



Article Novel High-Efficiency Three-Port Bidirectional Step-Up/Step-Down DC–DC Converter for Photovoltaic Systems

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Abstract: This paper presents a novel high-efficiency three-port bidirectional DC–DC converter for photovoltaic (PV) systems. A PV system's output is stepped up to supply a DC bus or DC load while charging the battery. When the PV output is insufficient, the battery voltage is stepped up to the DC bus; when the DC bus has excess energy, it is stepped down to charge the battery. Thus, a high-efficiency three-port bidirectional step-up/step-down converter is achieved. A common-core coupled inductor was designed and adopted in the proposed converter. Power switches and diodes in the circuit are shared to achieve bidirectional operation. In step-up mode, the clamp capacitor is used to reduce the voltage spike on the main switches. Moreover, the voltage-doubling capacitor recovers energy from the secondary-side leakage inductance. Furthermore, the input capacitors recover the primary-side leakage inductance energy in step-down mode. Thus, the converter can improve its converter to verify the feasibility and the practicability of the proposed topology. According to the measurement results, the highest efficiency levels of the PV and the battery in step-up mode were 94.3% and 94.1%, respectively; the highest efficiency in step-down mode was 95.2%.

Keywords: three-port bidirectional converter; coupled inductor; photovoltaic system

1. Introduction

In recent years, greenhouse gas emissions increased with advances in technology, leading to global warming and climate change. The energy technology industry continues to be heavily dependent on fossil fuels and is unable to handle this stringent environment. Therefore, the use of green energy became the core of major economic strategies and is a key focus in world politics, especially because reducing greenhouse gas emissions and conserving energy are the main global concerns at present [1].

Green energy is extremely affected by environmental factors; for example, solar power generation systems are often affected by duration, intensity, and angle of sunshine, which affect the quantity and the stability of power supply. Thus, green energy is inconvenient and unstable to use. Therefore, a converter is needed to convert the voltage before it can be effectively used [2–8]. Traditional isolated converters are more widely used, such as flyback converter, forward converter, and push-pull converter, which improve safety, provide galvanic isolation, improve anti-noise ability, etc., and can be used in more windings added to the transformer to output a variety of voltage values [9–11]. In order to reduce cost and volume, in recent years, many bidirectional converters with step-up/step-down function were presented [12–16]. A traditional non-isolated bidirectional converter has a buck/boost bidirectional converter is also widely used [12]. Such a converter has the advantages of relatively low component count and cost but the disadvantage of a poor voltage conversion ratio. In high-efficiency bidirectional conversion executed using a coupled inductor converter [13], a clamp capacitor can be applied to reduce the voltage



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Copyright: © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). stress and recover stray energy; however, such converters do not have an electrical isolation function, making circuit and switch control signals susceptible to interference. In addition, many isolated bidirectional converters were proposed recently [14,16]. For example, [14] proposed a high-efficiency single-input multiple-output bidirectional isolated converter in which the leakage inductance energy of the coupled inductor is recovered through the clamp capacitor to the power supply terminal, and zero-voltage switching (ZVS) is implemented in the converter switch to reduce the switching loss; however, the auxiliary power supply terminal cannot be stepped down/stepped up to other power supply terminals in this topology.

Three-port bidirectional converters are appealing alternatives. Figure 1 illustrates the general application diagram of a three-port bidirectional converter. Because photovoltaic (PV) systems use PV energy for energy production, lack of sunshine would lead to inadequate energy. Therefore, an energy storage system must be developed to store more energy during the day or to satisfy excess energy demands through a battery. When a PV system generates insufficient or no energy owing to environmental factors or it being night, energy is supplied by a battery, thereby considerably improving the stability of the energy system [17–19].



Figure 1. Application diagram of three-port bidirectional converter.

This study proposes a high-efficiency three-port bidirectional DC–DC converter for PV systems. In the proposed converter, the PV system output is stepped up to the DC bus, and the battery charges simultaneously. When solar energy changes rapidly due to environmental factors, the battery ports energy to the DC bus to stabilize the overall system. When solar energy is unavailable, the DC bus can release energy to the battery, and the proposed converter is used for charged energy storage. The proposed PV system's output and battery port are stepped up and supply energy to the DC bus load. At other times, the PV system and the battery port are each supplied with DC load (the DC load here refers to all DC-powered equipment). When commercial power stops, the battery port is used as the main energy supply. When power demand is lower (e.g., at night), the DC bus charges the battery voltage. The three-port bidirectional converter proposed in this paper has the following advantages: (1) high voltage gain, (2) high efficiency, (3) low components voltage stress, and (4) three-port power transmission function.

2. Circuit Architecture and Operation Principle

The circuit architecture of the proposed converter (Figure 2) involves three operating modes. At stage 1, when PV output is sufficient, energy is stepped up to the DC bus and is used to charge the battery. At stage 2, when no PV energy is available, battery power is separately stepped up to the DC bus. At stage 3, when no PV energy is available and the battery power is insufficient, the battery is charged by the DC bus.



Figure 2. Proposed three-port bidirectional DC–DC converter.

To simplify the circuit study, the following assumptions are made:

- all of the circuit components are ideal;
- the sizes of capacitors are sufficiently large to be considered constant voltage sources;
- the sizes of inductances are sufficiently large to be considered constant current sources.
 A. Stage 1: PV system output is stepped up to DC bus to charge battery.
 Figure 3 shows the key waveforms of each operating mode in stage 1.



Figure 3. Key waveforms of each operating mode in stage 1.

1. Mode I (t_0 - t_1)

At $t = t_0$ (where t is the operating time), the switch S1 and the switch S4 body diodes are turned on. The diodes D_1 and D_{12} are turned on, and the diode D_{11} is turned off. The equivalent circuit is shown in Figure 4a. The input voltage V_{pv} provides energy to the magnetizing inductance L_m of the primary side core, and the energy is forwarded to the secondary side. The inductor L energy is released to the battery port until the inductor i_L current decreases to zero, and the output is provided by C_1 and C_2 .

2. Mode II (t_1-t_2)

At $t = t_1$, the switch S1 is turned on, the diode D_{12} is turned on, and the diodes D_1 and D_{11} are turned off. The equivalent circuit is illustrated in Figure 4b. The input voltage V_{pv} provides energy to the magnetizing inductance L_m of the primary-side core, and the energy is forwarded to the secondary side. The capacitor C_1 is charged by the capacitor C_2 stray energy, and the inductor L releases energy to the battery port. The output energy is provided by C_o .

3. Mode III (t₂-t₃)

At t = t₂, the switch S1 is turned off, the diodes D_1 and D_{11} are turned on, and the diode D_{12} is turned off. The equivalent circuit is presented in Figure 4c. The leakage inductor L_{lk2} stores freewheeling-current or energy in the inductor L. The capacitor C_1 clamps the surge voltage of the switch S1.

4. Mode IV (t₃-t₄)

At $t = t_3$, the switch S4 is turned off, and the diodes D_1 and D_{11} are turned on, and the diode D_{12} is turned off. The equivalent circuit is shown in Figure 4d. The magnetizing inductance L_m releases energy to the output to provide the load and charge the capacitor C_0 . The magnetizing inductance L_m part of the energy and the inductor L are released to the battery port for charging.



(a) Mode I



Figure 4. Cont.



Figure 4. Equivalent circuit of the proposed converter operated in stage 1 (**a**) mode I, (**b**) mode II, (**c**) mode III, and (**d**) mode IV.

B. Stage 2: Battery is stepped up to DC bus

Figure 5 shows the key waveforms of each operating mode in stage 2.

1. Mode I (t₀-t₁)

At $t = t_0$, the switches S1, S2, and S4 body diodes are turned on. The diodes D_1 and D_{11} are turned on, and the diode D_{12} is turned off. The equivalent circuit is illustrated in Figure 6a. The battery port V_b provides energy to the magnetizing inductance L_m and the capacitor C_{pv} . The output load energy is supplied by the capacitors C_1 and C_2 .

2. Mode II (t_1-t_2)

At $t = t_1$, the switches S1 and S2 are turned on, and the diodes D_1 and D_{11} are turned off; the diode D_{12} is turned on. The equivalent circuit is displayed in Figure 6b. The battery port V_b continues to provide energy for the magnetizing inductance L_m . The output capacitance C_o releases energy to the load R_o .

3. Mode III (t_2-t_3)

At t = t₂, the switches S1 and S2 are turned off, and the diodes D_1 and D_{11} are turned on; the diodes D_2 and D_{12} are turned off. The equivalent circuit is shown in Figure 6c. The leakage inductance L_{lk2} releases freewheeling current or energy to the inductor L. The capacitor C_1 clamps the surge voltage of the switch S1, and the output energy is provided by the capacitor C_2 .

4. Mode IV (t_3-t_4)

At $t = t_3$, the switch S4 is turned on, the diodes D_1 and D_{11} are turned on, and the diodes D_2 and D_{12} are turned off. The equivalent circuit is presented in Figure 6d. The magnetizing inductance L_m releases energy to provide the load and recovers energy through the capacitor C_o . The magnetizing inductance L_m and the inductance L release energy to charge the battery.



Figure 5. Key waveforms of each operating mode in stage 2.



Figure 6. Cont.



Figure 6. Equivalent circuit of each operating mode in stage 2 (**a**) mode I, (**b**) mode II, (**c**) mode III, and (**d**) mode IV.

C. Stage 3: DC bus is stepped down to charge the battery

Figure 7 illustrates the key waveforms of each operating mode in stage 3.

1. Mode I (t_0-t_1)

At $t = t_0$, the switch S4 and the switch S1 body diode are turned on, and the diode D_{12} is turned on; the diode D_{11} is turned off. The equivalent circuit is illustrated in Figure 8a. The DC bus provides energy to the magnetizing inductance L_m . The transformer leakage inductance L_{IK1} energy is recovered by the capacitor C_{pv} . At this time, the inductor L can release energy to the battery.

2. Mode II (t_1-t_2)

At t = t₁, the switch S4 and the switch S1 body diode are turned on, and the diode D_{12} is also turned on; the diode D_{11} is turned off. The equivalent circuit is shown in Figure 8b. The leakage inductance L_{lK1} energy is recovered by the capacitor C_{pv} , and the leakage inductance L_{lk2} continues to charge the inductor L.

3. Mode III (t_2-t_3)

At $t = t_2$, the switch S3 and the diode D_{11} are turned on, and the equivalent circuit is illustrated in Figure 8c. The magnetizing inductance L_m discharges energy to the inductor L and charges the battery.

4. Mode $IV(t_3-t_4)$

At t = t_3 , the switch S3 and the diode D_{12} are turned on, and the equivalent circuit is displayed in Figure 8c. The magnetizing inductance L_m discharges energy to the inductor L and charges the battery.



Figure 7. Key waveforms of each operating mode in stage 3.



Figure 8. Cont.



Figure 8. Equivalent circuit of each operating mode in stage 3 (**a**) mode I, (**b**) mode II, (**c**) mode III, and (**d**) mode IV.

3. Steady State Analysis

To simplify the circuit analysis, in addition to the assumptions in the second section, the following assumptions are also added:

The magnetizing inductance of each winding is much larger than its leakage inductance. A. Voltage gain ratio

In stage 1, PV energy is stepped up to the DC bus to charge the battery, and the switches S1 and S4 are complementarily turned on. The following equations can be derived on the basis of Kirchhoff's voltage law.

When the switch S1 is turned on:

$$V_{PV} = V_{Lm} \tag{1}$$

the voltage on the capacitor C1 is:

$$V_{\rm C1} = V_{PV} \frac{1}{1 - D}$$
(2)

The voltage on the capacitor C2 is:

$$V_{C2} = NV_{Lm} + V_{C1} = V_{Lm} \frac{1 + N - ND}{1 - D}$$
(3)

Equations (1) and (3) can be substituted into V_o :

$$V_o = \left(1 + \frac{D}{1 - D} + \frac{ND}{1 - D}\right)V_{PV} + V_{C2} = \frac{2 + N}{1 - D}V_{PV}$$
(4)

Organizing Equation (4) can yield the voltage gain as follows:

$$\frac{V_o}{V_{PV}} = \frac{2+N}{1-D} \tag{5}$$

At stage 3, the DC bus is stepped down to charge the battery; thus, the following equations can be obtained on the basis of Kirchhoff's voltage law:

$$V_b = V_{Lm} = V_o - V_{C2} - V_{C1} \tag{6}$$

When the switch S4 is turned on:

$$V_b = \frac{1}{N} V_{Lm} = V_{N1} \tag{7}$$

When the switches S3 and S4 are complementarily turned on:

$$V_L = V_o - V_{C2} - V_{C1} = L \frac{di_L}{dt}$$
(8)

The voltage of the inductor *L* is:

$$V_L = V_{PV} - V_{N1} - V_b = L \frac{di_L}{dt}$$

$$\tag{9}$$

According to the volt-second balance principle, the amount of change in the charging current of the inductor *L* can be equal:

$$\Delta i_L^+ = \Delta i_L^- \tag{10}$$

Therefore:

$$\frac{V_b}{V_o} = \frac{D}{2+N} \tag{11}$$

B. Voltage stress of components

The voltage stress of the component can be analyzed by turning the switch on or off. When the switch S1 is turned on, the voltage stress can be ascertained as in Figure 4a in mode I of stage 1.

$$V_{PV} = V_{Lm} \tag{12}$$

When the switch S1 is turned off, the voltage stress can be ascertained as in Figure 4c in mode III of stage 1.

 $V_{S1} = V_{PV} - V_{Lm}$ (13)

According to the volt-second balance principle:

$$V_{Lm}DT = -V_{Lm}(1-D)T \tag{14}$$

Arranging Equations (13) and (14) can yield the voltage stress of the switch S1 as follows:

$$V_{S1} = \frac{V_{PV}}{1 - D}$$
(15)

The voltage stress of the capacitor C1 is:

$$V_{C1} = V_{S1}$$
 (16)

When the diode *D*2 is assumed to be an ideal component and the switch S2 is turned off, the voltage stress of the switch S2 can be known as per Figure 6c in mode III of stage 2:

$$V_{S2} = V_b - V_{PV}$$
 (17)

When the switch S4 is turned off, the voltage stress of the switch S4 can be calculated as per Figure 8c in mode III of stage 3:

$$V_{S4} = V_o \tag{18}$$

In stage 2, the voltage stress of the diode *D*2 is the same as that of the switch S2:

$$V_{D2} = V_b - V_{PV} \tag{19}$$

When the switch S1 is turned on, the voltage stress of the diode D2 can be determined as Figure 4b in mode II of stage 1:

$$V_{D2} = V_b \tag{20}$$

The voltage stress of the output capacitor C_0 is:

$$V_{Co} = V_o \tag{21}$$

The voltage stress of the capacitor C2 is:

$$V_{\rm C2} = V_{\rm C1} + V_{\rm Lm} \tag{22}$$

In stage 3, the voltage stress of the switch S3 is the same as that of the switch S4:

$$V_{S3} = V_{S4}$$
 (23)

4. Experimental Results

This section presents experiments conducted to verify the feasibility and the stability of the converter proposed in this paper. First, the electrical specifications of the main circuit and the selected components are introduced. Voltage and current waveforms of the components were separately measured to verify the integrity of the entire research results. The electrical specifications and the component parameters of the circuit are listed in Tables 1 and 2.

Table 1. Electrical specifications of the proposed converter.

Parameter	Specification
Input DC Voltage V _{PV}	24~26 V
Battery Voltage V _b	24 V
Output DC Voltage V _o	200 V
Maximum output power <i>P</i> _o	500 W
Switching frequency	50 kHz
Turns ratio	$N_1:N_2 = 1:1$

Table 2. Component parameters of the proposed converter.

Component Parameter	Specification	
$S_1 \setminus S_2$ (Power MOSFET)	IRFP4321 (150 V/78 A)	
$S_3 \setminus S_4$ (Power MOSFET)	IRFP4868 (300 V/70 A)	
C_{PV} (Electrolytic Capacitor)	100 μF/100 V	
C_1 (MPP Film Capacitor)	10 μF/200 V	
C_2 (Electrolytic Capacitor)	4.7 μF/300 V	
C_o (Electrolytic Capacitor)	470 μF/400 V	

Table 2. Cont.			
Component Parameter	Specification		
L (MPP Ring core)	25 μΗ		
Diode $D_1 \setminus D_2$	SBR30A45CT (45 V/30 A)		
Diode $D_{11} \setminus D_{12}$	MBR40200 (200 V/40 A)		

A. Stage 1: PV system output is stepped up to DC bus to charge the battery

Figure 9a–d illustrate the measurement results obtained when the converter operated under its full load of 500 W in stage 1. Figure 9a illustrates V_{ds} and V_{gs} waveforms of the switches S1 and S4, respectively. The voltage stress values of S1 and S4 were 70 and 170 V, respectively. The current waveforms of the switches S1 and S4 are displayed in Figure 9b,c. Figure 9d presents the primary-side and the secondary-side current waveforms of the inductor *L*.

100// 1				
	Vds4	Vds1		
3	Vgs4.			
			,	
4				

(a) (Vds4(ch1):100V/div, Vds1 (ch2):50V/div, Vgs1(ch3):10V/div, Vgs1 (ch4):10V/div



(**b**) (*V*_{gs1} (ch1):10V/div, *I*_{s1} (ch3):20A/div)



(c) (Vgs4(ch1):10V/div, Vds4 (ch2):100V/div, is4(ch3):20A/div)

Figure 9. Cont.



(d) (V_{gs1} (ch1):10V/div, V_{gs4} (ch2):10V/div, i_p (ch3):20A/div, i_{sec} (ch4):10A/div)

Figure 9. Measured waveforms of the proposed converter operating under full load (500 W) in stage 1: (a) V_{ds} and V_{gs} waveforms of the switches S1 and S4, (b) current waveforms of switch S1, (c) current waveforms of switch S4, and (d) primary-side and the secondary-side current waveforms of the inductor *L*.

B. Stage 2: Battery is stepped up to DC bus

Figure 10a–d display the measurement results obtained when the converter operated under its full load of 500 W in stage 2. Figure 10a shows V_{ds} and V_{gs} waveforms of the switches S1 and S4, respectively. The voltage stress values of S1 and S4 were 70 and 170 V, respectively. The current waveforms of the switches S1 and S4 are presented in Figure 10b,c. Figure 10d illustrates the primary-side and the secondary-side current waveforms of the inductor *L*.

.	100%/ 2 50.0%/ 3 10. 90.0007 2 8.75007 3 -8.7	0V/ 10.0V/ H 5.000us/ 500V -8.0200V H -24.24us	1 ^f #
	Vds4	Vds1	
, 	Vgs4.		_
		Vgs1	
e 4 🚬 🛌			

(a) (Vds4(ch1):100V/div, Vds1 (ch2):50V/div, Vgs1(ch3):10V/div, Vgs1 (ch4):10V/div



(**b**) (*V*_{gs1} (ch1):10V/div, *I*_{s1} (ch3):20A/div)

Figure 10. Cont.



(c) (*V*_{gs4}(ch1):10V/div, *V*_{gs1} (ch2):20V/div, *i*_{s4}(ch3):20A/div)



(d) (Vgs1(ch1):10V/div, Vgs4(ch2):10V/div, Ip (ch3):5A/div, Isec (ch4):5A/div)

Figure 10. Measured waveforms of the proposed converter operating under full load (500 W) in stage 2: (**a**) V_{ds} and V_{gs} waveforms of the switches S1 and S4, (**b**) current waveforms of switch S1, (**c**) current waveforms of switch S4, and (**d**) primary-side and the secondary-side current waveforms of the inductor *L*.

C. Stage 3: DC bus is stepped down to charge the battery

Figure 11a–c illustrate the measurement results obtained when the converter operated under its full load of 500 W in stage 3. Figure 11a shows V_{ds} and V_{gs} waveforms of the switches S3 and S4, respectively; the voltage stress was 200 V for both S3 and S4. The current waveforms of the switches S3 and S4 are displayed in Figure 11b. Figure 11c presents the primary-side and the secondary-side current waveforms of the inductor *L*.



(a) (Vgs4 (ch1):10V/div, Vgs3 (ch2):10V/div, Vds3 (ch3):200V/div, Vds4 (ch4):200V/div)

Figure 11. Cont.



(**b**) (*V*_{gs4}(ch1):10V/div, *V*_{gs3} (ch2):10V/div, *I*_{s3}(ch3):10A/div, *I*_{s4}(ch3):10A/div)



(c) (V_{gs4}(ch1):10V/div, V_{gs3}(ch2):10V/div, I_p (ch3):20A/div, I_{sec} (ch4):50A/div)

Figure 11. Measured waveforms of the proposed converter operating under full load (500 W) in stage 3: (a) V_{ds} and V_{gs} waveforms of the switches S3 and S4, (b) current waveforms of the switchs S3 and S4, (c) primary-side and the secondary-side current waveforms of the inductor *L*.

D. Experimental Efficiency

Figure 12 shows the efficiency curve of the proposed circuit. In stage 1, the maximum efficiency was 94.3% at an output power of 200 W; when the load was 500 W, the efficiency was 90.8%. In stage 2, the maximum efficiency was 94.1% at an output power of 250 W; when the load was 500 W, the efficiency was 90.1%. In stage 3, the maximum efficiency was 95.2% at an output power of 200 W; when the load was 500 W, the efficiency was 91.3%.

Figure 13 presents a comparison of the efficiency of the proposed bidirectional converter with that of the models presented in [15,16] in step-up mode. The efficiency of the proposed converter was notably higher than that of the converter presented in [15] but lower than that of the converter presented in [16]. The proposed converter has three operating modes and high output power; however, the converter presented in [16] has only two operating modes.

Figure 14 presents a comparison of the efficiency of the proposed bidirectional converter with that of the converters presented in [15,16] in step-down mode. The efficiency of the proposed converter was higher than that of the converter presented in [15] and lower than that of the converter presented in [16]; however, the converter presented in [16] has only two operating modes.

Figure 15 illustrates a comparison of the efficiency of the proposed three-port bidirectional converter with that of the converters presented in [17,19] in step-down mode. The proposed converter demonstrated higher efficiency than that presented in [19] under light and medium loads, but it exhibited lower efficiency than that presented in [17]. However, the proposed converter requires fewer components and produces a greater power output.



Figure 13. Step-up mode efficiency comparison between the proposed converter and those detailed in [15,16].



Figure 14. Step-down mode efficiency comparison of the proposed converter with those described in [15,16].



Figure 15. Step-up mode efficiency comparison between the proposed converter and those detailed in [17,19].

5. Conclusions

This paper presents a novel high-efficiency three-port bidirectional DC/DC converter for PV systems. A common-core coupled inductor was designed and adopted in the proposed converter. Power switches and diodes in the circuit were shared to achieve bidirectional operation. In step-up mode, the clamp capacitor was used to reduce the voltage spike on the main switches; in addition, the voltage-doubling capacitor recovered energy from the secondary-side leakage inductance. Furthermore, the input capacitors recovered the primary-side leakage inductance energy in step-down mode; thus, the converter improved the conversion efficiency. Finally, this paper details the implementation of a 500 W three-port bidirectional converter to verify the feasibility and the practicability of the proposed topology. According to the measurement results, the highest efficiency levels of the PV and the battery in step-up mode were 94.3% and 94.1%, respectively; the highest efficiency in step-down mode was 95.2%. **Author Contributions:** Conceptualization, Y.-E.W.; methodology, Y.-E.W. and S.-L.H.; formal analysis, Y.-E.W. and S.-L.H.; investigation, S.-L.H.; resources, Y.-E.W.; writing—original draft preparation, Y.-E.W.; writing—review and editing, Y.-E.W.; project administration, Y.-E.W.; funding acquisition, Y.-E.W. All authors have read and agreed to the published version of the manuscript.

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