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**Abstract:** The strain profile in the subsurface of He-ion-irradiated W was figured out by unfolding the synchrotron-grazing incidence X-ray diffraction (S-GIXRD) patterns at different incidence angles. The results show that for  $2 \times 10^{21}$  ions/m<sup>2</sup> He<sup>2+</sup>-irradiated W, in addition to a compressive strain exists in the depths of 0–100 nm due to mechanical polishing, an expansion strain appears in the depth beyond 100 nm owing to irradiation-induced lattice swelling. This work provides a reference for the study of irradiation damage in the subsurface by S-GIXRD.

Keywords: nuclear materials; X-ray techniques; helium ion irradiation; strain profile



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# 1. Introduction

Tungsten is one of the primary candidates for plasma-facing materials (PFMs) in future nuclear fusion reactors [1], where the bombardment of high-flux He ions is inevitable. The effects of He irradiation can cause the swelling, embrittlement, and hardening of W and thus greatly reduce the efficiency and integrity of the component [2–5]. So, it is important to investigate the microstructure evolution in the subsurface of W after He-ion irradiation.

Grazing incidence X-ray diffraction (GIXRD) is sensitive to the strain profile in the subsurface of a metal and has advantages such as a wider detection area and more statistical and reliable results over other microscopic methods such as transmission electron microscopy (TEM) [6–10]. However, in a previous GIXRD study [6], it was found that He<sup>+</sup>-irradiated W samples have a wide strain-distribution gradient from the surface to the interior, which is difficult to unfold using existing XRD analysis software such as MDI Jade, GSAS, and Full-prof, etc. [11–13]. Therefore, the unfolding of GIXRD spectra is a key issue to figure out the microstructure in subsurface of the ion-irradiated W. In this paper, a new method for unfolding GIXRD data is suggested, and the micro-strain distribution in subsurface of He<sup>2+</sup> irradiated tungsten is evaluated.

# 2. Experimental Details

The pure tungsten was prepared as in [14] and annealed at 1200 °C in a vacuum  $(10^{-4} \text{ Pa})$  for 1 h. The microstructure consists of large grains (30 µm) with numerous fine sub-grains (3 µm) inside. The tungsten specimens with a size of  $5 \times 5 \times 1 \text{ mm}^3$  were mechanically polished to a mirror-like surface, then electrolytic polished in 5% sodium hydroxide aqueous solution, and finally irradiated at room temperature (RT) using a beam of He<sup>2+</sup> at 400 keV with a total fluence of  $2 \times 10^{21} \text{ ions/m}^2$  in the Institute of Modern Physics, Chinese Academy of Sciences.

The synchrotron grazing incidence X-ray diffraction (S-GIXRD) was carried out with a wavelength of 0.6877 Å at the BL14B1 diffraction beamline stations at the Shanghai Synchrotron Radiation Facility (SSRF) in Shanghai, China. The penetration depth ( $D_w$ ) of the X-rays depends on the incident angle ( $\omega$ ) and can be described as [15]:

$$D_w = \frac{\sin\omega}{\mu\rho} , \qquad (1)$$

where  $\mu = 1561 \text{ cm}^{-1}$  represents the X-ray linear absorption coefficient in tungsten at a photon energy of 18 keV [16].  $\rho = 97.16\%$  is the relative density of the present samples. The incident angles were set to  $0.1^{\circ}$ ,  $0.2^{\circ}$ ,  $0.3^{\circ}$ ,  $0.5^{\circ}$ ,  $1^{\circ}$ ,  $1.5^{\circ}$ ,  $2^{\circ}$ ,  $2.5^{\circ}$ ,  $3^{\circ}$ ,  $4^{\circ}$ ,  $5^{\circ}$ ,  $7^{\circ}$ , and  $10^{\circ}$  in this experiment, corresponding to penetration depths of 11, 23, 35, 58, 115, 173, 230, 287, 345, 460, 574, 803, and 1145 nm, respectively.

A pure tungsten specimen irradiated at RT with a fluence of  $2 \times 10^{21}$  ions/m<sup>2</sup> was prepared for transmission electron microscopy analysis (TEM, JEM-2010F, JPN). To observe the microstructure of the irradiation defects at different depths, the samples for TEM were prepared using the Focused Ion Beam (FIB) lift-off technique.

#### 3. Results and Discussion

Figure 1 presents the S-GIXRD patterns near the (110) diffraction peak of the unirradiated sample at incident angles of  $0.5^{\circ}$ ,  $1^{\circ}$ , and  $3^{\circ}$ . It can be clearly seen that, at low incident angles of  $0.5^{\circ}$  and  $1^{\circ}$ , there is a compression strain induced by the polishing treatment, as reported in [6]. At the incident angle of  $3^{\circ}$ , the position of the main diffraction peak moves to a lower angle, which corresponds to the (110) interplaner spacing for the unstrained W as shown by the black line. The other diffraction peaks have similar characteristics and are not shown here.

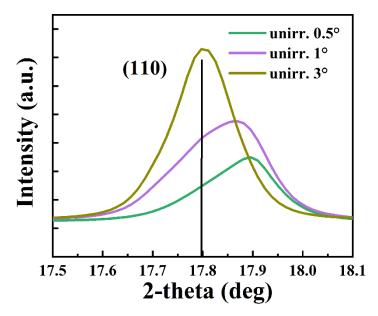
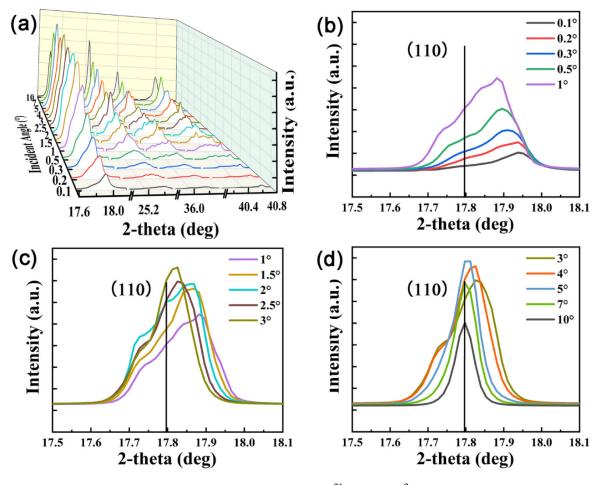


Figure 1. S-GIXRD patterns near the (110) diffraction peak of unirradiated W.

The S-GIXRD patterns for the irradiated W at different incident angles from  $0.1^{\circ}$  to  $10^{\circ}$  are shown in Figure 2a. The basic diffraction peaks can be indexed as (110), (200), (211), and (220) of the body-centered cubic structure [6]. The enlarged (110) peaks were given in Figure 2b–d. It can be seen that, with the increasing incident angle, the (110) peak shifts to a lower 2 $\theta$  angle, and a shoulder peak appears gradually on the left of the peak. The appearance of the shoulder peak means that irradiation-induced lattice swelling exists. In addition, the diffraction peaks of the irradiated samples are more zig-zagged than those of the unirradiated samples, indicating a non-uniform distribution of the (110)

interplaner spacing from the surface to the interior. Therefore, analyzing these S-GIXRD data at different incident angles is crucial to studying the microstructure evolution after ion irradiation.



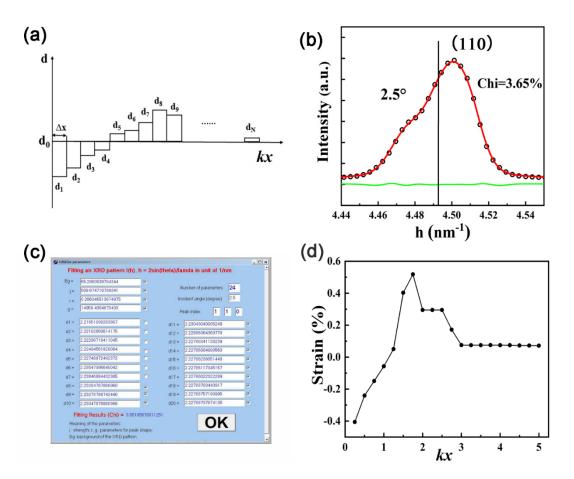
**Figure 2.** (a) S-GIXRD patterns of  $2 \times 10^{21}$  He ions/m<sup>2</sup> irradiated W at different incident angles. The enlarged (110) peaks at incident angles of (b)  $0.1-1^{\circ}$ , (c)  $1-3^{\circ}$ , and (d)  $3-10^{\circ}$ .

In our previous study [6], three sub-peaks corresponding to the compressed zone, transition zone (similar to unstrained matrix), and expansion zone were used to fit the S-GIXRD data for low-energy (100 eV) He-ion-irradiated W. In the present case of highenergy He irradiation, however, the S-GIXRD patterns are much more complex and cannot be accurately analyzed by the three sub-peaks model. Here, a new method is proposed to handle the above-mentioned complexity of the present S-GIXRD data.

The penetration depth  $(D_w)$  refers to the depth at which the intensity of the incident X-rays  $(I_0)$  decays to 1/e, so the intensity diffracted from a layer at a depth larger than  $5D_w$  is negligible, and the S-GIXRD pattern was contributed to dominantly from the subsurface in the range of 0 to  $5D_w$ . To be accurate enough and to consider the complexity of the fitting software, one divides such a range into 20 equal parts, as shown in Figure 3a, and the diffraction intensity of each part can be approximated by the classical Pseudo–Voigt function:

$$f(h,d) = \frac{r}{1 + g(h - d^{-1})^2} + (1 - r)e^{-C_1g(h - d^{-1})^2},$$
(2)

where  $h = 2\sin\theta/\lambda$  in a unit of 1/nm, d is the interplaner spacing,  $C_1 = 0.6931$  is a constant, r is the Lorentzian component, and g is the parameter related to profile asymmetry.



**Figure 3.** (a) Schematic distribution of interplaner spacing. (b) The fitting result of (110) peak at an incident angle of  $2.5^{\circ}$ . (c) Fitting parameters in the program at an incident angle of  $2.5^{\circ}$ . (d) The strain-depth (*kx*) profile obtained from the fitting.

The integral of X-ray diffraction over the entire depth (*I*) can be expressed as:

$$I = B_g + \int I_0 e^{-kx} f(h, d) dx, \qquad (3)$$

where  $B_g$  is the background diffraction, and k is the reciprocal of penetration depth. Since the considered depth range is 0–5  $D_w$  and divided into 20 parts, the integral in Equation (3) can be calculated by the following summation:

$$I = B_g + I_0 \Delta x \sum_{i=1}^{20} e^{-(i-0.5)k\Delta x} f(h, d_i),$$
(4)

where  $\Delta x = D_w/4$ , and  $d_i$  is the (110) interplaner spacing of the parts at a depth of  $(i-0.5)\Delta x$ (i = 1, 2, ..., 20). According to Equation (4), the S-GIXRD data at different incident angles can be well fitted, and the values of the interplaner spacing ( $d_i$ ) can be obtained. As an example, the fitting result of the (110) peak at an incident angle of 2.5° is shown in Figure 3b, and the corresponding fitting parameters in the program are shown in Figure 3c.

Assuming that the diffraction peak at an incident angle of  $10^{\circ}$  is mainly contributed to by the unstrained matrix as shown by the black straight line in Figure 2d, the (110) interplaner spacing of the unstrained W can be calculated as  $d_{110} = 2.226$  Å. Then, the strain at different depths can be expressed as:

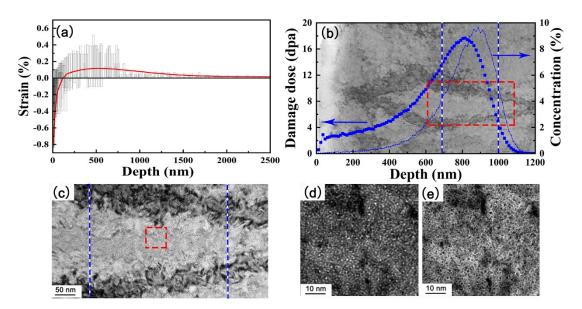
$$\varepsilon = d_i/d_{110} - 1 \tag{5}$$

The variation of the strain with kx obtained for the incident angle of 2.5° is shown in Figure 3d. It can be seen that, with the increasing kx, the strain increases and then decreases to slightly above 0 and finally remains stable after passing a maximum value of 0.5% at kx = 2, which corresponds to a depth of  $2D_w$  (~574 nm).

To make the strain–depth profile more accurate, the (110) diffraction peaks corresponding to all 13 incident angles were fitted, and the  $d_i$  (i = 1, 2, ..., 20) that can be obtained are shown in Table 1. From these parameters, the strains can be calculated using Equation (5) and are shown in Figure 4a. By averaging all calculated strain data, the final strain–depth profile is obtained as the red curve in Figure 4a. It can be seen that, with increasing depth, the strain increases firstly and then decreases to slightly above 0 and finally remains stable after reaching a maximum of about 0.15% at 600 nm. At depths of 0–100 nm, the strain is compressive due to mechanical polishing, while, when the depth exceeds 100 nm, an expansion strain appears which was caused by irradiation-induced lattice swelling.

**Table 1.** Fitted (110) interplaner spacing  $d_i$  of a 2 × 10<sup>21</sup> ions/m<sup>2</sup> irradiated W at different incident angles. Chi represents the fitting error.

Incident Angles (0)													
$d_i$ (Å)	0.1	0.2	0.3	0.5	1	1.5	2	2.5	3	4	5	7	10
$d_1$	2.208	2.208	2.208	2.209	2.212	2.217	2.216	2.217	2.219	2.224	2.223	2.224	2.223
$d_2$	2.208	2.208	2.211	2.214	2.217	2.217	2.219	2.221	2.224	2.224	2.223	2.224	2.226
$d_3$	2.208	2.212	2.215	2.217	2.217	2.217	2.222	2.223	2.224	2.224	2.230	2.226	2.230
$d_4$	2.210	2.216	2.215	2.219	2.224	2.217	2.226	2.225	2.224	2.224	2.235	2.233	2.226
$d_5$	2.214	2.216	2.219	2.224	2.224	2.232	2.227	2.227	2.227	2.234	2.227	2.226	2.226
$d_6$	2.214	2.219	2.222	2.227	2.224	2.234	2.235	2.235	2.235	2.234	2.227	2.226	2.226
$d_7$	2.219	2.222	2.223	2.232	2.233	2.233	2.235	2.238	2.235	2.234	2.226	2.226	2.226
$d_8$	2.222	2.225	2.226	2.231	2.233	2.226	2.235	2.233	2.235	2.227	2.226	2.226	2.226
$d_9$	2.222	2.225	2.228	2.231	2.233	2.226	2.235	2.233	2.235	2.227	2.226	2.226	2.226
<i>d</i> <sub>10</sub>	2.225	2.225	2.228	2.224	2.233	2.226	2.233	2.233	2.230	2.227	2.226	2.226	2.226
$d_{11}$	2.228	2.229	2.228	2.223	2.233	2.226	2.229	2.230	2.228	2.227	2.226	2.226	2.226
$d_{12}$	2.228	2.229	2.228	2.224	2.233	2.226	2.229	2.228	2.228	2.227	2.226	2.226	2.226
$d_{13}$	2.228	2.230	2.228	2.224	2.233	2.226	2.229	2.228	2.228	2.227	2.226	2.226	2.226
$d_{14}$	2.228	2.230	2.230	2.224	2.233	2.226	2.227	2.228	2.228	2.227	2.226	2.226	2.226
$d_{15}$	2.228	2.230	2.230	2.223	2.224	2.226	2.227	2.228	2.228	2.227	2.226	2.226	2.226
<i>d</i> <sub>16</sub>	2.228	2.230	2.230	2.223	2.224	2.226	2.227	2.228	2.228	2.227	2.226	2.226	2.226
<i>d</i> <sub>17</sub>	2.228	2.230	2.232	2.223	2.224	2.226	2.227	2.228	2.228	2.227	2.226	2.226	2.226
$d_{18}$	2.228	2.230	2.232	2.223	2.224	2.226	2.227	2.228	2.228	2.227	2.226	2.226	2.226
d <sub>19</sub>	2.228	2.231	2.232	2.223	2.224	2.226	2.227	2.228	2.228	2.227	2.226	2.226	2.226
$d_{20}$	2.229	2.231	2.232	2.223	2.224	2.226	2.227	2.228	2.228	2.227	2.226	2.226	2.226
Chi (%)	0.77	1.01	0.99	1.28	5.28	5.37	4.35	3.65	3.43	3.31	4.24	3.13	0.33



**Figure 4.** (a) Strain–depth profile at all 13 incident angles. (b) TEM image superposed with a SRIM simulation under  $2 \times 10^{21}$  He ions/cm<sup>2</sup> irradiation. (c) Enlarged TEM images in the range of 600–1100 nm. (d) Under-focused and (e) over-focused enlarged TEM images in the range of 800–860 nm.

It is worth noting that the variations at each specific depth, where compression and expansion strains can be identified simultaneously, mainly result from fitting errors when fitting the GIXRD with different incident angles. The contribution for GIXRD peaks at low incident angles mainly comes from the surface layer (Figure 2b), which leads to inaccurate calculations of the strain at higher depths, and vice versa for high incident angles. In addition, the location at higher depths can only be detected significantly when the incident angle is relatively high, but at this point the detected signal tends to come from the strainfree matrix, and thus it is reasonable that the calculated strain is almost 0 at higher depths, even though there is only a limited number of data points.

The TEM image superposed with a SRIM simulation under  $2 \times 10^{21}$  He ions/cm<sup>2</sup> irradiation is presented in Figure 4b, where the maxima of the dpa and He concentration appear at 750~900 nm. It was observed by TEM that helium bubbles with high density and small size (~1 nm) were detected at a distance of about 680 nm from the surface (Figure 4c–e). The helium bubble distribution area was 680–1000 nm. The corresponding displacement per atom (dpa) and the atomic concentration of He versus the depth from the sample surface were calculated by SRIM 2010 full-damage cascade simulations and are also shown in Figure 4b. The fitting settings for energy and fluence were 400 keV and  $2 \times 10^{21}$  ions/m<sup>2</sup>, respectively. It can be seen that the helium bubble distribution and the strain-depth profile obtained from the GIXRD analysis are in good agreement with the dpa and He concentration profile simulated by SRIM. It is worth pointing out that the actual damage region may be wider than that predicted by SRIM, owing to the atomic diffusion at the actual irradiation temperature. In this sense, the strain–depth profile obtained by fitting the S-GIXRD data is reasonable.

### 4. Conclusions

A new method for analyzing S-GIXRD data at different incident angles for highenergy He-irradiated W was suggested, by which the complex peak shape induced by ion irradiation can be well fitted. For  $2 \times 10^{21}$  He ions/m<sup>2</sup> irradiated W, in addition to a compressive strain, which exists in the depths of 0–100 nm due to mechanical polishing, an expansion strain appears in the depth exceeds 100 nm, which was caused by irradiationinduced lattice swelling. The strain–depth profile is in good agreement with the dpa and He concentration profile simulated by the SRIM. This work provides a reference for the study of irradiation damage in the subsurface of W alloys by fitting GIXRD data.

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