and relative humidity. Using the locally optimized values of parameters, the results of HS method are significantly improved. Compared to the temperature forecast, the error in the  $K_c$  value has a larger impact on the error in the  $ET_c$  forecast. The accuracy of the  $K_c$  and forecasting performance for  $ET_c$  can be improved if the observation time of the actual data series is increased.
- (3) Our study provides reference information for forecasting  $ET_c$  using short-term weather forecast data and a theoretical basis for rubber plantations in Xishuangbanna. It is anticipated that the short-term forecasting approach of  $ET_c$  for rubber plantations as demonstrated in this study can be applied in larger regions for water management and the water use efficiency of rubber plantations, allowing irrigation managers and farmers to make ET-based irrigation schedules to increase the efficiency of water applications based on the plant water requirements and soil processes.

It is worth noting that the value of  $K_c$ , a key parameter of the  $ET_c$  forecast, in this study was taken from the results of limited field observations. With the increasing availability of Earth observation systems, the use of multispectral imagery such as LAI and albedo is expected to improve the accuracy of crop parameter estimation. Advances in weather forecasting and crop remote sensing will significantly contribute to the development of the optimal management of water resources in precision agriculture.

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**Conflicts of Interest:** The authors declare that they have no competing interests.

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