



Review

# **Open Data for Open Innovation: An Analysis of Literature Characteristics**

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Abstract: In this paper, we review some characteristics of the literature that studies the uses and applications of open data for open innovation. Three research questions are proposed about both topics: (1) What journals, conferences and authors have published papers about the use of open data for open innovation? (2) What knowledge areas have been analysed in research on open data for open innovation? and (3) What are the methodological characteristics of the papers on open data for open innovation? To answer the first question, we use a descriptive analysis to identify the relevant journals and authors. To address the second question, we identify the knowledge areas of the studies about open data for open innovation. Finally, we analyse the methodological characteristics of the literature (type of study, analytical techniques, sources of information and geographical area). Our results show that the applications of open data for open innovation are interesting but their multidisciplinary nature makes the context complex and diverse, opening up many future avenues for research. To develop a future research agenda, we propose a theoretical model and some research questions to analyse the open data impact process for open innovation.

**Keywords:** open data; open innovation; literature review; authors; journals; knowledge areas; methodological characteristics

#### 1. Introduction

Since the beginning of the 2000s, the use of the term "open" has increased exponentially [1], giving rise to concepts such as open data, open innovation, open medical records system, open science, open knowledge, and open education, among others.

In 2003, Chesbrough proposed a new paradigm of the innovation [2,3]. For this author, open innovation constitutes a model where firms use both external and internal resources and commercialize both external and internal ideas/technologies [2]. Open innovation is defined as "The use of purposive inflows and outflows of knowledge to accelerate internal innovation, and expand the markets for external use of innovation, respectively. Open innovation is a paradigm that assumes that firms can and should use external ideas as well as internal ideas, and internal and external paths to market, as the firms look to advance their technology" [4] (p. 1). In that sense, open data is an external source that can be used for generating open innovation, and open innovations can create open data.

The open data concept alludes to "data that anyone can access, use, and share. Governments, businesses and individuals can use open data to bring about social, economic, and environmental benefits" [5]. Its annual economic impact is important to note: Open data potentially generate 900 billion dollars in the global economy [6], with a European Union market share increase of 36.9% between 2016 and 2020 [7]. Open data offer the potential for reuse, which produces new, innovative

services for citizens and society in general [8,9]. Likewise, open data initiatives have an impact on aspects such as citizen engagement, transparency and innovation in the public sector [10].

We see then that open data can be a source to innovate. Some authors highlight that it is interesting to understand, in the context of open data and smart cities, how data-driven innovation is performed and its creation of social and economic value for the society [9,11]. Considering the interest of studying innovation in the context of open data and the importance of the openness phenomenon, we examine the possibility of using open data for open innovation. In that sense, we have searched articles that offer state-of-the-art ideas on that theme but have not found literature reviews that join open data and open innovation. Due to this, we have searched literature reviews of each theme to look for interest to study the combination of those two terms. We have found literature reviews about open data using different methodologies and temporal scopes [12–15]. Other studies analyse the literature on open innovation, combining several methodologies and temporal scopes, with 2017 being the last year analysed in the most current articles [16–25]. Finally, we have found that some of these studies have identified interest in the relationship between the terms "open data" and "open innovation" [12,14,17].

In that context, open data offers access to internal and external data that come from, mainly, public organisations. Governments and public agencies are liberating their data and they want open data to be used to solve problems and to create and improve products and services. However, access to open data in itself does not produce innovation [26]. New services, created by open data, mainly software applications, can be produced using a process known as open innovation, defined as "the opening of the innovation process to knowledge from outside the innovating organisation" [27] (p. 2), in which diverse agents such as citizens, companies, public entities, or academia collaborate to co-create these new services [28]. Thus, it is necessary to know how to implement open innovation using open data. A first stage to develop that idea is to review the previous literature.

In this paper, we have analysed the characteristics of the previous literature that has related open data with open innovation. We propose three research questions: (1) What journals, conferences and authors have published papers about the use of open data for open innovation? (2) What knowledge areas have been analysed in research on open data for open innovation? and (3) What are the methodological characteristics of the papers on open data for open innovation? To answer the first question, we use a descriptive analysis to identify the relevant journals and authors. To address the second question, we identify the knowledge areas of the studies about open data for open innovation. Finally, we analyse the methodological characteristics of the literature (type of study, analytical techniques, sources of information and geographical area). After answering these three questions, we will be better able to (a) identify who is who in that research line; (b) show the opportunities to implement open innovation to the agents of the open data ecosystem and (c) orient the new research about the use of open data for open innovation

## 2. Methods Search Protocol

The Web of Science (WoS) and Scopus databases were used to perform the literature review, since they are the most relevant databases in academia. While WoS included 20,000 indexed journals, Scopus included 21,950 [29].

The search protocol used is:

- Search date: 8 March 2019.
- Search resources: WoS and Scopus databases.
- Data range inclusive all years to 2018.
- Documents searched by "Theme" (WoS) or "Article title, Abstract, Keywords" (Scopus).
- Inclusion criteria: articles, conference papers and all access type.
- Search terms used: "open data" OR open-data AND "open innovation" OR open-innovation.
- Number of documents without filtered: 34 (WoS) and 56 (Scopus).
- Filtered process: exclude duplicates and the conference reviews that do not identify the authors.

- Final number of documents: 55.

## 3. Results

# 3.1. Descriptive Analysis

Figure 1 presents the number of documents per year for the combination of the two topics studied. The first publications are from 2012 (4), and a certain growth can be seen from 2014 to 2017, with the highest number of documents appearing in 2015 (13) and 2017 (13).

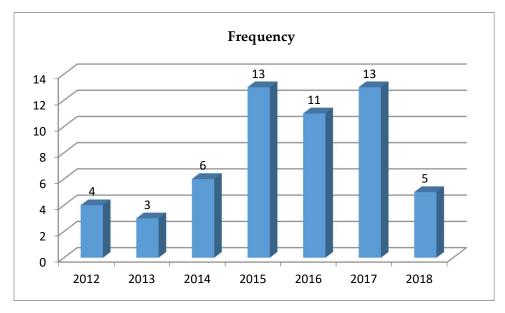


Figure 1. Number of documents per year.

Table 1 shows the details of the documents identified in our analysis: authors, year of publication, title, citations in WoS, and Scopus and type of paper (articles: 28; conference papers: 27).

| <b>Table 1.</b> Document ana | lysis: Author/s, | year/title/number of ci | itations (WoS and Scopus). |
|------------------------------|------------------|-------------------------|----------------------------|
|------------------------------|------------------|-------------------------|----------------------------|

| Author/s, Year  | Title -   | Citations |        |
|---|---|-----------|--------|
| Audion's, lear  | Title -   | WoS       | Scopus |
| Bonazzi & Liu, 2015 [30] (CP)                                   | Two Birds with One Stone. An Economically Viable Solution for Linked Open Data Platforms                                    | -         | 0      |
| Boubin, 2017 [31] (CP)  | Importance of Open Innovation Mode for<br>Start-Up Projects   | 0         | -      |
| Cândido, Vianna, Gauthier, Aradas &<br>Koslovsky, 2015 [32] (A) | Proposta de Modelo para Avaliação e Supervisão de<br>Gestão da Inovação Tecnológica em Pequenas e<br>Médias Organizações    | -         | 0      |
| Chan, 2013 [33] (CP)  | From Open Data to Open Innovation Strategies:<br>Creating e-Services Using Open Government Data                             | 20        | 45     |
| Chatfield & Reddick, 2017 [34] (A)                              | A Longitudinal Cross-Sector Analysis of Open Data<br>Portal Service Capability: The Case of Australian<br>Local Governments | 7         | 11     |
| Conradie, Mulder & Choenni,<br>2012 [28] (CP)                   | Rotterdam Open Data: Exploring the Release of Public<br>Sector Information through Co-Creation                              | -         | 10     |
| Dardier, 2018 [35] (CP)   | Open Access to Digital Information at the University for Applied Sciences and Arts Western Switzerland                      | -         | 0      |

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Table 1. Cont.

| Author/s, Year Title   |  | Citations WoS Scope |        |
|--|--|---------------------|--------|
| 12ditoro, redi   | iitie –  |                     | Scopus |
| De Freitas & Dacorso, 2014 [36] (A)  | Inovação Aberta na Gestão Pública: Análise do Plano<br>de Ação Brasileiro para a Open Government<br>Partnership          | -                   | 1      |
| Del Frate, Mothe, Barbier, Becker,<br>Olszewski & Soudris, 2017 [37] (CP)                      | FabSpace 2.0: The Open-Innovation Network for Geodata-Driven Innovation  | 0                   | 2      |
| Emaldi, Aguilera, López-de-Ipiña &<br>Pérez-Velasco, 2017 [38] (A)                             | Towards Citizen Co-Created Public Service Apps   | 0                   | 0      |
| Fortunato, Gorgoglione, Messeni<br>Petruzzelli & Panniello, 2017 [39] (A)                      | Leveraging Big Data for Sustaining Open Innovation:<br>The Case of Social TV   | 3                   | 4      |
| Gagliardi, Schina, Sarcinella, Mangialardi,<br>Niglia & Corallo, 2017 [40] (A)                 | Information and Communication Technologies and<br>Public Participation: Interactive Maps and Value<br>Added for Citizens | 6                   | 13     |
| Gold, 2016 [41] (A)  | Accelerating Translational Research through Open Science: The Neuro Experiment   | 2                   | -      |
| Ham, Lee, Kim & Choi, 2015 [42] (CP)   | Open Innovation Maturity Model for the Government:<br>An Open System Perspective   | -                   | 4      |
| Hellberg & Hedström, 2015 [43] (A)   | The Story of the Sixth Myth of Open Data and Open Government   | 13                  | 20     |
| Herala, Vanhala, Porras & Kärri, 2016 [12]<br>(CP)   | Experiences about Opening Data in Private Sector: A Systematic Literature Review   | 2                   | -      |
| Hjalmarsson, Johannesson, Juell-Skielse &<br>Rudmark, 2014 [44] (CP)                           | Beyond Innovation Contests: A Framework of Barriers to Open Innovation of Digital Services                               | -                   | 11     |
| Hoel, 2014 [45] (CP)   | Standards as Enablers for Innovation in<br>Education—The Breakdown of European<br>Pre-Standardisation                    |                     | 1      |
| Huber, Wainwright & Rentocchini,<br>2018 [46] (A)  | Open Data for Open Innovation: Managing<br>Absorptive Capacity in SMEs   |                     | 0      |
| Jaakola, Kekkonen, Lahti & Manninen,<br>2015 [47] (A)  | Open Data, Open Cities: Experiences from the<br>Helsinki Metropolitan Area. Case Helsinki Region<br>Infoshare www.hri.fi |                     | 8      |
| Jaakkola, Mäkinen, Henno & Mäkelä,<br>2014 [48] (CP)   | Open <sup>n</sup>  | 2                   | 3      |
| Juell-Skielse, Hjalmarsson, Juell-Skielse,<br>Johannesson & Rudmark, 2014 [49] (A)             | Contests as Innovation Intermediaries in Open Data<br>Markets  | -                   | 8      |
| Kassen, 2017 [10] (A)  | Open Data in Kazakhstan: Incentives, Implementation and Challenges   | 4                   | 10     |
| Katsonis & Botros, 2015 [50] (A)   | Digital Government: A Primer and Professional<br>Perspectives  | 0                   | -      |
| Kauppinen, 2015 [51] (CP)  | Enhancing Public e-Service Development with Citizens' Self-Organized Collaboration                                       | 9                   | 11     |
| Kauppinen, Luojus & Lahti, 2016 [52] (CP)  | Involving Citizens in Open Innovation Process by<br>Means of Gamification: The Case of WeLive                            | 2                   | 6      |
| Kuhlman, Ramamurthy, Sattigeri, Lozano,<br>Cao, Reddy, Mojsilovic & Varshney,<br>2017 [53] (A) | How to Foster Innovation: A Data-Driven Approach to<br>Measuring Economic Competitiveness                                | 1                   | 1      |
| Lee, Ham & Choi, 2016 [54] (CP)  | Effect of Government Data Openness on a<br>Knowledge-Based Economy   |                     | 3      |
| Lin, 2015 [55] (A)   | Open Data and Co-Production of Public Value of BBC<br>Backstage  | 3                   | 4      |
| Lin, Wang & Yang, 2012 [56] (A)  | TOUCH Doctor—A Nutrition Control Service System<br>Developed under Living Lab Methodology                                | -                   | 1      |
| Lin, Wang & Yang, 2013 [57] (CP)   | Developed Smart Nutrient Services with Living Lab<br>Methodology   | 0                   | 0      |
| López de Ipiña, Emaldi, Aguilera & Pérez<br>Velasco, 2016 [58] (CP)                            | Towards Citizen Co-Created Public Service Apps   | 0                   | 3      |

Table 1. Cont.

| Author/s, Year   | Title -   | Citations |        |
|--|---|-----------|--------|
| Autions, Tear  | -   | WoS       | Scopus |
| Luojus, Kauppinen, Lahti & Tahtinen,<br>2017 [59] (CP)       |   |           | -      |
| Nikiforov & Singireja, 2016 [60] (CP)                        | Open Data and Crowdsourcing Perspectives for Smart<br>City in the United States and Russia  | -         | 0      |
| Noda, Duan, Fukushiro, Yoshida &<br>Coughlan, 2017 [61] (CP) | The Classification, Challenge and Potential of Business<br>Models by Using Open Data  | -         | 1      |
| Noda, Honda, Yoshida & Coughlan,<br>2016 [62] (CP)           | Review of Estimation Method of Economic Effects<br>Created by Using Open Data   | -         | 1      |
| Owens, 2016 [63] (A)   | Curating in the Open: A Case for Iteratively and<br>Openly Publishing Curatorial Research on the Web  | 0         | 1      |
| Perkmann & Schildt, 2015 [64] (A)                            | Open Data Partnerships between Firms and<br>Universities: The Role of Boundary Organizations  | 30        | 37     |
| Piedra, Chicaiza, Lopez-Vargas & Caro,<br>2016 [65] (CP)     | Guidelines to Producing Structured Interoperable Data from Open Access Repositories   | 0         | 11     |
| Reisdorf, Chhugani, Sanseau & Agarwal,<br>2017 [66] (A)      | Harnessing Public Domain Data to Discover and Validate Therapeutic Targets  | 1         | -      |
| Saxena, 2018 [67] (A)  | Asymmetric Open Government Data (OGD)<br>Framework in India   | 0         | -      |
| Shiramatsu, Tossavainen, Ozono &<br>Shintani, 2015 [68] (CP) | Towards Continuous Collaboration on Civic Tech<br>Projects: Use Cases of a Goal Sharing System Based on<br>Linked Open Data                             | 3         | 6      |
| Smith & Sandberg, 2018 [69] (A)                              | Barriers to Innovating with Open Government Data:<br>Exploring Experiences across Service Phases and User<br>Types                                      |           | 0      |
| Smith & Seward, 2017 [1] (A)                                 | Openness as Social Praxis   | -         | 6      |
| Stephenson, Di Lorenzo & Aonghusa,<br>2012 [70] (CP)         | Open Innovation Portal: A Collaborative Platform for<br>Open City Data Sharing  |           | 4      |
| Susha, Grönlund & Janssen, 2015 [71] (A)                     | Driving Factors of Service Innovation Using Open<br>Government Data: An Exploratory Study of<br>Entrepreneurs in Two Countries                          |           | 11     |
| Tossavainen, Shiramatsu, Ozono &<br>Shintani, 2014 [72] (CP) | Implementing a System Enabling Open Innovation by<br>Sharing Public Goals Based on Linked Open Data   | -         | 2      |
| Tossavainen, Shiramatsu, Ozono &<br>Shintani, 2016 [73] (A)  | A Linked Open Data Based System Utilizing<br>Structured Open Innovation Process for Addressing<br>Collaboratively Public Concerns in Regional Societies | 2         | 2      |
| Tucci, Viscusi & Gautschi, 2018 [74] (A)                     | Translating Science into Business Innovation: The Case of Open Food and Nutrition Data  |           | -      |
| Väyrynen, Helander & Vasell, 2017 [75] (A)                   | Knowledge Management for Open Innovation:   |           | 1      |
| Viseur, 2015 [76] (CP)                                       | Open Science: Practical Issues in Open Research Data  | -         | 1      |
| Wells, Willis, Burrows & Van Huijsduijnen,<br>2016 [77] (A)  | Open Data in Drug Discovery and Development:<br>Lessons from Malaria  | 9         | 14     |
| Yang & Kanhanhalli, 2013 [78] (CP)                           | Innovation in Government Services: The Case of Open Data  | -         | 26     |
| Zdrazil, Blomberg & Ecker, 2012 [79] (A)                     | Taking Open Innovation to the Molecular<br>Level—Strengths and Limitations  | 5         | 7      |
|  | Open Innovation, Open Data and New Business   |           | -      |

A: Article; CP: Conference paper.

# 3.1.1. Journals and Conferences

Tables 2–4 present the analysis of the documents according to type: articles or conference papers. Regarding articles (Tables 2 and 3), the "Information Polity" and "Government Information Quarterly" journals stand out with three and two articles, respectively. Regarding conference papers (Table 4), the book series "Lectures Notes in Computer Science" stands out with three documents. The other journals and sources only have one document each.

Table 2. Articles: journal/ranking and category JCR.

| Journal  | Ranking and Category JCR   | Articles |
|--|--|----------|
| Information Polity                                       | NA   | 3        |
| Government Information Quarterly                         | Q1 (Information Science & Library Science—SSCI)  | 2        |
| IBM Journal of Research and<br>Development               | Q3 (Computer Science, Hardware & Architecture—SCIE), Q4 (Computer Science, Information Systems—SCIE), Q3 (Computer Science, Software Engineering—SCIE), Q3 (Computer Science, Theory & Methods—SCIE) | 1        |
| Information Systems Management                           | Q3 (Computer Science, Information Systems—SCIE)  | 1        |
| Sensors  | Q2 (Chemistry, Analytical—SCIE), Q3 (Electrochemistry—SCIE), Q2 (Instruments & Instrumentation—SCIE)   | 1        |
| International Journal of Innovation<br>Management        | NA   | 1        |
| First Monday   | NA   | 1        |
| Information Technology and People                        | Q2 (Information Science & Library Science—SSCI)  | 1        |
| Curator: The Museum Journal                              | NA   | 1        |
| Nature Reviews Drug Discovery                            | Q1 (Biotechnology & Applied Microbiology—SCIE), Q1 (Pharmacology & Pharmacy)   | 1        |
| Applied Intelligence                                     | Q2 (Computer Science, Artificial Intelligence)   | 1        |
| Research Policy  | Q1 (Management—SSCI), Q1 (Planning & Development—SSCI)   | 1        |
| International Journal of Digital<br>Television           | NA   | 1        |
| Transforming Government: People,<br>Process and Policy   | NA   | 1        |
| Statistical Journal of the IAOS                          | NA   | 1        |
| Australian Journal of Public<br>Administration           | Q3 (Public Administration—SSCI)  | 1        |
| Espacios   | NA   | 1        |
| Revista de Administração Pública                         | NA   | 1        |
| Molecular Informatics                                    | Q3 (Chemistry, Medicinal—SCIE), Q3 (Computer Science,<br>Interdisciplinary Applications—SCIE), Q2 (Mathematical &<br>Computational Biology—SCIE)   | 1        |
| International Journal of Automation and Smart Technology | NA   | 1        |
| R and D Management                                       | Q3 (Business—SSCI), Q3 (Management—SSCI)   | 1        |
| Frontiers in Nutrition                                   | NA   | 1        |
| Digital Policy Regulation and<br>Governance              | NA   | 1        |
| Expert Opinion on Drug Discovery                         | Q1 (Pharmacology & Pharmacy—SCIE)  | 1        |
| PLOS Biology   | Q1 (Biochemistry & Molecular Biology—SCIE), Q1 (Biology—SCIE)  | 1        |

Note: NA: not available.

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**Table 3.** Articles: journal/ranking, subject area and category SJR.

| Journal   | Ranking, Subject Area and Category SJR   | Articles |
|---|--|----------|
| Information Polity                                | Q2 (Computer Science—Information System), Q2 (Social Sciences—Communication), Q2 (Social Sciences—Geography, Planning and Development), Q2 (Social Sciences—Public Administration), Q2 (Social Sciences—Sociology and Political Science), Q1 (Social Sciences—E-learning)                                  | 3        |
| Government Information<br>Quarterly               | Q1 (Social Sciences—Law), Q1 (Social Sciences—Library and Information Sciences), Q1 (Social Sciences—Sociology and Political Science)  | 2        |
| IBM Journal of Research and<br>Development        | Q2 (Computer Science—Computer Science (miscellaneous))   | 1        |
| Information Systems Management                    | Q2 (Computer Science—Computer Science<br>Applications), Q2 (Computer Science—Information<br>Systems), Q1 (Social Sciences—Library and<br>Information Sciences)   | 1        |
| Sensors   | Q3 (Biochemistry, Genetics and Molecular<br>Biology—Biochemistry), Q2 (Chemistry—Analytical<br>Chemistry), Q2 (Engineering—Electrical and<br>Electronic Engineering), Q2 (Medicine—Medicine<br>(miscellaneous)), Q2 (Physics and<br>Astronomy—Atomic and Molecular Physics, and<br>Optics Instrumentation) | 1        |
| International Journal of<br>Innovation Management | Q2 (Business, Management and Accounting—Business and International Management), Q3 (Business, Management and Accounting—Management of Technology and Innovation), Q2 (Business, Management and Accounting—Strategy and Management)   | 1        |
| First Monday                                      | Q1 (Computer Science—Computer Networks and<br>Communications), Q2 (Computer<br>Science—Human-Computer Interaction), Q1 (Social<br>Sciences—Law)  | 1        |
| Information Technology and<br>People              | Q2 (Computer Science—Computer Science<br>Applications), Q1 (Computer Science—Information<br>Systems), Q1 (Social Sciences—Library and<br>Information Sciences)   | 1        |
| Curator: The Museum Journal                       | Q2 (Arts and Humanities—Conservation), Q2 (Arts and Humanities—Museology)  | 1        |
| Nature Reviews Drug Discovery                     | Q1 (Medicine—Medicine (miscellaneous)), Q1<br>(Pharmacology, Toxicology and<br>Pharmaceutics—Drug Discovery), Q1 (Pharmacology,<br>Toxicology and Pharmaceutics—Pharmacology)  | 1        |
| Applied Intelligence                              | Q2 (Computer Science—Artificial Intelligence)  | 1        |
| Research Policy                                   | Q1 (Business, Management and Accounting—Management of Technology and Innovation), Q1 (Business, Management and Accounting—Strategy and Management), Q1 (Decision Sciences—Management Science and Operations Research), Q1 (Engineering—Engineering (miscellaneous))  | 1        |

 Table 3. Cont.

| Journal  | Ranking, Subject Area and Category SJR   | Articles |
|--|--|----------|
| International Journal of Digital<br>Television                 | Q3 (Engineering—Media Technology), Q4 (Social Sciences—Communication), Q4 (Social Sciences—Sociology and Political Science)  | 1        |
| Transforming Government:<br>People, Process and Policy         | Q2 (Computer Science—Computer Science<br>Applications), Q2 (Decision Sciences—Information<br>Systems and Management), Q2 (Social<br>Sciences—E-learning), Q2 (Social Sciences—Public<br>Administration)  | 1        |
| Statistical Journal of the IAOS                                | Q2 (Business, Management and Accounting—Management Information Systems), Q3 (Decision Sciences—Statistics, Probability and Uncertainty), Q3 (Economics, Econometrics and Finance—Economics and Econometrics)   | 1        |
| Australian Journal of Public<br>Administration                 | Q2 (Social Sciences—Public Administration), Q2 (Social Sciences—Sociology and Political Science)   | 1        |
| Espacios   | Q3 (Business Management and Accounting—Business and International Management), Q4 (Business Management and Accounting—Management of Technology and Innovation), Q4 (Decision Sciences—Management Science and Operations Research)  | 1        |
| Revista de Administração Pública                               | Q3 (Social Sciences—Public Administration)   | 1        |
| Molecular Informatics  | Q3 (Biochemistry, Genetics and Molecular<br>Biology—Molecular Medicine), Q4 (Biochemistry,<br>Genetics and Molecular Biology—Structural Biology),<br>Q2 (Chemistry—Organic Chemistry), Q2 (Computer<br>Science—Computer Science Applications), Q2<br>(Pharmacology, Toxicology and<br>Pharmaceutics—Drug Discovery)              | 1        |
| International Journal of<br>Automation and Smart<br>Technology | Q4 (Computer Science—Artificial Intelligence), Q4 (Computer Science—Hardware and Architecture), Q4 (Computer Science—Human-Computer Interaction), Q4 (Computer Science—Signal Processing), Q4 (Engineering—Control and Systems Engineering), Q4 (Engineering—Electrical and Electronic Engineering)                              | 1        |
| R and D Management   | Q1 (Business, Management and Accounting—Business and International Management), Q1 (Business, Management and Accounting—Business, Management and Accounting (miscellaneous)), Q2 (Business, Management and Accounting—Management of Technology and Innovation), Q1 (Business, Management and Accounting—Strategy and Management) | 1        |
| Frontiers in Nutrition   | NA   | 1        |
| Digital Policy Regulation and<br>Governance                    | Q2 (Business, Management and Accounting—Management Information Systems), Q3 (Business, Management and Accounting—Management of Technology and Innovation), Q2 (Computer Science—Computer Networks and Communications), Q3 (Computer Science—Information Systems), Q2 (Decision Sciences—Information Systems and Management)      | 1        |

Table 3. Cont.

| Journal                             | Ranking, Subject Area and Category SJR   | Articles |
|-------------------------------------|--|----------|
| Expert Opinion on Drug<br>Discovery | Q1 (Pharmacology, Toxicology and Pharmaceutics—Drug Discovery)   | 1        |
| PLOS Biology                        | Q1 (Agricultural and Biological Sciences—Agricultural and Biological Sciences (miscellaneous)), Q1 (Biochemistry, Genetics and Molecular Biology—Biochemistry, Genetics and Molecular Biology (miscellaneous)), Q1 (Immunology and Microbiology—Immunology and Microbiology (miscellaneous)), Q1 (Neuroscience—Neuroscience (miscellaneous)) | 1        |

Note: NA: not available.

**Table 4.** Conference papers: source/ranking, subject area and category SJR  $^{\ast}.$ 

| Source   | Ranking, Subject Area and Category SJR   | Conference Papers |
|--|--|-------------------|
| Lecture Notes in Computer Science  | Q2 (Computer Science—Computer Science (miscellaneous)), Q3 (Mathematics—Theoretical Computer Science)  | 3                 |
| 37th Annual IEEE International<br>Geoscience and Remote Sensing<br>Symposium, IGARSS 2017  | (Computer Science—Computer Science<br>Applications), (Earth and Planetary<br>Sciences—Earth and Planetary Sciences<br>(miscellaneous))   | 1                 |
| 13th International Symposium on<br>Open Collaboration, OpenSym 2017  | NA   | 1                 |
| 46th Annual Frontiers in Education<br>Conference, FIE 2016   | (Computer Science—Computer Science<br>Applications), (Computer Science—Software),<br>(Social Sciences—Education)   | 1                 |
| 3rd International Conference on<br>Electronic Governance and Open<br>Society: Challenges in Eurasia,<br>EGOSE 2016                           | NA   | 1                 |
| 9th Nordic Conference on<br>Human-Computer Interaction,<br>NordiCHI 2016   | NA   | 1                 |
| 12th International Symposium on<br>Open Collaboration, OpenSym 2016  | (Computer Science—Computer Science Applications), (Computer Science—Information Systems), (Computer Science—Software)  | 1                 |
| 4th International Conference on<br>Information Technology and<br>Quantitative Management, ITQM 2016  | NA   | 1                 |
| 23rd Interdisciplinary Information<br>Management Talks: Information<br>Technology and Society—Interaction<br>and Interdependence, IDIMT 2015 | (Engineering—Control and System Engineering)   | 1                 |
| 28th Bled eConference: #eWellbeing   | (Computer Science—Computer Networks and Communications), (Computer Science—Computer Science Applications), (Computer Science—Information Systems), (Social Sciences—Education) | 1                 |
| 2015 International Conference on<br>Information Systems: Exploring the<br>Information Frontier, ICIS 2015                                    | (Computer Science—Computer Networks and<br>Communications), (Computer Science—Signal<br>Processing), (Physics and<br>Astronomy—Instrumentation)                                | 1                 |
| 4th International Conference on Data<br>Management Technologies and<br>Applications, DATA 2015   | (Computer Science—Computer Science (miscellaneous))  | 1                 |

Table 4. Cont.

| Source  | Ranking, Subject Area and Category SJR   | Conference Papers |
|---|--|-------------------|
| 22nd European Conference on<br>Information Systems, ECIS 2014   | (Computer Science—Information Systems)   | 1                 |
| 2014 37th International Convention on<br>Information and Communication<br>Technology, Electronics and<br>Microelectronics, MIPRO 2014 | (Computer Science—Computer Networks and<br>Communications), (Engineering—Electrical and<br>Electronic Engineering)   | 1                 |
| 2014 6th ITU Kaleidoscope Academic<br>Conference: Living in a Converged<br>World—Impossible Without<br>Standards? K 2014              | (Computer Science—Computer Networks and Communications), (Social Sciences—E-learning)  | 1                 |
| 1st International Conference on<br>Orange Technologies, ICOT 2013   | (Computer Science—Computer Networks and Communications)  | 1                 |
| 46th Annual Hawaii International<br>Conference on System Sciences,<br>HICSS 2013  | NA   | 1                 |
| 2012 18th International Conference on<br>Engineering, Technology and<br>Innovation, ICE 2012  | (Engineering—Engineering (miscellaneous)),<br>(Engineering—Mechanics of Materials),<br>(Mathematics—Computational Mathematics)   | 1                 |
| 2012 IEEE International Conference on<br>Pervasive Computing and<br>Communications Workshops,<br>PERCOM Workshops 2012                | (Computer Science—Computer Networks and<br>Communications), (Computer<br>Science—Computer Science Applications)  | 1                 |
| IFIP Advances in Information and<br>Communication Technology  | Q3 (Computer Science—Computer Networks<br>and Communications), Q4 (Computer<br>Science—Information Systems), Q3 (Decision<br>Sciences—Information Systems and<br>Management) | 1                 |
| 1st International Conference on<br>Digital Tools and Uses Congress,<br>DTUC 2018  | NA   | 1                 |
| Proceedings of the International<br>Scientific Conference of Business<br>Economics, Management and<br>Marketing (ISCOBEMM 2017)       | NA   | 1                 |
| 10th International Conference of<br>Education, Research and Innovation<br>(ICERI 2017)  | NA   | 1                 |
| Proceedings of the 2016 SAI<br>Computing Conference (SAI)   | (Computer Science—Computer Networks and Communications), (Computer Science—Computer Science Applications), (Engineering—Electrical and Electronic Engineering)               | 1                 |
| 2015 SSR International Conference on<br>Social Sciences and Information<br>(SSR-SSI 2015)   | NA   | 1                 |

NA: not available. \* Note: Information about conference papers ranking and categories JCR is not available.

We have analysed the different subject areas and categories of the Journal Citation Report (JCR) and Scimago Journal and Country Rank (SJR) (Tables 2–4). Most indicate a link with knowledge areas such as Information Technology and Computer Science and its offshoots. A review of the Computer Science subject area indicates the prevalence of the Computer Science Applications, Computer Networks, and Communication and Information Systems categories. Also prevalent are knowledge areas such as Public Administration and Government within the Social Sciences subject area, displaying a significant variety of associated categories: Sociology and Political Science, and Library and Information Sciences stand out, among others. Furthermore, knowledge areas such as Systems Engineering, Electronic Engineering or Electrical Engineering, among others (included

in the Engineering subject area), have a significant presence. The Technology and Innovation Management knowledge area also appears, mainly linked with the subject areas of Business, Management and Accounting, and Decision Sciences. Medicine, Molecular Medicine, Pharmacology, and Chemoinformatics have a minor presence. Finally, we must mention the knowledge area of Museology, under the subject area of Arts & Humanities. When analysing the journals ranked by JCR, eight are in the first or second quartile and by SJR, 20 are in the first or second quartile for the last available year (2017).

#### 3.1.2. Authors

Table 5 presents the most productive authors by affiliation and knowledge area. Several authors from the Nagoya Institute of Technology's Graduate School of Engineering (Nagoya, Japan) stand out with three publications each in the knowledge area of Computer Science: Tossavainen, Shiramatsu, Ozono and Shintani. Their publications focus on the use of web applications to promote collaboration between different interest groups (individuals or organisations) for the purpose of solving public and social problems [68,72,73].

Table 5. Top authors (affiliation and knowledge area).

| Author            | Affiliation  | Knowledge Area  | Documents |
|-------------------|--|---|-----------|
| Tossavainen, T.   | Graduate School of Engineering,<br>Nagoya Institute of Technology,<br>Nagoya, Japan/School of Science,<br>Aalto University, Espoo, Finland | Computer Science/Physical<br>Engineering/Acoustical                       | 3         |
| Shiramatsu, S.    | Graduate School of Engineering,<br>Nagoya Institute of Technology,<br>Nagoya, Japan  | Computer Science  | 3         |
| Ozono, T.         | Graduate School of Engineering,<br>Nagoya Institute of Technology,<br>Nagoya, Japan  | Computer Science  | 3         |
| Shintani, T.      | Graduate School of Engineering,<br>Nagoya Institute of Technology,<br>Nagoya, Japan  | Computer Science  | 3         |
| Kauppinen, S.     | Laurea University of Applied<br>Sciences, Vantaa, Finland  | Service Innovation and<br>Design/Information<br>Sciences/Computer Science | 3         |
| Noda, T.          | Shimane University, Matsue City,<br>Japan  | Economics/Political Science   | 2         |
| Yoshida, A.       | Jawaharlal Nehru University, New<br>Delhi, India   | Economics/Computer<br>Science   | 2         |
| Coughlan, S.      | Opendawn, Takamatsu-Shi, Japan   | Economics   | 2         |
| Emaldi, M.        | DeustoTech—Deusto Foundation,<br>University of Deusto, Bilbao, Spain   | Computer Science  | 2         |
| Aguilera, U.      | DeustoTech—Deusto Foundation,<br>University of Deusto, Bilbao, Spain   | Computer Science  | 2         |
| Pérez-Velasco, J. | Tecnalia, eServices, Madrid, Spain   | Information and<br>Communication Technology                               | 2         |
| Lee, J.N.         | Korea University Business School,<br>Seoul, Republic of Korea  | Economics/Information<br>Technology                                       | 2         |

Table 5. Cont.

| Author            | Affiliation   | Knowledge Area  | Documents |
|-------------------|---|---|-----------|
| Ham, J.           | Korea University Business School,<br>Seoul, Republic of Korea   | Hotel and Tourism<br>Management                         | 2         |
| Choi, B.          | Kookmin University, Seoul,<br>Republic of Korea   | Economics/Information<br>Technology                     | 2         |
| Juell-Skielse, G. | Stockholm University,<br>Stockholm, Sweden  | Information Technology                                  | 2         |
| Hjalmarsson, A.   | Swedish ICT Viktoria and<br>University of Borås,<br>Gothenburg, Sweden  | Information<br>Technology/Sustainable<br>Transportation | 2         |
| Johannesson, P.   | Stockholm University, Stockholm,<br>Sweden  | Computer Science  | 2         |
| Rudmark, D.       | Swedish ICT Viktoria and<br>University of Borås, Gothenburg,<br>Sweden  | Information<br>Technology/Sustainable<br>Transportation | 2         |
| Lin, C.K.         | Institute of Computer and<br>Communication Engineering,<br>Department of Electrical<br>Engineering, National Cheng Kung<br>University Tainan, Taiwan  | Electrical<br>Engineering/Computer<br>Science           | 2         |
| Wang, T.H.        | Center for Technologies of<br>Ubiquitous Computing and<br>Humanity, National Cheng Kung<br>University, Tainan, Taiwan                                 | Computer Science  | 2         |
| Yang, J.F.        | Institute of Computer and<br>Communication Engineering,<br>Department of Electrical<br>Engineering, National Cheng Kung<br>University, Tainan, Taiwan | Electrical<br>Engineering/Computer<br>Science           | 2         |
| Luojus, S.        | Laurea University of Applied<br>Sciences, Vantaa, Finland   | Service Innovation and<br>Design/Computer Science       | 2         |
| Lahti, J.         | Laurea University of Applied<br>Sciences, Vantaa, Finland   | Service Innovation and<br>Design/Computer Science       | 2         |

Authors that focus on this topic belong to three knowledge areas: Computer Science, Information Technology and Economics (Table 5). Some authors such as Yoshida, Lee and Choi belong to two knowledge areas, Economics (focus in the open innovation research) and Information Technology or Computer Science (focus in the open data research). The affiliations of the top authors are Japanese (six), Swedish (four), Spanish (three), Finnish (three), Korean (three) and Taiwanese (three).

## 3.2. Studied Themes by Knowledge Area

We analysed the knowledge areas considering the SJR subject areas and categories. In the Information Technology and Computer Science knowledge areas, topics such as the development of open innovation processes through web platforms are the most commonly studied [72,73]; other topics include the impact of the use of open government data to improve or produce new products and services, as well as the open innovation processes derived from the use of these data [71]. This last topic has also been addressed in knowledge areas such as Public Administration, along with other topics such as open data, transparency, civic engagement, and public sector innovation [10].

Regarding the knowledge areas of Systems Engineering, Electronic Engineering, Electrical Engineering, the most prevalent topics are the development of systems that offer a service to the user and that enlist the collaboration of these users to improve the product, thus involving various

stakeholders in a co-creation process [56]. For Technology Management and Innovation, topics addressed include the management of technology innovation processes in organisations [32], or the phenomena of co-creation and innovation promotion [75].

In the knowledge areas of Medicine, Molecular Medicine, Pharmacology and Chemoinformatics, the positive impact of open data and open innovation on drug discovery and development processes is analysed [77,79]. Lastly, in Museology, the impetus of open data and open innovation in museums, libraries and archives is discussed [63].

### 3.3. Methodological Characteristics of the Documents

To perform a more in-depth literature review, this section presents an analysis of the methodological characteristics of the documents studied as the type of study, the analytical techniques, the source of information and the geographical area.

Analysing the type of documents indicates that 65.5% (36) are empirical and approximately 34.5% (19) are theoretical. Several aspects of the empirical documents have been analysed, such as the type of study (Table 6), analytical techniques used (Table 7), and sources of information (Table 8).

Tables 6 and 7 show that 61% (22) of the empirical documents are exclusively qualitative studies using the analytical technique of case study. On the other hand, six documents (approximately 17%) are exclusively quantitative, using analytical techniques such as the varimax rotation method, correlation coefficients, Cronbach's alpha coefficient, regression analysis, structural equation modelling, and descriptive statistics. Furthermore, seven documents (approximately 19.4%) use a combination of quantitative and qualitative techniques. All are case studies with various types of descriptive statistics, except for one by Smith & Sandberg, 2018 [69], that combines a case study with a cross-tabulation matrix. If we analyse all the studies that are exclusively quantitative or that are combined with a qualitative study, 13 documents are found (36% of the empirical documents). Eight of these are cross-sectional studies for the same period, and five are longitudinal studies.

**Table 6.** Type of study/author/s, year.

| Type of Study                | Author/s, Year  |  |  |  |
|------------------------------|---|--|--|--|
| Quantitative                 | Herala, Vanhala, Porras & Kärri, 2016 [12]; Lee, Ham & Choi, 2016 [54]; Tossavainen, Shiramatsu, Ozono & Shintani, 2016 [73]; Fortunato, Gorgoglione, Messeni Petruzzelli & Panniello, 2017 [39]; Kuhlman, Ramamurthy, Sattigeri, Lozano, Cao, Reddy, Mojsilovic & Varshney, 2017 [53]; Väyrynen, Helander & Vasell, 2017 [75]  |  |  |  |
| Qualitative                  | Conradie, Mulder & Choenni, 2012 [28]; Zdrazil, Blomberg & Ecker, 2012 [79]; Chan, 2013 [33]; De Freitas & Dacorso, 2014 [36]; Hoel, 2014 [45]; Cândido, Vianna, Gauthier, Aradas & Koslovsky, 2015 [32]; Hellberg & Hedström, 2015 [43]; Jaakola, Kekkonen, Lahti & Manninen, 2015 [48]; Katsonis & Botros, 2015 [50]; Lin, 2015 [55]; Perkmann & Schildt, 2015 [64]; Shiramatsu, Tossavainen, Ozono & Shintani, 2015 [68]; Zimmermann & Pucihar, 2015 [27]; Kauppinen, Luojus & Lahti, 2016 [52]; Nikiforov & Singireja, 2016 [60]; Owens, 2016 [63]; Gagliardi, Schina, Sarcinella, Mangialardi, Niglia & Corallo, 2017 [40]; Kassen, 2017 [10]; Luojus, Kauppinen, Lahti & Tahtinen, 2017 [59]; Huber, Wainwright & Rentocchini, 2018 [46]; Saxena, 2018 [67]; Tucci, Viscusi & Gautschi, 2018 [74] |  |  |  |
| Quantitative and qualitative | Hjalmarsson, Johannesson, Juell-Skielse & Rudmark, 2014 [44];<br>Juell-Skielse, Hjalmarsson, Juell-Skielse, Johannesson & Rudmark,<br>2014 [49]; Susha, Grönlund & Janssen, 2015 [71]; López de Ipiña, Emaldi,<br>Aguilera & Pérez Velasco, 2016 [58]; Chatfield & Reddick, 2017 [34];<br>Emaldi, Aguilera, López-de-Ipiña & Pérez-Velasco, 2017 [38]; Smith &<br>Sandberg, 2018 [69]   |  |  |  |

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**Table 7.** Analytical techniques/author/s, year.

| Analytical Techniques        | Author/s, Year  |  |  |  |
|------------------------------|---|--|--|--|
| Varimax rotation method      | Väyrynen, Helander & Vasell, 2017 [75]  |  |  |  |
| Correlation coefficients     | Tossavainen, Shiramatsu, Ozono & Shintani, 2016 [73]; Kuhlman, Ramamurthy, Sattigeri, Lozano, Cao, Reddy, Mojsilovic & Varshney, 2017 [53]; Väyrynen, Helander & Vasell, 2017 [75]  |  |  |  |
| Case study                   | Conradie, Mulder & Choenni, 2012 [28]; Zdrazil, Blomberg & Ecker, 2012 [79]; Chan, 2013 [33]; De Freitas & Dacorso, 2014 [36]; Hjalmarsson, Johannesson, Juell-Skielse & Rudmark, 2014 [44]; Juell-Skielse, Hjalmarsson, Juell-Skielse, Johannesson & Rudmark, 2014 [49]; Hoel, 2014 [45]; Hellberg & Hedström, 2015 [43]; Jaakola, Kekkonen, Lahti & Manninen, 2015 [48]; Katsonis & Botros, 2015 [50]; Lin, 2015 [55]; Perkmann & Schildt, 2015 [64]; Shiramatsu, Tossavainen, Ozono & Shintani, 2015 [68]; Susha, Grönlund & Janssen, 2015 [71]; Zimmermann & Pucihar, 2015 [27]; Kauppinen, Luojus & Lahti, 2016 [52]; López de Ipiña, Emaldi, Aguilera & Pérez Velasco, 2016 [58]; Nikiforov & Singireja, 2016 [60]; Owens, 2016 [63]; Chatfield & Reddick, 2017 [34]; Emaldi, Aguilera, López-de-Ipiña & Pérez-Velasco, 2017 [38]; Gagliardi, Schina, Sarcinella, Mangialardi, Niglia & Corallo, 2017 [40]; Kassen, 2017 [10]; Luojus, Kauppinen, Lahti & Tahtinen, 2017 [59]; Huber, Wainwright & Rentocchini, 2018 [46]; Saxena, 2018 [67]; Smith & Sandberg, 2018 [69]; Tucci, Viscusi & Gautschi, 2018 [74] |  |  |  |
| Cross tabulation matrix      | Smith & Sandberg, 2018 [69]   |  |  |  |
| Cronbach's alpha coefficient | Väyrynen, Helander & Vasell, 2017 [75]  |  |  |  |
| Descriptive statistics       | Hjalmarsson, Johannesson, Juell-Skielse & Rudmark, 2014 [44];<br>Juell-Skielse, Hjalmarsson, Juell-Skielse, Johannesson & Rudmark,<br>2014 [49]; Susha, Grönlund & Janssen, 2015 [71]; Herala, Vanhala,<br>Porras & Kärri, 2016 [12]; Lee, Ham & Choi, 2016 [54]; López de Ipiñ<br>Emaldi, Aguilera & Pérez Velasco, 2016 [58]; Chatfield & Reddick,<br>2017 [34]; Emaldi, Aguilera, López-de-Ipiña & Pérez-Velasco,<br>2017 [38]; Väyrynen, Helander & Vasell, 2017 [75]   |  |  |  |
| Other qualitative studies    | Cândido, Vianna, Gauthier, Aradas & Koslovsky, 2015 [32]  |  |  |  |
| Regression analyses          | Fortunato, Gorgoglione, Messeni Petruzzelli & Panniello, 2017 [39];<br>Kuhlman, Ramamurthy, Sattigeri, Lozano, Cao, Reddy, Mojsilovic &<br>Varshney, 2017 [53]; Väyrynen, Helander & Vasell, 2017 [75]  |  |  |  |
| Structural equation modeling | Lee, Ham & Choi, 2016 [54]  |  |  |  |

The most prevalent analytical technique used is the case study, identified in 28 documents (77.8% of the empirical studies), followed by descriptive statistics found in nine documents (25% of the empirical studies) (Table 6).

Table 8 presents the information sources used in the empirical studies. Most (28, or 77.8%) of the documents analysed have a secondary source; 16 documents (44.4%) have only one source; and 8 documents (22.2%) have three or more sources. Primary sources are found in 18 (50%) of the empirical studies; 8 (22.2%) have a single primary source and 9 (25%) have two primary sources.

Table 9 shows that 60% of the documents (33) correspond to a single geographic area, while 18.2% (10) correspond to several geographic areas. Approximately 21.8% (12) of the documents do not indicate any geographic scope. The geographic areas represented are widely scattered, although approximately 53% (29) of those that indicate a geographic area are analyses conducted in Europe.

**Table 8.** Sources of information/author/s, year.

| Sources of Information | Author/s, Year   |  |  |  |
|------------------------|--|--|--|--|
| 1 primary              | Lin, 2015 [55]; Shiramatsu, Tossavainen, Ozono & Shintani, 2015 [68]; Susha, Grönlund & Janssen, 2015 [71]; Tossavainen, Shiramatsu, Ozono & Shintani, 2016 [73]; Chatfield & Reddick, 2017 [34]; Huber, Wainwright & Rentocchini, 2018 [46]; Smith & Sandberg, 2018 [69]; Tucci, Viscusi & Gautschi, 2018 [74]  |  |  |  |
| 2 primary              | Conradie, Mulder & Choenni, 2012 [28]; Hjalmarsson, Johannesson, Juell-Skielse & Rudmark, 2014 [44]; Juell-Skielse, Hjalmarsson, Juell-Skielse, Johannesson & Rudmark, 2014 [49]; Perkmann & Schildt, 2015 [64]; Kauppinen, Luojus & Lahti, 2016 [52]; López de Ipiña, Emaldi, Aguilera & Pérez Velasco, 2016 [58]; Emaldi, Aguilera, López-de-Ipiña & Pérez-Velasco, 2017 [38]; Luojus, Kauppinen, Lahti & Tahtinen, 2017 [59]; Väyrynen, Helander & Vasell, 2017 [75]  |  |  |  |
| 3 or more primary      | Hellberg & Hedström, 2015 [43]   |  |  |  |
| 1 secondary            | Zdrazil, Blomberg & Ecker, 2012 [79]; Chan, 2013 [33]; De Freitas & Dacorso, 2014 [36]; Hjalmarsson, Johannesson, Juell-Skielse & Rudmark, 2014 [44]; Hoel, 2014 [45]; Juell-Skielse, Hjalmarsson, Juell-Skielse, Johannesson & Rudmark, 2014 [49]; Hellberg & Hedström, 2015 [43]; Jaakola, Kekkonen, Lahti & Manninen, 2015 [48]; Lin, 2015 [55]; Kauppinen, Luojus & Lahti, 2016 [52]; Nikiforov & Singireja, 2016 [60]; Owens, 2016 [63]; Gagliardi, Schina, Sarcinella, Mangialardi, Niglia & Corallo, 2017 [40]; Luojus, Kauppinen, Lahti & Tahtinen, 2017 [59]; Smith & Sandberg, 2018 [69]; Tucci, Viscusi & Gautschi, 2018 [74] |  |  |  |
| 2 secondary            | Herala, Vanhala, Porras & Kärri, 2016 [12]; Chatfield & Reddick, 2017 [34]; Kuhlman, Ramamurthy, Sattigeri, Lozano, Cao, Reddy, Mojsilovic & Varshney, 2017 [53]; Huber, Wainwright & Rentocchini, 2018 [46]   |  |  |  |
| 3 or more secondary    | Katsonis & Botros, 2015 [50]; Perkmann & Schildt, 2015 [64]; Zimmermann & Pucihar, 2015 [27]; Lee, Ham & Choi, 2016 [54]; Noda, Honda, Yoshida & Coughlan, 2016 [62]; Fortunato, Gorgoglione, Messeni Petruzzelli & Panniello, 2017 [39]; Kassen, 2017 [10]; Saxena, 2018 [67]   |  |  |  |

 Table 9. Geographical area/author/s, year.

| Geographical Area     | Author/s, Year  |  |  |  |
|-----------------------|---|--|--|--|
| One geographical area |   |  |  |  |
| Australia             | Chatfield & Reddick, 2017 [34]  |  |  |  |
| Brazil                | De Freitas & Dacorso, 2014 [36]   |  |  |  |
| Canada                | Gold, 2016 [41]   |  |  |  |
| Ecuador               | Piedra, Chicaiza, Lopez-Vargas & Caro, 2016 [65]  |  |  |  |
| European Union        | Zdrazil, Blomberg & Ecker, 2012 [79]; Hoel, 2014 [45]   |  |  |  |
| Finland               | Jaakkola, Mäkinen, Henno & Mäkelä, 2014 [48]; Jaakola, Kekkonen, Lahti & Manninen, 2015 [47]; Kauppinen, Luojus & Lahti, 2016 [52] Luojus, Kauppinen, Lahti & Tahtinen, 2017 [59]; Väyrynen, Helander & Vasell, 2017 [75] |  |  |  |
| India                 | Saxena, 2018 [67]   |  |  |  |
| Ireland               | Stephenson, Di Lorenzo & Aonghusa, 2012 [70]  |  |  |  |

Table 9. Cont.

| Geographical Area                                     | Author/s, Year  |  |  |
|---|---|--|--|
| Italy   | Fortunato, Gorgoglione, Messeni Petruzzelli & Panniello, 2017 [Gagliardi, Schina, Sarcinella, Mangialardi, Niglia & Corallo, 2017 [40]  |  |  |
| Japan   | Tossavainen, Shiramatsu, Ozono & Shintani, 2014 [72]; Shiramat<br>Tossavainen, Ozono & Shintani, 2015 [68]; Tossavainen, Shirama<br>Ozono & Shintani, 2016 [73]                               |  |  |
| Kazakhstan  | Kassen, 2017 [10]   |  |  |
| Netherlands   | Conradie, Mulder & Choenni, 2012 [28]   |  |  |
| Singapore   | Chan, 2013 [33]   |  |  |
| Sweden  | Hjalmarsson, Johannesson, Juell-Skielse & Rudmark, 2014 [44];<br>Hellberg & Hedström, 2015 [43]; Smith & Sandberg, 2018 [69]  |  |  |
| Switzerland   | Dardier, 2018 [35]; Tucci, Viscusi & Gautschi, 2018 [74]  |  |  |
| Spain   | López de Ipiña, Emaldi, Aguilera & Pérez Velasco, 2016 [58]; Ema<br>Aguilera, López-de-Ipiña & Pérez-Velasco, 2017 [38]   |  |  |
| Taiwan  | Lin, Wang & Yang, 2012 [56]; Lin, Wang & Yang, 2013 [57]  |  |  |
| United Kingdom  | Lin, 2015 [55]; Huber, Wainwright & Rentocchini, 2018 [46]  |  |  |
| United States   | Owens, 2016 [63]  |  |  |
| Many geographical areas                               |   |  |  |
| Australia and United Kingdom                          | Katsonis & Botros, 2015 [50]  |  |  |
| Australia, New Zealand,<br>European Union and Japan   | Noda, Honda, Yoshida & Coughlan, 2016 [62]  |  |  |
| France, Italy, Belgium, Germany,<br>Poland and Greece | Del Frate, Mothe, Barbier, Becker, Olszewski & Soudris, 2017 [37]   |  |  |
| Sweden and Netherlands                                | Susha, Grönlund & Janssen, 2015 [71]  |  |  |
| United Kingdom, Canada<br>and Sweden                  | Perkmann & Schildt, 2015 [64]   |  |  |
| United States and Russia                              | Nikiforov & Singireja, 2016 [60]  |  |  |
| United States and Switzerland                         | Zimmermann & Pucihar, 2015 [27]   |  |  |
| >10 Geographical areas                                | Juell-Skielse, Hjalmarsson, Juell-Skielse, Johannesson & Rudmark, 2014 [49]; Lee, Ham & Choi, 2016 [54]; Kuhlman, Ramamurthy, Sattigeri, Lozano, Cao, Reddy, Mojsilovic & Varshney, 2017 [53] |  |  |

## 4. Discussion

After analysing the characteristics of previous literature that jointly analyses open data and open innovation, we discuss the different knowledge areas focused on this topic. We observe that open data and open innovation studies are addressing the topic from different perspectives. While open data has been analysed under the Computer Science, Engineering and Public Administration disciplines, open innovation has been developed in the Management and Innovation subjects. Subsequently, we develop these arguments according to the knowledge areas identified in our analysis.

Knowledge areas such as Information Technology and Computer Science help to understand how the data must be (characteristics, quality . . . .) and the format in which data have to be published for performing open innovation. Additionally, we think that it is necessary to deepen the study of the data publishing mediums (platforms, webs . . . ) and their utility for performing open innovation. On the other hand, it is interesting to know how the data can be reused for performing open innovation. So, literature focused on the Public Administration area offers a framework which allows us to analyse the ecosystem of reusers and the products and services that can be obtained under the open innovation paradigm.

Regarding the Management and Innovation subjects, previous literature shows theoretical open innovation models that can be adapted for studying the use of open data for performing open innovation. More empirical studies that develop applications about this topic are necessary. In some knowledge areas such as Systems Engineering, Electronic Engineering, Electrical Engineering, Medicine, Molecular Medicine, Pharmacology and Chemoinformatics, and Museology, the case study methodology is too frequent. These papers offer cases or examples of open innovation activities obtained from open data.

In our descriptive analysis, we have found no documents about the state of the art about open data and open innovation jointly. Even though the previous literature focuses on the study of some specific aspects in different knowledge areas, there are no papers that develop theoretical frameworks that help to understand the use of open data for generating open innovation.

In this context, we have developed a theoretical model, which includes some dimensions of previous models of open data and open innovation. On the one hand, following Abella et al. (2019) [80], we have used the open data impact process and the reusers categories of open data. The model presents a process with four phases: 1. Candidate data; 2. Published data; 3. Reused data; and 4. Impact; and proposes a classification of data reusers in three groups: (1) primary open data source (public organizations and other related organizations that publish open data); (2) direct reusers (social and professional); and (3) end users (social, citizen, professional and academic). On the other hand, following Gassmann and Enkel (2004) [81] and Nerone, Canciglieri Junior, Steiner and Young (2014) [82], we have considered two types of open innovation: inbound (to insource external ideas and technologies to enhance products' values) and outbound (to outsource internal resources for refining, exploiting and bringing them to market). We also consider the two types together, or coupled (a combination of the inbound and outbound processes). Our model is the first theoretical proposal for the study of the use of open data for open innovation (Table 10).

**Table 10.** Theoretical model: Open data impact process for open innovation.

| Theoretical Model                                |  | Open Data Impact Process   |  |  |  |
|--|--|--|--|--|--|
|  |  | Phase 1:<br>Candidate Data   | Phase 2:<br>Published Data   | Phase 3:<br>Reused Data  | Phase 4:<br>Impact   |
| Open innovation<br>and the reusers<br>categories | Type What kind of open innovation can be developed with open data? | Outbound  To select internal data from different agents (public organizations, smart cities ) to be opened | Outbound  To offer the open data from different agents (public organizations, smart cities ) | Inbound To reuse external open data to innovate, creating products and services Coupled To combine internal data and open data to innovate | Outbound Inbound Coupled To analyse the social, economic and technologic impact of using open data for developing the three types of open innovation |
|  | Agent type Who performs open innovation?                           | Primary open data<br>source<br>Public organizations<br>and other related<br>organizations                  | Primary open data source Public organizations and other related organizations                | Direct reusers Social and professional End users Social, citizen, professional and academic  | Primary open data<br>source<br>Direct reusers<br>End users   |

#### 5. Conclusions

There is growing interest from both academic and professional scenarios of studying the innovation topic under the perspective of openness [83] and the reuse of open data [80]. One of the main effects of this reuse is the possibility of innovating and creating new businesses or developing new products or services for citizens [8,9]. Therefore, these two concepts are fully related and it is necessary to deepen, from the academic context, in their joint study in order to guide to the managers to take advantage of open data and open innovation.

Literature reviews are very useful to know the state of the art about a topic. In this sense, we have found some literature reviews about open data or open innovation, but there are still no studies that jointly analyse both topics. This paper tries to cover this gap in the literature by formulating three research questions. To this aim, we have carried out a search of the papers that include open data and open innovation research. We have identified just 55 documents. Many of them are in the initial stages of the research because they are conference papers. It seems logical to say that the joint study of these two topics is emerging and that several documents have not yet been published but are being presented in various academic and professional forums.

To answer the first research question, two analyses have been carried out. Firstly, we have identified the main journals and conferences that publish papers on these topics. The results show that the documents are published in journals of different knowledge areas, Computer Science and Engineering and Public Administration that analyse the issue of open data. Other knowledge areas are focused on open innovation such as Business, Management and Accounting or on the practical applications that have the use of open data to perform open innovation, as is the case of applications or examples of its use in knowledge areas related to Health Sciences, Engineering or the knowledge area of Museology. Secondly, the paper identifies the authors that have published in these issues. It is observed that there is still little productivity per author (maximum three articles), which confirms that this line of research is in its initial stages. The authors are related to knowledge areas as Computer Science, Information Technology and Economics. If we consider their affiliation, the authors of research institutions of Japanese, Korean or Taiwanese universities stand out. There is also a presence of European researchers (Spanish, Finnish and Swedish) among the top authors.

To answer the second research question, knowledge areas are analysed. The main conclusion is the multidisciplinary character of this topic. The most outstanding knowledge areas are Information Technology and Computer Science. Also, from other areas such as Public Administration, Business and Management, and Medicine, papers are being carried out focused on aspects more related to management issues and the application of open data to open innovation.

Regarding the third research question, it is observed that although it is an emerging topic, most of the papers (65.5%) are empirical. This result highlights the need to carry out more theoretical studies that help lay the foundations and the theoretical bases to jointly study these two issues. Moreover, most of the empirical papers are qualitative (61%), which is consistent with the state of development of the research line. The most used technique is the case study. This methodology helps to understand, solve or improve a professional world procedure [84] and is appropriate when the phenomenon investigated is exploratory and descriptive and when primary information is available. As the literature is not conclusive, it is necessary to carry out an in-depth and qualitative analysis on the topic. In this sense, it is observed that 50% of the articles analysed use primary information sources and there are some that combine primary and secondary. The case method also allows applying the inductive method to propose propositions or theoretical hypotheses based on practical experience and examples of application of open data use to open innovation. Finally, results show that the studies have been carried out in different geographical areas. This shows the global reach of these issues, which, besides being applicable in different areas of knowledge, are also applicable in different geographical areas.

The joint analysis of open data and open innovation can be studied considering three dimensions: (1) the main phases of the open data process, (2) the types of open innovation that can be developed with open data, and (3) the ecosystems of reusers that are the agents that make the open innovation

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possible. In that sense, we have proposed a theoretical model to analyse the open data impact process for open innovation. This model can be a guide to future research and help us to present some future research lines and questions. Future research can analyse the following questions for each phase of our theoretical model (Table 10). Phase 1: How does outbound open innovation select the candidate open data? What is the role of public administrations in the selection of open data for outbound open innovation? What effect do the open data policies of each country have on the opportunities to perform open innovation by both public and private institutions? How can the FAIR principles for scientific data—findable, accessible, interoperable and reusable—[85] be adapted to the context of open data for open innovation? Phase 2: How does outbound open innovation publish open data? What is the role of public administrations in the publication of open data for outbound open innovation? How can models developed for innovation in open science such as European Open Science Cloud (EOSC) [86] be adapted to the open data for the open innovation context? Phase 3: What forms of open data reuse are more suitable for open innovation? What is the inbound innovation of each reuser like? and Phase 4: What economic and social effect does the use of open data have in making open innovation? What is the social, economic and technological impact of each type of open innovation? What is the social, economic and technological impact for each reuser? And, in addition, some future research is necessary to develop theoretical and practical applications and examples from a holistic perspective considering all the aspects included in our theoretical model. In that sense, other research questions have been raised by our study. What topics have been the most studied? What are the theories that can be applied to study this phenomenon? What opportunities for open innovation do open data offer? What are the barriers when using open data for open innovation?

This paper presents some theoretical and practical implications. The paper analyses the main aspects of the previous literature that has combined the terms open data and open innovation: journals, conferences, authors, knowledge areas and methodological characteristics. Our results are useful for researchers who start to research this topic because they identify existing gaps and propose new research questions. In addition, "open innovation can help to identify opportunities for entrepreneurs" [87] (p. 2). In that sense, the paper can be useful as a starting point for agents such as citizens, companies or public institutions that want to carry out an open innovation activity such as the creation of digital applications and services through the reuse of open data.

Finally, the paper has some limitations. Other techniques can also be used in order to complete the descriptive analysis, such as bibliometric techniques (bibliographic coupling, co-citation analysis or co-author analysis) that would provide additional information and alternative approaches to describe how state-of-the-art this topic is.

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