



Article

Application of Eco-Design and Life Cycle Assessment Standards for Environmental Impact Reduction of an Industrial Product

Alberto Navajas * 📵, Leire Uriarte and Luis M. Gandía 👨

Department of Applied Chemistry and Institute for Advanced Materials (InaMat), Public University of Navarre, E-31006 Pamplona, Spain; uriarte.67889@e.unavarra.es (L.U.); lgandia@unavarra.es (L.M.G.)

* Correspondence: alberto.navajas@unavarra.es; Tel.: +34-948-168-444

Received: 5 August 2017; Accepted: 19 September 2017; Published: 26 September 2017

Abstract: Eco-design is included within the framework of the standard for "Environmental management systems—Guidelines for incorporating Eco-design" (ISO 14006:2011). Eco-design process, as defined in standard, has six steps: (i) Specify product functions; (ii) Environmental assessment of products; (iii) Strategies of improvement; (iv) Environmental objectives; (v) Product specification; and (vi) Technical solutions. Step (ii), determination of the stage or process of the product life cycle that has the highest environmental impact; this is perhaps the most controversial step because the standard does not specify which tool should be used. This lack of specification has generated some distrust with regard to eco-design, hindering its development. In order to make a trustworthy eco-design, Life Cycle Assessment (LCA) should be applied as a tool for environmental impact quantification. The main objective of this work is to apply standardised eco-design methodology for the reduction of the environmental impact of an industrial product in Spain using LCA as a tool for the environmental product assessment. LCA standardised process (ISO 14040,14044:2006) is included in the eco-design process. A glass container intended for cough syrup delivery has been selected as an industrial product to be eco-designed. Following the methodology described, the overall normalised impact decreased 35.1% when a PET container substituted a glass container. Environmental impacts have been reduced following standardised eco-design and LCA methodologies, serving as an example to industry and administration regarding how to eco-design with the confidence of obtaining reliable results.

Keywords: industrial ecology; life cycle assessment; eco-design; ISO 14006:2011

1. Introduction

Eco-design is defined as "the integration of environmental aspects into product design and development with the aim of reducing adverse environmental impacts throughout a product's life cycle" [1]. It must focus its attention on the phases of the product life cycle that most significantly affect the environment so that upon re-designing the product, its environmental impact can be greatly reduced [2]. Integrating eco-design into product development can offer several advantages to industry and public organizations such as economic benefits, legislation fulfilment, innovation and creativity promotion, public image improvement, and employee motivation enhancement. To be successful and obtain these benefits, eco-design must be integrated into the strategic planning and operational management of the organization.

In 2003, the Spanish Standardization and Certification Association (Aenor) published an eco-design regulation: the UNE 150301 standard [3] that went beyond the ISO Technical Report ISO/TR 14062 [4] which is designed to integrate environmental aspects into the product design and development process. The purpose of the UNE 150301 standard was to provide organizations with

elements of an Environmental Management System in order for the design and development process involving products and/or services to be effective, including from an environmental standpoint [5]. The Spanish standard was later used as a basis for creating the ISO 14006:2011 standard for "Environmental management systems—Guidelines for incorporating Eco-design" [6] that replaced the ISO/TR 14062. This standard plays an important role with regard to the adoption of prevention measures that help the companies to reduce their environmental impact; it also helps the companies anticipate preventive measures for future environmental regulations.

Eco-design has been applied at an early stage in the product development phase and it leads to improved design specifications [7–10]. In addition, initiatives to implement eco-design in small and medium-sized enterprises (SMEs) [5,11,12] are underway. For example, some companies adopted the UNE 150301 standard [5,13], and some other Spanish companies in the capital equipment sector have adopted the ISO 14006:2011 standard [14]. However, due to difficulties in obtaining information from suppliers and the companies' lack of knowledge regarding how to apply eco-design, the standard has not been widely applied by industry or public organizations [15]. In addition, the scientific literature has provided very few studies following the eco-design process described by ISO 14006:2011 [5] with the objective of the environmental impact reduction of an industrial product.

The eco-design process is originally divided into six steps in ISO 14006:2011: (i) *Specify product functions*; (ii) *Environmental assessment of products*; (iii) *Strategies of improvement*, where a strategy of product improvement is selected according to results of step (ii); (iv) *Develop environmental objectives based on the improvement strategies*; (v) *Establish a product specification addressing the environmental objectives*; and finally (vi) *Develop technical solutions to meet the environmental objectives* [6].

After step (i) Specify product functions, step (ii) of the eco-design process, called Environmental assessment of products, is perhaps the most controversial. In this step, the main objective is to determine which stage or process of the product life cycle has the highest environmental impact. The eco-design standard does not specify which tool should be used for identifying this impact; to date, eco-designers have used qualitative or semi-quantitative methods (e.g., MET [Materials, Energy, and Toxicity] matrix). The questionable adequacy of these tools has generated some distrust with regard to eco-design [13] and is one of the reasons why its development has been hindered. In order to make a trustworthy eco-design, its methodology should include a quantitative as well as the most objective tool available for performing environmental impact evaluation.

Life cycle assessment (LCA) is a well-established and widely accepted tool for determining the environmental profile of a product. Although the eco-design standard does not make reference to LCA, it is the most objective and frequently used tool for determining the environmental profile of products. In fact, LCA has been widely applied in order to reduce materials and energy and environmental pollution during product design and manufacturing [16–19]. Since the 1970's, when scientists began using LCA, numerous organizations and standardization companies have been trying to systemize this type of assessment and make the necessary improvements. Finally, the ISO 14040:2006 and 14044:2006 Standards [20,21] established the correct methodological framework to follow for an accurate LCA study.

Some authors have developed procedures in order to integrate LCA and eco-design methods [22–24], but none of the methodologies described are the one detailed in the eco-design standards. Some other authors have used LCA and the eco-design methodology described in the ISO/TR 14062 standard in their studies [25,26]. In Arana-Landin et al. [5,13], the process of implementing UNE 150310 in some Spanish companies has been described; however, for the environmental assessment of the products, they used either MET matrix semi-quantitative tools, or their description of LCA was lacking in terms of methodology and the data used. In addition, LCA was simplified by the use of Ecoindicators 99. Finally, Landeta et al. [14] described the application of the ISO 14006 standard in some companies with LCA, but no description of the eco-design methodology or the LCA was given.

Sustainability **2017**, *9*, 1724 3 of 16

The main objective of this work is to show and exemplify the step by step and detailed application of the eco-design methodology described in ISO 14006:2011 for reducing the environmental impacts of industrial product fabrication; LCA is used as the tool for environmental impact assessment. The aim is to encourage professionals from the industry and administration to consider and implement this procedure. One reason why eco-design standardisation has been hindered is the lack of knowledge on the part of companies in terms of how to use and integrate LCA into their Enterprise Resources Planning [14]. Therefore, special attention should be focused on LCA application and the four stages [20] of LCA described in ISO 14040 and 14044 and included in stage 2 of the eco-design process (Figure 1) [2,19,27].

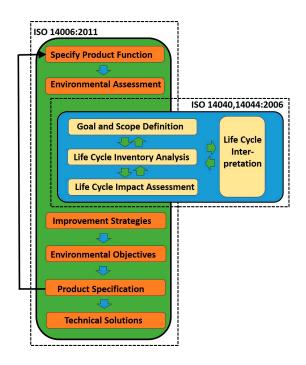


Figure 1. Eco-design Methodology Flowchart.

Considering a possible industrial product fabricated in series in large amounts, in this study the container of a cough syrup has been selected for its simplicity. This allows focusing on the eco-design procedure methodology and offers opportunities for environmental impact reduction. This syrup container is considered primary packaging, defined as "any packaging designed to constitute a sales unit to the final user or consumer at the point of sale" [28]. Commercial software GaBi[®] 6.0 Pro. (Thinkstep, Leinfelden-Echterdingen, Germany) has been used to model the life cycle of the product. This software has a large database that allows acquiring inventory data with good precision [29].

2. Eco-Design of a Syrup Product Container

2.1. Specify Product Functions

The eco-design process starts with the "Specify product functions" phase (Figure 1). The product in this study was a 200 mL amber glass cough syrup bottle with an aluminium cap. It also had a polypropylene (PP) dispenser and everything was packaged up in a cardboard box, with the patient information leaflet included inside.

The term packaging can be defined as any product made of materials of any nature to be used for containing, protecting, handling, delivering, and presenting goods, from raw materials to finished goods, and from the producer to the user or consumer. Thus, the product function is to provide a safe and inert container for the distribution and use of syrup.

Sustainability **2017**, *9*, 1724 4 of 16

It is important to clearly differentiate between the packaging and the product itself contained in the package. The product itself (and processing aspects) is not normally included in the analysis of the life cycle of a packaging system [28] and in this study, neither product (cough syrup) manufacturing nor its filling process are going to be studied.

2.2. Environmental Assessment

The next stage of the eco-design process is the "Environmental Assessment". The evaluation of the environmental aspects of the package has been carried out using the GaBi[®] 6.0. Pro. LCA software. LCA was carried out following the methodology specified in ISO 14044:2006 [21]. The recommendations stated in the Standard CR 12340:1996 "Packaging. Recommendations for conducting life cycle inventory analysis of packaging systems" [28] were also followed.

2.2.1. Goal and Scope Definition

Within the first phase of the LCA, the functional unit is defined, as well as the goal and scope of the study. The objective of this study is to determine which stage or process of the cough syrup packaging life cycle has the highest environmental impact. The basis of the life cycle inventory and LCA is the functional unit. The unit of activity is defined based on the system function; for example, to provide one litre of fresh milk to consumers. Thus, in our case, the functional unit will be a container to supply the final consumer with 200 mL of syrup.

Figure 2 shows the flowchart of the system under study, which includes the glass container, aluminium cap, polypropylene dispenser, and cardboard box; the quantities shown for each of the materials are given in relation to the functional unit. The geographical limit of the study is Spain and manufacture and end of life processes are those used within Spain.

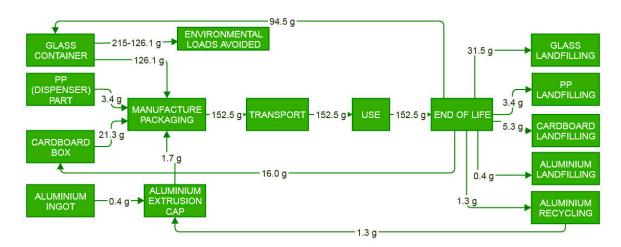


Figure 2. Glass container syrup packaging life cycle flowchart.

2.2.2. Life Cycle Inventory Analysis

During "Life cycle inventory analysis", all the product data are collected, and explications are given regarding the assumptions made. The weights of the packaging components of the functional unit previously defined have been directly obtained by weighing them. Environmental data regarding the consumption and emissions of each different material and the energy production were obtained from the GaBi6.0[®] professional databases. The Life Cycle Inventory (LCI) sources used in this study are the PE International (2009–2013) and PlasticsEurope (2006–2012). In this study, polyethylene terephthalate (PET) is proposed as an alternative to glass for the syrup container, so these two materials will be analysed in detail. Glass container manufacturing inputs and outputs are obtained directly from the PE International database. The principal raw materials involved are quartz sand, bauxite,

Sustainability **2017**, *9*, 1724 5 of 16

limestone, sodium chloride, recycled glass, and clay. With regard to fossil fuels, they are natural gas, hard coal, and crude oil. The main outputs are carbon dioxide, calcium salts wastes, and residual chlorine emissions. PET containers were modelled using the PET granules manufacturing process from PlasticsEurope database linked with an injection process from the PE International database. Fossil resources such as natural gas and crude oil are the main raw materials of this process; carbon dioxide and SO₂ are the principal emissions. The injection process used electricity from the Spanish electric mix, mainly composed of natural gas, nuclear energy, as well as hydroelectric and wind energy.

With regard to the uncertainty of the information, some assumptions had to be made and evaluated by sensitivity analysis in the interpretation step. In order to determine the quality of the data, a data quality analysis was performed using LCA software; the results obtained were as follows: 4.0% of the data were measured, 21.9% of the data were calculated, 66.5% were obtained from literature, 4.2% were estimated, and 3.4% were obtained by other means.

Assumptions

The main assumptions and simplifications adopted in this study are as follows:

- (1) According to the GaBi[®] 6.0. Pro. software database [30], the production of 1 kg of glass containers involves 0.44 kg of recycled glass. The process also takes into account the recycling inputs and outputs (recovery, transport, classification, and crushing). An amber colour is obtained by the addition of iron salts that are also included as raw material.
- (2) The software also includes a process for fabricating cardboard boxes. In this case, the process uses 0.902 kg of waste paper as raw material to obtain 1 kg of cardboard [31]. The process also considers the inputs and outputs of the recycling process for cardboard [32].
- (3) The weight of the patient information leaflet is very small, so it has been added to the cardboard box weight.
- (4) Transport was carried out by diesel truck for an average distance of 100 km from the pharmaceutical industry to the selling points.
- Regarding the end of life, a closed loop allocation procedure has been applied for glass, cardboard, and aluminium recycling. In this case, the need for allocation is avoided because the use of secondary material displaces the use of virgin (primary) materials [21]. Although cough syrup packaging is a pharmaceutical material and should be treated as a special recycling material, the syrup itself cannot be considered a toxic compound and these collecting and cleaning special processes have not been considered in this study; recycling glass, aluminium, cardboard, and PET rates are the same as standard commercial rates. Following the recycling rates for these materials that currently exist in Spain [33,34], it has been assumed that 75% of the cardboard and glass waste materials were recycled whereas the rest of these wastes were landfilled. However, LCA software only allows introducing 0.44 kg of recycled glass per kg of glass container produced. If 75% of the glass container is recycled (94.5 g in Figure 2), GaBi® Glass Container process will produce 215 g of glass container. To avoid the environmental impacts of producing 215 g of glass container instead of the 126.1 g that in truth are used, an "Environmental Loads Avoided" process is introduced. This process subtracts environmental impacts of producing 88.9 g (215-126.1) of glass container. In the case the Corrugated Board production process, these operations are avoided because LCA software allows producing cardboard with almost all the raw materials being recycled cardboard.

In the case of the PET bottles, the current recycling rate in Spain for PET wastes is 57% [35]. Since there is no PET recycling process in the GaBi[®] Database, inventory data from two different PET recycling processes found in the literature were used [36,37]. Data from Arena et al. [37] were used in the study, and sensitivity analysis was performed with Perugini et al. [36] data in order to analyse their influence on the final results. Tables S1 and S2 in Supplementary Material (SM) include the data that was used.

Sustainability **2017**, *9*, 1724 6 of 16

(6) Unlike glass containers and cardboard boxes, there is no process for aluminium parts manufacturing in GaBi[®] 6.0. Pro. that takes into account the percentage of recycled aluminium as input. For the aluminium, it was considered that 78% of the aluminium waste would be recycled. However, the software can simulate the procedure for obtaining an aluminium ingot from aluminium scraps. This is the process included in Figure 2 as "Recycling Aluminium". With an input of 1.3 g of aluminium scraps (78% of the aluminium waste generated), this process generates 1.26 g of aluminium ingot. This ingot is reintroduced into the system as input in the aluminium extrusion profile manufacturing process.

- (7) It is considered that the polypropylene dispenser was sent to the landfill.
- (8) The environmental impacts, associated to printing ink on the cardboard box and the patient information leaflet, have not been taking into account because their importance is considered to be minor.

2.2.3. Life Cycle Impact Assessment (LCIA)

The goal of this phase is to convert inventory results into diverse types of impacts on the environment. Once inventory data have been collected, they are transformed and summed up in the various environmental impact indicators (EIIs) by characterization factors [38,39]. Since LCA was put into use, numerous methods for transforming inventory data to EIIs have been developed, making it difficult for an eco-designer to know which method is the most suitable [40,41]. Differences in characterization models and their substance coverage for individual impact categories exert influence on the results of life cycle assessments (LCAs), sometimes capable of changing the conclusions of comparative LCA studies. This often leads to a different ranking of substances in terms of major contributors to the environmental impact [42–44]. As a result, many LCA practitioners exclude some potentially important impact categories from assessments [45], and they recognize that LCIA is one of their main limitations [40]. Therefore, with the aim of establishing a more reliable use of the LCA tool throughout Europe, the Joint Research Centre [46,47] has recently created a handbook to help eco-designers to make the correct choice for calculating environmental indicators. These recommendations are also helpful when interpreting results because they also determine the reliability of the results [48].

This study has followed these recommendations, and Table S3 in the SM specifies 13 recommended environmental indicators along with the method used for their calculation as specified in the document of characterization factors of the ILCD [49]. Classification of the methods as I (recommended and satisfactory), II (recommended but in need of some improvements), and III (recommended but to be applied with caution) is also included. Table S3 also shows the abbreviations for each EII (e.g., GWP for Global Warming Potential) that will be used throughout this study. The software GaBi 6.0[®] Pro. automatically completes the inventory analysis data transformation to EIIs using the characterization methods explained.

2.2.4. Life Cycle Interpretation

For a correct interpretation, in Figure 3, raw material extraction and manufacture life cycle stages have been considered together for each material. In this figure *cardboard*, *aluminium*, *PP*, and *glass* include all the processes for the raw material extraction and fabrication of the cardboard box, aluminium cap, polypropylene dispenser, and glass container, respectively. *Transport* and *end of life* include these life cycle stages for the whole packaging. Figure 3 shows the percentage of contribution of each of these stages to the value of the 13 environmental indicators that have been used in this study. Table S4 in SM shows the values of each indicator for the six stages considered. The product use life cycle stage has not been taken into account because it does not generate any impact.

It can be observed that the glass container manufacturing process contributes the most to two of the three recommended indicators classified as level I, to five of the six indicators classified as level II, and to one of the three classified as level II/III. Observing the level I indicators, glass container fabrication

Sustainability **2017**, *9*, 1724 7 of 16

is the maximum responsible process regarding the GWP and Particulate Matters (PMP) indicators. In the first case, this is due to the fact that it is a high energy demanding process where natural gas combustion is used for maintaining the high temperatures required in the ovens; in the second case, it is due to raw material processing and fuel combustion. The manufacturing process of the polypropylene dispenser is responsible for its high contribution to the Ozone Depletion Potential (ODP) indicator value due to the halogenated hydrocarbons released during petroleum refining [50]. Looking at level II EIIs, it can be observed that emissions of SO₂ and NO released into the atmosphere during the glass container fabrication are the source of the Acidification Potential (AP) value; the released NO_x emissions are responsible for the marine and terrestrial eutrophication potential, and also for the Photochemical Ozone Formation (POCP) value. These emissions are related to the natural gas burned in the glass industry ovens. Bauxite, used as the source of aluminium in the glass container and aluminium cap production, is the main source of the Resource Depletion (RD) indicator. Phosphate emissions to fresh water due to the use of fertilizers during wood production are responsible for the contribution of the cardboard manufacturing process to the Eutrophication (FEP) value. With regard to the last group of EIIs catalogued as level II/III and interim, emissions of Hg²⁺ generated during glass production, are the main contributor to the HTCP and HTNCP indicators. Glass container production also needs considerable quantities of electric power; the nuclear plants that form part of the Spanish grid mix are responsible for the C14 emissions that contribute to the value of the Ionising Radiation (IRP) indicator. With regard to toxicity indicators, the cardboard manufacturing process becomes important because of the heavy metals emissions, such as Zn²⁺ to water (Ecotoxicity Freshwater (FWETP)) and to agricultural soil (Human Toxicity Potential, Non Cancer Effects (HTNCP)) from cardboard industry wastewater [51]. Finally, it can be observed that end of life and transport stages exert little influence on the final indicator values.

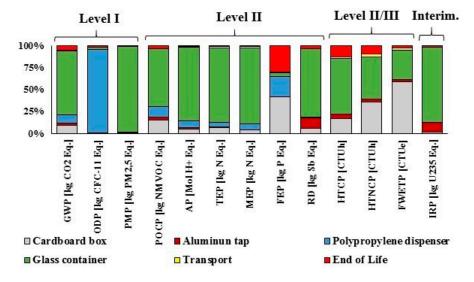


Figure 3. Percentage of contribution of the different stages and manufacturing processes of the syrup glass container life cycle to the overall values of the environmental indicators.

Based on these results, it may be concluded that the glass container manufacturing process has the highest environmental impact because it contributes the most to almost all the EIIs. Nevertheless, cardboard manufacturing or polypropylene production processes are also relevant. In fact, regarding the indicator ODP classified as level I, the polypropylene dispenser manufacturing process has the highest impact, and cardboard has importance with regard to the FEP indicator classified as level II. Therefore, it would be erroneous to conclude that in this case eco-design has to focus on the glass production process as this is not the most important process for all the relevant indicators. For this

Sustainability **2017**, *9*, 1724 8 of 16

reason, to elucidate which manufacturing process is the one with higher environmental impacts, an additional normalisation analysis has been performed.

Normalisation

ISO 14044:2006 [21] defines normalisation as "calculation of the magnitude of indicator results relative to reference information". Normalisation is not a mandatory element of the life cycle interpretation but it helps in decision-making stages of the eco-design process. In this study, normalisation has been carried out to determine which manufacturing process (glass container, cardboard box, or polypropylene dispenser) should be focused on for an effective eco-design. By means of normalisation, EIIs are converted into one equivalent unit following geographic and demographical criteria. The different amounts of resources that are wasted or the emissions released per inhabitant, year, and region are used for this conversion.

Normalisation helps to evaluate if the indicators for the product system under study have high or low contributions relative to the same indicators of the reference system. Indicators with a relatively high contribution can be considered relevant and this can be useful information for the eco-designer because it helps when deciding which design option would most reduce the important normalised indicator. However, normalisation is subjected to uncertainty due to the lack of data for the reference system or due to the absence of characterization methods [52]. Eco-design based on normalisation results could lose reliability if the data used lacked robustness [52–55]. Recently, the European Commission [47] has released a document titled "Normalisation method and data for Environmental Footprints" in which EU + 27 resources and emission data are compiled, used as reference systems, and recommended for use in normalisation calculations in terms of the robustness of the calculation method (resources and emissions data and robustness are presented in Table S5 of the SM).

In order to increase the confidence level of the results, this study carried out normalisation using the aforementioned data (Table S5) and recommendations. The method suggested in Klöpffer et al. [56] for calculating the different normalised impacts according to Equation (1) was also followed in order to consider Spain as geographic limits:

$$NI_{j} = \frac{EI_{j} \times FU_{year}}{M_{EI_{j}} / Inhabitans_{year}}$$
(1)

in which NI_j is the normalised value of the environmental indicator j; EI_j is the value of the environmental indicator j; FU_{year} is the number of manufacturing functional units (syrup packages) wasted in Spain during 2010; and M_{EIj} is the domestic inventory value in Eq. units of each indicator for Spain during 2010 which are the most recent data available [47]. FU_{year} has been calculated taking into account the fact that 3% of the municipal solid wastes are pharmaceutical and of these, only 14.7% correspond to syrup packaging [57]; M_{EIj} has been calculated considering that EU + 27 countries consume and emit at the same rate; the population in EU + 27 is 499 million and 47 million in Spain in 2010. Table S6 in SM and Figure 4 give the normalised values for each life cycle stage and manufacturing process. According to these results, the most relevant indicators for the case under study are GWP, PMP, and AP. Therefore, they are the most important factors to be taken into account for the purpose of eco-designing the syrup package. Figure 4 also shows that the glass container manufacturing process is the one that contributes the most to the main environmental impacts. The final conclusion is that if the environmental impacts have to be reduced, we have to focus on the glass container.

Sustainability **2017**, *9*, 1724 9 of 16

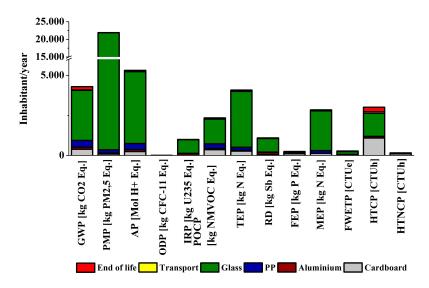


Figure 4. Normalised values for the glass container syrup packaging.

Finally, a sensitivity check of the life cycle assessment has been carried out [20,21]. The objective of the sensitivity check is to assess the reliability of the final results and conclusions, determining whether they are affected by uncertainties in the data, allocation methods or calculation of category indicator results, etc. [20]. Two possible scenarios have been considered: I—the percentage of glass that is landfilled changes from 25% to 50%; II—the percentage of aluminium that is landfilled and not recycled changes from 22% to 50%. These scenarios have been selected because recycling percentages are important data that determine the amount of raw materials used for new glass containers and aluminium taps. These percentages are considered as uncertainties because they could vary if data time or geographical limits are different. If the conclusions do not vary for the different scenarios it could be said that the final conclusions are reliable. Under scenario I, normalised values have been recalculated and increments of 2.9% for GWP, 0.6% for PMP, and 2.3% for AP were obtained. These variations are small and therefore, the same conclusions can be drawn as in the original scenario. With regard to scenario II, there were variations of 2.6%, 0.3%, and 2.2% of the normalised values of the GWP, PMP, and AP EIIs. It can be concluded that there are no important variations in this scenario either, and that the conclusions are the same: the LCA study is robust and not sensitive to important variations in the ratio of recycled material.

2.3. Strategies of Improvement

Once the life cycle stage with the highest environmental impact has been identified, the eco-design process continues with the "Strategies of improvement" phase (Figure 1). Eco-design strategies can be broadly categorized into eight groups [8,58]:

- 1 reduction of the number of different materials and selection of the most appropriate ones;
- 2 reduction of environmental impact in the production phase;
- 3 optimisation of the distribution phase;
- 4 reduction of environmental impact on the use phase;
- 5 extension of the product's useful life span;
- 6 simplification of product disassembly (design for disassembly);
- 7 design for reuse;
- 8 design for recycling.

Results obtained in the previous section make strategy 1, "reduction of the number of different materials and selection of the most appropriate ones", the most effective strategy. In fact, in this case all

eco-design actions should be directed towards the reduction of the glass content of the functional unit or its complete replacement by an alternative material that can significantly reduce the environmental impacts. The reduction of the thickness of the glass container could be a possibility but this introduces serious doubts regarding the adequacy of the new container as being useful for its main function; moreover, safety issues regarding transportation and bottle handling by the consumer would have to be considered as well. For these reasons, and because the interest of pharmaceutical companies on reducing the use of glass containers, this study proposes the substitution of glass with polyethylene terephthalate (PET), previously tested by pharmaceutical companies and recognized as an excellent primary container with similar barrier properties as those of glass.

2.4. Environmental Objectives, Product Specification, and Technical Solutions

With the aim of summarizing, steps (iv), (v), and (vi) are going to be considered all together in this section. With regard to "Environmental Objectives", the objective in this study is to determine the EIIs percentage reduction with the strategy of improvement selected, but no specific reduction is targeted. On the other hand, concerning "Product Specification", Figure 5 shows the life cycle flowchart for the PET syrup packaging and the new PET container weight. The new weight of the PET container is now 28.3 g, much less than the glass container weight of 126.1 g. The rest of the components are the same. Obviously, the new eco-designed product has to meet technical, economic, and social requirements apart from the environmental ones. Throughout the rest of this section, the environmental profile of the newly designed product is going to be compared with the initial design; if the new one fulfils the "Environmental Objectives", it will be included in the "Technical Solutions" final step of the eco-design process. In this part of the eco-design process, the environmental profile of the new product design is going to be evaluated again by LCA (step 2 eco-design process (Figure 1)), with the objective of decreasing EIIs.

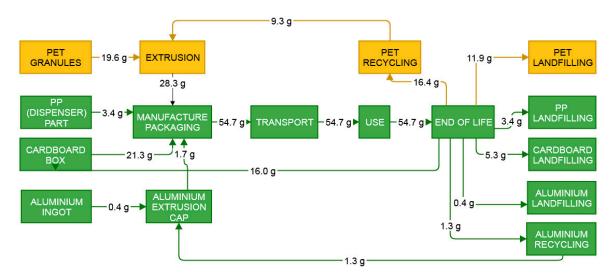


Figure 5. PET container syrup packaging life cycle flowchart.

Table S7 in SM and Figure 6 show LCA results for the package system based on the PET container, including the per cent contribution of each life cycle stage to the environmental indicators. With regard to Level I indicators (GWP, ODP, and PMP), manufacturing the PET container is the process that contributes the most. The CO₂ released during PET granules manufacturing and injection is principally responsible for the GWP indicator. With regard to the ODP indicator, methyl bromide, one of the pollutants responsible for the depletion of the ozone layer, is released during PET granules manufacturing [59–61]. The value of the PMP indicator is mainly due to the SO₂ and dust released into the atmosphere when electricity is generated for its use in the injection process as well as during

PET granules manufacturing. With regard to Level II indicators, the PET container manufacturing process has the highest impact on POCP, AP and, Terrestial Eutrophication (TEP) indicators due to the NO_x emissions produced during PET granules manufacturing and to the electricity consumed. During PET synthesis, an antimonite-based (salts of Sb⁺³) catalyst is used [62], and this is what exerts the most influence on the RD indicator. The phosphate released into the water and the phosphorous released into the groundwater have the greatest impact on the FEP value. Finally, Aquatic Marine Eutrophication (MEP) [1] is the only indicator that is not significantly affected by the PET container fabrication process. In this case, the NO₂ released into the atmosphere during cardboard and PP dispenser manufacturing is what has the most influence on this indicator. The values of the toxicity indicators are mainly related to the emissions of heavy metals into the air and water. From these results, it is clear that the PET container fabrication is the most influential process regarding all the EIIs, except for the MEP indicator. Finally, a comparison must be made with the original package based on the glass container in order to determine if the proposed eco-design has been effective. Figure S1 in the SM shows a comparison of the total Level I and Level II EIIs between the glass and the PET containers life cycle. PET container allows reduction of two of the three most relevant indicators for this case (GWP and PMP) but provokes a dramatic increase in the value of the ODP indicator due to the methyl bromide released into the atmosphere during manufacturing of PET granules [59,60]. With regard to Level II indicators, PET container decreases three of the indictors (AP, MEP, and TEP), and maintains the value of the POCP indicator, whereas FEP, and especially RD, increase due to the antimony-based catalyst used during PET production.

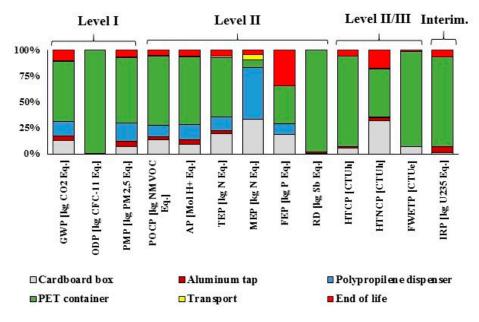


Figure 6. Percentage contribution of the different stages and manufacturing processes of the PET container life cycle to the overall values of the environmental indicators.

Based on these results, it is not straightforward to make a decision regarding the convenience of substituting the glass container for a PET one. Most of the level I and II EIIs are higher for the glass container system, but there are some sharp increments of the RD and ODP indicators when PET is used. As mentioned before, the normalisation process gives more importance to some indicators, and this can help to take the appropriate decisions. This normalisation step has to be carried out very carefully so as not to draw wrong conclusions. Normalisation of the PET EIIs values has been carried out following the same methodology that was used for the glass container and the results are presented in Table S8 in the SM. Figure 7 shows the overall normalised values of the Level I and II indicators for both packaging systems. It can be observed that the overall impact decreases 35.1% in the case of the

PET container (first and second columns in Figure 7). Comparing both systems, it can be observed that the sharp decrease of the normalised value of PMP is largely responsible for the reduction of the overall impact. The method used for the normalisation of PMP indicator is very robust; at the same time, PMP is a Level I indicator, which means that its use is recommended for decision-making processes. Therefore, after the eco-design process has been carried out, it can be confidently concluded that the environmental impact associated to a syrup package can be significantly reduced if the glass used for the container is replaced by PET.

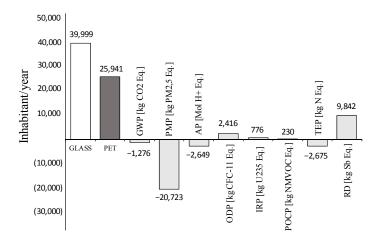


Figure 7. Total Normalised values for the glass (**left**) and PET container (**right**) and evolution of normalised values for Level I and Level II indicators.

Finally, a sensitivity analysis has been carried out in order to determine if PET life cycle assessment is robust. In this case, a scenario using a different PET recycling method has been proposed because, as mentioned before, two different sources for PET recycling data have been found in the reference literature. Data from Arena et al. [37] (Table S2) have been used in this study and data from Perugini et al. [36] (Table S1) have been used in the new scenario to determine if this assumption has great influence on the final results and conclusions. The data indicate that for Arena et al. [37], 1.76 kg of waste PET are necessary for obtaining 1 kg of recycled PET, while for Perugini et al. [36], 2.36 kg of waste material are needed for obtaining 1 kg of recycled PET. The new scenario is therefore less favourable and increases 3.3, 1.1, and 3.2% of GWP, PMP, and AP EIIs, respectively. These variations can be considered small, but there are also increases of 11.7 and 12.0% for indicators such as RD and ODP. If the sum of the Level I and Level II normalised indicators of the new scenario are compared with the sum of those of the glass container, a reduction of 30.2% (35.1% of reduction obtained using Arena et al. [37] data) is obtained.

Two more scenarios have been considered for determining the robustness of the obtained results. In this case, the influence of the PET recycling rate has been selected and two scenarios have been created: I: 50% PET is recycled and 50% is sent to landfill; II: 40% PET is recycled and 60% is sent to landfill. These percentages have been used because as in the case of glass sensitivity analysis, recycling percentages are important data that determine the amount of raw materials used for new PET containers. In the new scenarios, reductions of the normalised indicators are produced, 32.3 and 28.2%, respectively (compared with the 35.1% of reduction obtained with the 57% PET recycling and Arena et al. [37] data). With regard to both sensitivity analyses, it can be concluded that the results are robust and the eco-designer conclusions are reliable.

3. Limitations and Applicability of This Method

The eco-design methodology described in ISO 14006:2011 has been applied to an industrial product to illustrate the interest and benefits it provides, leading to the attainment of eco-design

certification. This methodology can also be applied to other types of products (e.g., furniture, clothes, personal care ...) with no modifications. The most important limitations of eco-design standard include the difficulty in obtaining the required data for the Life Cycle Inventory step in the LCA study, especially from suppliers, and perhaps a lack of knowledge regarding this methodology on the part of the industrial sector. These limitations make widespread application more complicated. In this study, data were obtained directly from a pharmaceutical company and from LCA software database. GaBi[®] 6.0 Pro.

4. Conclusions

The main objective of this work has been to apply eco-design methodology described in ISO 14006:2011 for reducing the environmental impacts of an industrial product using LCA as a tool for the environmental product assessment. LCA process standardised by ISO 14040,14044:2006 has been included in the eco-design process.

A specific type of syrup packaging has been selected as a product for environmental impact reduction. The overall normalised impact decreases 35.1% when a PET container substituted the glass container. Environmental impacts have been reduced following standardised eco-design and LCA methodology. This has served as an example for industry and administrations so they can learn how to eco-design with a certain degree of confidence.

Supplementary Materials: The following are available online at www.mdpi.com/2071-1050/9/10/1724/s1, Inputs and outputs for PET recycling processes, recommended environmental indicators, along with the method used for their calculation, equations and normalised units of each EII for each glass and PET container life cycles, domestic inventory for EU + 27, and overall robustness of the data and normalisation method related to overall values of the level I and II EIIs for the life cycles of glass and PET containers life cycle.

Acknowledgments: The authors are grateful to Laura Stokes for having reviewed the English version of this manuscript. Software and databases for Life Cycle Engineering, PE IN-TERNATIONAL GmbH and LBP University of Stuttgart.

Author Contributions: All three authors contributed to the development and completion of this research. Leire Uriarte made LCA simulations and Alberto Navajas and Luis M. Gandía designed eco-design process and wrote the manuscript.

Conflicts of Interest: The authors declare no conflicts of interest.

References

- 1. Ihobe. Manual Práctico de Ecodiseño. Operativa de Implantación en 7 Pasos; Ihobe: Bilbao, Spain, 2000.
- 2. Poudelet, V.; Chayer, J.; Margni, M.; Pellerin, R.; Samson, R. A Process-Based Approach to Operationalize Life Cycle Assessment through the Development of an Eco-Design Decision-Support System. *J. Clean. Prod.* **2012**, *33*, 192–201. [CrossRef]
- 3. Asociación Española de Normalización y Certificación (AENOR). *UNE 150301:2003—Gestión Ambiental del Proceso de Diseño y Desarrollo. Ecodiseño*; AENOR: Madrid, Spain, 2003.
- 4. International Organization for Standarization. *ISO Technical Report ISO/TR* 14062—Environmental Management—Integrating Environmental Aspects into Product Design and Development; International Organization for Standarization: Geneva, Switzerland, 2002.
- 5. Arana-Landin, G.; Heras-Saizarbitoria, I. Paving the Way for the ISO 14006 Ecodesign Standard: An Exploratory Study in Spanish Companies. *J. Clean. Prod.* **2011**, *19*, 1007–1015. [CrossRef]
- 6. International Organization for Standarization. *ISO* 14006:2011 Environmental Management Systems—Guidelines for Incorporating Ecodesign; International Organization for Standarization: Geneva, Switzerland, 2011.
- 7. Gehin, A.; Zwolinski, P.; Brissaud, D. A Tool to Implement Sustainable End-of-Life Strategies in the Product Development Phase. *J. Clean. Prod.* **2008**, *16*, 566–576. [CrossRef]
- 8. Cerdan, C.; Gazulla, C.; Raugei, M.; Martinez, E.; Fullana-i-Palmer, P. Proposal for New Quantitative Eco-Design Indicators: A First Case Study. *J. Clean. Prod.* **2009**, *17*, 1638–1643. [CrossRef]
- 9. Vinodh, S.; Rathod, G. Integration of ECQFD and LCA for Sustainable Product Design. *J. Clean. Prod.* **2010**, *18*, 833–842. [CrossRef]

10. Lewandowska, A.; Matuszak-Flejszman, A. Eco-Design as a Normative Element of Environmental Management Systems—The Context of the Revised ISO 14001:2015. *Int. J. Life Cycle Assess.* **2014**, *19*, 1794–1798. [CrossRef]

- 11. Van Hemel, C.; Cramer, J. Barriers and Stimuli for Ecodesign in SMEs. *J. Clean. Prod.* **2002**, *10*, 439–453. [CrossRef]
- 12. Le Pochat, S.; Bertoluci, G.; Froelich, D. Integrating Ecodesign by Conducting Changes in SMEs. *J. Clean. Prod.* **2007**, *15*, 671–680. [CrossRef]
- 13. Arana-Landin, G.; Heras-Saizarbitoria, I.; Cilleruelo-Carrasco, E. A Case Study of the Adoption of a Reference Standard for ISO 14006 in the Lift Industry. *Clean Technol. Environ. Policy* **2012**, *14*, 641–649. [CrossRef]
- 14. Landeta, B.; Arana-Landin, G.; De Arbulo, P.R.; Diaz De Basurto, P. A Case Study of the Adoption of the ISO 14006 in the Capital Equipment Sector. In Proceedings of the WOBI 2015—2015 International Work Conference on Bio-Inspired Intelligence: Intelligent Systems for Biodiversity Conservation, Donostia-San Sebastián, Spain, 9–12 June 2015; pp. 39–42.
- 15. Brones, F.; De Carvalho, M.M.; De Senzi Zancul, E. Ecodesign in Project Management: A Missing Link for the Integration of Sustainability in Product Development? *J. Clean. Prod.* **2014**, *80*, 106–118. [CrossRef]
- 16. Zhang, Y.; Wang, H.-P.; Zhang, C. Green QFD-II: A Life Cycle Approach for Environmentally Conscious Manufacturing by Integrating LCA and LCC into QFD Matrices. *Int. J. Prod. Res.* **1999**, *37*, 1075–1091. [CrossRef]
- 17. Senthil, K.D.; Ong, S.K.; Nee, A.Y.C.; Tan, R.B.H. A Proposed Tool to Integrate Environmental and Economical Assessments of Products. *Environ. Impact Assess. Rev.* **2003**, *23*, 51–72. [CrossRef]
- 18. Adhitya, A.; Halim, I.; Srinivasan, R. Decision Support for Green Supply Chain Operations by Integrating Dynamic Simulation and LCA Indicators: Diaper Case Study. *Environ. Sci. Technol.* **2011**, *45*, 10178–10185. [CrossRef] [PubMed]
- 19. Prendeville, S.; Niemczyk, M.; Sanders, C.; Lafond, E.; Elgorriaga, A.; Mayer, S.; Kane, D. *Envisioning Ecodesign: Definitions, Case Studies and Best Practice*; European Network of Ecodesign Centres (ENEC): Cardiff, UK, 2014.
- 20. International Organization for Standarization. *ISO 14040:2006 Environmental Management-Life Cycle Assessment-Principles and Framework;* International Organization for Standarization: Geneva, Switzerland, 2006.
- 21. International Organization for Standarization. *ISO* 14044:2006 Environmental Management, Life Cycle Assessment, Requirements and Guidelines; International Organization for Standarization: Geneva, Switzerland, 2006.
- 22. Bevilacqua, M.; Ciarapica, F.E.; Giacchetta, G. Integration of Design for Environmental Concepts in Product Life Cycle. In *Design for Environment as a Tool for the Development of a Sustainable Supply Chain*; Bevilacqua, M., Ciarapica, F.E., Giacchetta, G., Eds.; Springer: London, UK, 2012.
- 23. Kobayashi, H. Strategic Evolution of Eco-Products: A Product Life Cycle Planning Methodology. *Res. Eng. Des.* **2005**, *16*, 1–16. [CrossRef]
- 24. Bovea, M.D.; Wang, B. Identifying Environmental Improvement Options by Combining Life Cycle Assessment and Fuzzy Set Theory. *Int. J. Prod. Res.* **2003**, *41*, 593–609. [CrossRef]
- 25. Lewandowska, A.; Kurczewski, P. ISO 14062 in Theory and Practice-Ecodesign Procedure. Part 1: Structure and Theory. *Int. J. Life Cycle Assess* **2010**, *15*, 769–776. [CrossRef]
- González-García, S.; Salinas-Mafias, L.; Garria-Lozano, R.; Gabarrell, X.; Rieradevall, J.; Feijoo, G.;
 Moreira, M.T. Application of Ecodesign Methodology in SMEs Run According to Lean Management:
 The Case of a Furniture Publishing Company. Environ. Eng. Manag. J. 2014, 13, 2977–2988.
- 27. Hunkeler, D.; Vanakari, E. Ecodesign and LCA: Survey of Current Uses of Environmental Attributes in Product and Process Development. *Int. J. Life Cycle Assess.* **2000**, *5*, 145–151. [CrossRef]
- 28. European Committee for Standardization. *Standard CR* 12340:1996 "Packaging. Recommendations for Conducting Life-Cycle Inventory Analysis of Packaging Systems"; European Committee for Standardization: Geneva, Switzerland, 1996.
- 29. Navajas, A.; Bernarte, A.; Arzamendi, G.; Gandía, L.M. Ecodesign of PVC Packing Tape using Life Cycle Assessment. *Int. J. Life Cycle Assess.* **2014**, *19*, 218–230. [CrossRef]
- 30. PE International. Process Data Set: Container Glass; Technology Mix; Production Mix, at Plant. 2013. Available online: http://gabi-documentation-2016.gabi-software.com/xml-data/processes/d7bbec20-f8d8-4a8a-9a99-c7cdafaaa77d.xml (accessed on 12 February 2016).
- 31. PE International. Process Data Set: Corrugated Board Boxes; Technology Mix; Production Mix, at Plant. 2013. Available online: http://gabi-dataset-documentation.gabi-software.com/xml_data/processes/3fc467e6-280d-4de0-a426-a036b6a30c99_01.00.000.xml (accessed on 12 February 2016).

32. European Federation of Corrugated Board Manufacturers (FEFCO). European Database for Corrugated Board Life Cycle Studies 2012; FEFCO: Brussels, Belgium, 2012.

- 33. ECOEMBES. Reciclaje Envases España. 2014. Available online: https://www.ecoembes.com/es/ciudadanos/envases-y-proceso-reciclaje/reciclaje-en-datos (accessed on 12 February 2016).
- 34. The European Container Glass Federation. 2013. Available online: http://www.feve.org/index.php?option=com_content&view=article&id=10&Itemid=11 (accessed on 12 February 2016).
- 35. Asociación Nacional del Envase de PET. PET Y Medio Ambiente. 2014. Available online: http://anep-pet.com/index.php/conociendo-el-pet/pet-y-medio-ambiente (accessed on 12 February 2016).
- 36. Perugini, F.; Mastellone, M.L.; Arena, U. A Life Cycle Assessment of Mechanical and Feedstock Recycling Options for Management of Plastic Packaging Wastes. *Environ. Prog.* **2005**, 24, 137–154. [CrossRef]
- 37. Arena, U.; Mastellone, M.L.; Perugini, F. Life Cycle Assessment of a Plastic Packaging Recycling System. *Int. J. Life Cycle Assess.* **2003**, *8*, 92–98. [CrossRef]
- 38. Guinée, J. Handbook on Life Cycle Assessment; Kluwer: Alphen aan den Rijn, The Netherlands, 2002.
- 39. Wimmer, W.; Züst, R.; Lee, K.M. Ecodesign Implementation; Springer: Berlin, Germany, 2004.
- 40. Finkbeiner, M. *Gap Analysis for the Life Cycle Assessment of Container Packaging*; Fédération Européenne du Verre d'Emballage (FEVE): Brussels, Belgium, 2012.
- 41. Flanigan, L.; Frischknecht, R.; Montalbo, T. *An Analysis of Life Cycle Assessment in Packaging for Food & Beverage Applications*; UNEP/SETAC Life Cycle Initiative: Paris, France, 2013.
- 42. Dreyer, L.C.; Niemann, A.L.; Hauschild, M.Z. Comparison of Three Different LCIA Methods: EDIP97, CML2001 and Eco-Indicator 99: Does it Matter which One You Choose? *Int. J. Life Cycle Assess.* 2003, 8, 191–200. [CrossRef]
- 43. Owsianiak, M.; Laurent, A.; Bjørn, A.; Hauschild, M.Z. IMPACT 2002+, ReCiPe 2008 and ILCD's Recommended Practice for Characterization Modelling in Life Cycle Impact Assessment: A Case Study-Based Comparison. *Int. J. Life Cycle Assess.* **2014**, *19*, 1007–1021. [CrossRef]
- 44. Ferreira, C.; Freire, F.; Ribeiro, J. Life-Cycle Assessment of a Civil Explosive. *J. Clean. Prod.* **2015**, *89*, 159–164. [CrossRef]
- 45. Laurent, A.; Clavreul, J.; Bernstad, A.; Bakas, I.; Niero, M.; Gentil, E.; Christensen, T.H.; Hauschild, M.Z. Review of LCA Studies of Solid Waste Management Systems—Part II: Methodological Guidance for a Better Practice. *Waste Manag.* 2014, 34, 589–606. [CrossRef] [PubMed]
- 46. European Commission-Joint Research Centre (EC-JRC). *Characterization Factors of the ILCD Recommended Life Cycle Impact Assessment Methods*; EC-JRC: Brussels, Belgium, 2012.
- 47. European Commission-Joint Research Centre (EC-JRC). *Normalisation Method and Data for Environmental Footprints*; EC-JRC: Brussels, Belgium, 2014.
- 48. Hauschild, M.Z.; Goedkoop, M.; Guinée, J.; Heijungs, R.; Huijbregts, M.; Jolliet, O.; Margni, M.; De Schryver, A.; Humbert, S.; Laurent, A.; et al. Identifying Best Existing Practice for Characterization Modeling in Life Cycle Impact Assessment. *Int. J. Life Cycle Assess.* **2013**, *18*, 683–697. [CrossRef]
- 49. European Commission-Joint Research Centre (EC-JRC). *Recommendations Based on Existing Environmental Impact Assessment Models and Factors for Life Cycle Assessment in European Context*; EC-JRC: Brussels, Belgium, 2012.
- 50. Agency for Toxic Substances and Disease Registry (ATSDR). *Toxicological Profile for Fluorides, Hydrogen Fluoride and Fluorine. ATSDR, Public Health Service, US Department of Health and Human Services*; Agency for Toxic Substances and Disease Registry (ATSDR): Atlanta, GA, USA, 2005.
- 51. Suthar, S.; Sajwan, P.; Kumar, K. Vermiremediation of Heavy Metals in Wastewater Sludge from Paper and Pulp Industry using Earthworm Eisenia Fetida. *Ecotoxicol. Environ. Saf.* **2014**, *109*, 177–184. [CrossRef] [PubMed]
- 52. Van Hoof, G.; Vieira, M.; Gausman, M.; Weisbrod, A. Indicator Selection in Life Cycle Assessment to Enable Decision Making: Issues and Solutions. *Int. J. Life Cycle Assess.* **2013**, *18*, 1568–1580. [CrossRef]
- 53. Slapnik, M.; Istenic, D.; Pintar, M.; Udovc, A. Extending Life Cycle Assessment Normalization Factors and use of Machine Learning—A Slovenian Case Study. *Ecol. Ind.* **2015**, *50*, 161–172. [CrossRef]
- 54. Seppälä, J.; Posch, M.; Johansson, M.; Hettelingh, J.-P. Country-Dependent Characterisation Factors for Acidification and Terrestrial Eutrophication Based on Accumulated Exceedance as an Impact Category Indicator. *Int. J. Life Cycle Assess.* **2006**, *11*, 403–416. [CrossRef]

55. Myllyviita, T.; Leskinen, P.; Seppälä, J. Impact of Normalisation, Elicitation Technique and Background Information on Panel Weighting Results in Life Cycle Assessment. *Int. J. Life Cycle Assess.* **2014**, *19*, 377–386. [CrossRef]

- 56. Klöpffer, W.; Grahl, B. Life Cycle Assessment. A Guide to Best Practice; Wiley: Hoboken, NJ, USA, 2014.
- 57. SIGRE. Iniciativas del Sector Farmaceutico en la Prevencios de Residuos de Envases; SIGRE: Madrid, Spain, 2013.
- 58. United Nations Environment Programme. *Design for Sustainability. A Step-by-Step Approach*; United Nations Environment Prgramme: Nairobi, Kenya, 2009.
- 59. Tomás, R.A.F.; Bordado, J.C.M.; Gomes, J.F.P. P-Xylene Oxidation to Terephthalic Acid: A Literature Review Oriented Toward Process Optimization and Development. *Chem. Rev.* **2013**, *113*, 7421–7469.
- Poly-Ethyleneterephthalate (PET). Bottle Grade. 2008. Available online: http://www.plasticseurope.org/ Documents/Document/20100312112214-FINAL_EPD_PET_BottleGrade_270409-20081215-016-EN-v1.pdf (accessed on 12 February 2016).
- 61. Linic, S.; Christopher, P.; Ingram, D.B. Plasmonic-Metal Nanostructures for Efficient Conversion of Solar to Chemical Energy. *Nat. Mater.* **2011**, *10*, 911–921. [CrossRef] [PubMed]
- 62. Westerhoff, P.; Prapaipong, P.; Shock, E.; Hillaireau, A. Antimony Leaching from Polyethylene Terephthalate (PET) Plastic used for Bottled Drinking Water. *Water Res.* **2008**, 42, 551–556. [CrossRef] [PubMed]



© 2017 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (http://creativecommons.org/licenses/by/4.0/).