

## Article

# Trends in Woody and Herbaceous Vegetation in the Savannas of West Africa

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**Abstract:** We assess 32 years of vegetation change in the West African Sudano-Sahelian region following the drought events of the 1970s and 1980s. Change in decadal mean rain use efficiency is used to diagnose trends in woody vegetation that is expected to respond more slowly to post-drought rainfall gains, while change in the slope of the productivity–rainfall relationship is used to infer changing herbaceous conditions between early and late periods of the time series. The linearity/non-linearity of the productivity–rainfall relationship and its impact on the interpretation of overall greening trends, and specific woody and herbaceous vegetation trends, is also examined. Our results show a mostly positive association between productivity and rainfall (69% of pixels), which can be best described as linear (32%) or saturating (37%). Choosing the ‘best’ model at a specific location using Akaike Information Criterion has no discernible effect on the interpretation of overall greening or herbaceous trends, but does influence the detection of trends in woody vegetation. We conclude that widespread recovery in woody vegetation is responsible for the post-drought greening phenomenon reported elsewhere for the Sahel and Sudanian sub-regions. Meanwhile, trends in herbaceous vegetation are less pronounced, with no consistent indication towards either herbaceous degradation or recovery.

**Keywords:** sudano-sahelian; semi-arid drylands; land degradation; re-greening; rain use efficiency

## 1. Introduction

The West African Sudano-Sahelian (WASS) region is an extensive semi-arid, drought-seasonal environment south of the Sahara Desert with an approximate range in mean annual precipitation (MAP) of 150–900 mm and savanna vegetation featuring both woody and herbaceous functional types. In African savannas, woody and herbaceous vegetation provide different, but complimentary, ecological and socioeconomic benefits to the (mostly) agro-pastoralist communities. For example, woody plants provide energy (fuelwood and charcoal), food (fruit, nuts, vegetables, etc. for human consumption, browse for animals), traditional medicines, and long-term carbon storage in woody biomass [1]. Herbaceous vegetation, by contrast, is a primary grazing resource for livestock and wildlife [2], and also provides wild harvest for humans [3] and ecosystem services such as erosion control [4]. The long-term balance between woody and herbaceous cover is, thus, not only important

for savanna ecosystem maintenance, but also an indicator of natural resource sustainability and food security [5].

Tropical drylands are mostly sensitive to inter-annual and decadal-scale variation in precipitation [6], as well as human-induced activities (e.g., agriculture, fire, wood harvesting, and grazing) that can lead to land degradation and possibly desertification [7]. The Sahel, in particular, has for a long time been at the center of scientific discourse regarding the direction and the underlying drivers of dryland vegetation change [7–10]. Prolonged drought conditions during the 1970s and early 1980s, and their severe impact on plant biomass [8,9], helped to reinforce an already popular view that it was a region undergoing perpetual degradation driven by drought, but exacerbated by feedback from unsustainable land use practices [7,11,12]. Meanwhile, the last 2–3 decades have seen a revision of this picture by studies that show little or no evidence of a drastic negative shift such as desertification [8], but rather evidence of vegetation recovery or so-called re-greening in the post-drought era [9,13,14].

Much of the evidence of vegetation recovery has come from the use of earth observation remote sensing data, from which spectral indices such as the normalized difference vegetation index (NDVI) [15] can be computed and used to assess changes in vegetation cover over several decades. Currently available are ready-made NDVI datasets that fully cover the post Sahelian drought period (early 1980s–present), such as the Global Inventory Monitoring and Modeling System (GIMMS) data [16], Vegetation Index Phenology (VIP) data [17], and the Long Term Data Record (LTDR) [18]. These datasets are, however, susceptible to artifacts in the time series created by atmospheric distortions, sensor shifts, and other calibration related issues [19,20]. More recent NDVI datasets, such as those derived from the MODerate resolution Imaging Spectroradiometer (MODIS NDVI) [21] and the *Satellite Pour l’Observation de la Terre—Végétation* (SPOT-VGT) [22], may enjoy better temporal consistency, but do not cover the full temporal extent of the post-drought period.

Aside from data considerations, the evidence in support of a re-greening Sahel has also been influenced by the constant evolution of methods used to assess trends. Early reports of recovery were largely based on the trends in annual NDVI metrics (e.g., mean, seasonal integral, and amplitude of NDVI) [8,13,23,24] with little focus on the relative importance of inter-annual rainfall variability and anthropogenic drivers. As such, the concept of Rain Use Efficiency (RUE—ratio of annual greenness or productivity metrics to rainfall) [11,25] was introduced as a means to capture changes in landscape functioning, normalized to account for year-to-year rainfall variability. RUE measures how well the vegetation makes use of available rainfall in a given year and is expected to remain stable over time in undisturbed or resilient landscapes. Long-term trends in RUE should, thus, indicate vegetation recovery (positive trend) or degradation (negative trend) that is unrelated to the minor year-to-year fluctuations in rainfall. Alternatively, and perhaps preferably, the residuals derived from the relationship between productivity and rainfall could be examined through time as an index of vegetation trends, a method known as Residual Trend (ResTrend) analysis [11,26]. The concept of RUE and the ResTrend method are both predicated on the assumption of a positive linear relationship between productivity and rainfall in drylands. This can be problematic, as others have argued, when that relationship becomes saturating at relatively higher levels of rainfall, at which point other environmental factors (e.g., light and nutrients) become limiting [27,28].

Few studies have examined the extent to which the observed post-drought re-greening in the Sahel can be attributed to relative changes in the woody and herbaceous components of vegetation. Field-based studies conducted in the Gourma region of Mali [29] found initial decline of woody populations in more than half of 22 sites under investigation in the years following the drought period, irrespective of other environmental conditions. However, they also reported these declining sites to be experiencing close to full recovery in the subsequent decade after early onset of tree recruitment [29]. The same authors also found that herbaceous growth was more tightly coupled with inter-annual variability in rainfall and with stronger control by edaphic and topographic factors [30]. Other site-level studies found that field-measured woody leaf biomass from the Ferlo region of Northern Senegal

had not only doubled in the post drought period [31], but it was also the most important variable in explaining the satellite-based re-greening signal [10]. Meanwhile, attempts to assess trends in woody and herbaceous conditions at regional scales have focused mostly on the woody vegetation component by using, for example, optical dry season phenological metrics [32] and passive microwave vegetation optical depth (VOD) [33] to capture temporal woody dynamics. To the best of our knowledge, no study has attempted a wall-to-wall (i.e., full coverage) pixel-by-pixel assessment of concurrent woody and herbaceous changes across the savanna regions of West Africa.

In our prior work in the Sahel, we proposed a novel approach to diagnose the post-drought trends in woody and herbaceous vegetation, based on long-term and short-term sensitivity changes in RUE [34]. We applied this concept to 260 watersheds sampled within four Sahelian sub-regions (northern Senegal, western Mali, eastern Mali, and southwest Niger). Results revealed a trend of recovery in a majority of the watersheds, but there were strong sub-regional differences in the extent and direction of woody and herbaceous vegetation change dynamics. We also compared linear and curvilinear (quadratic, log-linear, hyperbolic) productivity–rainfall relationship models in each watershed and found the linear relationship to be the best fit in the majority (>50%) of cases. More importantly, we determined that the choice of the model did not strongly influence the inference of overall long-term trends (based on ResTrend analysis) [34].

In this study, we extend this prior conceptual framework from a watershed-level analysis to a pixel-by-pixel analysis of vegetation trends. We address the following specific objectives:

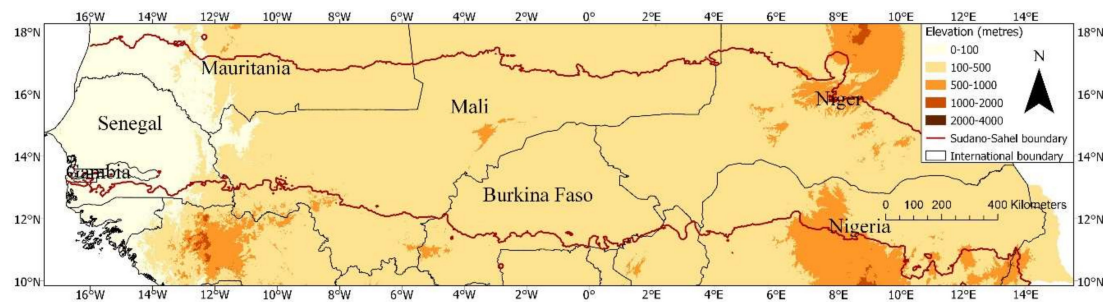
- (1) Investigate the linearity/non-linearity of the productivity–rainfall relationship at each pixel location.
- (2) Differentiate between specific woody and herbaceous vegetation trends at each pixel location.
- (3) Determine whether choosing the ‘best’ productivity–rainfall relationship (linear or saturating) at each location influences the detection of overall trends or the more specific woody and herbaceous trends.

By disaggregating to the pixel-level, and conducting a full coverage analysis, we aim to achieve certain improvements over our prior study [34]. The pixel scale is an improvement in spatial resolution over the watershed scale and should allow for a finer detection of landscape-level trends. Meanwhile, a full coverage analysis provides a more complete regional picture on the trends in re-greening/degradation since the 1970s and 1980s drought events. Our prior analysis did not investigate how the shape of the productivity–rainfall relationship influenced the assessment of the more specific trends in woody and herbaceous conditions. The current study aims to address this, leading to a better appreciation of vegetation trends not only in the dry Sahel, but also the less dry Sudanian zone where there is a greater chance of a saturating effect of rainfall on productivity.

## 2. Materials and Methods

### 2.1. Study Area

Our study area consisted of the Sahelian and Sudanian savanna ecoregions of West Africa, bounded to the north by the Sahara Desert (MAP < 150 mm) and to the south by the Guinea savanna-forest mosaic (MAP > 900 mm), and extending from the Atlantic coast of Senegal and the Gambia on the west to the eastern borders of Niger and Nigeria on the east (Figure 1). The climate was strongly seasonal, with a unimodal distribution in annual rainfall that typically lasted from June to October and peaked in August of each year [35,36]. Vegetation in the area broadly reflected the climate gradient, ranging from dominantly herbaceous plant life with few trees and shrubs in the drier north, to woodland savannas in the south. The region is home to ~60 million people spread across parts of eight countries, with rural populations involved in combinations of subsistence agriculture and livestock (i.e., agro-pastoral) activities [37].



**Figure 1.** Map of the West African Sudano-Sahelian (WASS) region.

## 2.2. Retrieval and Preparation of Bioclimatic Data

We used the 3rd generation GIMMS NDVI dataset available in Google Earth Engine (GEE) to compute annual above-ground net primary productivity (ANPP) because of its reported temporal stability and overall good quality in semi-arid regions compared to other NDVI datasets of equal temporal coverage, such as the VIP and LTDR series [19]. GIMMS NDVI is derived from daily Advanced Very High Resolution Radiometer (AVHRR) multispectral reflectance and delivered as a semi-monthly (15 day) maximum NDVI global mosaic with a spatial resolution of 8 km [16]. Applying the associated quality flag filter (1 through 6, which included good, spline interpolated and average seasonal interpolated NDVI values), we retrieved all NDVI data for the years 1982–2013 covering our study area, with each layer resampled to a 0.05° pixel resolution to match the cell size of the rainfall data (described hereafter). For each pixel, monthly NDVI was determined as the maximum of the two semi-monthly values (to further minimize atmospheric effects), and the growing season cumulative NDVI (*i*NDVI, used as a proxy for ANPP) was calculated for each year by summing monthly values over a fixed wet month period (June–October). This summation was preceded by subtracting the mean NDVI of dry months (November–May) from each wet month value to normalize differences in dry season minima across the region [34] (Equation (1)) (Figure 2):

$$iNDVI = \sum_{June}^{October} (monthly\ NDVI - mean\ dry\ NDVI) \quad (1)$$

Rainfall data used in this study was obtained from the daily Climate Hazards Group Infrared Precipitation with Station (CHIRPS) dataset, which is derived from thermal infra-red measurements of cold cloud duration (CCD), locally calibrated into rainfall estimates (in mm), and further interpolated with station gauge data (using inverse distance weighting) to produce a comparatively high resolution (0.05° pixel size) and low latency product [38]. Using GEE, we retrieved daily rainfall (in mm) for the years 1982–2013 for our study area, and for each year, we calculated growing season cumulative rainfall (*i*Rain) by summing over a fixed wet period (June 1st–October 31st, Equation (2), Figure 2). Although there were year-to-year differences in the exact timing of rainfall, the June–October period comfortably covered most, if not all, the precipitation in a given year for the Sahelian (2–3 months duration) and Sudanian (3–5 months duration) zones [36].

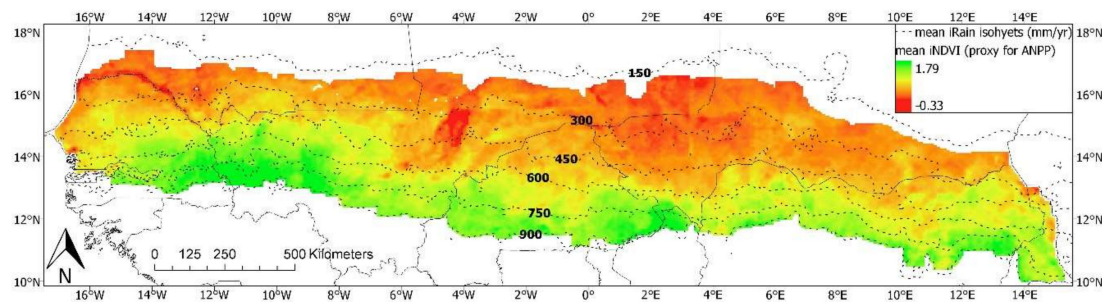
$$iRain = \sum_{June\ 01}^{October\ 31} (daily\ rainfall\ in\ mm) \quad (2)$$

## 2.3. Modeling the Productivity–Rainfall Relationship

Rainfall is widely considered the primary driver of annual vegetation production in African savannas, and knowing the true shape of the productivity–rainfall relationship not only helps to provide a mechanistic explanation of observed vegetation trends, it is also fundamental to the use of concepts such as RUE and ResTrend [11]. Following in the footsteps of our prior watershed-scale



study [34], we investigated the *i*NDVI–*i*Rain relationship for the WASS regions using per-pixel ordinary least squares regression analysis between the time series of *i*Rain (independent variable) and *i*NDVI (dependent variable used as proxy for ANPP). For each individual pixel, we fitted both a simple linear model (*i*NDVI vs. *i*Rain,  $n = 32$ ,  $\alpha = 0.05$ ) and a log-linear model (*i*NDVI vs.  $\ln[i\text{Rain}]$ ,  $n = 32$ ,  $\alpha = 0.05$ ). We believe both model specifications captured the broad sense of monotonic association, linear or saturating, between productivity and rainfall in West African savannas. We then used Akaike’s Information Criterion (AIC) [39] to evaluate the degree of support in the available data for either model. The model with the lowest AIC value was deemed to be the “best” representation of the productivity–rainfall relationship for that pixel.



**Figure 2.** Summary of bioclimatic data used in the study: cumulative wet season normalized difference vegetation index (NDVI) (*i*NDVI—used as an index of aboveground net primary productivity) and annual wet season rainfall integral (*i*Rain). Values displayed are means for 32 years (1982–2013). See Supplementary Materials for links to Google Earth Engine codes used to prepare time series data.

#### 2.4. Assessing Overall Vegetation Trends and How They Are Influenced by the Model of the Cumulative Normalized Difference Vegetation Index–Cumulative Rainfall (*i*NDVI–*i*Rain) Relationship

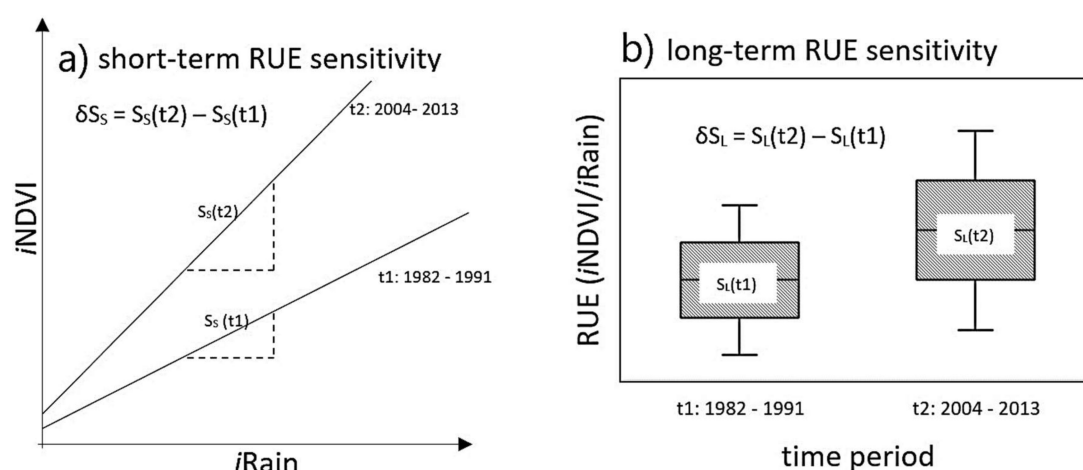
We used the ‘trends in residuals’ method (ResTrend) [26] to determine overall climate independent vegetation trends at each pixel location. Residuals in *i*NDVI were computed by subtracting the rainfall-predicted *i*NDVI from the observed *i*NDVI for each year. We then performed a linear regression of the residuals against time (in years). The ResTrend value (slope of the linear trend in residuals with time) was used to infer overall (non-specific) per-pixel vegetation trends. Positive values would indicate areas with productivity in later years increasingly exceeding rainfall-based expectations, while negative values indicate areas performing increasingly below expectations. To determine if the choice of the *i*NDVI–*i*Rain model influenced the interpretation of trends, we performed the ResTrend analysis for two separate scenarios: (i) using residuals from the linear model, and (ii) using residuals from the “best” (AIC-selected) model, whether linear or log-linear.

#### 2.5. Diagnosing Trends in Woody and Herbaceous Components

To resolve overall vegetation trends into specific woody and herbaceous trends, we used a diagnostic approach that examined the sensitivity of productivity to long-term (decadal) and short-term (inter-annual) variability in rainfall (Figure 3). The conceptual framework is described in detail in our previous work [34] and summarized here: annual production of woody populations is relatively insensitive to inter-annual/short-term variability in rainfall, because leaf production on existing trees and shrubs depends largely on canopy architecture and stored reserves, while the density of woody plants in a landscape depends on the demographic processes of plant establishment and mortality that respond to climate and management over longer time-scales. This can be referred to as ‘long-term’ sensitivity ( $S_L$ ), estimated as the mean RUE (i.e., mean of the ratio *i*NDVI/*i*Rain) over an extended time-scale such as a decade. By contrast, the production of both annual and perennial grasses and herbaceous forbs is highly responsive to inter-annual variability in rainfall, and this ‘short-term’ sensitivity ( $S_S$ ) can be estimated as the slope of the *i*NDVI–*i*Rain relationship in a given

period. By computing the difference in  $S_L$  and  $S_S$  between extended periods (i.e.,  $\delta S_L$  and  $\delta S_S$ ), it is possible to infer trends in the state of woody and herbaceous vegetation, respectively [34].

For this study we computed  $\delta S_L$  and  $\delta S_S$  between the first and last decades of our available time series: 1982–1991 and 2004–2013 (Figure 3). This was to allow for better contrast between the early and the more recent portion of the post-drought era, while still retaining sufficient data for statistical inference.  $\delta S_L$  was computed as the difference in decadal mean RUE, using a per-pixel independent t-test ( $RUE = iNDVI/iRain$ ) (Figure 3b), while  $\delta S_S$  was computed using per-pixel analysis of covariance (ANCOVA) between two linear predictors of  $iNDVI$ :  $iRain$  and a binary time variable representing the two decades. In the latter case, the coefficient of the interaction term ( $iRain \times time$ ) measured “how differently”  $iNDVI$  responded to inter-annual variation in  $iRain$  in the last decade compared to the first (Figure 3a). In keeping with the third objective of this study, we also explored whether  $\delta S_L$  and  $\delta S_S$  values were influenced by the choice of the  $iNDVI$ - $iRain$  model, linear or saturating. To do this, we additionally computed  $\delta S_L$  and  $\delta S_S$  for the “best” model scenario. This meant substituting  $iRain$  with its natural log value in all calculations of  $S_L$  and  $S_S$  at pixel locations determined (using  $iNDVI$ - $iRain$  model AIC values) to have a better fit with the log-linear, as opposed to the linear, model.



**Figure 3.** Conceptual framework for diagnosing per-pixel trends in separate woody and herbaceous vegetation (see [34] for details). (a) Change in short-term rain use efficiency (RUE) sensitivity ( $\delta S_S$ ) is the difference in slope ( $S_S$ ) of the  $iNDVI/iRain$  relationship between 2 periods ( $t_1$ ,  $t_2$ ).  $\delta S_S$  is used to infer changes in herbaceous vegetation that responds rapidly to inter-annual variability in rainfall. (b) Change in long-term RUE sensitivity ( $\delta S_L$ ) is the difference in long-term mean of the ratio  $iNDVI/iRain$  between  $t_1$  and  $t_2$  and is used to infer changes in woody community density and cover, which generally changes slowly as a function of demographic processes (recruitment, growth, and mortality). At locations where there is a preference for a saturating productivity–rainfall relationship,  $iRain$  is replaced in the above framework by  $\ln[iRain]$ .

## 2.6. Field Based Validation of Woody and Herbaceous Trends

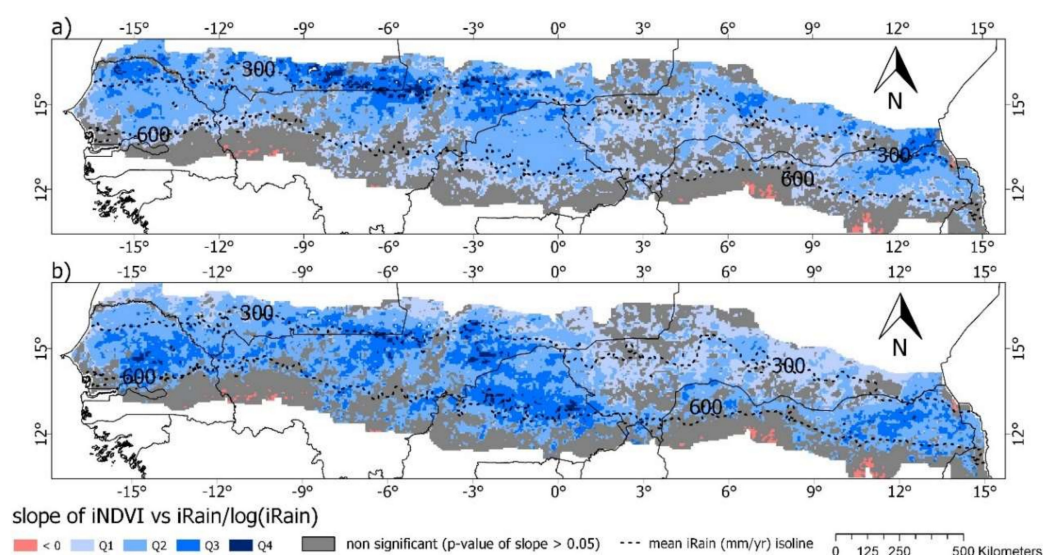
We used in situ data on woody leaf and herbaceous biomass collected from field sites in Senegal from ~1987 to ~2017 to seek support for pixel-based vegetation trends (Supplementary Materials Figure S2). These sites were established in 1987 by the *Centre de Suivi Ecologique* (CSE) to be used for long-term monitoring of biomass. Woody leaf biomass and herbaceous biomass measurements are obtained at the end of each growing season along a 1 km transect located at each site. A detailed description of the field methods can be found in [40]. A total of 24 sites that have benefited from consistent data collection were used for our analysis. For each site, we determined separate woody leaf and herbaceous biomass trends ( $\text{kg ha}^{-1} \text{ year}^{-1}$ ) as the slope of the linear regression of biomass against time (in years). We then extracted the corresponding woody and herbaceous vegetation trends inferred from the analysis of the change in RUE sensitivity to determine, by comparison, if they were supported by the field data (Supplementary Materials Tables S1 and S3).

### 3. Results

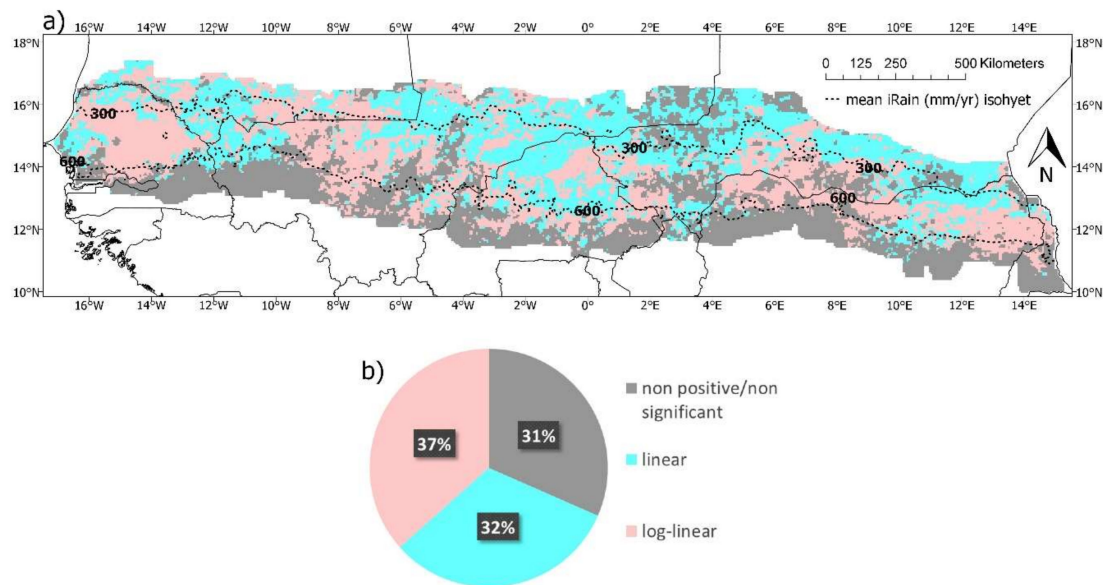
#### 3.1. The Relationship between *i*NDVI and *i*Rain in the West African Sudano-Sahelian (WASS) Region

Our first objective in this study was to re-examine how productivity, indexed here using *i*NDVI, varied with cumulative rainfall (*i*Rain) in the semi-arid grasslands and savannas of the WASS region. We explored whether the long-term satellite and rainfall data for the region indicated a linear or saturating (log-linear) relationship between *i*NDVI and *i*Rain. A close, positive relationship is anticipated in semi-arid and arid landscapes and is a necessary precursor for using changes in rain use efficiency as an indicator of long-term trends relating to post-drought recovery, or the degradation and recovery dynamics caused by climate variability and/or anthropogenic disturbance [11,34].

We found a significant positive linear relationship ( $p < 0.05$ ) between *i*NDVI and *i*Rain for most of the region (Figure 4a). Significant negative associations were rare and restricted to the southern fringes of the study area. Similar results were obtained for the relationship between *i*NDVI and the natural log value of *i*Rain (Figure 4b), showing no consistent support for the use of either linear or log-linear models in the region if only statistical significance ( $p$ -value) and the direction of the relationship (positive or negative) are considered. However, the differences in spatial patterns of the linear and log-linear *i*NDVI-*i*Rain models became more apparent when positive slope values from both models were compared after standardization into equal interval quarterly ranges (Figure 4). The results of the linear model revealed a distinct spatial pattern with the steepest slope values found mostly in the driest/northern part of the region (mean *i*Rain of 300 mm or less), meanwhile areas showing the strongest saturating relationship (steepest slopes of *i*NDVI vs.  $\ln[i\text{Rain}]$  model) extended across a greater latitudinal range and even into the wetter Sudanian zone (mean *i*Rain of 600 mm or more, Figure 4b). More information on the patterns of the *i*NDVI-*i*Rain relationship was revealed when we considered the best performing model at each location (model with lowest AIC value). Accordingly, 32% of pixels showed a preference for the linear model, 37% for the log-linear model, while 31% had negative or non-significant slopes ( $p > 0.05$ ). The linear model again appeared to somewhat dominate the northern drier parts of the region, with the saturating function present throughout but more important in wetter, southern regions (Figure 5).



**Figure 4.** Relationship between *i*NDVI and *i*Rain: (a) linear model, (b) log-linear model. To facilitate direct comparisons of linear and log scale, positive slope values from both models have been classified using equal interval ranges from Q1(lowest quarter—significant but weakest relationship) to Q4 (highest quarter—significant and strongest relationship).



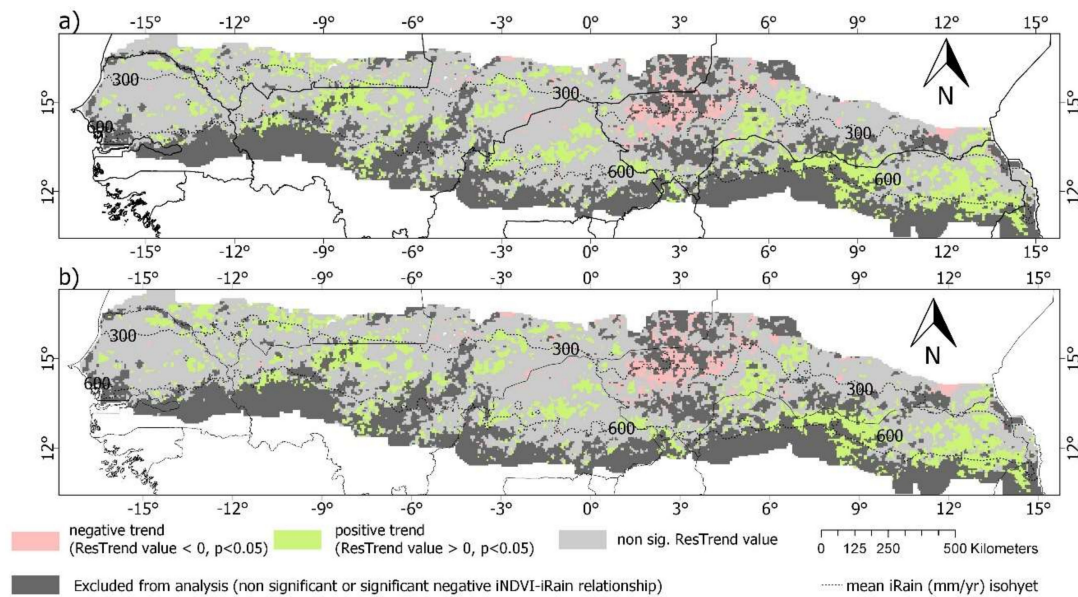
**Figure 5.** (a) Map showing “best” per-pixel *i*NDVI–*i*Rain relationship selected using Akaike Information Criterion (AIC) values of competing models. (b) Pie chart showing distribution of pixels according to the selected model. Grey colored pixels are locations with fitted slopes not significantly different from zero ( $p > 0.05$ ) in either model (or in a few cases, locations with significant negative slopes). In these areas, assumptions of the rain use efficiency approach are not met with our data and, thus, they are excluded from trend analysis.

### 3.2. Overall Vegetation Trends Assessed Using the ResTrend Method

Having examined the nature of the *i*NDVI–*i*Rain relationship for each pixel, our next objective was to assess per-pixel overall vegetation trends, using the ResTrend method, and to determine whether ResTrend results were impacted by the shape of the *i*NDVI–*i*Rain relationship. Residuals are generally preferred to simply using RUE for vegetation trend analysis as the former are far less likely to be correlated with rainfall [11,27]. The annual residuals in *i*NDVI (observed *i*NDVI minus *i*NDVI predicted from model) were derived for both linear and log-linear models and analyzed for temporal trends indicating long-term increasing or decreasing RUE. Comparison of ResTrend results between the linear only and AIC-selected scenarios allowed us to identify locations where fitting a saturating function was important.

ResTrend analysis based on the linear *i*NDVI–*i*Rain model showed that in ~17% of the area under study, the null hypothesis that the ResTrend value (slope of the *i*NDVI residuals versus time linear model) was not different from zero was rejected. Positive trends (ResTrend value  $> 0$ ) accounted for ~14% of the total area and were spread across the region while negative trends (ResTrend value  $< 0$ ) accounted for only ~3% of the whole region and were most noticeable in southwestern Niger (Figure 6). If we further disregard 31% of pixels that were excluded from ResTrend analysis for not meeting core RUE assumptions (Figure 5), the remainder (~52%) constituted areas with no significant long-term vegetation change outside of that caused by year-to-year rainfall variability. Furthermore, there was no noticeable difference in the abundance and the spatial patterns of significant positive or negative trends when comparing ResTrend results between the linear model (Figure 6a) and the best (AIC-selected) model scenarios (Figure 6b).





**Figure 6.** Nonspecific vegetation trends (1982–2013) in the WASS region based on trends in the residuals of  $iNDVI-iRain$  relationships: (a) trends based on residuals from linear model only, (b) trends based on residuals of the best model determined by AIC (linear or log-linear). The similarity between both figures shows that choosing the best model had no discernible effect on the sign (+/−) and significance ( $p$ -value < 0.05) of ResTrend values, consistent with was found during previous watershed scale analysis [34].

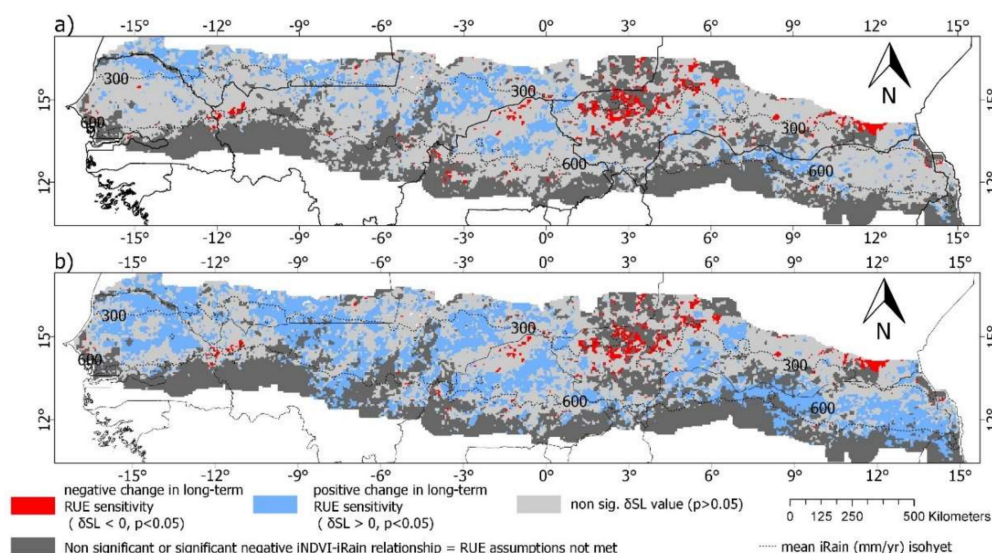
### 3.3. Long-Term and Short-Term Rain Use Efficiency (RUE) Sensitivity Analysis for Assessing Change in Specific Vegetation Types (Woody and Herbaceous)

While the results of the ResTrend analysis replicated earlier work [34,41] and provided information on the overall vegetation trends in the post-drought era, the approach did not allow any inference of the changes in the more specific vegetation components (woody or herbaceous) that were driving those trends. For this purpose, we analyzed the temporal changes in long-term and short-term RUE sensitivities (i.e.,  $\delta S_L$  and  $\delta S_S$ , Figure 3) as indicators of change in woody and herbaceous components, respectively. Since this framework also relied on the underlying relationship between productivity and rainfall, we also assessed how  $\delta S_L$  and  $\delta S_S$  values were impacted by the form of the “best”  $iNDVI-iRain$  model for each location, substituting  $iRain$  with its natural log value in all instances where AIC model selection chose the log-linear over a linear relationship (see Figure 4).

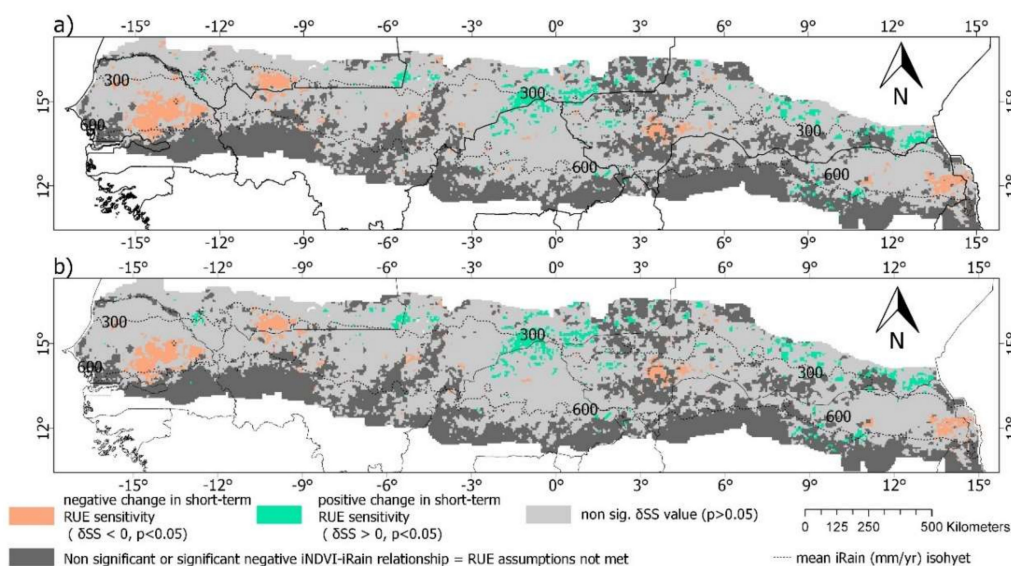
Significant change in long-term RUE sensitivity across the region was dominated by positive trends ( $\delta S_L > 0$ ), suggesting a significant increase in woody conditions when comparing the early and late periods within our time series of analysis (i.e., 1982–1991 versus 2004–2013) (Figure 7). As was seen with the results of the ResTrend analysis, southwestern Niger was a notable exception to the general trend, showing significant decrease in woody conditions. Unlike the ResTrend analysis, however, we found a notable difference in  $\delta S_L$  values when we considered the AIC-selected  $iNDVI-iRain$  model as opposed to just the linear model (Figure 7a,b). Under the linear relationship, where RUE was represented by the ratio of  $iNDVI/iRain$ , pixel locations with significant  $\delta S_L$  values ( $p < 0.05$ ) were mostly restricted to the drier/northern half of the region (rarely occurring beyond a mean  $iRain$  isohyet of 600 mm), suggesting a trend of woody increase dominating the Sahel (Figure 7a). On the contrary, following model selection (i.e., replacing  $iRain$  where appropriate with its natural log in the calculation of  $\delta S_L$ ), more locations in the wetter southern zones showed significant (and still mostly positive)  $\delta S_L$  values (Figure 7b), revealing a temporal increase in woody conditions even as you approach the mesic savannas where rainfall is less limiting.

Changes in short-term RUE sensitivity ( $\delta S_S$ ), i.e., the difference in the  $iNDVI-iRain$  slope value between two decades, were comparatively less extensive (Figure 8), suggesting herbaceous dynamics

play a lesser role in driving the long-term regional trends. We found instances of significant negative  $\delta S_L$ , suggesting long-term decrease in herbaceous production, in the central and eastern parts of Senegal, southern Mauritania, and parts of southwestern Niger. Meanwhile, the border region between Eastern Mali and Northern Burkina Faso and parts of southern-southeastern Niger had a noticeable concentration of positive  $\delta S_L$ , suggesting long-term increase in the production and cover of herbaceous vegetation. In contrast to results obtained for  $\delta S_L$ , the abundance and distribution of significant  $\delta S_S$  values remained pretty much the same whether assuming an all-linear  $iNDVI-iRain$  relationship model or using the AIC-selected model (Figure 8a,b).



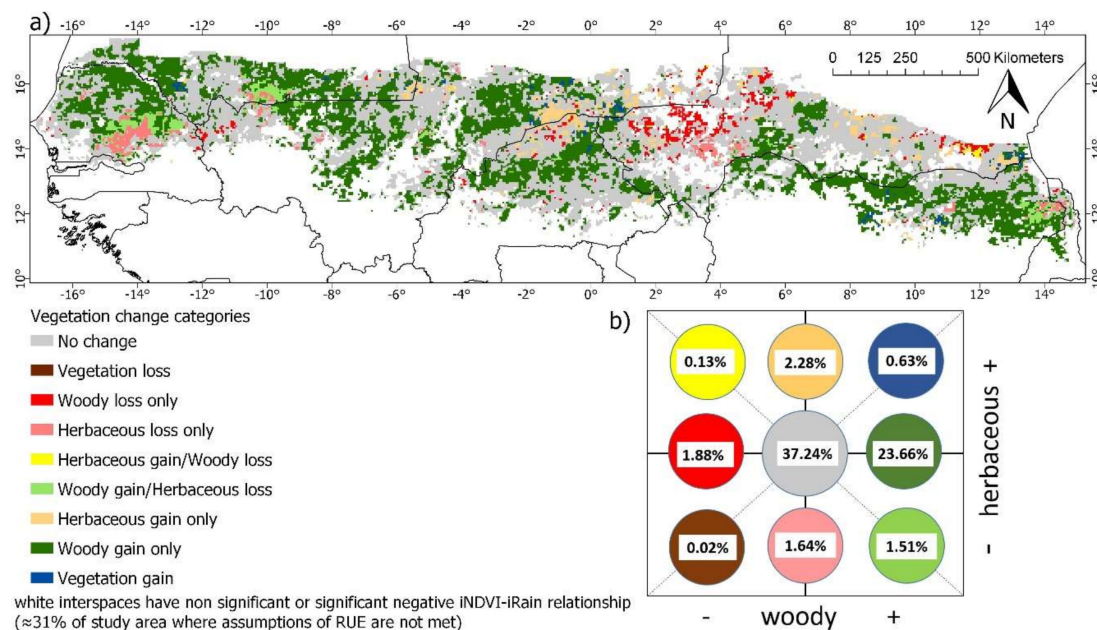
**Figure 7.** Inter-decadal change in long-term RUE sensitivity ( $\delta S_L$ ): (a) assuming a linear  $iNDVI-iRain$  relationship, (b) following AIC model-selection between linear and saturating relationships.



**Figure 8.** Inter-decadal change in short-term RUE sensitivity ( $\delta S_S$ ; change in the slope of  $iNDVI-iRain$  relationship): (a) assuming a linear  $iNDVI-iRain$  relationship, (b) following AIC model-selection between linear and saturating relationships.

To obtain a more complete and descriptive picture of long-term woody and herbaceous trends across the study area, we combined  $\delta S_L$  and  $\delta S_S$  values derived from the AIC-selected  $iNDVI-iRain$  model scenario into a single map (Figure 9a), with all possible combinations of simultaneous woody and herbaceous change represented in a simple 2D space (Figure 9b). Excluding areas that were not

featured in the analysis due to assumptions of RUE not being met ( $\sim 31\%$ , Figure 5), the majority of pixels ( $\sim 37\%$ ) did not show significant change in either woody or herbaceous vegetation conditions. Among the locations experiencing significant trends, woody gain only (i.e., with no significant change in the herbaceous community) was by far the most abundant category, accounting for almost a quarter ( $\sim 24\%$ ) of the entire study area. Areas experiencing gain in herbaceous cover only (with no change in woody conditions) were a distant second in terms of abundance ( $\sim 2.3\%$ ), closely followed by areas experiencing woody loss only ( $\sim 1.9\%$ ), herbaceous loss only ( $\sim 1.6\%$ ), and areas with woody increase (“encroachment”) associated with coincident decline in herbaceous vegetation ( $\sim 1.5\%$ ). Areas with coincident losses in both woody and herbaceous vegetation were negligible ( $0.02\%$ ).



**Figure 9.** Concurrent woody and herbaceous vegetation changes between the 1982–1991 and 2004–2013 decades in the WASS region based on  $\delta S_L$  and  $\delta S_S$  values derived assuming the best (AIC-selected) productivity–rainfall relationship at each location. (a) Map of vegetation change categories, (b) Chart showing conceptual position and the relative abundance of each category in a 2-D space. Both figures share the same color legend.

## 4. Discussion

### 4.1. The Nature of the Productivity–Rainfall Relationship in the Savannas of West Africa

The use of satellite-based metrics, such as  $iNDVI$ , as a proxy for ANPP, and the potential pitfalls associated with it are well established and must always be carefully considered [27,34,41]. Nevertheless, our results showing a strong positive association (slope) between  $iNDVI$  and  $iRain$  for the majority (69%, Figure 5) of the study area conform with the expectation that rainfall is the main driver of productivity in savannas, and is consistent with other satellite-based studies in the region [20,41]. A smaller but substantial part of the study area (31%) showed either null or negative association between productivity and cumulative rainfall. These areas are mostly concentrated, as expected, in the southern, wetter fringes of the region (with mean  $iRain > 600$  mm, Figures 4 and 5), where rainfall is less limiting and where other factors (e.g., light and nutrients) are presumed to be more limiting. Nevertheless, we also observed instances of a null or negative relationship in the northern, drier regions, such as in parts of central Mali and western Niger. This could be explained by confounding local conditions, for example, frequent flooding in the Niger delta area of central Mali and/or major land use change dynamics in other areas like Western Niger. Such drivers would impact local vegetation production more strongly than rainfall patterns [41].



In areas that indicated a significant positive  $i$ NDVI– $i$ Rain relationship, there was support in the data for both linear and saturating functions, with differences found only when model relative strength (standardized slope value, Figure 4) and model selection (AIC value, Figure 5) were considered. After AIC-based model selection, the saturating log-linear function was found to be slightly more common (37%) than the linear function (32%) across the region, no doubt due to the inclusion of the wetter Sudanian zone ( $600 \text{ mm} < \text{MAP} < 900 \text{ mm}$ ) in the analysis. This also supports the idea of woody plants playing a relatively greater role in the observed long-term vegetation dynamics, since maximum woody cover is known to increase linearly with rainfall before saturating at approximately 650 mm MAP, after which canopy closure is possible [42]. Rainfall would have been highly limiting across the whole region in the immediate aftermath of the 1970s and early 1980s drought period, including the normally wetter Sudanian zone, due to the persistence of negative anomalies for the remainder of the 1980s (Supplementary Materials Figure S1) and the presumably low cover and density of plant communities that survived the drought. This supports the idea of an initial linear pattern of vegetation recovery, as woody plant communities responded strongly to the slowly increasing, though still below normal, rainfall levels. By the time annual rainfall had reached mostly positive anomaly levels (late 1990s and onwards, Figure S1), sufficient woody plant recovery might have already taken place in the wetter areas to induce a saturating effect.

#### 4.2. Overall Vegetation Trends and How They Are Influenced by the Productivity–Rainfall Relationship

Our results from ResTrend analysis (Figure 6) provides evidence in support of overall post-drought vegetation trends across the region. As with our previous work [34], we found that taking into account the best productivity–rainfall model (linear or saturating) had little impact on the interpretation of trends in residuals (compare Figure 6a,b). Despite differences indicated by AIC values, both models were generally similar in terms of the sign (positive or negative) and significance ( $p$ -value) for most locations (Figure 4). It would make sense that they would produce similar trends in residuals. Our results revealed the significant post-drought trends to be mostly a greening phenomenon, consistent with the results of past ResTrend studies conducted in the region [41,43] and further dispelling the notion that the drought events of 70s/80s were part of a systematic shift towards desertification [8]. They also allude to the effect of possible beneficial land conservation practices such as agroforestry and erosion control [1,34]. Southwestern Niger was, however, a noticeable degradation hotspot, also consistent with the findings of past studies [27,32,41], and with the supposition that high density population centers such as Niamey (capital city of Niger), and their associated pressures on the surrounding landscape, may be offsetting the broader gains in natural vegetation across the region [44].

#### 4.3. Trends in Woody/Herbaceous Vegetation Condition and How They Are Influenced by the Productivity–Rainfall Relationship

The results of our pixel-level analysis of long-term/short-term (i.e., woody/herbaceous) change in RUE sensitivity are consistent with our previous watershed-level study [34]. They reinforce findings of woody increase in the majority of watersheds sampled in northern Senegal and eastern Mali, as well as woody loss in those in southwestern Niger. However, the current study offers additional insight into regional level trends. The dominance of woody recovery in both the dry Sahel and the less dry Sudanian region (the latter did not feature prominently in our watershed-level analysis study), coupled with the sparse distribution of herbaceous trends, are examples of more detailed trends now being revealed. Another conclusion from this study is that woody “encroachment” (defined here as woody increase coupled with herbaceous decline), a well-known issue facing other drylands regions [45,46], does not appear to be a major phenomenon in West Africa ( $<2\%$  of total area, Figure 9). Gains in woody conditions observed in this study were generally associated with little or no change in herbaceous conditions. This supports the view that the observed greening trends in West Africa over the past few decades may be primarily related to the recovery of woody populations following the reversal of



drought conditions, rather than a fundamental shift in landscape functioning typically associated with shrub encroachment.

Other studies have attempted to analyze long-term trends in specific vegetation components in the WASS region, but these have been mostly undertaken, due to data constraints, under relatively shorter time scales (e.g., post-1990 or post-2000), making direct comparisons with our results difficult. However, there is a consistent indication that changes in woody vegetation are important in driving observed post-drought trends. For example, positive trends in field-measured woody leaf biomass were found to explain most of the satellite (NDVI)-based re-greening trends in northern Senegal [10]. Similarly, woody cover predicted from MODIS-based seasonality metrics for the years 2000–2014 showed strong patterns in eastern Senegal/western Mali (positive trends) and southwestern Niger/northern Nigeria (negative trends) [32]. Passive microwave vegetation optical depth (VOD) data were also used to show mostly positive woody trends in the Sahel region from early 1990s onwards, with subtle differences between the trends in stem/branch ( $VOD_{wood}$ ) and leaf ( $VOD_{leaf}$ ) woody plant components [33]. However, it should be noted that the exact locations of positive and negative woody trends observed in the aforementioned studies did not always agree with our analysis, possibly due to differences in data, methods, and time frame of analysis. For example, the woody cover trends determined in [32] for northern Nigeria, eastern Senegal/western Mali and eastern Mali were mostly contrary to our results. We also found that our change in long-term RUE-sensitivity ( $\delta S_L$ , used to infer woody trends) agreed more with trends in woody leaf biomass ( $VOD_{leaf}$ ) than with trends in wood biomass ( $VOD_{wood}$ ), as determined in [33], likely due to our use of NDVI ('greenness') for measuring productivity and RUE.

Independent validation of long-term woody/herbaceous vegetation trends assessed through remote sensing is a challenging task, especially in West Africa where there is a paucity of long-term field data records. More so, due to the typical structure of savanna landscapes (i.e., scattered small trees and shrubs mixed with open grassland), comparatively higher resolution remote sensing data with adequate historical coverage such as Landsat imagery is rather insufficient in accurately separating historical woody and herbaceous vegetation cover for validation purposes. Our own attempts to validate pixel trends with in situ data from Senegal led to mixed results. Based on the sign (+/−) and significance (*p*-value) of field biomass trends, we found that a majority of field sites agreed with pixel-based trends in herbaceous vegetation (77% of 22 sites) while only half of field sites agreed with woody vegetation tendencies (50% of 22 sites) (Supplementary Materials Table S1). This was also evident when we compared mean woody leaf and herbaceous biomass trends across pixel categories (Supplementary Materials Figure S3). We recognize that the difference in scale (1 km field transect versus ~5.6 km/0.05° pixel dimension), coupled with the use of a transect method for field data collection (arguably more suitable for sampling the evenly distributed herbaceous vegetation), may have contributed to the lesser support for pixel-based woody trends in the field data. We also note that some pixel-based trend categories were not well represented in the field data, which weakened our efforts at validation. Nevertheless, the mostly positive woody leaf biomass trends and the mostly non-significant herbaceous biomass trends reflect the general picture of this small part of the region as presented by the pixel-level RUE change analysis (Supplementary Materials Figure S2).

A key improvement in this study over prior analyses was the determination of whether the nature (linear or saturating) of the productivity–rainfall relationship had any effect on the inferred woody and herbaceous trends. One interesting result from this was the effect on assessing woody trends, specifically through detection of more significant, and mostly positive, trends in the wetter Sudanian areas (Figure 7b). Normally, the saturating effect of high rainfall suppresses RUE, indicating that other limiting factors such as light and nutrient availability could be becoming more important. However, by accounting for a log-linear function where appropriate, the study revealed that recovery of woody vegetation was not restricted to the drier Sahel, as was indicated by the “linear only” scenario (Figure 7a), but has been an important, and perhaps dominant, part of the re-greening trend across the whole region since the 1970s and 1980s droughts.

A limitation of this study is that the relatively short time series may have influenced our ability to detect significant trends at some pixel locations. We also acknowledge that our analysis did not account for other important biophysical parameters, such as long-term changes in the richness and abundance of specific woody and herbaceous plant species that could be of local ecological and/or socio-economic importance. We therefore recommend that this study be followed up with more detailed studies that would further characterize the woody and herbaceous trends determined by our analysis, as well as investigate potential local-level drivers of trends, natural and/or anthropogenic.

## 5. Conclusions

Satellite-based evidence of post-drought vegetation recovery across West African savannas has been well documented [9,27,40,45]. However, earlier studies have mostly focused on assessing overall re-greening or degradation trends, with little attention given to the underlying trends in separate woody and herbaceous components. In this study we revisited the discussion on long-term vegetation trends in water-limited environments, and we used our previously developed framework for diagnosing pixel-level trends in woody and herbaceous vegetation in the WASS region. This framework is based on how vegetation productivity responds to rainfall on inter-annual and inter-decadal time-scales.

Our results are consistent with what is known for drylands, with mostly strong, positive relationships between productivity and rainfall, which become less pronounced at higher rainfall levels. We found that the choice of productivity–rainfall functional model (linear or saturating) had little or no impact on the ability to infer overall vegetation trends determined using ResTrend analysis or the more specific herbaceous trends determined using a change in the inter-annual response of productivity to rainfall (short term RUE sensitivity). However, model selection was important for the inference of woody vegetation trends diagnosed using decadal-scale changes in RUE sensitivity, in which case significant woody trends were detected not only in the dry Sahel zone but also in the less dry Sudanian zone. We conclude that, except for southwestern Niger, long-term re-greening trends across the WASS region are dominated by increase in woody vegetation, suggesting a steady recovery of woody populations in the aftermath of the 1970s and 1980s drought.

The outcome of this study provides inroads into understanding important aspects of post-drought vegetation dynamics in the WASS region, by partitioning recovery or degradation labels into more detailed trends in woody and herbaceous functional groups. This more detailed analysis has profound implications for assessing patterns of ecological functioning and sustainability across the region, where woody and herbaceous plant communities provide distinct suites of ecosystem services. Long-term assessments of this kind will be critical in the Sahel and other dryland regions as they respond to climate variability, long-term climate change, and changing anthropogenic influences.

**Supplementary Materials:** The following are available online at <http://www.mdpi.com/2072-4292/11/5/576/s1>, Figure S1: Yearly anomalies (in units of standard deviation) of (a) *i*NDVI and (b) *i*Rain, averaged for the study area, Figure S2: Map of Senegal showing locations of 24 sites with long-term in situ biomass data used to validate/support pixel-based trends, Figure S3: Comparison of mean in situ biomass trends ( $\text{kg ha}^{-1} \text{ year}^{-1}$ ) across vegetation change categories inferred from per-pixel RUE change analysis, Table S1: Summary of in situ data used to support pixel-based vegetation trend. Also included are links to Google Earth Engine code used to retrieve and prepare NDVI and rainfall data, and python 2.7 functions used for statistical analysis.

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