



## Article A Polynomial Chaos Expansion Method for Mechanical Properties of Flexoelectric Materials Based on the Isogeometric Finite Element Method

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**Abstract:** The paper proposes a method for analyzing the mechanical properties of flexoelectric materials based on the isogeometric finite element method (IGA-FEM) and polynomial chaos expansion (PCE). The method discretizes the flexoelectric governing equations utilizing the B-spline shape functions that satisfy the continuity requirement to obtain the mechanical properties (electric potential) of the material. To obtain a mechanical property with different input parameters, we choose the truncated pyramid model as the object of study, and use IGA-FEM and PCE to solve different single uncertain parameters, including independent Young's modulus and uniformly distributed force, and two kinds of flexoelectric constants, respectively. Numerical examples are presented to bear out the accuracy and viability of the proposed methodology.

**Keywords:** two-dimensional flexoelectric structure; finite element method; polynomial chaos expansion; surrogate model

### 1. Introduction

Mashkevich and Tolpygo proposed flexoelectricity in solids in the 1950s [1], but flexoelectric effects were weak for bulk crystalline materials, so flexoelectricity received limited attention in the early period. With the development of nanotechnology, significant strain gradients may now be seen on short-length scales. Flexoelectricity is becoming a new way of understanding of size-dependent phenomena [2]. In contrast to piezoelectric materials, which exhibit linear electrical polarization with mechanical strain, the flexoelectric materials display gradient-dependent electrical polarization with mechanical strain [3]. Flexoelectricity is a more prevalent electromechanical coupling mechanism than piezoelectricity since it may potentially occur in practically any dielectric, even those with centrosymmetric crystal structures [4]. The interested reader may read the references [5,6] for further current reviews on flexoelectricity.

In the numerical analysis of dielectric solids (e.g., piezoelectric and flexoelectric), the parameters of the materials considered in most studies are deterministic [7–10]. However, the input parameters in real engineering are generally highly uncertain and there are limitations in the parameter estimation of the model, which leads us to make erroneous decisions on some real engineering problems [11–14]. The quantization of uncertainty in engineering is to obtain the statistical characteristics of response by studying the uncertainty of input parameters to the model. Approaches to uncertainty analysis, such as Monte Carlo simulations (MCs) [15], stochastic spectral methods [16,17], and perturbation techniques [18,19], typically quantify the statistical characteristics (mathematical expectation and standard deviation) of the system response. As the modeling requirements increase,



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**Copyright:** © 2023 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). the simulation model of the objective becomes extremely complex, the computation time for uncertainty quantification increases, and the efficiency decreases. Traditional MCs methods require a significant number of samples and model observations for uncertainty quantification, making it difficult to address some of the more complex problems [20]. Surrogate models can be used to replace complex analytical or computational models by using a basic polynomial function to establish input-output relationships [21,22]. The development of an appropriate surrogate model can provide the required model observations at a rational computational cost, beyond the limitations of conventional calculations.

The polynomial chaos expansion (PCE) method is an efficient way to quantify uncertainty because it is computationally inexpensive [23]. The expression of the unknown function of the response is obtained by a series of orthogonal polynomials, and the polynomial surrogate model about the random input parameters is established by solving for the coefficients of the components in the unknown function [24–26]. The fact that these polynomials are orthogonal to each other with respect to the joint probability density function of the inputs simplifies computation. There are various methods to solve the PCE coefficients, such as non-intrusive and intrusive methods. Implementing the intrusive method in real engineering issues is challenging and time-consuming since it necessitates changing the code for the governing equations to complete for uncertainty quantification [27]. Another non-intrusive method is appropriate for the majority of issues and does not require a clear understanding of the governing equations. As a result, the target problem may be characterized as a "black box" problem where observations can be made utilizing a variety of input variable samples [28]. The non-intrusive methods are classified into two main streams: projection methods [29] and regression methods [30,31], and regression methods to solve the PCE coefficients are very efficient [32] and became the most widely available solution method.

Isogeometric analysis (IGA), a Finite Element Method (FEM) extension, is a critical milestone in the development of computational mechanics [33]. The IGA has the advantage of using Non-Uniform Rational B-spline basis functions to discrete partial differential equations, allowing engineers to perform numerical analysis directly from Computer-Aided Design (CAD), reducing the burden of meshing while remaining geometrically accurate [34,35]. The IGA has rapidly expanded to a wide range of engineering applications such as elasticity [36], electromagnetics [37], fluid flow [38], acoustic problems [39,40], and optimization problems [41–46] since its inception. Jahanbin and Rahman [47] have made significant contributions to the stochastic isogeometric analysis (SIGA) of linear elasticity of high-dimensional functions in the growth of engineering applications of uncertainty quantification. Liu et al. [48] presented a new technique for real engineering problems based on reduced basis vectors in the stochastic isogeometric analysis (SIGA). IGA can provide the  $C^1$  continuity requirement, which satisfies the continuity requirement of the fourth order partial differential equations (PDEs) for the flexoelectricity considered in this paper. Therefore, the IGA-FEM is chosen in this paper to obtain the mechanical properties of the flexoelectric structure.

In this paper, we propose a polynomial chaos expansion isogeometric FEM (PCE-IGA-FEM) to perform mechanical properties analysis for flexoelectric materials. This paper mainly makes the following two contributions:

- 1. IGA-FEM is employed to analyze mechanical properties of flexoelectric materials.
- 2. PCE is adapted to accelerate mechanical properties analysis for flexoelectric materials with different single uncertain parameters.

The remaining sections of the paper are structured as follows. Section 2 discusses the principles of PCE in the quantification of uncertainty. Section 3 introduce the fourth-order partial differential equations in flexoelectricity. Section 4 outlines the fundamental concepts of the IGA-FEM. Section 5 provides several numerical examples to validate the PCE method for flexoelectric structures, followed by Section 6 conclusions.

#### 2. The PCE Fundamental Theory

PCE is an essential surrogate model strategy that represents the scalar model output y by expanding a series of orthogonal polynomials in the *n*-dimensional input parameters  $\mathbf{r} = (r_1, r_2, ..., r_n)$ . For any orthogonal condition of  $i \neq j$ , the inner product of arbitrary two functions and probability density function  $\mathcal{P}(\mathbf{r})$  provided by  $\psi_i(\mathbf{r})$  and  $\psi_j(\mathbf{r})$  that is [20]

$$\int \psi_i(\mathbf{r})\psi_j(\mathbf{r})\mathcal{P}(\mathbf{r})d\mathbf{r} = w_i\delta_{ij}$$
(1)

when i = j, the Kronecker symbol  $\delta_{ij}$  is one, otherwise it is zero, and  $w_i$  is the weight. To simplify computations, the orthogonal polynomial, also known as the standard orthogonal polynomial, normalizes the probability density function so that  $w_i$  equals 1 when i = j. Thus, the output function  $y(\mathbf{r})$  can be obtained as

$$y(\mathbf{r}) = \sum_{\alpha \in \mathbb{N}^n} c_{\alpha} \Psi_{\alpha}(\mathbf{r})$$
(2)

where  $c_{\alpha}$  are the coefficients of the unknown polynomial. Multivariate orthogonal polynomials  $\Psi_{\alpha}$  are created by taking the tensor product of univariate orthogonal polynomials, which is defined as

$$\Psi_{\alpha} = \prod_{i=1}^{n} \psi_{\alpha}(r_i) \tag{3}$$

and  $\alpha = (\alpha_1, \alpha_2, ..., \alpha_n)$  denote the exponents of the polynomial basis where  $\alpha_i (i \in [1, n])$  represents the order of the respective parameter  $r_i$  in the polynomial term. For the probability density functions of various distributions, there are several forms of orthogonal polynomials. The Legendre polynomials are orthogonal to the uniform distribution, while Hermite polynomials are equivalent to popular probability density functions like the Gaussian distribution. Table 1 lists the four most prevalent orthogonal polynomials.

Table 1. The probability density functions for four typical forms of orthogonal polynomials [49].

Distribution of Random Parameter	Туре	Interval
Uniform	Legendre	[ <i>a</i> , <i>b</i> ]
Normal	Hermite	$(-\infty, +\infty)$
Beta	Jacobi	[a,b]
Gamma	Laguerre	$(0, +\infty)$

The PCE representation in Equation (2) is sometimes reduced in actual applications to simplify the calculation and keep a smaller set of coefficients for training [20]. The standard truncation approach for PCE is

$$\mathcal{A}^{p,n} \equiv \{ \boldsymbol{\alpha} \in \mathbb{N}^n : \| \boldsymbol{\alpha} \|_1 \le p \},\tag{4}$$

Most of the time, the overall degree of PCE stays within the bounds of the stated order p [50]. The dimension of truncated PCE can be written as

$$N = \begin{pmatrix} n+p \\ p \end{pmatrix} = (n+p)!/(n!p!).$$
(5)

The PCE coefficient  $c_{\alpha}$  can be calculated in a variety of ways. In this paper, we seek to solve this coefficient by solving a least-squares minimization problem and using the most popular collocation approach. This approach minimizes the square of the Euclidean

norm between the PCE and the solution  $U = \{\{f(\mathbf{r})\}_{z=1}^{Z}\}^{T}$  by using *Z* random samples (collocation points). The orthogonal matrix and coefficient vector are [51]

$$\Psi = \begin{bmatrix}
\Psi_0(\mathbf{r}^1) & \dots & \Psi_{N-1}(\mathbf{r}^1) \\
\vdots & & \ddots & \vdots \\
\vdots & & \ddots & \vdots \\
\Psi_0(\mathbf{r}^Z) & \dots & \Psi_{N-1}(\mathbf{r}^Z)
\end{bmatrix} \text{ and } \mathbf{c} = \begin{cases}
c_0 \\
\vdots \\
c_N \\
c_{N-1}
\end{cases}.$$
(6)

The PCE coefficient vector expression is

$$\mathbf{c} = (\mathbf{\Psi}^{\mathrm{T}} \mathbf{\Psi})^{-1} \mathbf{\Psi}^{\mathrm{T}} \mathbf{U}.$$
(7)

In this paper, all random parameters satisfy Gaussian distribution, corresponding to Hermite orthogonal polynomials. Here, a one-dimensional random parameter is used to illustrate the recurrence relation of Hermite orthogonal polynomials, which is defined as

$$\Psi_{\alpha}(r) = \frac{1}{(-1)^{\alpha} e^{-\frac{r^2}{2}}} \frac{d^{\alpha}}{dr^{\alpha}} \left[ e^{-\frac{r^2}{2}} \right] = \alpha! \sum_{k=0}^{\left[\alpha/2\right]} (-1)^k \frac{1}{k! 2^k (\alpha - 2k)!} r^{\alpha - 2k}$$
(8)

where  $\left[\frac{\alpha}{2}\right]$  is the biggest number that is either smaller than or equal to  $\frac{\alpha}{2}$ . A one-dimensional random parameter is represented by the symbol *r*. The mathematical equation for the general recurrence of the Hermite orthogonal polynomial is

$$\Psi_{\alpha+1}(r) = r\Psi_{\alpha}(r) - \alpha\Psi_{\alpha-1}(r)$$
(9)

where  $\Psi_0(r) = 1$  and  $\Psi_1(r) = r$ . The first six polynomials of the equation is shown in Table 2.

**Table 2.** The first six polynomials of  $\Psi_{\alpha}(r)$ .

α	$\Psi_{lpha}(r)$
1	1
2	r
3	$r^{2} - 1$
4	$r^3 - 3r$
5	$r^4 - 6r^2 + 3$
6	$r^4 - 6r^2 + 3$ $r^5 - 10r^3 + 15r$

#### 3. Theory of Flexoelectricity

For dielectric solids with flexoelectric effects, the enthalpy density  $\mathcal{H}$  is a function of the strain gradient and the electric field gradient, and the expression for the enthalpy density is [52]

$$\mathcal{H}(S_{ij}, E_i, S_{jk,l}, E_{i,j}) = \frac{1}{2} C_{ijkl} S_{ij} S_{kl} - e_{ikl} E_i S_{kl} + \left( d_{ijkl} E_{i,j} S_{kl} + f_{ijkl} E_i S_{jk,l} \right) - \frac{1}{2} \kappa_{ij} E_i E_j$$
(10)

where the symbol  $\varphi$  refers to scalar electric potential. The fourth-order elasticity tensor is denoted by the symbol  $C_{ijkl}$ . The strain tensor is represented by the symbol  $S_{ij}$ . The third-order piezoelectric tensor is symbolized by the abbreviation  $e_{ijk}$ . The electric field is symbolized by the symbol  $E_i$  as the gradient of the scalar electric potential  $\varphi$ , i.e.,  $E_i = \varphi_{,i}$ . The second-order dielectric tensor is represented by the symbol  $\kappa_{ij}$ .  $f_{ijkl}$  denotes the fourth-order direct flexoelectric tensor and  $d_{ijkl}$  represents the fourth-order converse flexoelectric tensor. Take into account the terms in the brackets on Equation (10), which contain the direct and reverse flexoelectric effects. When these terms are integrated over the physical domain  $\Omega$ , using integration by parts and the Gauss divergence theorem on the first term, we get

$$\int_{\Omega} \left( d_{ijkl} E_{i,j} S_{kl} + f_{ijkl} E_i S_{jk,l} \right) d\Omega = -\int_{\Omega} \left( d_{iljk} - f_{ijkl} \right) E_i S_{jk,l} d\Omega + \int_{\partial\Omega} d_{ijkl} E_i S_{kl} d\Gamma$$

$$= -\int_{\Omega} \mu_{ijkl} E_i S_{jk,l} d\Omega + \int_{\partial\Omega} d_{ijkl} E_i S_{kl} d\Gamma$$
(11)

where  $\mu_{ijkl}$  is a single material tensor, that is,  $\mu_{ijkl} = d_{iljk} - f_{ijkl}$ . As a result, Equation (10) may be rewritten as

$$\mathcal{H}(S_{ij}, E_i, S_{jk,l}) = \frac{1}{2} C_{ijkl} S_{ij} S_{kl} - e_{ikl} E_i S_{kl} - \mu_{ijkl} E_i S_{jk,l} - \frac{1}{2} \kappa_{ij} E_i E_j.$$
(12)

For purely piezoelectric dielectric we have

$$T_{ij} = \frac{\partial \bar{\mathcal{H}}}{\partial S_{ij}}$$
 and  $D_i = -\frac{\partial \bar{\mathcal{H}}}{\partial E_i}$ . (13)

The normal  $(\hat{T}_{ij}/\hat{D}_i)$ , higher-order  $(\tilde{T}_{ijk}/\tilde{D}_i)$ , and physical  $(T_{ij}/D_i)$  electromechanical stresses are characterized by the following relations in the presence of flexoelectricity:

$$\hat{T}_{ij} = \frac{\partial \mathcal{H}}{\partial S_{ij}} \quad \text{and} \quad \hat{D}_i = -\frac{\partial \mathcal{H}}{\partial E_i}$$
(14)

$$\widetilde{T}_{ijk} = \frac{\partial \mathcal{H}}{\partial S_{ij,k}} \quad \text{and} \quad \widetilde{D}_{ij} = -\frac{\partial \mathcal{H}}{\partial E_{i,j}}$$
(15)

$$T_{ij} = \hat{T}_{ij} - \tilde{T}_{ijk,k}$$
 and  $D_i = \hat{D}_i - \tilde{D}_{ij,j}$ . (16)

Substituting Equations (14) and (15) into Equation (16), we get

$$T_{ij} = \hat{T}_{ij} - \tilde{T}_{ijk,k} = C_{ijkl}S_{kl} - e_{kij}E_k + \mu_{lijk}E_{l,k}$$
(17)

$$D_i = \hat{D}_i - \tilde{D}_{ij,j} = e_{ikl}S_{kl} + \kappa_{ij}E_j + \mu_{ijkl}S_{jk,l}$$

$$\tag{18}$$

For a flexoelectric dielectric, the electrical enthalpy is

$$H = \frac{1}{2} \int_{\Omega} \left( \hat{T}_{ij} S_{ij} + \tilde{T}_{ijk} S_{ij,k} - \hat{D}_i E_i \right) \mathrm{d}\Omega.$$
<sup>(19)</sup>

The work of external forces (mechanical traction  $\bar{t}_i$  and surface charge density  $\omega$ ) can be expressed as

$$W_{ext} = \int_{\Gamma_t} \bar{t}_i u_i d\Gamma_t - \int_{\Gamma_D} \omega \varphi d\Gamma_D$$
<sup>(20)</sup>

where the symbol  $u_i$  signifies displacement. The mechanical traction is represented by the symbol  $\bar{t}_i$  and the surface charge density is represented by the symbol  $\omega$ . The symbols  $\Gamma_t$  and  $\Gamma_D$  represent the boundaries of mechanical tractions and electric displacements, respectively.

The kinetic energy of the system is defined by

$$K_E = \frac{1}{2} \int_{\Omega} \rho \dot{u}_i \dot{u}_i \mathrm{d}\Omega \tag{21}$$

where  $\rho$  represents the density and (·) denotes the derivative with respect to time *t*.

Ignoring the damping term and using Hamilton's principle, we have

$$\delta \int_{t_1}^{t_2} (K_E - H + W_{ext}) dt = 0.$$
 (22)

Substituting Equations (19)–(21) into Equation (22), we get

$$\delta \int_{t_1}^{t_2} \left( \frac{1}{2} \int_{\Omega} \rho \dot{u}_i \dot{u}_i d\Omega - \frac{1}{2} \int_{\Omega} \left( \hat{T}_{ij} S_{ij} + \tilde{T}_{ijk} S_{ij,k} - \hat{D}_i E_i \right) d\Omega + \int_{\Gamma_t} \bar{t}_i u_i d\Gamma_t - \int_{\Gamma_D} \omega \varphi d\Gamma_D \right) dt = 0.$$
(23)

By including the variation operation within the integral operations, we obtain

$$\int_{t_1}^{t_2} \left(\frac{1}{2} \int_{\Omega} \delta(\rho \dot{u}_i \dot{u}_i) d\Omega - \frac{1}{2} \int_{\Omega} \delta\left(\hat{T}_{ij} \varepsilon_{ij} + \tilde{T}_{ijk} \varepsilon_{ij,k} - \hat{D}_i E_i\right) d\Omega + \int_{\Gamma_t} \bar{t}_i \delta u_i d\Gamma_t - \int_{\Gamma_D} \omega \delta \varphi d\Gamma_D \right) dt = 0.$$
(24)

Using the chain rule of variation and changing the order of operations, we have

$$\int_{t_1}^{t_2} \left[ \frac{1}{2} \int_{\Omega} \delta(\rho \dot{u}_i \dot{u}_i) d\Omega \right] dt = -\int_{t_1}^{t_2} \left[ \int_{\Omega} \rho(\delta u_i \dot{u}_i) d\Omega \right] dt$$

$$\int_{t_1}^{t_2} \left[ \frac{1}{2} \int_{\Omega} \delta\left( \hat{T}_{ij} \varepsilon_{ij} + \tilde{T}_{ijk} S_{ij,k} - \hat{D}_i E_i \right) d\Omega \right] dt$$

$$= \int_{t_1}^{t_2} \left[ \int_{\Omega} \left( \hat{T}_{ij} \delta S_{ij} + \tilde{T}_{ijk} \delta S_{ij,k} - \hat{D}_i \delta E_i \right) d\Omega \right] dt.$$
(25)

Equation (24) can now be rewritten as

$$\int_{t_1}^{t_2} \left( -\int_{\Omega} \rho(\delta u_i \ddot{u}_i) d\Omega - \int_{\Omega} \left( \hat{T}_{ij} \delta \varepsilon_{ij} + \tilde{T}_{ijk} \delta \varepsilon_{ij,k} - \hat{D}_i \delta E_i \right) d\Omega + \int_{\Gamma_t} \bar{t}_i \delta u_i dS - \int_{\Gamma_D} \omega \delta \varphi dS \right) dt = 0.$$
(27)

The integrand of the time integration must disappear in order to fulfill Equation (27) for all feasible values of  $\mathbf{u}$ , which results in

$$\int_{\Omega} \rho(\delta u_i \ddot{u}_i) \mathrm{d}\Omega + \int_{\Omega} \left( \hat{T}_{ij} \delta S_{ij} + \tilde{T}_{ijk} \delta S_{ij,k} - \hat{D}_i \delta E_i \right) \mathrm{d}\Omega - \int_{\Gamma_t} \bar{t}_i \delta u_i \mathrm{d}S + \int_{\Gamma_D} \omega \delta \varphi \mathrm{d}S = 0.$$
(28)

For a static problem, the inertia term is ignored, resulting in

$$\int_{\Omega} \left( \hat{T}_{ij} \delta s_{ij} + \tilde{T}_{ijk} \delta S_{ij,k} - \hat{D}_i \delta E_i \right) \mathrm{d}\Omega - \int_{\Gamma_t} \bar{t}_i \delta u_i \mathrm{d}S + \int_{\Gamma_D} \omega \delta \varphi \mathrm{d}S = 0.$$
(29)

Substituting Equations (14)–(18) into Equation (29) to obtain the weak form of the governing equation for flexoelectricity is given below

$$\int_{\Omega} \left( C_{ijkl} \delta S_{ij} S_{kl} - e_{kij} E_k \delta S_{ij} - \mu_{lijk} E_l \delta S_{ij,k} - \kappa_{ij} \delta E_i E_j - e_{ikl} \delta E_i S_{kl} - \mu_{ijkl} \delta E_i S_{jk,l} \right) d\Omega - \int_{\Gamma_t} \bar{t}_i \delta u_i d\Gamma_t + \int_{\Gamma_D} \omega \delta \varphi d\Gamma_D = 0.$$
(30)

# 4. IGA-FEM Discretization of the Flexoelectric Fourth-Order Partial Differential Equation

In this study, the governing Equation (10) is discretized using B-splines basis functions. The Cox-de-Boor formula is used recursively to define the B-spline basis function [53–57],  $N_{i,p}(\xi)$ , and its expression is

$$N_{i,0}(\xi) = \begin{cases} 1 & \text{if } \xi_i \le \xi < \xi_{i+1} \\ 0 & \text{otherwise} \end{cases}$$
(31)

when p = 1, 2, 3, ....

$$N_{i,p}(\xi) = \frac{\xi - \xi_i}{\xi_{i+p} - \xi_i} N_{i,p-1}(\xi) + \frac{\xi_{i+p+1} - \xi}{\xi_{i+p+1} - \xi_{i+1}} N_{i+1,p-1}(\xi).$$
(32)

Figure 1 depicts a schematic illustration of a specific B-spline multidimensional shape function, with all knot vectors being  $\Xi = \begin{bmatrix} 0 & 0 & 0 & 0 & 0.5 & 1 & 1 & 1 & 1 \end{bmatrix}$ . The multidimensional shape functions have orders p and q of three. It can be seen from Figure 1 that as long as the knot vectors  $\Xi$  and orders p, q are specified, a very rich set of function types exists for the B-spline basis functions. This provides the continuity requirement for solving the flexoelectric governing equations. The linear algebraic discrete system equation of Equation (30) is written as

$$\begin{bmatrix} A_{uu} & A_{u\varphi} \\ A_{\varphi u} & A_{\varphi \varphi} \end{bmatrix} \begin{bmatrix} u \\ \Phi \end{bmatrix} = \begin{bmatrix} f_u \\ f_{\varphi} \end{bmatrix}$$
(33)

and

$$A_{uu} = \sum_{e} \int_{\Omega_{e}} (B_{u})^{T} C(B_{u}) d\Omega_{e},$$
(34)

$$\mathbf{A}_{u\varphi} = \sum_{e} \int_{\Omega_{e}} \left[ (\mathbf{B}_{u})^{\mathrm{T}} \mathbf{e}^{\mathrm{T}} (\mathbf{B}_{\varphi}) + (\mathbf{H}_{u})^{\mathrm{T}} \boldsymbol{\mu}^{\mathrm{T}} (\mathbf{B}_{\varphi}) \right] \mathrm{d}\Omega_{e}, \tag{35}$$

$$\mathbf{A}_{\varphi u} = \sum_{e} \int_{\Omega_{e}} \left[ (\mathbf{B}_{\varphi})^{\mathrm{T}} \mathbf{e} (\mathbf{B}_{u}) + (\mathbf{B}_{\varphi})^{\mathrm{T}} \boldsymbol{\mu} (\mathbf{H}_{u}) \right] \mathrm{d}\Omega_{e}$$
(36)

$$\mathbf{A}_{\varphi\varphi} = -\sum_{e} \int_{\Omega_{e}} \left(\mathbf{B}_{\varphi}\right)^{\mathrm{T}} \boldsymbol{\kappa}(\mathbf{B}_{\varphi}) \mathrm{d}\Omega_{e}$$
(37)

$$f_u = \sum_e \int_{\Gamma_{t_e}} N_u^T t_{\Gamma} d\Gamma_{t_e}$$
(38)

$$\mathbf{f}_{\varphi} = -\sum_{e} \int_{\Gamma_{D_{e}}} \mathbf{N}_{\varphi}^{\mathrm{T}} \omega \mathrm{d}\Gamma_{D_{e}}.$$
(39)

In Equations (34)–(39), the subscript *e* indicates the *e*<sup>th</sup> finite element in  $\Omega_e$ ,  $\Gamma_{te}$ , and  $\Gamma_{De}$ , where  $\Omega = \bigcup_e \Omega_e$ . The  $B_u$ ,  $B_{\varphi}$ , and  $H_u$  are listed below

$$B_{u} = \begin{bmatrix} \frac{\partial N_{1}}{\partial x} & \frac{\partial N_{2}}{\partial x} & \cdots & \frac{\partial N_{ncp}}{\partial x} & 0 & 0 & 0 & \cdots \\ 0 & 0 & \cdots & 0 & \frac{\partial N_{1}}{\partial y} & \frac{\partial N_{2}}{\partial y} & \cdots & \frac{\partial N_{ncp}}{\partial y} \\ \frac{\partial N_{1}}{\partial y} & \frac{\partial N_{2}}{\partial y} & \cdots & \frac{\partial N_{ncp}}{\partial y} & \frac{\partial N_{1}}{\partial x} & \frac{\partial N_{2}}{\partial x} & \cdots & \frac{\partial N_{ncp}}{\partial y} \end{bmatrix}$$
(40)

$$B_{\varphi} = \begin{bmatrix} \frac{\partial N_1}{\partial x} & \cdots & \frac{\partial N_{ncp}}{\partial x} \\ \frac{\partial N_1}{\partial y} & \cdots & \frac{\partial N_{ncp}}{\partial y} \end{bmatrix}$$
(41)

$$H_{u} = \begin{bmatrix} \frac{\partial^{2}N_{1}}{\partial x^{2}} & \frac{\partial^{2}N_{2}}{\partial x^{2}} & \cdots & \frac{\partial^{2}N_{ncp}}{\partial x^{2}} & 0 & 0 & \cdots & 0\\ 0 & 0 & \cdots & 0 & \frac{\partial^{2}N_{1}}{\partial y\partial x} & \frac{\partial^{2}N_{2}}{\partial y\partial x} & \cdots & \frac{\partial^{2}N_{ncp}}{\partial y\partial x} \\ \frac{\partial^{2}N_{1}}{\partial y\partial x} & \frac{\partial^{2}N_{2}}{\partial y\partial x} & \cdots & \frac{\partial^{2}N_{ncp}}{\partial x\partial y} & \frac{\partial^{2}N_{1}}{\partial x^{2}} & \frac{\partial^{2}N_{2}}{\partial x^{2}} & \cdots & \frac{\partial^{2}N_{ncp}}{\partial x^{2}} \\ \frac{\partial^{2}N_{1}}{\partial x\partial y} & \frac{\partial^{2}N_{2}}{\partial x\partial y} & \cdots & \frac{\partial^{2}N_{ncp}}{\partial x\partial y} & 0 & 0 & \cdots & 0\\ 0 & 0 & \cdots & 0 & \frac{\partial^{2}N_{1}}{\partial y^{2}} & \frac{\partial^{2}N_{2}}{\partial y^{2}} & \cdots & \frac{\partial^{2}N_{ncp}}{\partial y^{2}} \\ \frac{\partial^{2}N_{1}}{\partial y^{2}} & \frac{\partial^{2}N_{2}}{\partial y^{2}} & \cdots & \frac{\partial^{2}N_{ncp}}{\partial y^{2}} & \frac{\partial^{2}N_{1}}{\partial x\partial y} & \frac{\partial^{2}N_{2}}{\partial x\partial y} & \cdots & \frac{\partial^{2}N_{ncp}}{\partial x\partial y} \end{bmatrix} \end{bmatrix}$$
(42)

The matrix form of C,  $\kappa$ , e, and  $\mu$  are

$$C = \left(\frac{Y}{(1+\nu)(1-2\nu)}\right) \begin{bmatrix} 1-\nu & \nu & 0\\ \nu & 1-\nu & 0\\ 0 & 0 & (\frac{1}{2}-\nu) \end{bmatrix}$$
(43)

$$\boldsymbol{\kappa} = \begin{bmatrix} \kappa_{11} & 0\\ 0 & \kappa_{22} \end{bmatrix} \tag{44}$$

$$\mathbf{e} = \begin{bmatrix} 0 & 0 & e_{15} \\ e_{31} & e_{33} & 0 \end{bmatrix}$$
(45)

$$\boldsymbol{\mu} = \begin{bmatrix} \mu_{11} & \mu_{12} & 0 & 0 & 0 & \mu_{44} \\ 0 & 0 & \mu_{44} & \mu_{12} & \mu_{11} & 0 \end{bmatrix}$$
(46)

#### where the symbol Y stands for Young's modulus and the symbol v is the Poisson's ratio.

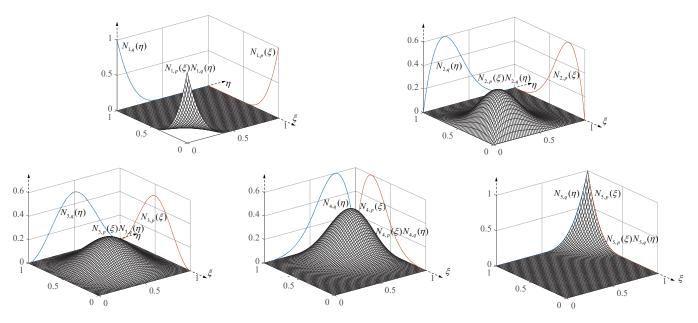


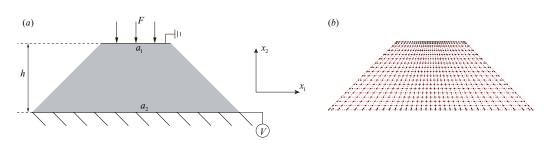
Figure 1. Schematic diagram of the B-spline shape functions with a specific knot vectors.

#### 5. Numerical Examples

In this section, we firstly use a benchmark example to verify the correctness of our analytical model. The confirmed IGA model is applied to the polynomial chaos expansion method to build the surrogate model. In the case of model verification, we assume that the model satisfies the plane strain hypothesis, as detailed in Equation (43).

#### 5.1. Model Validation

The truncated pyramid is the most commonly studied geometry in flexoelectric materials. The truncated pyramid model and the discretization meshing are shown in Figure 2. The upper edge is subjected to uniformly distributed forces of F magnitude, whilst the lower edge is immobile. The material parameters and detailed sizes of the model are shown in Table 3. Here, we specify that the electric potential at the upper edge is 0. Here, we use the penalty function method to process the electric potential at the lower edge, resulting in an equipotential boundary condition. On this benchmark case, we obtain the electric potential and strain distributions depicted in Figure 3. The electric potential and strain distributions are essentially comparable to those observed in [10].



**Figure 2.** Truncated pyramid model where the upper edge is subjected to uniformly distributed force F (**a**), and the discretization meshing (**b**).

Туре	Symbol	Magnitude	Unit
Upper edge width	$a_1$	750	μm
Lower edge width	<i>a</i> <sub>2</sub>	2250	μm
Thickness	h	750	μm
Distributed force	F	6	$N/\mu m$
Electric potentia	$1 \times 10^{-3}$ 2 1 0	Strain $x_2$ di	rection ×10 <sup>-5</sup>
<i>(a)</i>		(b	)

Table 3. Material parameters for truncated pyramid.

**Figure 3.** The distribution of overall electric potential  $\varphi$  (**a**), and the distribution of strain in the  $x_2$  direction ( $S_{22}$ ) (**b**).

#### 5.2. Verification of Surrogate Models for Mechanical Properties of Flexoelectric Materials

In this section, we will employ the truncated pyramid model from Section 5.1 to construct a surrogate model of the mechanical properties of materials with significant strain gradients. Owing to the equipotential boundary condition at the lower edge, we use the electric potential at the lower edge as the response result required to construct the surrogate model. The random input parameters are chosen as Young's modulus, uniformly distributed force and two flexoelectric constants, respectively. The range of values and statistical characteristics of the random input parameters are listed in Table 4.

Random Input Parameters	Expected Values ${\cal E}$	Coefficient of Variation $\gamma$	The Input Parameters' Limitations: [Lower, Upper]
Young's modulus Y	$1  imes 10^{11}$	0.06	$[8.20 imes 10^{10}, 1.18 imes 10^{11}]$
Uniformly distributed force F	$6 imes 10^6$	0.06	$[4.92  imes 10^6, 7.08  imes 10^6]$
Flexoelectric constant $\mu_{11}/\mu_{12}$	$1  imes 10^{-6}$	0.1	$[7.00  imes 10^{-7}, 1.30  imes 10^{-6}]$

Table 4. Range of values and the statistical properties of the random input parameters.

The compared results of PCE and IGA-FEM of the electric potential generated by the material under excitation are shown in Figure 4, where the random input parameter is Young's modulus. From Figure 4, it can be seen that the IGA-FEM and PCE results are consistent, confirming the algorithm's validity. It is also shown that the magnitude of the elastic stiffness affects the results of the electric potential generated by the excitation of piezoelectric and non-piezoelectric materials, which is due to the magnitude of the elastic modulus affecting the elastic stiffness of the structure.

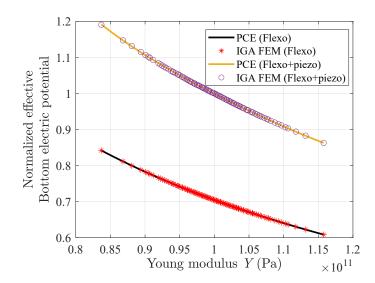
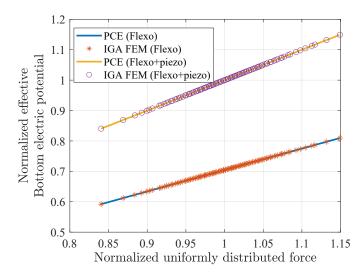


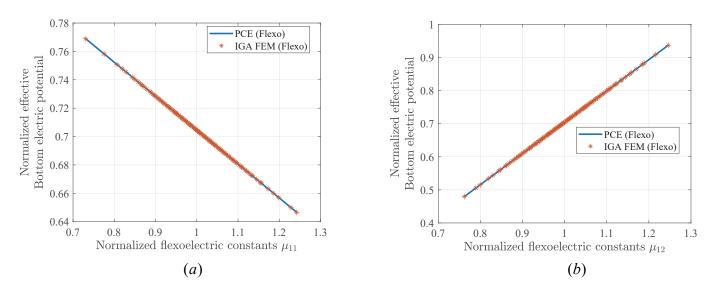
Figure 4. Comparison findings of IGA-FEM and PCE with Young's modulus as a random parameter.

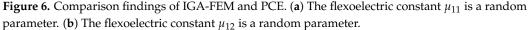
Figure 5 shows the compared results of PCE and IGA-FEM of the electric potential generated by the excitation of the material when a uniformly distributed force is utilized as a random parameter. The graphic shows that when the normalized uniform distribution force grows, so does the normalized outcome of electric potential. The electrical potential generated by a piezoelectric material under excitation is larger than that of a non-piezoelectric material.

Since the flexoelectric effect is weak in piezoelectric materials, the PCE model of piezoelectric materials whose flexoelectric constants are random parameters is not considered. The compared results of PCE and IGA-FEM of the material subjected to excitation to generate electric potential are shown in Figure 6, where the random input parameters are the flexoelectric constants  $\mu_{11}$  and  $\mu_{12}$ . As seen in Figure 6, as the normalized flexoelectric constant increases, the normalized electric potential values exhibit an opposite trend. Through PCE, a surrogate modeling of mechanical properties can be established under small sample conditions and the calculation accuracy can be guaranteed. This is an advantage that distinguishes it from other uncertainty quantification methods such as MCs.



**Figure 5.** Comparison findings of IGA-FEM and PCE with uniformly distributed force as a random parameter.





#### 6. Conclusions

In this work, a polynomial chaos expansion based on the isogeometric FEM is used to study the mechanical properties of flexoelectric materials, the key points are as follows:

- 1. The B-spline shape functions satisfying the continuity requirement are employed to discretize the governing equations.
- The IGA-FEM reduces the need for repeated meshing in uncertainty quantification while maintaining geometric accuracy.
- 3. The truncated pyramid model was chosen as the object of study to obtain the mechanical properties of the flexoelecteric material.

The present method is not without limitations. It is difficult to efficiently compute the mechanical response of a material when the dimension of the input random variable is multidimensional. Therefore, the development of efficient methods for the analysis of mechanical properties of multidimensional random variables is the focus of our future work.

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