



# Article Multispectral UAV-Based Monitoring of Leek Dry-Biomass and Nitrogen Uptake across Multiple Sites and Growing Seasons

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Abstract: Leek farmers tend to apply too much nitrogen fertilizer as its cost is relatively low compared to the gross value of leek. Recently, several studies have shown that proximal sensing technologies could accurately monitor the crop nitrogen content and biomass. However, their implementation is impeded by practical limitations and the limited area they can cover. UAV-based monitoring might alleviate these issues. Studies on UAV-based vegetable crop monitoring are still limited. Because of the economic importance and environmental impact of leeks in Flanders, this study aimed to investigate the ability of UAV-based multispectral imaging to accurately monitor leek nitrogen uptake and dry biomass across multiple fields and seasons. Different modelling approaches were tested using twelve spectral VIs and the interquartile range of each of these VIs within the experimental plots as predictors. In a leave-one-flight out cross-validation (LOF-CV), leek dry biomass (DBM) was most accurately predicted using a lasso regression model ( $RMSE_{ct} = 6.60$  g plant<sup>-1</sup>,  $R^2 = 0.90$ ). Leek N-uptake was predicted most accurately by a simple linear regression model based on the red wide dynamic range (RWDRVI) (RMSE<sub>ct</sub> = 0.22 gN plant<sup>-1</sup>,  $R^2$  = 0.85). The results showed that randomized Kfold-CV is an undesirable approach. It resulted in more consistent and lower RMSE values during model training and selection, but worse performance on new data. This would be due to information leakage of flight-specific conditions in the validation data split. However, the model predictions were less accurate for data acquired in a different growing season (DBM: RMSEP = 8.50 g plant<sup>-1</sup>,  $R^2 = 0.77$ ; N-uptake: RMSEP = 0.27 gN plant<sup>-1</sup>,  $R^2 = 0.68$ ). Recalibration might solve this issue, but additional research is required to cope with this effect during image acquisition and processing. Further improvement of the model robustness could be obtained through the inclusion of phenological parameters such as crop height.

Keywords: UAV; multispectral imaging; leek; biomass; nitrogen uptake; cross-validation

## 1. Introduction

It is projected that the current global nitrogen use efficiency (NUE) should rise from 52% to 75% in Europe to meet global future food demands while reducing the input of nitrogen fertilizers [1]. In this context, a great effort should be made for fruit and vegetable cultivation as their NUE should increase from 14% to 40%. To achieve this, fertilizer inputs should be halved. There are multiple reasons for the low NUE of vegetables: (i) they often have a shallow rooting system, while (ii) having a high nitrogen demand, and (iii) mineral fertilizers are cheap compared to the high gross value of vegetables [2]. Therefore, farmers tend to apply too high fertilizer doses to their vegetable crops to avoid yield losses. This study focuses on leeks, which represented about 22.1% of the total gross value of field vegetables in Flanders, Belgium from 2010 until 2020 [3]. Additionally, Belgium



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**Copyright:** © 2022 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). was among the five top leek-producing countries between 2014 and 2019 [4]. Multiple strategies and tools exist to assist farmers in improving their nitrogen management, such as soil-based systems, simulation models, and crop monitoring [2,5,6]. Recently, there have been several studies on using different proximal sensing technologies to monitor vegetable crops and assist fertilization management [5,7]. Several researchers reported useful relations between measurements with a handheld chlorophyll meter and the leaf nitrogen content of tomatoes [8], sweet peppers [9] and cucumbers [10]. However, as the sampling surface is small, multiple repetitions in combination with a strict sampling protocol are required to acquire representative data [7]. This might impede its practical use for precision nitrogen management in farmers' fields. Proximal canopy reflectance sensors (e.g., multiand hyperspectral cameras) have a larger measurement surface and can be mounted on a vehicle for continuous measurement. These sensors allow for both the estimation of the crop nitrogen content [8,11] and biomass [12–14]. However, according to Tsouros et al. [15], unmanned-aerial vehicle (UAV) monitoring is even more efficient than ground systems as UAVs can cover larger areas and still acquire high-resolution images. Until today, the number of studies on UAV-based vegetable monitoring is still limited [16–20] compared to crop monitoring and phenotyping in arable crops [21,22].

Several researchers have found a good relationship between UAV-derived morphological parameters such as plant height [18,19] or canopy volume [17] and crop biomass. Wittstruck et al. [16] have used UAV imagery to count the number of pumpkins within a field. Moreover, the combination of both image-derived morphological parameters and spectral reflectance indices allowed to successfully monitor the biomass of tomatoes [20,23] as well as eggplant and cabbage [20]

However, while many of these studies investigated different crops, they only covered a small fraction of all the different vegetables. Therefore, this study's objective was to expand the research on UAV-based vegetable monitoring by examining the potential of high-resolution multispectral UAV images as a tool for robust estimation of the variation in leek biomass and nitrogen uptake within a field throughout the growing season. To this end, leek development was monitored across multiple fields over two consecutive growing seasons.

## 2. Materials and Methods

#### 2.1. Data Acquisition

## 2.1.1. Site Description and Agricultural Management

In both 2019 and 2020, multiple fields across Flanders, Belgium, were planted with leeks (Allium porrum) (Figure 1). In 2019, the experiments were spread across four fields at agricultural research stations (ILVO, Inagro, PSKW, and PCG). The leeks were planted between 19 June and 28 June and harvested between 4 December 2019, and 10 February 2020. All fields were planted with the Pluston variety (Nunhems Netherlands BV, Nunhems, The Netherlands). In addition to the research centres, leek cultivation at ten fields from ten different farmers were also included in the experiments in 2020. The leeks were planted between 29 May and 31 July 2020 and harvested between 12 October 2020 and 24 April 2021, depending on the location. The farmers cultivated several varieties, sometimes even two within one field (Table 1). At the research stations, only the Pluston variety was planted. At the research stations, different fertilization strategies were applied, which were determined as variants of the strategies proposed by the updated KNS advisory system for Flemish soils [24,25]. In 2019, mineral fertilizers were applied at planting and 7 to 9 weeks after planting in the form of calcium ammonia nitrate (CAN). The total amount of applied fertilizers at the research stations varied from 0 kg N/ha to 247 kg N/ha in 2019. In 2020, the total amount of mineral fertilizers varied from 0 kg N/ha to 157 kg N/ha. The farmers applied their standard management homogeneously throughout the field. The applied fertilizers consisted of initial fertilization with organic fertilizer or manure and a side-dress with mineral fertilizers. Some farmers planted multiple varieties in their fields (Table 1).



All fields were grown under rain-fed conditions. Only at the research station of PSKW irrigation was applied.

**Figure 1.** The location of the leek fields at the research centres (yellow) and farmers (green) during the 2019 (triangles) and 2020 (squares) experiments.

**Table 1.** Description of the fields that were monitored during the experiments, the varieties that were planted, fertilizers used, the number of observations and flights for both the plant nitrogen uptake (N-uptake) and dry biomass (DBM) and the days after planting (DAP) on which the flights were executed.

| Season | Туре               | Location            | Area<br>(ha) | Variety  | Fertilizer        | Plant Density<br>(ha <sup>-1</sup> ) | n <sub>plots</sub> | n <sub>flights</sub> | n <sub>obs</sub> | DAP                       |
|--------|--------------------|---------------------|--------------|----------|-------------------|--------------------------------------|--------------------|----------------------|------------------|---------------------------|
| 2019   | Research<br>Centre | ILVO <sup>1</sup>   | 0.89         | Pluston  | CAN               | 153 846                              | 12                 | 3                    | 40               | 41, 99, 125               |
|        |                    | Inagro <sup>2</sup> | 0.53         | Pluston  | CAN               | 153 846                              | 24                 | 5                    | 120              | 41, 101, 132,<br>176, 216 |
|        |                    | PCG <sup>2</sup>    | 0.14         | Pluston  | CAN               | 153 846                              | 12                 | 4                    | 48               | 3, 41, 100, 129           |
|        |                    | PSKW <sup>1</sup>   | 0.09         | Pluston  | CAN               | 178 571                              | 8                  | 5                    | 40               | 6, 41, 100, 126, 168      |
| 2020   | Research<br>Centre | ILVO <sup>1</sup>   | 0.48         | Pluston  | CAN               | 153 846                              | 16                 | 4                    | 64               | 44, 83, 136, 251          |
|        |                    | Inagro <sup>2</sup> | 0.32         | Pluston  | CAN               | 153 846                              | 16                 | 4                    | 64               | 49, 91, 135, 210          |
|        |                    | PCG <sup>2</sup>    | 0.18         | Pluston  | CAN               | 153 846                              | 4                  | 3                    | 12               | 42, 84, 129               |
|        |                    | PSKW <sup>1</sup>   | 0.28         | Pluston  | CAN               | 178 571                              | 16                 | 4(1)*                | 64 (16) *        | 36, 77, 105, 149 *        |
|        | Farmer             | F1 <sup>2</sup>     | 3.51         | Vitaton  | PS + Urean        | 185 185                              | 3                  | 3                    | 9                | 43, 124, 257              |
|        |                    | F2 <sup>1</sup>     | 2.97         | Chiefton | CM + CAN          | 185 185                              | 2                  | 2                    | 4                | 43, 134                   |
|        |                    |                     |              | Pluston  | CM + CAN          | 185 185                              | 1                  | 2                    | 2                | 38, 129                   |
|        |                    | F3 <sup>2</sup>     | 1.45         | Pluston  | CS + CAN          | 161 943                              | 3                  | 3                    | 9                | 36, 118, 183              |
|        |                    | F4 <sup>2</sup>     | 3.09         | Krypton  | N.A. <sup>§</sup> | 161 943                              | 2                  | 2                    | 4                | 54, 243                   |
|        |                    | F5 <sup>1</sup>     | 2.14         | Harston  | PS + CAN          | 170 940                              | 2                  | 3 (1) *              | 6 (2) *          | 44, 100, 266 *            |
|        |                    | F6 <sup>2</sup>     | 1.88         | Vitaton  | CS + CAN          | 151 515                              | 2                  | 1                    | 2                | 204                       |
|        |                    | F7 <sup>2</sup>     | 1.21         | Pluston  | PS + CAN          | 175 439                              | 1                  | 3                    | 3                | 40, 122, 176              |
|        |                    |                     |              | Poulton  | PS + CAN          | 175 439                              | 2                  | 3                    | 6                | 56, 138, 187              |
|        |                    | F8 <sup>2</sup>     | 0.79         | Harston  | CM + APP          | 208 333                              | 2                  | 2                    | 4                | 47,263                    |
|        |                    | F9 <sup>1</sup>     | 1.95         | Pluston  | Novatec           | 227 273                              | 2                  | 3 (1) *              | 6 (2) *          | 37, 106, 150 *            |
|        |                    | F10 <sup>1</sup>    | 0.66         | Poulton  | RM + CAN          | 170 940                              | 2                  | 2 (0) *              | 4 (0) *          | 43, 134                   |
|        |                    |                     |              |          |                   | Total 2019                           | 56                 | 17                   | 248              |                           |
|        |                    |                     |              |          |                   | Total 2020                           | 76                 | 44 (32) *            | 263 (203) *      |                           |
|        |                    |                     |              |          |                   | Total                                | 132                | 61                   | 511 (451) *      |                           |

<sup>1,2</sup> These UAV flights were executed by certified pilots with a DJI M600<sup>1</sup> or a DJI M200<sup>2</sup>. \* The number of flights and observations that were matched with N-uptake observations deviates from the number of flights for DBM observations and is indicated between brackets. The flight moments that correspond to those flights is also indicated with \*. The different mineral fertilizers used were calcium ammonia nitrate (CAN), Urean, Novatec, and ammonia polyphosphate (APP), while the used organic fertilizers were pig slurry (PS), cattle slurry (CS) or cattle manure (CM). <sup>§</sup> The farmer did not provide information on the type of fertilizer used.

#### 2.1.2. Plant Samples

In each field, one or multiple trial plots were laid out from which leeks were harvested at different sampling moments throughout the growing season. At each sampling moment, 12 leeks (or at least 2 kg) were sampled from each plot within a field. First, the harvested plants were cleaned to remove any soil. Next, the plants were cut into 2 cm pieces and mixed to ensure representative fractions of all plant parts in the 250 g sub-sample. Finally, the sub-sample was dried in an oven at 60 °C for at least 72 h. The dried mass was used to calculate the dry matter content (DM%) of the leeks. This was subsequently multiplied by the sampled plant's average weight to determine the dry biomass (DBM) (g plant<sup>-1</sup>). The total nitrogen content was determined from the plant samples using the Dumas combustion method, expressed as gN kg<sup>-1</sup> fresh weight [26]. The leek nitrogen uptake was then determined by multiplying this number by the average fresh weight of the harvest.

Table 1 summarizes the year, location, planted varieties, the number of experimental plots within the field, the total number of plant samples for both DBM and crop nitrogen uptake, and the number of UAV flights for these samples.

## 2.1.3. UAV Monitoring

At each plant sampling moment, a UAV flight was performed with a DJI M600 or a DJI M200 drone (DJI, SZ DJI Technology Co., Shenzhen, China), as indicated in Table 1. The plant samples were acquired right before the second fertilization dose was applied and during harvest. At the research centres two additional plant samples were acquired, between the second fertilizer application and the legal deadline to apply fertilizers in Flanders (i.e., 31 October). At the farmers field, only one sample was collected in this period. Both UAVs were equipped with a multispectral sensor (Micasense Rededge MX, Micasense, Seattle, WA, USA). In 2019, flights were carried out at an altitude of 20 m, which resulted in a ground sampling distance (GSD) of  $11 \pm 4$  mm (standard deviation on the GSD resulting from variation in the actual flying height). In 2020, the altitude was increased to 40 m to be able to cover the farmer's fields completely, resulting in a GSD of  $27 \pm 2$  mm. The side and forward overlap were set to 80%. The flights were executed around solar noon, i.e., between 11 am and 3 pm. Five ground control points (GCP) were placed within each field to optimize the georeferencing of the ortho-mosaics. GCP locations were determined with an RTK-GNSS (S10 GNSS Receiver, Stonex, Italy; SL600, Satlab, Sweden) and using the Flemish Positioning Service (FLEPOS).

## 2.2. Image Processing and Feature Extraction

Image stitching and radiometric calibration were carried out by an automated processing pipeline developed by the Flemish Institute for Technological Research (VITO, Mol, Belgium) (https://mapeo.vito.be/en accessed on: 7 September 2021). These processes resulted in an ortho-mosaic with five spectral bands (blue: 475 nm, green: 560 nm, red: 668 nm, rededge: 717 nm, and near-infrared (NIR): 842 nm). The GSD was not consistent across all flights due to different flight altitude settings and small variations between the actual flight and the set altitude. Therefore, all ortho-mosaics were resampled to the largest GSD of all flights (31.2 mm), using the average resampling implemented in Rasterio (v1.1.3) [27]. From the five resulting spectral reflectance bands, twelve vegetation indices (VIs) were calculated: the normalized difference vegetation index (NDVI), normalized difference rededge index (NDRE), green NDVI (GNDVI), blue NDVI, red wide-dynamic range vegetation index (RWDRVI), blue WDRVI (BWDRVI), Meris terrestrial chlorophyll index (MTCI), modified chlorophyll absorption in reflectance index (MCARI), canopy chlorophyll content index (CCCI), chlorophyll index green (CI-green), chlorophyll index rededge (CI-rededge) and soil adjusted vegetation index (SAVI) (Table 2). These indices were first calculated at pixel level and subsequently aggregated by calculating the median and interquartile range (iqr) from all pixels within an experimental plot, as the extraction of plant pixels did not improve the model accuracy [28].

| VI         | Formula  | References |
|------------|--|------------|
| NDVI       | (nir - red)/(nir + red)  | [29]       |
| NDRE       | (nir – rededge)/(nir + rededge)  | [30]       |
| GNDVI      | (nir - green)/(nir + green)  | [31]       |
| BNDVI      | (nir - blue)/(nir + blue)  | [32]       |
| RWDRVI     | $(0.1 \times \text{nir} - \text{red})/(0.1 \times \text{nir} + \text{red})$      | [33]       |
| BWDRVI     | $(0.1 \times \text{nir} - \text{blue})/(0.1 \times \text{nir} + \text{blue})$    | [33]       |
| MTCI       | (nir – rededge)/(rededge – red)  | [34]       |
| MCARI      | (rededge - red) - 0.2(rededge - green)(rededge/red)                              | [35]       |
| CCCI       | [(nir + red)(nir - rededge)]/[(nir - red)(nir + rededge)]                        | [36]       |
| CI-green   | nir/green – 1  | [37]       |
| CI-rededge | nir/rededge – 1  | [37]       |
| SAVI       | $[(1+0.5) \times (\text{nir} - \text{rededge})]/(\text{nir} + \text{red} + 0.5)$ | [38]       |

Table 2. Calculated vegetation indices and formulae based on the Micasense Rededge MX bands.

#### 2.3. Statistical Analysis

#### 2.3.1. Modelling Approaches

In this study, both the ability of linear and non-linear regression models was tested to predict the crop DBM (g plant<sup>-1</sup>) and nitrogen uptake (gN plant<sup>-1</sup>). In total, six different modelling approaches were studied because they possess various mathematical properties. The linear models consisted of simple linear regression (SLR), lasso regression (lasso) and partial least squares regression (PLSR). Support vector regression (SVR), random forest regression (RFR) and gradient boosting with a tree-based model as a base estimator (xGBR) were studied as non-linear models. The SLR models contained only one predictor variable. The other models were more complex as they included the median and iqr of all VIs. A lasso regression model automatically selects predictor variables [39] while a PLSR model reduces the dimensionality by projecting the predictors onto a lower-dimensional space where the latent variables are orthogonal [40]. An SVR model determines a hyperplane (i.e., support vectors) that captures the relationship between the predictor variables and target variable [41]. When the Euclidean distance of a data point to a support vector is below a threshold ( $\varepsilon$ ), the data point is not considered in calculating the model performance index. Finally, random forest regression (RFR) and extreme gradient boosting regression (xGBR) are both ensemble regression methods that predict the target values based on a stack of multiple regression trees [42,43]. RFR uses numerous trees fitted on bootstrapped datasets and finally aggregates the predictions of all trees to predict the response value of a new observation. In an xGBR model, the first decision tree is fitted on the complete dataset, and the following trees are built on a dataset using the residual of the previous tree as the response. The SLR, Lasso, PLSR, SVR and RFR models were fitted using python's Scikit-Learn (v.0.22.1) package [44], while the xGBR models were estimated with the XGBoost (v.1.2.0) package [42].

#### 2.3.2. Model Training and Hyperparameter Optimization

The workflow to estimate, select and validate the models is illustrated in Figure 2. The models were trained using the data that was acquired during the field trials in 2019. Cross-validation (CV) was used to quantify the ability of the model to predict crop DBM and nitrogen uptake throughout the leek development. As the observations acquired at each sampling moment and location are not independent due to similar soil, weather conditions and MS-sensor calibration during each flight, random CV-splits might lead to over-fitting models, poor generalization, and thus poor model selection [45,46]. To obtain a reliable estimate of the prediction performance for a new flight, a leave-one-flight out cross-validation (LOF-CV) scheme was used. In each iteration, the data was split such that all data from one flight were set aside to validate the model, while the data from the other flights were used to train the model. The parameters of the SLR were estimated through ordinary least squares. The other methods require specification of one or multiple

hyperparameters. These hyperparameters were optimized through the minimization of the root mean squared error cross-validation ( $RMSE_{cv}$ ) (Equation (2)) on the training data. So, the data of the remaining n-1 flights to train the model were iteratively split in a training set of n-2 flights and a validation set containing all data from the remaining flight. For the PLSR model, the number of latent variables was selected as the number with the lowest *RMSE<sub>cv</sub>*. For the lasso model, the regularization parameter was optimized. Before the estimation of the Lasso or PLSR model, the predictor variables were scaled to zero mean and unit standard deviation. For the SVR model, only the RBF kernel was considered. The kernel scaling factor (gamma),  $\varepsilon$  and L2-regularization parameters were optimized. For the RFR model, the number of regression trees, the minimal number of observations at each leaf, the maximal number of predictors used and the maximal depth of each tree were optimized. For the xGBR model, the following hyperparameters were tuned: the number of regression trees that were used, the maximal tree depth, the minimum reduction in the loss function value, learning rate, L2 regularization weight and the number of predictors used to build the trees. The hyperparameters for the SVR, RFR and xGBR models were optimized through Bayesian optimization using the scikit-optimize package (v0.8.1).



Figure 2. Workflow for model training, hyperparameter optimization and model selection.

Next, the models with the selected hyperparameters were trained on the n-1 flights of the training set. To evaluate the model performance the RMSE on the training data was calculated for each model, and the model was applied to the test data to predict the response values. After all iterations, the average RMSE for the training data (Equation (1)) was calculated as well as the cross-testing RMSE (RMSE<sub>ct</sub>, Equation (2)).

#### 2.3.3. Model Selection and Validation

The goal of this research was to find the modelling approach that provides the most accurate prediction of DBM or crop nitrogen uptake during the growing season. Therefore, the best performing model was selected based on the smallest  $RMSE_{ct}$  (Equation (2)). Furthermore, model performance was also quantified in terms of the bias (Equation (5)) and  $R^2$  of the linear regression model between the observed and predicted values. The  $RMSE_{ct}$  was calculated as the average error across all LOF-CV iterations as the number of observations per flight varied from 8 to 24.

$$\overline{RMSE}_{train} = \frac{1}{n} \sum_{k=1}^{n} \sqrt{\frac{1}{n-1} \sum_{i=1; i \neq k}^{n} \frac{\sum_{j=1}^{m_i} (y_{ij} - \hat{y}_{ij})^2}{m_i}}$$
(1)

$$RMSE_{ct} = \sqrt{\frac{1}{\sum_{i=1}^{n} m_i} \sum_{i=1}^{n} \sum_{j=1}^{m_i} (y_{ij} - \hat{y}_{ij})^2}$$
(3)

$$rRMSE = \frac{RMSE}{\sigma_{obs}} \tag{4}$$

$$Bias = \frac{1}{\sum_{i=1}^{n} m_i} \sum_{i=1}^{n} \sum_{j=1}^{m_i} (y_{ij} - \hat{y}_{ij})$$
(5)

with *n* the number of flights,  $m_i$  the number of observations in flight *i*, and  $\hat{y}_{ij}$  the jth observation and prediction of flight *i*, respectively, and  $\sigma_{obs}$  the standard deviation of the observations.

After the best performing model architecture was selected, this model was trained on the data from all flights executed in the first season (2019) and tested on the data of the flights executed in the second season (2020).

#### 3. Results

## 3.1. UAV Flights and Plant Samples

It was planned to acquire the plant samples within as short as possible after a UAV flight. However, due to practical issues, this was not always possible. As longer delays were expected to negatively influence the relationship between image features and the destructive measurements, the flights for which the reference measurements were not made within 7 days were left out of the regression dataset. In total, 12 UAV flights (four from the 2019 experiment and eight from the 2020 experiment) could not be matched to any plant sample. The data for the remaining flights are summarized in Table 1. Figure 3 shows the evolution of the NDVI throughout the 2019 growing season at the field of PSKW. A clear increase in the NDVI values can be observed in the beginning of the season, while it saturates towards the end of the growing season.



**Figure 3.** Evolution of the NDVI values acquired at the field of PSKW during the 2019 growing season. The cyan rectangles indicate the complete experimental plot while the red rectangle indicates the area used to extract the multispectral data.

In Figure 4, the DBM and nitrogen uptake measured with the reference methods are plotted as a function of the days after planting (DAP). It clearly shows that there was a fast increase in DBM and nitrogen uptake after planting until DAP 100, after which they remained quite constant. The final yield and nitrogen uptake in 2020 were lower compared

to 2019. The acquired data in 2019 were mainly measured in the very early growth stage (DAP < 50) or after the leek reached a DBM level similar to the final yield. Thus, little information was acquired during the exponential growth phase. In 2020 plant samples and UAV multispectral images were also obtained during the exponential growth phase. The average measured DBM was 33.60 g plant<sup>-1</sup> and 29.25 g plant<sup>-1</sup> for the 2019 and 2020 experiments, respectively. The minimal and maximal measured DBM values were 0.71 and 70.15 in 2019, and 1.34 and 97.98 g plant<sup>-1</sup> in 2020. The observed leek nitrogen uptake in 2019 ranged from 0.02 to 2.16 with a mean value of 0.94 gN plant<sup>-1</sup> and in 2020, nitrogen uptake ranged from 0.04 to 1.62, with a mean value of 0.78 gN plant<sup>-1</sup>.



**Figure 4.** The evolution of (**a**) the DBM (g plant<sup>-1</sup>) and (**b**) the N-uptake (gN plant<sup>-1</sup>) across all experimental plots in 2019 (blue) and 2020 (orange) in function of the days after planting. The solid line is a lowess smoother.

## 3.2. Regression Analysis and Model Selection

## 3.2.1. SLR Models

For both N-uptake and DBM, 24 SLR models were built (Figure 5). The DBM was most accurately predicted by the RWDRVI model with an  $RMSE_{ct}$  of 9.08 g plant<sup>-1</sup> (Figure 5a). The median NDVI and BNDVI had only a slightly increased  $RMSE_{ct}$ , 9.37 and 9.96 g plant<sup>-1</sup>, respectively. The N-uptake was also most accurately predicted by the RWDRVI model with an RMSE<sub>ct</sub> of 0.22 gN plant<sup>-1</sup>. The SLR models using the median NDVI, BNDVI, GNDVI and BWDRVI had a similar accuracy (Figure 5b). The  $RMSE_{ct}$  only varied from 0.23 to 0.25 gN plant<sup>-1</sup>. The N-uptake and DBM were both least accurately estimated using the iqr of the NDRE; the  $RMSE_{ct}$  was equal to 0.67 gN plant<sup>-1</sup> and 25.26 g/plant, respectively. However, the relationship between the observed and predicted values in LOF-CV was significant (p < 0.001). Only the iqr of the MTCI index resulted in a non-significant linear model for both DBM (p = 0.05) and N-uptake (p = 0.08) estimation. More complex models were trained and compared to the best performing SLR model to evaluate whether the information contributed by multiple VIs could provide more accurate predictions.

### 3.2.2. Model Selection for DBM Prediction

All model types were used to quantify their ability to estimate the leek DBM (Table 3). The best performing SLR model was the least accurate of all models during training with an  $\overline{RMSE}_{train}$  of 8.11 g plant<sup>-1</sup>. The other models had smaller training errors that varied from 1.97 g plant<sup>-1</sup> for the xGBR model to 5.26 g plant<sup>-1</sup> for the PLSR model. However, the average RMSE<sub>cv</sub> of the internal cross-validation loop (Figure 2) for the SLR model was

(a)

 $Rwdrvi_median$ 

Ndvi\_median

Bndvi\_median

Bwdrvi\_median

Gndvi\_median

Mcari\_median

Savi\_median

Ndre\_median

Ci\_green\_median

Ci\_rededge\_median

Savi\_iqr

Mcari\_iqr

Ndvi\_iqr

Gndvi\_iqr

Bndvi\_iqr

Rwdrvi\_iqr

Ci\_green\_iqr

Bwdrvi\_iqr

Mtci\_iqr

CCCLiqr

Ndre\_iqr

0

10

 $RMSE_{ct}$  (g plant<sup>-1</sup>)

20

Mtci\_median

Ci\_rededge\_iqr

 $CCCI_median$ 



only 6.34 g plant<sup>-1</sup>, which was similar to the  $\overline{RMSE}_{cv}$  of the RFR and xGBR models, which had the lowest values of the multivariate models.

**Figure 5.**  $RMSE_{ct}$  values for all the SLR models for (a) DBM (g plant<sup>-1</sup>) and (b) N-uptake (gN plant<sup>-1</sup>) ordered from the smallest to largest.

0.0

0.2

0.4

 $RMSE_{ct}$  (gN plant<sup>-1</sup>)

0.6

Bndvi\_iqr

Ci\_green\_iqr

Mtci\_median

Ci\_rededge\_iqr

CCCI\_median

Bwdrvi\_iqr

CCCLiqr

Mtci\_iqr

Ndre\_iqr

**Table 3.** Summary of the model performances based on  $\overline{RMSE}_{train}$  across all LOF-CV iterations, the RMSE<sub>cv</sub>, Bias<sub>ct</sub>, and R<sup>2</sup> for DBM (g plant<sup>-1</sup>). The SLR model performance is based on the median RWDRVI model.

|  | SLR   | Lasso | PLSR  | SVR   | RFR   | xGBR  |
|--|-------|-------|-------|-------|-------|-------|
| $\overline{RMSE}_{train}$ (g plant <sup>-1</sup> ) | 8.11  | 5.00  | 5.26  | 3.59  | 3.30  | 1.97  |
| $\overline{RMSE}_{cv}$ (g plant <sup>-1</sup> )    | 6.34  | 7.22  | 7.58  | 11.15 | 6.37  | 6.31  |
| $RMSE_{ct}$ (g plant <sup>-1</sup> )               | 9.08  | 6.60  | 7.90  | 10.92 | 6.35  | 6.72  |
| $rRMSE_{ct}$ (%)                                   | 42.97 | 31.21 | 37.36 | 51.67 | 30.03 | 31.80 |
| $Bias_{ct}$ (g plant <sup>-1</sup> )               | -0.02 | 0.59  | 0.70  | -0.07 | -0.43 | -0.89 |
| $R^2$  | 0.81  | 0.90  | 0.87  | 0.73  | 0.91  | 0.90  |

The Lasso had a small  $RMSE_{ct}$  value (6.60 g plant<sup>-1</sup>) and large R<sup>2</sup> (0.9). Additionally, its RMSE values during training, cross-validation and cross-testing were relatively consistent. Although the *RMSE*<sub>ct</sub> of the Lasso model was slightly larger than for the RFR model, the Lasso model was preferred, as the model is considerably less complex than the RFR model. Besides, the *RMSE*<sub>ct</sub> value of the RFR model was almost two times larger than the

 $RMSE_{train}$ , which indicates the model was prone to overfitting. The results showed that the SVR and xGBR models had the same issue: a small  $\overline{RMSE}_{train}$  but considerably larger  $RMSE_{ct}$  values.

## 3.2.3. Model Selection for N-Uptake Prediction

Table 4 summarizes the model performance of all model types to estimate the leek N-uptake. The predictions of the SVR model were most accurate during model training, with an  $\overline{RMSE}_{train}$  equal to 0.08 gN plant<sup>-1</sup>. The SLR model performed the least accurately during model training, with an average training error of 0.21 gN plant<sup>-1</sup>. However, the SLR model was the second most accurate model in cross-validation and cross-testing with an  $RMSE_{cv}$  and  $RMSE_{ct}$  of 0.20 and 0.22 gN plant<sup>-1</sup>, respectively. Additionally, the SLR model had consistent RMSE values during training, internal cross-validation, and cross-testing. The bias of the SLR model was also almost zero. Although the SVR model was the best performing model during training, the RMSEcv (0.30 gN plant<sup>-1</sup>) was much larger than the  $\overline{RMSE}_{train}$  (0.08 gN plant<sup>-1</sup>). The SVR model had also the largest bias compared to the other models.

**Table 4.** Summary of the model performance based on  $\overline{RMSE}_{train}$  across all LOF-CV iterations, the RMSE<sub>cv</sub>, Bias<sub>ct</sub>, and R<sup>2</sup> for N-uptake (gN plant<sup>-1</sup>). The SLR model performance is based on the median RWDRVI model.

|  | SLR   | Lasso | PLSR  | SVR   | RFR   | xGBR  |
|--|-------|-------|-------|-------|-------|-------|
| $\overline{RMSE}_{train}$ (g plant <sup>-1</sup> ) | 0.21  | 0.20  | 0.16  | 0.08  | 0.11  | 0.15  |
| $\overline{RMSE}_{cv}$ (g plant <sup>-1</sup> )    | 0.20  | 0.25  | 0.23  | 0.32  | 0.19  | 0.18  |
| $RMSE_{ct}$ (g plant <sup>-1</sup> )               | 0.22  | 0.29  | 0.26  | 0.30  | 0.21  | 0.23  |
| rRMSE <sub>ct</sub> (%)                            | 39.08 | 51.35 | 44.89 | 52.49 | 36.78 | 40.11 |
| $Bias_{ct}$ (g plant <sup>-1</sup> )               | 0.00  | 0.05  | 0.01  | 0.14  | 0.03  | -0.05 |
| R <sup>2</sup>                                     | 0.85  | 0.75  | 0.82  | 0.78  | 0.87  | 0.87  |

Figure 6 illustrates the predictions during cross-testing for both leek DBM and N-uptake using the Lasso and SLR models, respectively. The lowess curves, which indicate the average prediction error, are rather small in the beginning of the growing season. However, at larger DBM and N-uptake values, both models tend to underestimate the observations. Despite a good overall relationship between predicted and observed DBM values, the relationship for the low DBM ( $r_{pearson} = 0.44$ ) and N-uptake ( $r_{pearson} = 0.66$ ) values was less clear.



**Figure 6.** Scatter plots of predicted versus observed values for (**a**) leek DBM using the Lasso model and (**b**) leek N-uptake using the SLR model based on the median RWDRVI. The red dotted line shows the lowess smoother for the predictions.

#### 3.3. Model Validation

For the prediction of the leek DBM and N-uptake, the Lasso model and SLR model were trained again on all the observations from 2019. The dominant parameters in the Lasso model were the median values of RWDRVI and MCARI, with both positive coefficients. The hyperparameter optimization resulted in a value of the regularization parameter of 0.06.

Next, these models were used to predict the DBM and N-uptake for the dataset of 2020. In the following sections, the model prediction accuracy for the Pluston variety will be analysed as well as the ability of the model to be transferred to other varieties.

## 3.3.1. Pluston Variety

For the predictions of the Pluston DBM a considerable increase in the *RMSEP* (8.50 g plant<sup>-1</sup>) was observed (+28.8%) compared to the *RMSE<sub>ct</sub>* (Table 5). Additionally, the R<sup>2</sup> decreased (0.77) and absolute bias (1.59 g plant<sup>-1</sup>) increased compared to cross-testing. DBM was less accurately predicted at the farmers' fields compared to the research centres as the bias and *RMSEP* increased to 3.11 g plant<sup>-1</sup> and 9.79 g plant<sup>-1</sup>, respectively. The prediction error was relatively small for low DBM values but increased for larger DBM values. As the maximal observed DBM in 2020 was smaller than in 2019, no clear underestimation of the DBM yield was observed (Figure 7a). Similar trends were observed for the validation of the SLR model to predict the Pluston N-uptake. The *RMSEP* increased 22.7% relative to the RMSE<sub>ct</sub> to a value of 0.27 gN plant<sup>-1</sup>. N-uptake in the beginning of the growing season was clearly underestimated at the research centres, while this was not the case for the farmer fields. The model predictions were less accurate for large N-uptake values (Figure 7b).

|          | Field Type       | All     | <b>Research Centre</b> | Farmer  |
|----------|------------------|---------|------------------------|---------|
|          | Variety          | Pluston | Pluston                | Pluston |
| DBM      | 5                |         |                        |         |
|          | n <sub>obs</sub> | 224     | 204                    | 20      |
|          | RMSEP            | 8.50    | 8.37                   | 9.79    |
|          | rRMSEP           | 49.98   | 49.96                  | 50.62   |
|          | Bias             | 1.28    | 1.10                   | 3.11    |
|          | R <sup>2</sup>   | 0.77    | 0.77                   | 0.78    |
| N-uptake |                  |         |                        |         |
| 1        | n <sub>obs</sub> | 172     | 156                    | 16      |
|          | RMSEP            | 0.27    | 0.28                   | 0.24    |
|          | rRMSEP           | 69.77   | 72.76                  | 49.51   |
|          | Bias             | 0.03    | 0.02                   | 0.09    |
|          | R <sup>2</sup>   | 0.68    | 0.67                   | 0.82    |

**Table 5.** Summary of the Lasso model to predict Pluston DBM and SLR model to predict Pluston N-uptake on the test data acquired during the 2020 experiments.

## 3.3.2. Model Transfer to Other Varieties

Different leek varieties differ in the leaf greenness, shaft length, and the intended season to be planted. Therefore, they could vary in both spectral and physiological properties. In this study, the generalization ability of the models trained on only the Pluston variety was evaluated using a limited number of observations acquired in 2020 at farmers' field. The DBM of the Chiefton variety was most accurately predicted with an *RMSEP* of 6.19 g plant<sup>-1</sup> (Table 6). The Harston DBM was the least accurately predicted with an *RMSEP* of 21.68 g plant<sup>-1</sup>. Low DBM values were largely overestimated while a very large DBM yield was observed, 97.98 g plant<sup>-1</sup>, which was clearly underestimated. The RWDRVI was able to predict the N-uptake of the Harston and Krypton varieties with similar accuracy compared to Pluston, the *RMSEP* was 0.26 gN plant<sup>-1</sup> for both varieties, while the bias was, respectively –0.01 and 0.04 gN plant<sup>-1</sup> (Table 6). The *RMSEP* of the Chiefton variety was 0.27 gN plant<sup>-1</sup>, which could be largely attributed to the large bias of 0.24 gN plant<sup>-1</sup>. The N-uptake of the Vitaton variety was least accurately predicted with an RMSEP of 0.44 gN plant<sup>-1</sup> and a bias of 0.29 gN plant<sup>-1</sup>.



**Figure 7.** The predicted dbm (**a**) and N-uptake (**b**) in function of their observed values at the research centres (orange dots) and farmer fields (blue crosses) from the experiments in 2020.

|          | Variety          | Chiefton | Harston | Krypton | Poulton | Vitaton |
|----------|------------------|----------|---------|---------|---------|---------|
| DBM      |                  |          |         |         |         |         |
|          | n <sub>obs</sub> | 4        | 10      | 4       | 10      | 11      |
|          | RMSEP            | 6.19     | 21.68   | 9.20    | 13.32   | 11.59   |
|          | Bias             | 1.03     | 3.89    | 3.94    | 9.83    | 0.23    |
|          | R <sup>2</sup>   | 1.00     | 0.59    | 0.86    | 0.71    | 0.70    |
| N-uptake |                  |          |         |         |         |         |
| -        | n <sub>obs</sub> | 4        | 6       | 4       | 6       | 11      |
|          | RMSEP            | 0.27     | 0.26    | 0.26    | 0.30    | 0.44    |
|          | Bias             | 0.24     | -0.01   | 0.04    | 0.23    | 0.29    |
|          | R <sup>2</sup>   | 0.96     | 0.73    | 0.77    | 0.96    | 0.65    |

**Table 6.** Summary of the prediction accuracy for leek DBM and N-uptake for leek varieties that were not included in the training dataset.

## 4. Discussion

4.1. Model Selection and Validation

The aim of this study was to find a robust modelling approach to estimate leek DBM and N-uptake throughout the growing season at multiple locations from multispectral data acquired with a UAV. The results showed that for both DBM and N-uptake the most robust predictions were achieved with a simple model with a limited number of predictors (Tables 3 and 4). The LOF-CV clearly showed that more complex models like SVR, RFR and xGBR were prone to overfitting despite the hyperparameter tuning in leave-one-flight-out cross-validation to avoid this. This result was contrary to several other studies where RFR was reported to outperform linear regression models [18,20,47]. However, these studies used either Kfold-CV or validation plots within the same field as the calibration plots to quantify model performance. Using randomized Kfold-CV on the dataset used in this study, the RMSE values during training, cross-validation, and cross-testing were smaller and more consistent compared to the LOF-CV results (Tables A1 and A2). The problem of

overfitting would thus be less clear. Additionally, based on the Kfold-CV results the ability of multispectral UAV imagery to predict leek DBM and N-uptake for the 2020 experiments would be overestimated if *RMSE<sub>ct</sub>* (Tables A1 and A2) is compared to *RMSEP* (Table 5).

Based on these results it can be hypothesized that more complex models were able to capture some flight-specific effects which resulted in less accurate predictions in crosstesting [46]. To alleviate this problem, additional research is required into these effects, which probably originate from image acquisition or radiometric calibration. Field specific model adjustments might solve this issue but would impede application in precision farm management.

Kim et al. [19] achieved accurate biomass predictions after applying a bias and slope correction on the predicted values when their model was transferred to the next growing season. In our study, an increase in both bias and RMSEP was observed which could not be corrected by either a bias or slope correction. However, Kim et al. [19] used only phenological parameters such as crop height and vegetation fraction. Several other studies indicated that the combination of spectral VIs and plant morphological parameters such as canopy cover and plant height resulted in more accurate estimates of the crop biomass [20,28,48–51]. Crop height could not be reliably estimated for the UAV flights of 2020 and was therefore omitted in this study. The digital surface model (DSM) was georeferenced using 5 GCPs and a digital terrain model (DTM) was determined based on soil pixels selected from the DSM. It is hypothesized that the presence of only five GCPs in the fields was not sufficient for the covered area and therefore led to inaccurate image products. However, the need for placing a large number of GCPs in each field would be a serious drawback for practical implementation. This problem might be overcome by equipping UAVs with an RTK GNSS, as Stroner et al. [52] reported that this allows to obtain georeferencing errors smaller than one GSD without using GCPs.

#### 4.2. Model Application

This study showed the potential of multispectral UAV imagery for leek growth monitoring as both leek DBM and N-uptake were predicted relatively accurate for the Pluston variety. However, there are still some challenges that should be tackled to improve the model robustness in the context of precision agriculture. Nitrogen fertilization of leeks is most often applied at planting and about eight weeks after planting. The results showed that the predictions in this early growth stage did not correlate well with the observed values compared to the overall fit. This might result in sub-optimal management decisions. In both the Lasso model for DBM prediction and the SLR model for N-uptake prediction, the median RWDRVI was an important VI. Although wide dynamic range VIs were developed to cope with the saturation effect of the NDVI, high DBM and N-uptake levels were still underestimated during cross-testing [33]. This was less pronounced during model validation. However, the maximal observed values of the 2020 experiments were smaller compared to the 2019 experiments. The consequences for in-season management decisions will be limited, but accurate predictions of yield and N-uptake are essential to evaluate management decisions. Nonetheless, some farmers also apply fertilizers after eight weeks in the growing season to fertilize in a more fractioned way.

In farmers' fields, it is common to plant multiple varieties next to each other, so it would be helpful if only one model is needed to estimate DBM or N-uptake of different varieties. However, the validation predictions for the other leek varieties showed mixed results, some varieties had accurate predictions for either DBM or N-uptake while other predictions were considerably less accurate. However, due to the limited number of observations and variable plant densities, these results on the transferability to other varieties should be handled with care.

#### 5. Conclusions

To achieve sustainable leek production, N-input should be reduced, however, relatively low fertilizer prices combined with high N-demand, a shallow rooting system and a long growing period make this a difficult task. UAV imagery has been identified as a tool with high potential to assist farmers in improving their N-management and allow sitespecific fertilization as it overcomes some practical limitations of handheld, proximal imaging sensors. As few of these studies have focused on vegetable cultivation and none were found on leeks, this study aimed to expand the research on UAV-based vegetable monitoring by identifying the most robust modelling approaches to monitor leek DBM and N-uptake using leave-one-flight-out cross-validation (LOF-CV). Simple linear models based on a limited number of spectral VIs achieved the best results. More complex models like SVR, RFR and xGBR were prone to overfitting as large differences between RMSE<sub>train</sub>, RMSE<sub>cv</sub> and RMSE<sub>ct</sub> were observed. A Lasso model, using RWDRVI and MCARI resulting in an  $RMSE_{ct}$  of 6.60 g plant<sup>-1</sup>, a bias of 0.57 g plant<sup>-1</sup> and an R<sup>2</sup> of 0.90 was determined as the best model to predict leek DBM. An SLR using the RWDRVI resulted in an  $RMSE_{ct}$ of 0.22 gN plant<sup>-1</sup>, a bias<sub>ct</sub> of 0.00 gN plant and an  $R^2$  of 0.85. The models still have some limitations as the model predictions were less accurate when used to predict DBM and N-uptake during the next growing season, as the RMSEP and bias increased while the R<sup>2</sup> decreased. This study also showed that Kfold-CV could lead to over-optimistic results and the consequent selection of models with poor generalizability due to the inclusion of flight-specific effects such as the weather conditions, soil type, and sensor calibration in the validation data. Additional research into these effects is recommend to build models that are capable of predicting DBM and N-uptake accurately throughout different growing seasons and fields from multispectral UAV data. As long as this has not been resolved, field- and season-specific calibration will be required to improve model accuracy, which impedes the applicability of the technology.

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#### Appendix A

**Table A1.** Model training performance using randomized Kfold-CV for the prediction of the leek DBM using the data from the 2019 experiments.

|  | SLR   | Lasso | PLSR  | SVR   | RFR   | xGBR  |
|--|-------|-------|-------|-------|-------|-------|
| $\overline{RMSE}_{train}$ (g plant <sup>-1</sup> ) | 8.15  | 4.49  | 5.07  | 3.58  | 1.7   | 1.96  |
| $\overline{RMSE}_{cv}$ (g plant <sup>-1</sup> )    | 8.17  | 4.88  | 5.32  | 4.36  | 4.18  | 4.35  |
| $RMSE_{ct}$ (g plant <sup>-1</sup> )               | 8.19  | 4.47  | 5.38  | 4.31  | 4.20  | 4.52  |
| $rRMSE_{ct}$ (%)                                   | 38.74 | 23.05 | 25.47 | 20.0  | 19.89 | 21.38 |
| $Bias_{ct}$ (g plant <sup>-1</sup> )               | 0.01  | 0.00  | 0.10  | -0.05 | -0.20 | -0.63 |
| $R^2$  | 0.85  | 0.95  | 0.94  | 0.96  | 0.96  | 0.96  |

|  | SLR   | Lasso | PLSR  | SVR   | RFR   | xGBR  |
|--|-------|-------|-------|-------|-------|-------|
| $\overline{RMSE}_{train}$ (g plant <sup>-1</sup> ) | 0.21  | 0.15  | 0.14  | 0.08  | 0.06  | 0.14  |
| $\overline{RMSE}_{cv}$ (g plant <sup>-1</sup> )    | 0.21  | 0.16  | 0.16  | 0.16  | 0.14  | 0.15  |
| $RMSE_{ct}$ (g plant <sup>-1</sup> )               | 0.21  | 0.16  | 0.16  | 0.17  | 0.14  | 0.16  |
| $rRMSE_{ct}$ (%)                                   | 36.44 | 28.36 | 27.19 | 29.23 | 24.35 | 27.83 |
| $Bias_{ct}$ (g plant <sup>-1</sup> )               | 0.00  | 0.00  | 0.00  | 0.01  | 0.00  | 0.01  |
| $R^2$  | 0.87  | 0.92  | 0.93  | 0.91  | 0.94  | 0.93  |

**Table A2.** Model training performance using randomized Kfold-CV for the prediction of the leek N-uptake using the data from the 2019 experiments.

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