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Microstructure and Phase Transformation Analysis of $Ni_{50-x}Ti_{50}La_x$ Shape Memory Alloys

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Abstract: The microstructure and martensitic transformation behavior of $Ni_{50-x}Ti_{50}La_x$ (x = 0.1, 0.3, 0.5, 0.7) shape memory alloys were investigated experimentally. Results show that the microstructure of $Ni_{50-x}Ti_{50}La_x$ alloys consists of a near-equiatomic TiNi matrix, LaNi precipitates, and Ti₂Ni precipitates. With increasing La content, the amounts of LaNi and Ti₂Ni precipitates demonstrate an increasing tendency. The martensitic transformation start temperature increases gradually with increasing La content. The Ni content is mainly responsible for the change in martensite transformation behavior in $Ni_{50-x}Ti_{50}La_x$ alloys.

Keywords: martensitic transformation; microstructure; Ni-Ti-La

1. Introduction

The unique shape memory effect and super elasticity of Ti–Ni alloys are related to martensitic transformation, which usually stems from the transformation of the B2 austenite parent phase into the B19' martensite phase [1,2]. The martensitic transformation temperature is an important parameter that significantly affects the applications of Ti–Ni alloys. Some application fields, such as automotive and aerospace, require high martensitic transformation temperatures [3]. Experimental research suggests that adding a third element to Ti–Ni alloys is an effective approach to increase the martensitic transformation temperature [4]. Addition of Pd to Ti–Ni alloys can significantly increase the martensitic transformation temperature [5].Variations in Pd content enable adjustment of the martensitic transformation temperature of Ti–Ni–Pd alloys to the range of 100–530 °C, making Ti–Ni–Pd alloys suitable for use in high-temperature conditions. Besides Pd, addition of Au [6], Pt [7], or Hf [8] to Ti–Ni alloys can also effectively increase the martensitic transformation temperature. However, these elements are very expensive; thus, alternative low price materials, such as Cu added to Ni–Ti alloy to form Ni–Ti–Cu alloy [9] had been studied. While promising results have been obtained, these low-price materials are not useful at 100–300 °C [3]. Thus, research in this area is continuously being conducted.

Compared to Au, Pt, and Pd, rare earth (RE) elements are low-price. The resulting microstructure and martensitic transformation behavior observed from addition of RE elements such as Ce [10], Gd [11], Dy [12], and Y [13] to Ti–Ni alloys have been studied, and results show that Ti–Ni–RE alloys consist of a binary TiNi matrix, RENi precipitate, and Ti₂Ni precipitate. The martensitic transformation temperature generally increases with increasing RE content [10–13]. In other words, adding RE elements to Ti–Ni alloys to replace Ni content can increase the martensitic transformation temperature. Thus, Ti–Ni–RE alloys are potential high-temperature shape memory alloys.

La is also a RE element, which is similar to Ce, Gd, Dy, and Y. Therefore, Ti–Ni–La is expected to be a potential high-temperature shape memory alloy. In our previous study, the microstructure and martensitic transformation behavior obtained after La addition to Ti₅₀Ni₅₀ alloys to replace Ti content

was studied experimentally [14]. The microstructure of $Ni_{50}Ti_{50-x}La_x$ (x = 0, 0.1, 0.3, 0.5, 0.7, 0.9) alloys was found to consist of LaNi precipitates and near-equiatomic TiNi matrix. The martensitic transformation start temperatures decrease gradually with increasing La content, contrary to the behavior found in Ni–Ti–Ce [10], Ni–Ti–Gd [11], Ni–Ti–Dy [12], and Ni–Ti–Y [13] alloys. This difference is attributed to the decrease of Ti content because of the increase of La content in $Ni_{50}Ti_{50-x}La_x$ alloys. However, the microstructure and phase transformation behavior arising from addition of La to $Ti_{50}Ni_{50}$ alloys to replace Ni content remain unclear. Thus, we carried out an experimental study on the microstructure and phase transformation behavior of $Ni_{50-x}Ti_{50}La_x$ alloys.

2. Materials and Methods

 $Ni_{50-x}Ti_{50}La_x$ (x = 0.1, 0.3, 0.5, 0.7) alloys were prepared by melting 40 g of raw material (99.99 mass% Ti, 99.99 mass% Ni, and 99.95 mass% La) with different nominal chemical compositions in a non-consumable arc-melting furnace. To ensure the uniform composition of the $Ni_{50-x}Ti_{50}La_x$ alloys, arc melting was repeated four times, and a pure Ti ingot was melted as an oxygen getter. The as-prepared $Ni_{50-x}Ti_{50}La_x$ ingots were spark cut into several 0.3 mm-thick plates, followed by solution treated at 850 °C for 1 h and then natural cooling in a vacuum quartz tube furnace.

The morphology of the Ni_{50-x}Ti₅₀La_x alloys was observed by scanning electron microscope (SEM, Quanta 650 FEG, FEI, Hillsboro, USA) equipped with energy dispersive spectrometer (EDS) made by Oxford. X-ray diffraction (XRD) patterns were obtained using a D/MAX-2500PC X-ray diffractometer (Rigaku, Tokyo, Japan). The martensitic transformation temperature of the Ni_{50-x}Ti₅₀La_x alloys were measured by differential scanning calorimeter (DSC, Q2000, TA Instrument, New Castle, USA) with a scanning rate of 10 °C/min during heating and cooling.

3. Results

Figure 1 depicts the back-scattering SEM images of the Ni_{50-x}Ti₅₀La_x alloys. Two different morphologies can be identified in the SEM image of the Ni_{49.9}Ti₅₀La_{0.1} alloy (Figure 1a): bright precipitates and a featureless matrix. By comparison, three different morphologies can be identified in the SEM image of the Ni_{49.7}Ti₅₀La_{0.3}, Ni_{49.5}Ti₅₀La_{0.5}, and Ni_{49.3}Ti₅₀La_{0.7} alloys (Figure 1b–d): bright precipitates, dark precipitates, and a featureless matrix. The bright precipitates are granular, while the dark precipitates are irregular in shape. To obtain the relationship between the La content and the amounts of precipitates produced, the pixel amounts of bright and dark precipitates in all four SEM images were counted as 707, 1486, 1633, 2005 and 0, 1809, 4349, 10,234, respectively (Figure 2). There are 262,144 (512 × 512) pixels in each SEM image. The normalized pixel amounts of bright and dark precipitates in creasing tendency, which indicates that the size and quantity of bright and black precipitates increase with increasing La content in Ni_{50-x}Ti₅₀La_x alloys. This case is highly similar to the morphologies of Ni–Ti–Ce [10], Ni–Ti–Gd [11], Ni–Ti–Dy [12], and Ni–Ti–Y [13] alloys. The types and amounts of precipitates in Ti–Ni-based shape memory alloys can significantly affect their phase transformation behavior [15]. Thus, we present an analysis of the relation between the precipitates and phase transformation behavior of Ni_{50-x}Ti₅₀La_x alloys in the Discussion section.

The actual chemical composition of phases in $Ni_{50-x}Ti_{50}La_x$ alloys were measured by SEM/EDS, and the results are summarized in Table 1. Meanwhile, a typical EDS spectrum of each phase in $Ni_{49.3}Ti_{50}La_{0.7}$ are depicted in Figure 3. In the matrix of all $Ni_{50-x}Ti_{50}La_x$ alloys, the ratio of Ti and Ni atoms is very close to 1. According to the phase diagram of Ti–Ni binary alloys, the matrix is likely to be a near-equiatomic TiNi phase [16]. In all bright precipitates of the $Ni_{50-x}Ti_{50}La_x$ alloys, only La and Ni are detected, and the atomic ratio of La:Ni is also close to 1:1. According to the phase diagram of Ni–Ti–La ternary alloys, the bright precipitates may likely be a LaNi phase [17]. Only Ti and Ni are detected in the dark precipitates of $Ni_{49.7}Ti_{50}La_{0.3}$, $Ni_{49.5}Ti_{50}La_{0.5}$, and $Ni_{49.3}Ti_{50}La_{0.7}$ alloys, and the atomic ratio of Ti:Ni is close to 2:1. According to the phase diagram of Ti–Ni binary alloys, the dark precipitates are probably a Ti_2Ni phase [16]. No intermetallic compounds of Ti and La are found in all $Ni_{50-x}Ti_{50}La_x$ alloys, which is consistent with the phase diagram of Ni–Ti–La ternary alloys [17].



Figure 1. Back-scattering SEM images of Ni_{50-x}Ti₅₀La_x alloys: (a) Ni_{49.9}Ti₅₀La_{0.1}; (b) Ni_{49.7}Ti₅₀La_{0.3}; (c) Ni_{49.5}Ti₅₀La_{0.5}; (d) Ni_{49.3}Ti₅₀La_{0.7}.



Figure 2. Normalized pixel amounts of precipitates in SEM images of $Ni_{50-x}Ti_{50}La_x$ alloys.



Figure 3. Cont.



Figure 3. EDS spectra of phases in Ni_{49.3}Ti₅₀La_{0.7} alloy: (a) Ti₂Ni; (b) TiNi; (c) LaNi.

The XRD patterns of $Ni_{50-x}Ti_{50}La_x$ alloys at room temperature are depicted in Figure 4a. The diffraction peaks can be attributed to TiNi B19' martensite, TiNi B2 austenite, a LaNi phase, and a Ti₂Ni phase after comparison with the corresponding JCPDF cards (Nos. 65-0145, 65-4572, 19-0654, and 18-0898). As an example, the phases corresponding to diffraction peaks of $Ni_{49.5}Ti_{50}La_{0.5}$ alloy are shown in Figure 4b. In this figure, letter M denotes the TiNi B19' martensite, and letter A denotes the TiNi B2 austenite. By combining the XRD results with the EDS data, the $Ni_{50-x}Ti_{50}La_x$ alloys can be confirmed to consist of a near-equiatomic TiNi matrix, LaNi precipitates, and Ti_2Ni precipitates. In our previous work, $Ni_{50}Ti_{50-x}La_x$ alloys consisted of a near-equiatomic TiNi matrix and LaNi precipitates only [14]. No Ti_2Ni precipitates were found in the $Ni_{50}Ti_{50-x}La_x$ alloys, which is different from our findings on $Ni_{50-x}Ti_{50}La_x$ alloys.

Alloys	Phase	Ti (at.%)	Ni (at.%)	La (at.%)
Ni _{49.9} Ti ₅₀ La _{0.1}	matrix bright precipitates	50.6	49.4 48.9	51.1
Ni _{49.7} Ti ₅₀ La _{0.3}	matrix bright precipitates dark precipitates	50.4 66.7	49.6 49.1 33.3	50.9
Ni _{49.5} Ti ₅₀ La _{0.5}	matrix bright precipitates dark precipitates	51.0 66.8	49.0 50.3 33.2	49.7
Ni _{49.3} Ti ₅₀ La _{0.7}	matrix bright precipitates dark precipitates	50.9 66.7	49.1 49.1 33.3	50.9
(a)	x=0.7 x=0.5 x=0.5 x=0.3 x=0.1 60 60 100 Thata(deg)	600 (b) 600 200 200 200 200 30 40 40 40 40 40 40 40 40 40 4		• - LaNi Δ - TiNi _A * - TiNi _M • - Ti ₂ Ni • - Ti ₂ Ni

Table 1. Chemical composition of phases in $Ni_{50-x}Ti_{50}La_x$ alloys.

Figure 4. XRD patterns of $Ni_{50-x}Ti_{50}La_x$ alloys: (**a**) All alloys; (**b**) phases corresponding to diffraction peaks of $Ni_{49.5}Ti_{50}La_{0.5}$.

The DSC curves of the $Ni_{50-x}Ti_{50}La_x$ alloys are depicted in Figure 5a. The DSC curves of $Ni_{49.9}Ti_{50}La_{0.1}$ and $Ni_{49.7}Ti_{50}La_{0.3}$ show two peaks during heating and cooling. By contrast, the DSC curves of $Ni_{49.5}Ti_{50}La_{0.5}$ and $Ni_{49.3}Ti_{50}La_{0.7}$ only show one peak during heating and cooling. Figure 5b depicts the effect of La content on the martensitic transformation start temperature (Ms) of the $Ni_{50-x}Ti_{50}La_x$ alloys. Ms was determined from the DSC curve with the highest peak in Figure 5a. Ms clearly increases with increasing La content.



Figure 5. DSC results of $Ni_{50-x}Ti_{50}La_x$ alloys: (a) DSC curves; (b) Ms vs. La content.

4. Discussion

4.1. Microstructure Formation Analysis

The formation enthalpies of the alloys were calculated using Miedema's theory to analyze the reasons behind the morphology and phase transformation behavior of $Ni_{50-x}Ti_{50}La_x$ alloys [18]. According to Miedema's semi-empirical model, the enthalpy (energy) effect takes place at the A–B interface when element A and element B forms an alloy. The formation enthalpy of a solid solution consists of three terms:

$$\Delta H = \Delta H_{\text{chemical}} + \Delta H_{\text{elastic}} + \Delta H_{\text{structural}} \tag{1}$$

where $\Delta H_{\text{chemical}}$, $\Delta H_{\text{elastic}}$, and $\Delta H_{\text{structural}}$ are chemical, elastic, and structural contributions due to atom mixing, size mismatches, and differences in the valence electrons and crystal structures of solute and solvent atoms, respectively. Calculations by Mousavi et al. showed that the contributions of $\Delta H_{\text{structural}}$ and $\Delta H_{\text{elastic}}$ in Ti–Ni alloys are negligible [19]. Thus, in this work, we only calculate $\Delta H_{\text{chemical}}$ as the formation enthalpy of the phases in Ni_{50-x}Ti₅₀La_x alloys. $\Delta H_{\text{chemical}}$ can be expressed as follows:

$$\Delta H_{\text{chemical}} = \frac{2f(c^s)(x_A V_A^{2/3} + x_B V_B^{2/3})}{(n_{ws}^A)^{-1/3} + (n_{ws}^B)^{-1/3}} \left[-P(\Delta \varphi)^2 + Q(\Delta n_{ws}^{1/3})^2 \right]$$
(2)

where x_A and x_B are the mole fractions of elements A and B, respectively; ϕ , V, and n_{ws} are the work function, molar volume, and electron density of the components, respectively; and P and Q are empirical constants (P = 14.1 and Q = 1.5). Other parameters related to the formation enthalpy are summarized in Table 2 [20]. $f(c^s)$ is the concentration function for solid solutions given by Equations (3) and (4).

$$f(C^s) = C^s_A C^s_B \tag{3}$$

$$C_A^s = \frac{x_A V_A^{2/3}}{x_A V_A^{2/3} + x_B V_B^{2/3}}, \quad C_B^s = \frac{x_B V_B^{2/3}}{x_A V_A^{2/3} + x_B V_B^{2/3}} \tag{4}$$

The calculated formation enthalpies of LaNi, TiNi, and Ti₂Ni are -76.26, -37.95, and -32.13 kJ/mol, respectively. Among, the value for TiNi is in very good agreement with Hu's (-36.1 kJ/mol) [21] and Gachon's (-34.0 kJ/mol) [22] experimental values. Meanwhile, the value for Ti₂Ni is also in reasonable agreement with Gachon's (-29.3 kJ/mol) [22] experimental values. The formation enthalpy refers to the energy of a compound composed of several elements. Therefore, the smaller the formation enthalpy, the easier a compound can be formed from its constituent elements [23]. The formation enthalpy of LaNi is smaller than that of TiNi and Ti₂Ni. Thus, the LaNi phase is preferentially formed prior to the TiNi and Ti₂Ni phases during fabrication of the Ni_{50-x}Ti₅₀La_x alloy. We propose that, when adding La to the Ti–Ni alloy, *x* at.% La first combines with *x* at.% Ni to form the LaNi phase and the rest of the 50-2x at.% Ni combines with 50 at.% Ti to form a near-equiatomic TiNi matrix and Ti₂Ni precipitates.

Table 2. Parameters of Ti, Ni, and La for formation enthalpy calculation.

	$n_{ws}^{1/3}$	V ^{2/3}	φ
Ni	1.75	3.5	5.2
Ti	1.47	4.8	3.65
La	1.18	7.94	2.86

4.2. Phase Transformation Behavior

The DSC curve of the Ni_{49.9}Ti₅₀La_{0.1} alloy shows two peaks during heating and cooling. The peak at 55 °C is obviously higher than that at 65 °C. However, for the $Ni_{49,7}Ti_{50}La_{0,3}$ alloy, the peak at 65 °C is higher than that at 55 °C. The Ni content is lower than the Ti content in each Ni_{50-x}Ti₅₀La_x alloy. La and Ni combine preferentially to form the LaNi phase, which further reduces the Ni content in the matrix. In some Ti-rich regions, some amounts of Ti₂Ni phase could be formed. Thus, the two peaks of the DSC curve arise from regions with different Ni contents: one peak is formed in regions with lower Ni contents at higher temperatures, indicating a $B2_2 \leftrightarrow B19'_2$ phase transformation, and the other peak is formed in regions with higher Ni contents at lower temperatures, indicating a $B2_1 \leftrightarrow B19'_1$ phase transformation (here, the subscript 1 denotes the phase transformation at 55 $^{\circ}$ C, and the subscript 2 denotes the phase transformation at 65 °C). For $Ni_{49.9}Ti_{50}La_{0.1}$, only a few Ti-rich regions remain in its matrix because of the addition of a small amount of La. Obvious Ti₂Ni precipitates were not observed by SEM, and the atomic ratio of Ti:Ni remained close to 1:1 in most regions of the matrix. Thus, the peak of $B2_2 \leftrightarrow B19'_2$ is lower than that of $B2_1 \leftrightarrow B19'_1$. For $Ni_{49.7}Ti_{50}La_{0.3}$, with increasing La content, the Ti-rich regions also increased, and Ti₂Ni precipitates could be observed clearly by SEM. The peak of $B2_2 \leftrightarrow B19'_2$ considerably exceeded that of $B2_1 \leftrightarrow B19'_1$. As the La content increased in Ni_{49.5}Ti₅₀La_{0.5} and Ni_{49.3}Ti₅₀La_{0.7}, the Ti-rich regions and Ti₂Ni precipitates increased further; thus, only one peak indicating $B2_2 \leftrightarrow B19'_2$ transformation was observed clearly. Liu et al. observed a similar phase transformation behavior when discussing the effect of aging on the transformation behavior of a Ti-49.5 at.% Ni alloy [24]. The authors proposed that the observed multi-stage transformation may be attributed to the formation of Ti₂Ni precipitates. However, they did not observe the microstructure of this alloy by SEM or transmission electron microscopy. Thus, the presence of Ti₂Ni precipitates was only a speculation at best. In the present work, Ti₂Ni precipitates were observed and identified by SEM and EDS, thus providing direct evidence supporting Liu et al.'s speculation.

The phase transformation behaviors of $Ni_{50-x}Ti_{50}La_x$ alloys obviously differed in comparison with those of $Ni_{50}Ti_{50-x}La_x$ alloys. For $Ni_{50}Ti_{50-x}La_x$ alloys, after formation of the LaNi phase, the atomic ratio of the Ti:Ni in matrix is nearly 1:1, and no Ti- or Ni-rich regions are further formed. Therefore, all $Ni_{50}Ti_{50-x}La_x$ alloys reveal only a one-stage B2 \leftrightarrow B19' phase transformation [14]. When the La content is low in $Ni_{50-x}Ti_{50}La_x$ alloys, some Ti-rich regions appear in the alloy, and both B2₁ \leftrightarrow B19'₁ and The Ms of the Ni_{50-x}Ti₅₀La_x alloys increases with increasing La content, contrasting findings on Ni₅₀Ti_{50-x}La_x alloys. Given that the Ti:Ni ratio in the matrix of Ni₅₀Ti_{50-x}La_x is 1:1, the phase transformation temperature depends on the stress between the matrix and the precipitates [14,15]. With increasing La content, the amount and size of LaNi precipitates, as well as the stress in the alloys, increase, leading to a decrease in Ms. When La combines with Ni to form the LaNi phase in Ni_{50-x}Ti₅₀La_x, the Ni content in the matrix decreases and Ti₂Ni precipitates are formed. The Ms of TiNi binary alloys is strongly dependent on the Ni content. An approximately 0.1 at.% increase in Ni content can lower the Ms of TiNi binary alloys by more than 10 °C [25]. Therefore, decreases in Ni content lead to an increase in Ms. However, we observed that the increase in Ms in Ni_{50-x}Ti₅₀La_x alloys is obviously lower than that in TiNi binary alloys with the same Ni content. Thus, we propose that the stress in Ni_{50-x}Ti₅₀La_x alloys can also result in a reduction in Ms. Taken together, the results reveal that the Ni content and stress between Ti₂Ni precipitates and TiNi matrix are responsible for the change in the martensite transformation temperature of Ni_{50-x}Ti₅₀La_x alloys; of these factors, the Ni content plays a dominant role.

5. Conclusions

The microstructure and martensitic transformation behavior of $Ni_{50-x}Ti_{50}La_x$ (x = 0.1, 0.3, 0.5, 0.7) alloys were investigated by XRD, SEM, and DSC. The microstructure of the $Ni_{50-x}Ti_{50}La_x$ alloys consists of a near-equiatomic TiNi matrix, LaNi precipitates, and Ti_2Ni precipitates. $Ni_{50-x}Ti_{50}La_x$ alloys undergo a two-stage phase transformation of $B2_1 \leftrightarrow B19'_1$ and $B2_2 \leftrightarrow B19'_2$ for La contents of 0.1 at.% and 0.3 at.%, but exhibits a one-stage phase transformation of $B2_2 \leftrightarrow B19'_2$ for La contents of 0.5 at.% and 0.7 at.%. The martensitic transformation start temperature increases gradually with increasing La content, which is mainly attributed to the decrease of Ni content in the matrix.

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