A gap between need and reality - neonatal nursing staff requirements on a German

intensive care unit (Supplementary Material)

Supplementary Material, Part 1:

Indicators of quality in structures, processes and results of perinatal centres in Germany.

The QFR directive of the German Federal Joint Commission (G-BA), valid from January 1st, 2014, covers these items. Abbreviations: QM = quality management, iQM = internal QM, EsQS = external quality assurance in hospitals (inpatients), IVH/PVH = intraventricular / periventricular hemorrhagia, ROP = retinopathy of prematurity, NEC = necrotizing enterocolitis, BPD = bronchopulmonary dysplasia, ICP = infantile cerebral palsy.

Structures				Processes	Results
Staff (by department)		Infrastructure	Services	Internal	External QM
Obstetrics	Neonatology	Building	Physicians:	QM	(Published)
Attending Physician / Trainee: in house		Equipment	•Pediatric Surgery	Prevention of nosocomial infection Inter- professional clinical rounds Inter- professional morbidity and mortality conferences Transitional care (inpatients and outpatients)	EsQS / Quality Report
,	,	 Incubators 	 Pediatric Cardiology 		Short-term:
Board-certified obstetrician: ≥ 1 in house /on call (hands on)	Board-certified pediatrician: ≥ 1 in house /on call (hands on)	•Respirators	•Pediatric Neurology		 Patient volume Survival Damage-free survival, i.e. without IVH grade 3 or PVH ROP ≥ stage 3 NEC ≥ stage 2 BPD at hospital discharge
		•Monitors	•Genetics		
Subspecialty in perinatology: ≥ 1 on call (telephone)	Subspecialty in neonatology: ≥ 1 on call (hands- on / telephone)	Diagnostics	•Ophthalmology		
		•X-ray	Physicians and technical		
		•Sonography	assistance:		
Midwives:	Nurses:	 Echocardiography 	•Clinical laboratory		Long-term:
≥ 1 in house ≥ 1 on call (hands- on)	in house (defined nurse-to-patient ratio)	•Blood gas analysis	 Clinical microbiology 		•Blind •Deaf, •Severe ICP •Severe mental retardation
		Transport	•Pediatric radiology		
Competence in management	Subspecialty in pediatric intensive care: ≥ 1 in house	Surgery	Psychosocial intervention		
			team		
	Competence in management				

Source: Bundesministerium für Gesundheit. Bekanntmachung eines Beschlusses des Gemeinsamen Bundesausschusses über eine Änderung der "Vereinbarung über Maßnahmen zur Qualitätssicherung der Versorgung von Früh- und Neugeborenen" vom 20. Juni 2013. Available at:

https://www.bundesanzeiger.de/ebanzwww/contentloader?state.action=genericsearch_loadpublication pdf&session.sessionid=083efd1dd5f457c14b58d6bcd4762a22&fts_search_list.destHistoryId=94524&f ts_search_list.selected=36d664e8a5e06ac2&state.filename=BAnz AT 11.11.2013 B3; accessed November 19th, 2013.

Supplementary Material, Part 2:

Case descriptions used for comparison of British Association of Perinatal Medicine (BAPM) categories of care indicator list versions 2010 and 2011

Case #1

gestational age: 27+3 weeks, birth weight: 1050g (body weight: 935g at 6th day of life); main diagnoses: primary pulmonary hypoplasia, BPD in the course of treatment; process of respiratory support: HFO (plus iNO) \rightarrow mechanical ventilation \rightarrow CPAP \rightarrow oxygen replacement until discharge from ICU; catecholamines 1 day after birth; no surgical procedures; ICU treatment from March 15th to April 23rd.

BAPM indicator list 2010: 14 days in category 1 (mechanical ventilation, then body weight below 1000g and receiving CPAP), 27 days in category 2 until discharge (NCPAP for any part of the day followed by oxygen replacement and body weight below 1500g until discharge);

BAPM indicator list 2011: 13 days in category 1 (mechanical ventilation, then CPAP plus parenteral nutrition), 21 days in category 2 (CPAP plus parenteral nutrition), 7 days in category 3 until discharge (oxygen replacement by nasal cannula).

Case #2

gestational age: 38+0 weeks, birth weight: 2530g; main diagnoses: VACTERL association/esophageal atresia (Vogt IIIB) → surgically treated; respiratory support only during surgery and 4 days thereafter; cardiovascular support for 5 days during anesthesia for surgery and mechanical ventilation; ICU treatment from February 10th to Februry 18th. BAPM indicator list 2010: 8 days in category 1 (mechanical ventilation plus one day after withdrawal), 1 day in category 2 until discharge (parenteral nutrition); BAPM indicator list 2011: 7 days in category 1 (mechanical ventilation), 2 days in category 2 until discharge (parenteral nutrition).

Case #3

gestational age: 26+2 weeks, birth weight: 745g; main diagnoses: RDS, ROP III°, patent ductus arteriosus (surgically closed 14 days after birth); process of respiratory support: mechanical ventilation \rightarrow CPAP on trial \rightarrow re-intubation and mechanical ventilation \rightarrow CPAP \rightarrow oxygen replacement until discharge from ICU; dopamine one day after surgery; treatment on ICU from November 3rd to January 2nd.

BAPM indicator list 2010: 33 days in category 1 (mechanical ventilation followed by CPAP and body weight below 1000g, then mechanical ventilation followed by CPAP and body weight below 1000g), 25 days in category 2 (CPAP and body weight above 1000g followed

by oxygen replacement and body weight below 1500g), 2 days in category 3 until discharge (baby could not reasonably be expected be looked after at home by its mother); BAPM indicator list 2011: 39 days in category 1 (mechanical ventilation, then CPAP plus parenteral nutrition followed by mechanical ventilation, then CPAP plus parenteral nutrition), 16 days in category 2 (CPAP), 5 days in category 3 until discharge (oxygen replacement by nasal cannula).

Case #4

gestational age: 26+1 weeks, birth weight: 805g; main diagnoses: amnion infection syndrome, patent ductus arteriosus (closed with ibuprofen), BPD in the course of treatment; process of respiratory support: mechanical ventilation \rightarrow CPAP on trial \rightarrow re-intubation and mechanical ventilation \rightarrow CPAP \rightarrow oxygen replacement until discharge from ICU; treatment on ICU from October 21st to January 11th;

BAPM indicator list 2010: 34 days in category 1 (mechanical ventilation, then CPAP and body weight below 1000g followed by mechanical ventilation, then CPAP and body weight below 1000g), 41 days in category 2 (CPAP and body weight above 1000g), 8 days in category 3 until discharge (baby could not reasonably be expected be looked after at home by its mother);

BAPM indicator list 2011: 34 days in category 1 (mechanical ventilation, then CPAP plus parenteral nutrition followed by mechanical ventilation, then CPAP plus parenteral nutrition), 41 days in category 2 (CPAP but no parenteral nutrition), 8 days in category 3 until discharge (oxygen replacement by nasal cannula).

Abbreviations: BPD = bronchopulmonary dysplasia, CPAP = continuous positive airway pressure, NCPAP = nasal CPAP, HFO = high frequency oscillation, iNO = inspiratory nitrous oxide, ICU = intensive care unit, RDS = respiratory distress syndrome, ROP = retinopathy of prematurity, VACTERL = Vertebral anomalia, **A**nal atresia, **C**ardiac defects,

Tracheoesophageal fistula and/or Esophageal atresia, Renal anomalies and Limb defects.



