



# **Practical Recommendations for the Manipulation of Kinase Inhibitor Formulations to Age-Appropriate Dosage Forms**

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Abstract: Over 75 kinase inhibitors (KIs) have been approved for the treatment of various cancers. KIs are orally administrated but mostly lack pediatric age-appropriate dosage forms or instructions for dose manipulation. This is highly problematic for clinical practice in pediatric oncology, as flexible oral formulations are essential to individually set dosages and to adjust it to a child's swallowability. Most KIs are poorly soluble, categorized in Biopharmaceutics Classification System (BCS) class II or IV, and improperly manipulating the KI formulation can alter pharmacokinetics and jeopardize KI drug safety and efficacy. Therefore, the goals of this review were to provide practical recommendations for manipulating the formulation of the 15 most frequently used KIs in pediatric oncology (i.e., bosutinib, cabozantinib, cobimetinib, crizotinib, dabrafenib, dasatinib, entrectinib, imatinib, larotrectinib, nilotinib, ponatinib, ruxolitinib, selumetinib, sunitinib and trametinib) based on available literature studies and fundamental drug characteristics and to establish a decision tool that supports decisions regarding formulation manipulation of solid oral dosages of KIs that have been or will be licensed (for adult and/or pediatric cancers) but are not included in this review.

Keywords: pediatric oncology; manipulation; formulation; kinase inhibitor; bioequivalence

## 1. Introduction

Manipulating solid oral dosage forms of kinase inhibitors (KIs) without proper instructions can lead to a higher risk of over- and underdosing; unsafe situations for healthcare professionals, parents and patients; and a higher risk of feeding tube occlusions in pediatric oncology [1]. Since the introduction of imatinib in 2001, over 75 KIs have been approved for the treatment of various adult cancers [2]. These drugs are increasingly used off-label in pediatric oncology and often lack age-appropriate oral dosage forms [3–5]. This comprises a major challenge in pediatric oncology, as flexible oral formulations are essential since dosages are individually set (mostly based on body surface or weight) and need to be adjusted to a child's swallowability [6]. Furthermore, it has recently been shown that of 58 oral targeted anticancer drugs (most of which were KIs), 11% had instructions for dose manipulation in the drug label [3]. This is especially problematic, as most KIs have poor aqueous solubility and are categorized as Biopharmaceutics Classification System (BCS) class II or IV, which increases the risk of altering KI pharmacokinetics (PK) and bioavailability when manipulating the KI formulation [7,8]. When no oral liquid or instructions are available, bioequivalence studies that investigate formulation manipulation of KIs can be used to ensure comparable in vivo performance of two medicinal products containing the same active substance. In these studies, the area under the curve (AUC), maximum plasma concentration (Cmax) and time to maximum plasma concentration (Tmax) serve



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**Copyright:** © 2022 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). as surrogate endpoints for drug safety and efficacy and are used to calculate the relative bioavailability. Bioequivalence is, in most cases, assumed when the AUC and Cmax of one medicinal product (e.g., KIs' manipulated dosage form) are between 80% and 125% of the reference medicinal product (e.g., KIs' solid oral dosage form). This is determined in bioequivalence or bioavailability studies that meet the requirements of the study design described in the European Medicines Agency (EMA) or U.S. Food and Drug Administration (FDA) bioequivalence guidelines [9,10].

However, most dose manipulations of KIs are not investigated in official bioequivalence studies but rather discussed in pediatric PK studies. These studies typically calculate PK parameters for pediatric patients that were treated with a solid oral dosage form or with the manipulated dosage form of the KI. This, however, is valuable information when no bioequivalence study is performed. In addition, stability studies, case reports, and the physicochemical properties and pharmacological characteristics of KIs can also support decisions regarding KI dose formulation manipulation to age-appropriate dosage forms.

In pediatric oncology, pharmacists and parents are frequently challenged to manipulate solid oral dosages forms of KIs to an age-appropriate form without proper instructions. This leads to unknown consequences on KI PK and bioavailability and, thus, drug efficacy and safety. Therefore, this review created an overview of literature and data relevant for dosage form manipulation and provided practical recommendations for the 15 most frequently used KIs in pediatric oncology (i.e., bosutinib, cabozantinib, cobimetinib, crizotinib, dabrafenib, dasatinib, entrectinib, imatinib, larotrectinib, nilotinib, ponatinib, ruxolitinib, selumetinib, sunitinib and trametinib), taking into account the possible risks of altering KI pharmacokinetics [11]. Secondly, we established a decision tool that supports manipulating solid oral dosages forms of other KIs that have or will be licensed (for adult and/or pediatric cancers) but are not included in this review.

#### 2. Methods

## 2.1. Literature Analysis

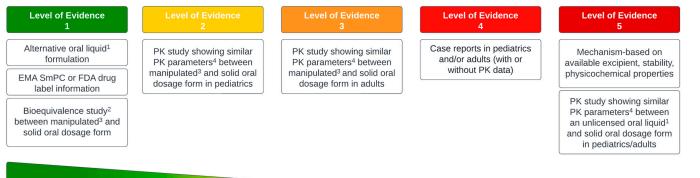
In this review, evidence that supported practical recommendations for manipulating the formulation of 15 KIs were collected. The literature was searched for studies that described or investigated the manipulation of KI formulations in either bioequivalence, pediatric or adult PK studies and/or pediatric or adult case reports. A literature search was performed in PubMed, Cochrane and Embase (filtered by the period from 2000 to December 2021) including keywords and synonyms for "pediatrics", "oncology", "pharmacokinetics", "bosutinib", "cabozantinib", "cobimetinib", "crizotinib", "dabrafenib", "dasatinib", "entrectinib", "imatinib", "larotrectinib", "nilotinib", "ponatinib", "ruxolitinib", "selumetinib", "sunitinib", "trametinib", "formulation manipulation" and "enteral tube administration" (Appendix A). In addition, KI stability studies were collected. After the searches were conducted, duplicates were removed and the remaining articles were screened by title, abstract and full text based on our inclusion and exclusion criteria (Appendix B). Subsequently, cross-referencing was performed to include studies that were not found with the advanced literature search. Finally, EMA drug assessment reports, EMA summary of product characteristics (SmPC) and FDA drug labels were reviewed for available oral dosage forms, excipients, stability, physicochemical and solubility information.

#### 2.2. Providing Practical Recommendations

The practical recommendations for manipulating the formulation of 15 KIs were based on the collected information from the literature analysis. We divided the practical recommendations into two categories: (A) manipulation of the solid oral dosage form to a compounded crushed tablet or opened capsule and (B) manipulation of the solid oral dosage form to an oral liquid.

For each KI per category, a level of evidence (LoE) was assigned (inspired by the Oxford Centre for Evidence-Based Medicine system) (Figure 1) [12]. The LoE represents the strength of evidence and the degree of uncertainty of altering PK parameters of KIs when preparing

and administrating the manipulated dosage form. The recommendations were based on data that was found per KI, preferably from pediatric studies, but if these were not available, from studies performed with an adult population. The developed recommendations that were rated LoE 2–4 followed the applied dosage form manipulations performed in the studies found in the literature. LoE 1 was given if an alternative oral liquid formulation or an extemporaneous oral preparation instruction was available in the drug label, or when bioequivalence was demonstrated between a manipulated and solid oral dosage form of a KI in a study that met the requirements of the European Medicine Agency (EMA) and/or U.S. Food and Drug Agency (FDA) bioequivalence investigation guidelines [9,10]. LoE 2 was based on pediatric PK studies that showed similar PK parameters (i.e., within 80–125% of the solid oral form) of a manipulated formulation of a KI but did not perform a bioequivalence study that met the requirements of the EMA/FDA guidelines. LoE 3 was given to recommendations that were based on adult PK studies that showed similar PK parameters between two formulations of a KI (i.e., solid and manipulated formulation) but also did not met the requirements of the EMA/FDA guidelines. LoE 4 was given to recommendations that were based on information from case reports describing KI formulation manipulation (with or without PK data) in pediatric patients and/or adults. LoE 5 was given to recommendations solely based on theoretical considerations such as excipients, stability and physicochemical characteristics (i.e., BCS class, log P and solubility range) of the KI. If standardized excipients were used in the solid oral dosage form, the formulation was not modified and the product was chemically and physically stable (which can be found in the EMA assessment reports), the recommendation stated that it is possible to crush tablets, or to open capsules and sprinkle the content over spoon of a vehicle [7]. For recommendations that described the manipulation to an oral liquid, BCS class and aqueous pH solubility range were used to determine the dissolution content. For KIs with a wide pH range solubility, a neutral solvent (i.e., water) was chosen. For KIs with a low pH solubility (i.e., weak base), a low pH solvent was included in the recommendations. LoE 5 was also given to recommendations that were based on PK or bioequivalence studies confirming similar PK parameters between an unlicensed oral liquid formulation that was provided by the pharmaceutical company versus solid oral formulation in pediatric patients and/or adults [11].



**Figure 1.** Category of practical recommendations according to their level of evidence. <sup>1</sup> oral liquid developed by the pharmaceutical company; <sup>2</sup> Study that follows the requirements of the EMA and/or FDA bioequivalence investigation guidelines; <sup>3</sup> manipulated (i.e. capsule opened, tablets crushed and/or dissolved); <sup>4</sup> similar PK parameters = Area Under the Curve (AUC) and maximum plasma concentration (Cmax) of the manipulated oral dosage form are within 80–125% of the PK parameters of the solid oral dosage form; EMA = European Medicines Agency; FDA = U.S. Food and Drug Administration; SmPC = summary of product characteristics; PK = pharmacokinetics.

## 3. Results

We included 15 EMA SmPCs, 15 EMA assessment reports and 1 FDA drug label. One pediatric PK study showed similar PK parameters between an solid oral dosage form and a manipulated formulation of a KI (sunitinib); one was a stability study (sunitinib); two were pediatric case reports (dabrafenib, trametinib and bosutinib); one was an adult case report (crizotinib); two were pediatric case reports including PK parameters but no manipulated oral dosage form (imatinib and ponatinib); one was an adult bioequivalence study between an unlicensed oral liquid formulation provided by the pharmaceutical company and the solid oral dosage form (trametinib); and twenty-four were pediatric phase I/II studies (including the earlier mentioned sunitinib PK study) [13–52]. Based on these findings, practical recommendations for manipulating the formulations to either a crushed/opened (solid) oral form or to an oral liquid were formulated and are shown in Table 1. An overview of pharmacological and physicochemical properties of the 15 KIs is presented in Table 2. In Appendix C, a summary of KI excipients can be found.

### 3.1. Phase I/II Study Results

Twenty-four phase I/II pediatric PK studies were found in the literature (Appendix D) [39, 46,53–75]. The PK phase I/II studies with two formulations (either manipulated dosage form or licensed oral liquid), with the exception of larotrectinib and sunitinib, did not distinguish between the two formulations when calculating PK parameters and, thus, have not been able to reveal similar PK parameters and/or variability between the two oral dosage forms. Therefore, the information from these phase I/II studies was not further used in this review. Of the 15 KIs, we did not find phase I/II pediatric PK studies of cobimetinib, ponatinib, bosutinib entrectinib and trametinib.

|               | Crushed/Opened (Solid) Oral<br>Administration<br>(A)   | LoE<br>A   | Oral Liquid (i.a. Nasogastric<br>Tube Administration 1)<br>(B)  | LoE<br>B | Refs          |  |
|---------------|--|--|---|----------|---------------|--|
| BCS class I   |  |  |   |          |               |  |
| Cobimetinib   | Crush the tablet and<br>administrate with a small<br>amount of apple sauce or<br>chocolate pasta                               | 5  | Dissolve the tablet in water and<br>carefully stir/shake until the<br>suspension is formed.<br>Administrate the suspension<br>immediately | 5        | [13,32,76]    |  |
| Larotrectinib | Use available oral solution  | 1  | Use available oral solution   | 1        | [37]          |  |
| Ruxolitinib   | Crush the tablet and<br>administrate with a small<br>amount of apple sauce   | 5  | Dissolve tablet in 40 mL of water<br>and carefully stir/shake for<br>10 min. Administrate the<br>suspension immediately                   | 1        | [24,38]       |  |
| BCS class II  |  |  |   |          |               |  |
| Cabozantinib  | Open capsule or crush the tablet and sprinkle content over a spoon of apple sauce  | 5  | Dissolve tablet or content of<br>capsule in apple juice and<br>carefully stir/shake. Administrate<br>the suspension immediately           | 5        | [17,34,77]    |  |
|               | Cave: food interaction (higher AUC   |  |   |          |               |  |
| Dabrafenib    | Open the capsule and sprinkle<br>content over a spoon of<br>applesauce. Administrate the<br>prepared suspension<br>immediately | 5  | Dissolve capsule content in 5 mL<br>water or apple juice and carefully<br>stir/shake. Administrate the<br>suspension immediately          | 4        | [30,43,52,78] |  |
|               | Cave: food interaction and chemical  |  |   |          |               |  |
| Desetiait     | Use available oral suspension  | 1  | Use available oral suspension   | 1        | [35]          |  |
| Dasatinib     | Cave: antacid interaction, no bioequ   | Cave: antacid interaction, no bioequivalence between tablets and oral suspension |   |          |               |  |

Table 1. Practical recommendations for the manipulation of a KI formulation.

## Table 1. Cont.

|              | Crushed/Opened (Solid) Oral<br>Administration<br>(A)   | LoE<br>A | Oral Liquid (i.a. Nasogastric<br>Tube Administration 1)<br>(B)  | LoE<br>B | Refs          |
|--------------|--|----------|---|----------|---------------|
| 3CS class II |  |          |   |          |               |
| Imatinib     | Open capsule or crush the<br>tablet and sprinkle content over<br>a spoon of applesauce                                   | 5        | Dissolve 100 mg imatinib (capsule<br>content or tablet) with 10 mL<br>water. Administrate the<br>suspension immediately   | 1        | [31,45]       |
|              | Cave: do not mix imatinib with oran  |          |   |          |               |
| Ponatinib    | Crush the tablet and<br>administrate with a small<br>amount of applesauce  | 5        | Dissolve tablet in lemon juice and<br>carefully stir/shake. Administrate<br>the suspension immediately  | 5        | [15,19,46]    |
|              | <b>Cave</b> : only soluble in pH $\leq$ 2.0  |          |   |          |               |
| BCS class IV |  |          |   |          |               |
| Bosutinib    | Crush tablet in a small amount of chocolate pasta  | 4        | Dissolve tablet in apple juice and carefully stir/shake. Administrate the suspension immediately  | 5        | [33,44,50]    |
|              | Cave: antacid interaction  |          |   |          |               |
| Crizotinib   | Open the capsule and sprinkle<br>the content over a small amount<br>of applce sauce                                      | 5        | Dissolve capsule (with shell) in warm water (50 $^{\circ}$ C) and carefully stir/shake. Administrate the suspension immediately   | 4        | [28,41]       |
| Entrectinib  | Open the capsule and sprinkle<br>the content over small amount<br>of applesauce  | 5        | Consider dissolving the capsule<br>content in a low pH vehicle (e.g.,<br>lemon juice)   | 5        | [29,47]       |
|              | <b>Cave</b> : not soluble and has non-stand<br>aware of possible blocking of the fee                                     |          |   |          |               |
| Nilotinib    | Open the capsule and sprinkle<br>content over a spoon of apple<br>sauce or chocolate pasta                               | 5        | Consider dissolving the capsule<br>content in a low pH vehicle (e.g.,<br>lemon juice) due to insolubility<br>of nilotinib   | 5        | [23,25]       |
|              | <b>Cave</b> : food interaction and insolubil feeding tube  |          |   |          |               |
|              | Open the capsule and sprinkle<br>the content over a small amount<br>of applesauce  | 5        | Consider dissolving the capsule<br>content in a low pH vehicle (e.g.,<br>lemon juice)   | _        | [17, 40]      |
| Selumetinib  | <b>Cave</b> : food interaction, low absorpting non-standardized excipient (solubilized possible blocking of feeding tube | 5        | [16,49]   |          |               |
| Sunitinib    | Open the capsule and sprinkle<br>the content over applesauce (or<br>yoghurt)   | 2        | Dissolve capsule content in apple<br>juice and carefully stir/shake.<br>Administrate the suspension<br>immediately  | 2        | [26,39,40]    |
|              | <b>Cave</b> : sunitinib is light sensitive and dissolving in a glass of apple juice                                      |          |   |          |               |
| Trametinib   | Crush tablet and add content to a spoon of apple sauce   | 5        | Dissolve tablet in 5 mL water or<br>consider dissolving the tablet in a<br>low pH vehicle (e.g., lemon or<br>apple juice) and carefully stir.<br>Administrate the suspension<br>immediately | 4        | [21,27,42,43] |
|              | <b>Cave</b> : food interaction and insolubil feeding tube  |          |   |          |               |

General recommendations

Always perform therapeutic drug monitoring (TDM) after manipulating the solid dosage form (see TDM recommendations in study of Janssen et al., 2020 [79]). Always use gloves and a mouth cap before manipulating the solid dosage form of anticancer drugs [80].

<sup>1</sup> See Williams (2008) [81] for detailed instructions: Instruction flushing and rinsing feeding tube before and after administration; 0–1 year: 2–5 mL dissolution vehicle; 1–16 year: 5–10 mL dissolution vehicle;  $\geq$ 16 years: 10–20 mL dissolution vehicle. Tablets or capsules can be dissolved in 15–30 mL dissolution vehicle unless otherwise mentioned. Always dissolve the content in an oral syringe that can be attached to the feeding tube. BCS = Biopharmaceutics Classification System; LoE = level of evidence; AUC = area under the curve; Cmax = maximum plasma concentration.

|               | Off-Label Indication  | Ligand         | Log p | Solubility Range<br>(pH)                | pKa               | Salt Form  | Refs       |
|---------------|---|----------------|-------|---|-------------------|--|------------|
| BCS Class I   |   |                |       |   |                   |  |            |
| Cobimetinib   | Solid tumors  | MEK            | 3.9   | 1.0–7.5                                 | -                 | Hemifumarate   | [13,76]    |
| Larotrectinib | Solid tumors, Primary<br>CNS tumors   | TRK            | 1.7   | 1.0-8.0                                 | -                 | Sulfate  | [48,82]    |
| Ruxolitinib   | Relapsed or<br>refractory solid<br>tumors, leukemia or<br>myeloproliferative<br>neoplasms | JAK            | 2.1   | 1.0-8.0                                 | 0.91, 5.51, 13.89 | Phosphate  | [20,83]    |
| BCS Class II  |   |                |       |   |                   |  |            |
| Cabozantinib  | НВ, НСС   | VEGF           | 5.4   | 1.0–4.0 (capsules)<br>1.0–3.0 (tablets) | -                 | Malate   | [17,18,77] |
| Dabrafenib    | LGG, HGG  | B-RAF          | 4.8   | 1.0-4.0                                 | -1.5              | Mesylate (and<br>micronized,<br>pharmaceutical<br>development) | [52,78]    |
| Dasatinib     | CML   | BCR-ABL        | 3.6   | -                                       | -                 | Monohydrate  | [36,84]    |
| Imatinib      | CML, ALL  | BCR-ABL        | 3.5   | Soluble in water                        | -                 | Mesilate   | [14,85]    |
| Ponatinib     | CML, Ph+ ALL  | BCR-ABL        | 4.1   | 1.0-2.0                                 | 2.77, 7.8         | HCL  | [15,86]    |
| BCS Class IV  |   |                |       |   |                   |  |            |
| Bosutinib     | CML   | BCR-ABL        | 5.4   | 1.0-5.0                                 | -                 | Monohydrate  | [50,87,88] |
| Crizotinib    | ALCL  | ALK            | 1.65  | 1.6-8.2                                 | -                 | na   | [22,89]    |
| Entrectinib   | Solid tumors  | ROS 1 and NTRK | 5.7   | Not soluble                             | -                 | na   | [47,90]    |
| Nilotinib     | Relapsed or refractory malignancies   | BCR-ABL        | 4.9   | -                                       | 2.1, 5.4          | Monohydrate  | [25,91]    |
| Selumetinib   | Relapsed or<br>refractory tumors  | MEK            | 3.6   | Not soluble                             | -                 | Hydrogen sulfate   | [16,92]    |
| Sunitinib     | Renal tumors  | VEGF           | 5.2   | 1.0-5.0                                 | 8.95              | Maleate  | [51,93]    |
| Trametinib    | LGG, HGG  | MEK            | 3.4   | Not soluble                             | -                 | Dimethyl sulfoxide   | [21,94]    |

| Table 2. | Physico | ochemical | prope | erties and | pharmacolo | ogical | characteristics | of KIs. |
|----------|---------|-----------|-------|------------|------------|--------|-----------------|---------|
|          |         |           |       |            |            |        |                 |         |

ALCL = anaplastic large-cell lymphoma; ALL = acute lymphoblastic leukemia; CML = chronic myeloid leukemia; CNS = central nervous system; HB = hepatoblastoma; HCC = hepatocellular carcinoma; HGG = high-grade glioma; LGG = low-grade glioma, Ph + ALL = Philadelphia chromosome-positive acute lymphoblastic leukemia; MEK = mitogen-activated protein kinase; TRK = tropomyosin receptor kinase; JAK = janus kinase; VEGF = vascular endothelial growth factor; ALK = anaplastic lymphoma kinase; ROS = reactive oxygen species; NTRK = neurotrophic tyrosine receptor kinase.

#### 3.2. LoE 1 Recommendation (Larotrectinib, Dasatinib, Imatinib, Ruxolitnib)

For four out of 15 KIs investigated, a licensed oral liquid (i.e., larotrectinib and dasatinib) or instructions for extemporaneous oral preparation in the EMA SmPC/FDA drug label (i.e., imatinib and ruxolitinib) was available [31,35,37,38]. As expected, no official bioequivalence studies were found.

#### 3.3. LoE 2 Recommendation (Sunitinib)

Sunitinib was the only KI for which the practical recommendation was assigned LoE 2. One pediatric PK study that investigated AUC, Cmax, Tmax, half-life and toxicity of the registered oral dosage form, and the manipulated formulation of sunitinib was found in [39]. In this study, 12 pediatric oncology patients with refractory solid tumors were treated with sunitinib capsules that were opened and sprinkled onto applesauce or yoghurt. The median Tmax appeared earlier (4 h for the sprinkled content versus 7 h for capsules as a whole), but the Cmax, AUC and half-life of the manipulated dosage form was between 80 and 125% of the whole capsules [39]. In addition, a study by Sistla et al. (2004) showed that sunitinib is stable in a dissolved state in apple juice for at least two hours (within required specification of 95–105%) [40]. However, sunitinib is light-sensitive, and within two hours, the E isomer of sunitinib was formed as degradation product up to a level of 1.6%. On the basis of the stability and PK results, our recommendations to manipulate sunitinib capsules to either a sprinkled form or to an oral liquid were assigned an LoE of 2.

#### 3.4. LoE 3 Recommendation

No recommendation was assigned an LoE 3.

#### 3.5. LoE 4 Recommendation (Dabrafenib, Bosutinib, Crizotinib, Trametinib)

The practical recommendations of dabrafenib, trametinib, bosutinib and crizotinib to manipulate the solid dosage forms to either crushed tablets/opened capsules or to an oral liquid were based on case reports and assigned LoE 4 [41,43,44].

## 3.5.1. Dabrafenib and Trametinib

The LoE of manipulating dabrafenib and trametinib formulations to an oral liquid was rated 4 [43]. We found one case report describing treatment with dabrafenib and trametinib in a 17-month-old patient who was diagnosed with high-grade glioneural tumor (with a BRAF V600E mutation). Because this patient had a gastrostomy tube, trametinib tablets and dabrafenib capsules were opened and dissolved in 5 mL water and administrated via the tube, resulting in a treatment response for at least six months [43]. This case report did not include PK parameters, but the results indicated that both dabrafenib and trametinib were adequately absorbed and can be dissolved and administrated without difficulties through a gastrostomy tube.

#### 3.5.2. Bosutinib

One case report described a four-year-old boy diagnosed with chronic myeloid leukemia (CML) and who was treated with crushed bosutinib tablets with a small amount of chocolate pasta after dinner [44]. The authors calculated PK parameters (Cmax, Cmin and AUC) at steady state for two different dosages (180 mg/day and 200 mg/day). Although these parameters were not similar to adults, a cytogenic (but no molecular) treatment response was seen in this patient.

## 3.5.3. Crizotinib

One case report that manipulated crizotinib capsules to an oral liquid suspension was found in the literature [41]. This case report included a 68-year-old woman who was treated with crizotinib for a lung adenocarcinoma. Crizotinib was dissolved in 50 °C water and administrated via a nasogastric (and later percutaneous endoscopic gastrostomy (PEG)) tube. Subsequently, a therapeutic trough plasma concentration and an effective treatment response was seen in this patient. It is not clear if the authors dissolved the complete capsule (with shell) or opened the capsules, but we assume, because of the water temperature, that the whole capsule was dissolved and this was taken up in our recommendation.

# 3.6. LoE 5 Recommendations (Cobimetinib, Ruxolitinib, Cabozantinib, Dabrafenib, Imatinib, Ponatinib, Bosutinib, Crizotinib, Entrectinib, Nilotinib, Selumetinib, Trametinib)

No information was found for 12 recommendations (either changing the solid form to crushed/opened and/or an oral liquid) of cobimetinib, ruxolitinib, cabozantinib, dabrafenib, imatinib, ponatinib, bosutinib, crizotinib, entrectinib, nilotinib, selumetinib and trametinib. These practical recommendations were based on excipients used, stability and physicochemical characteristics (Table 2 and Appendix D) [13,16,17,23,25,29,30,32,34,47,49,52,76–78].

## 3.7. BCS Class I: Cobimetinib and Ruxolitinib

#### 3.7.1. Cobimetinib

As no literature studies or drug label information was available, the given recommendation is exclusively based on the information on the excipients used and physicochemical characteristics of cobimetinib. The formulation of cobimetinib tablets is not modified/enabled and does not include non-standardized excipients. In addition, cobimetinib is highly soluble over the gastrointestinal (GI) tract pH range. Therefore, a low risk of precipitation thus altering PK and bioavailability is expected when manipulating the dosage form to administrate it as crushed tablets or an oral liquid. This is included in the cobimetinib recommendations.

#### 3.7.2. Ruxolitinib

There is no information (in the drug label or in literature) available whether ruxolitinib tablets can be crushed [31,38]. The tablets contain standard excipients, the formulation is not modified/enabled and ruxolitinib is highly soluble in the gastrointestinal (GI) tract pH range. Therefore, if palatability is a problem and the oral liquid cannot be administrated, it is justified to either crush the tablets, or open the capsules and sprinkle the content over applesauce or chocolate pasta.

#### 3.8. BCS Class II and IV

The uncertainty of the given recommendations on altering PK is higher for BCS class II and IV KIs (i.e., cabozantinib, dabrafenib, imatinib, ponatinib, bosutinib, crizotinib, entrectinib nilotinib, selumetinib and trametinib), thus these recommendations need to be considered with caution. Furthermore, the SmPC and EMA assessment reports of dabrafenib, entrectinib and selumetinib include warnings that should also be taken into account before staring a dose formulation manipulation. Dabrafenib is chemically instable, and no absorption of selumetinib in a suspension was observed, which led to an enabled formulation with a non-standardized excipient (i.e., solubilizing agent vitamin E polyethylene glycol succinate) to improve the solubility and absorption of selumetinib. In addition, entrectinib solubility is very pH-sensitive and includes a non-standardized excipient (i.e., acidulant) in the formulation to minimize effects of changing pH of the GI tract on absorption of entrectinib [16,30,47]. These warnings are included in the practical recommendations and were assigned an LoE 5.

#### 3.8.1. Trametinib

We found one bioequivalence between an unlicensed pediatric oral liquid formulation (provided by the pharmaceutical company) and tablets of trametinib in 16 adults with solid tumors [42]. However, we could not use this information to formulate a dose manipulation recommendation or to recommend using the pediatric oral liquid as it is not licensed.

#### 3.8.2. Ponatinib and Imatinib

One PK case report of ponatinib and imatinib has been found in the literature [45,46]. Although these case reports calculated PK parameters for the solid oral dosage form, it was not useful for this review as there was no information about PK parameters or information on a manipulated form of imatinib and ponatinib. The PK case report of imatinib (from 2009) included four children who were diagnosed with Philadelphia chromosome-positive (Ph+) leukemias. In this case, report, PK parameters (AUC and Cmax) of imatinib mesylate and metabolite N-desmethyl-imatinib (CGP 74588) were calculated [45]. The case report of ponatinib included one three-year-old patient who was diagnosed with Ph+ acute lymphatic leukemia (ALL) and was treated with ponatinib [46]. In this case report, plasma trough concentrations were calculated. Interestingly, the trough level of ponatinib varied in the patient during each treatment phase despite the same daily dose, indicating high intra-individual variability of ponatinib. Probably due to this variability and the ponatinibinduced toxicity seen in this patient, it was challenging to find the right ponatinib dose. Given the fact that ponatinib is a BCS class IV KI and is not soluble pH > 2, this could have contributed to this variability. How ponatinib was administrated is not mentioned in the case report.

## 4. Relevant Additional Recommendations

## 4.1. Therapeutic Drug Monitoring

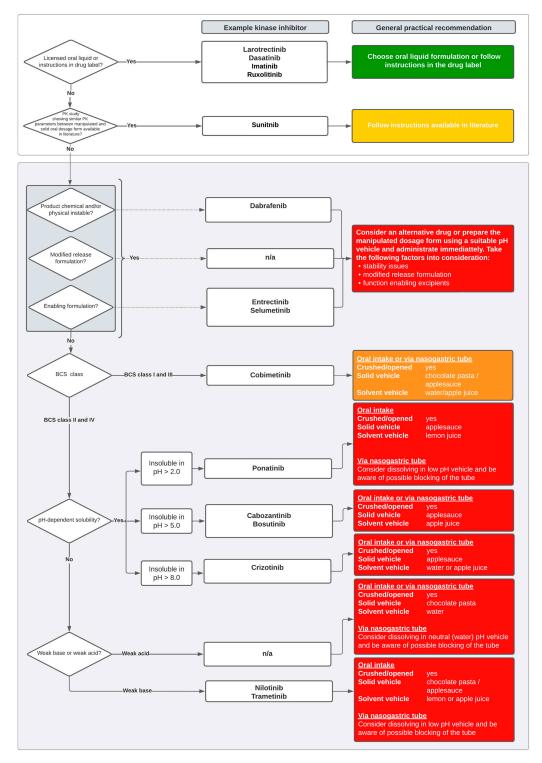
A reliable option to account for possible altered PK and bioavailability when using a manipulated dosage form of KIs is therapeutic drug monitoring (TDM). We therefore recommend performing TDM after dose manipulation to confirm that the KI is being absorbed, by following the TDM targets proposed by Janssen et al. (2020) [79].

#### 4.2. Administration Via Enteral Feeding Tube

We included practical recommendations to manipulate the solid dosage form to an oral liquid in view of the nasogastric feeding tubes that are commonly used in pediatric oncology. However, when considering administrating KIs via a feeding tube, the site, size, tube material and possible enteral food interactions need to be taken into account [81]. For example, the feeding tube site can influence drug bioavailability due to loss of solubility and different absorption windows. Most KIs are weak acids, and their dissolution step is dependent on the gastric acidity before it can be absorbed in the duodenum. It could therefore be a disadvantage to administrate KIs at a jejunal site due to the higher risk of fast precipitation and lower (or no) absorption of the KI in the jejunum [81]. In addition, the risk of drug/excipient adherence to the tube or tube occlusion and discomfort for the patient needs to be taken into account before administrating, although little is known about these risks for KIs. To mitigate this unknown risk, it is recommended to rinse the tube with water or with the vehicle (e.g., apple juice) that the KI is dissolved in before and after KI administration. Instructions for flushing and rinsing feeding tube before and after administration can be found in Table 1 or in Williams (2008) [81].

#### 5. The Decision Tool

Figure 2 presents the decision tool that can be used by pharmacists as a guideline for manipulating KI formulations not included in this review. The colors show the potential and unknown risk of altering stability, solubility, pharmacokinetics (Cmax, AUC, Tmax) and bioavailability of KIs when manipulating the solid dosage form. These risks (i.e., no, low, medium and high risk) are integrated in the general recommendations. Green represents a low risk, followed by yellow, orange and red representing potential high and unknown risks. Low risk was given to recommendations that follow the information in the drug leaflet or advise to use an licensed oral liquid. A low to median risk was given to recommendations that need to be based on literature studies that assessed and showed similar PK parameters between the manipulated and solid oral dosages forms of KIs. However, assessing the risk of the manipulated oral form of KIs becomes more complicated when no information in literature or the drug label is available. Here, the pharmacist has to formulate recommendations that are based on the excipient, stability and physicochemical properties of a KI. We consider it high risk to manipulate KI formulations if the product (active pharmaceutical ingredient (API) and excipients) is chemically and/or physically unstable or if the KI formulation is modified or enabled. If this is not the case, pharmacists can use the BCS class and pH-dependent solubility (both can be found in the KIs' EMA assessment report) to choose a suitable content and/or dissolution vehicle. KIs in BCS classes I and III have a high solubility and a resp. high and low permeability. These BCS class drugs will rapidly dissolve in a wide pH range; thus, manipulating the formulation to an oral liquid will most likely not lead to relevant differences in PK and bioavailability as these drugs already have a high bioavailability or, in the case of BCS class III KIs, the bioavailability will be limited due to low permeability (i.e., the possibility of a drug to be transported over a membrane by transporter proteins), not solubility [7,11,95]. In contrast, BCS class II and IV KIs will show more variability in PK and bioavailability due to their low solubility, which is the limiting factor for these drugs to be absorbed [8]. Because of these differences in BCS classes, the general recommendation for BCS class I and III KIs were considered median risk of altering bioavailability, safety and stability as these drugs will easily dissolve and the impact on bioavailability will probably be lower than for BCS class II and IV [95,96]. The general recommendations for BCS classes II and IV were considered high risk, as these KIs have a low solubility leading to a higher variability in PK and bioavailability and possible risk of precipitation before being able to be absorbed [8,95].



If no information on solubility is available, the chemical properties (i.e., weak base or acid) can be used to decide the solvent vehicle.

**Figure 2.** Decision tree for manipulating solid oral dosage forms of KIs. The colors represent the unknown risk of altering safety, stability, pharmacokinetics (AUC, Cmax) and bioavailability when administrating the manipulated dosage form (green = low risk, red = high risk); Crushed/opened = can tablet be crushed capsule be opened; Solid vehicle = possible vehicle to add to crushed tablets or content of capsules; Solvent vehicle = possible vehicle to dissolve tablets/capsule in; BCS = Biopharmaceutics Classification System; AUC = Area Under the Curve; Cmax = maximum plasma concentration; Tmax = time to maximum plasma concentration.

## 6. Discussion

In this review, we provided practical recommendations for manipulating the formulation of 15 KIs used in pediatric oncology. In addition, we developed a decision tool that can guide pharmacists whenever they are challenged to manipulate the solid oral dosage form of KIs that are not discussed in this review. Out of the 15 KIs, 4 KIs (i.e., larotrectinib, dasatinib, imatinib and ruxolitinib) are available as oral liquid or include instructions in the drug label. Sunitinib was the only KI for which a pediatric PK study of a manipulated dosage form was performed [39]. For ten KIs (cobimetinib, cabozantinib, dabrafenib, ponatinib, bosutinib, crizotinib, entrectinib, nilotinib, selumetinib and trametinib), no sufficient evidence was found for the given recommendations and these were based on case reports, and physicochemical and pharmacological properties of the KI. As expected, these results are in accordance with recent studies that have showed the lack of age-appropriate oral liquids or instructions for dose manipulations in drug labels of KIs [1,3,7,97].

A remarkable finding was that the pediatric phase I/II PK studies of ruxolitinib, dasatinib, imatinib, nilotinib and sunitinib included manipulated oral dosage forms (Appendix D) that, according to the EMA guideline on requirements to the chemical and pharmaceutical quality documentation concerning investigational medicinal products in clinical trials, section 4.2.1.P underwent compatibility and stability testing [98]. Highly regrettable, this information is not taken up into the drug labels or otherwise publicly available; the EMA drug labels of sunitinib and imatinib do not mention that the capsule can be opened and sprinkled over yoghurt or applesauce, and the ones of ruxolitnib, dasatinib and nilotinib do not include any information about the manipulated dosage forms that were used in these studies. We also found discrepancies between drug assessment reports and EMA/FDA drug labels and widely scattered information about the manipulation of KI formulations. For example, the EMA assessment report of trametinib states that trametinib insoluble, but in the literature, it was reported that trametinib can be dissolved in water. Similarly, the relevant information of the PK and stability study of sunitinib has not been included in the drug label but is found in the literature and the FDA ruxolitinib drug label included instructions to develop an oral liquid of ruxolitinib, but this is not mentioned in the EMA ruxolitinib drug label [24,38–40]. What was even more striking was that the phase I/II PK studies of crizotinib, dabrafenib and trametinib included an oral liquid formulation that was provided by the pharmaceutical company but are not authorized on the drug market [99,100].

The recommendations provided in Table 1 were limited by the small amount of data that was available and most were rated LoE 4 and 5. Without evidence, formulation manipulation of KIs will lead to unknown alterations of the drug properties (such as drug solubility and permeability) and, therefore, PK and bioavailability. Additionally, the manipulation of crushing tablets, opening capsules and dissolving the drug could induce unexpected excipient–drug interactions that can further affect KI PK and palatability and acceptability in children, which are important aspects of KI efficacy, safety and treatment adherence [101]. To account for the limited amount of data available and these unknown effects on PK and bioavailability, TDM is highly recommended to further guide KI dosing and to visualize the possible effects of the manipulated dosage forms on KI PK and bioavailability [79].

The LoE categories were mainly driven by evidence on bioequivalence or PK studies investigating manipulated and solid oral dosage forms, as information on stability was mostly lacking. The developed recommendations were, therefore, intended for immediate use, thereby limiting risks on changes in physicochemical and microbiological stability. This, however, complicated assigning the sunitinib recommendation as this was based on combined information from one PK study and one stability study as this both contributed to knowledge about safety of manipulating sunitinib to an oral liquid. A limitation of the decision tool (Figure 2) is that the BCS class and solubility range that was used as a risk indicator for altering PK and bioavailability could be misleading. For example, we argued that low pH solubility and BCS classes II–IV were high-risk drugs when altering the formulation, but we possibly overestimated the risk of altering PK and bioavailability of low pH solubility KIs as these (in both dosage forms) will precipitate in the small intestines (absorption window) where the pH ranges from 6 to 8. A possible overlooked higher risk in the decision tool than mentioned is that the absorption of BCS class I KIs could increase due to a longer absorption window as it is already in its soluble form, which consequently could lead to more toxicity [102].

Alternative drug-delivery methods are essential in pediatric oncology, but most KIs do not have an age-appropriate dosage form [3]. This hampers the use of KIs in pediatric oncology, while KIs have a promising new role in several pediatric cancer treatments, including relapse or refractory tumors [4,103,104]. As PK and bioequivalence studies in pediatric oncology cohorts are challenging and limited in availability, forthcoming research should focus on dissolution tests to evaluate the dissolution and stability of manipulated KI dosage forms in different gastro-intestinal conditions to account for age that, in combination with TDM of KIs, could be used as an alternative for bioequivalence studies (and act as a BCS-based biowaiver) [95,105]. Additionally, as official bioequivalence studies need large sample sizes and are time-consuming, an additional accelerated route to safer use of manipulated KI dosage forms could be to design small PK studies (with, e.g., ten pediatric oncology patients or adults) to confirm efficacy and safety between two (i.e., solid and manipulated) KI formulations [106]. However, this is secondary to the responsibility of pharmaceutical companies to develop age-appropriate dosage forms of KIs.

## 7. Conclusions

This review reflects how scarce information on formulation manipulation for clinical care of pediatric oncology is. It is crucial that pharmaceutical companies develop age-appropriate dosage forms of KIs and that the unlicensed oral liquids of crizotinib, dabrafenib and trametinib that have been used in previous and current clinical trials, become authorized on the drug market. In addition, information on dose manipulation, stability and compatibility of manipulated KI formulations used in the early pediatric clinical trials should become publicly available. This will highly contribute to safer and effective KI treatment in pediatric oncology patients. Until that is available, this review supports decision making in clinical practice on formulation manipulation of KIs for pediatric use. TDM after manipulation of the KIs' solid dosage form is highly recommended to ensure safe and effective treatment.

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Informed Consent Statement: Not applicable.

Data Availability Statement: Not applicable.

Conflicts of Interest: The authors declare no conflict of interest.

| Terms and Definitions        | Definition   |
|------------------------------|--|
|                              | A pediatric oral liquid formulation available on the drug market, provided by the  |
| Oral liquid formulation      | pharmaceutical company   |
| Formulation manipulation     | Change in (oral) drug formulation (e.g., crushing of tablets, opening of capsules, dissolving content in a vehicle) that is needed in clinical practice but is not described in the drug label |
|                              | System to categorize drugs according to their permeability and solubility [95]<br>BCS class I: high solubility, high permeability  |
| BCS class                    | BCS class II: low solubility, high permeability  |
|                              | BCS class III: high solubility, low permeability   |
|                              | BCS class IV: low solubility, low permeability   |
|                              | The minimum solubility of the drug across a pH range from 1 to 8 and at a  |
| Solubility                   | temperature of $37 \pm 0.5$ °C. High-solubility drugs are those with a ratio of dose to  |
| ,                            | solubility volume that is less than or equal to 250 mL [95]  |
|                              | The effective human jejunal wall permeability of a drug.   |
|                              | High-permeability drugs are generally those with an  |
| Permeability                 | extent of absorption greater than or equal to 90% and  |
|                              | are not associated with any documented instability   |
|                              | in the gastrointestinal tract [95]   |
| Dia and 11-1-11:0-           | The extent and rate at which an active pharmaceutical ingredient (API) is  |
| Bioavailability              | absorbed in the systemic circulation and available at the site of drug action [95].  |
|                              | This is dependent upon the AUC, Cmax and Tmax of a medicinal product<br>The term bioequivalence was introduced to ensure safety and efficacy and   |
|                              | comparable in vivo performance of two medicinal products containing the same   |
|                              | active substance. Bioequivalence between two medicinal products is assumed   |
|                              | when the bioavailability (determined by a plasma concentration curve from which  |
| D: · 1                       | AUC, Cmax and Tmax can be calculated) is between 80% and 125% of the   |
| Bioequivalence               | reference medicinal product. This is investigated in a bioequivalence or   |
|                              | bioavailability study that meet the requirements of the study design described in  |
|                              | the EMA or FDA bioequivalence guidelines and, as a main goal, investigates   |
|                              | bioequivalence between two medicinal products containing the same active   |
|                              | substance [9,10]   |
|                              | Similar PK parameters (i.e., AUC and Cmax) of the manipulated oral dosage form   |
|                              | that are within 80–125% of the solid oral dosage form. This is typically shown in a  |
|                              | PK study that as a main outcome calculated PK parameters and investigated  |
| Similar PK parameters        | treatment outcomes (such as toxicity and response) of an solid oral dosage form  |
|                              | and as a secondary outcome included PK parameter calculations of manipulated   |
|                              | oral dosage forms but do not meet the requirements of EMA or FDA   |
| RCC - Riopharmacoutics Class | bioequivalence guidelines [9,10]<br>ssification System; AUC = area under the curve; Cmax = maximum plasma  |

BCS = Biopharmaceutics Classification System; AUC = area under the curve; Cmax = maximum plasma concentration; EMA = European Medical Agency; FDA = U.S. Food and Drug Administration; PK = pharmacokinetics.

### Appendix A

#### Appendix A.1. General Search

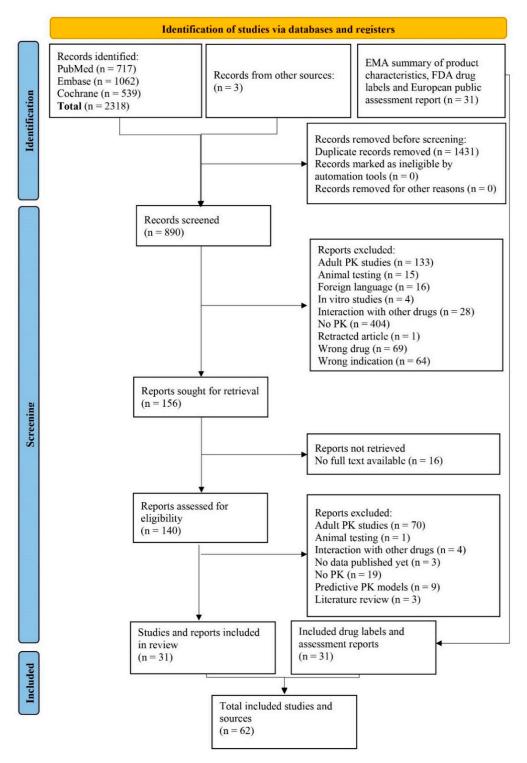
ma and Dafinitian

("Adolescent" [MeSH] OR "Child" [MeSH] OR "Child, preschool" [MeSH] OR "Young Adult" [MeSH] OR "Infant" [MeSH] OR "child\*" [tiab] OR "schoolchild\*" [tiab] OR "baby"[tiab] OR "babies"[tiab] OR "newborn\*"[tiab] OR "new-born\*"[tiab] OR "neonat\*"[tiab] OR "infant\*"[tiab] OR "infancy"[tiab] OR "adolescen\*"[tiab] OR "boy"[tiab] OR "boys" [tiab] OR "boyhood" [tiab] OR "girl" [tiab] OR "girls" [tiab] OR "girlhood" [tiab] OR "youth"[tiab] OR "youths"[tiab] OR "toddler\*"[tiab] OR "teen"[tiab] OR "teens"[tiab] OR "teenage" [tiab] OR "Puberty" [Mesh] OR "puberty" [tiab] OR "preschool" [tiab] OR "pre school"[tiab] OR "pre-school"[tiab] OR "juvenile"[tiab] OR "young"[tiab] OR "young ster\*"[tiab] OR "kid"[tiab] OR "kids"[tiab] OR "underage\*"[tiab] OR "under age\*"[tiab] OR "puberal"[tiab] OR "pubescent"[tiab] OR "prepubescent"[tiab] OR "prepuberty"[tiab] OR "school age\*"[tiab] OR "schoolage\*"[tiab] OR "Pediatrics"[Mesh] OR "Pediatric\*"[tiab] OR "Paediatric\*"[tiab]) AND ("Neoplasms"[Mesh] OR "Neoplas\*"[tiab] OR "Tumor\*"[tiab] OR "Tumour\*"[tiab] OR "Cancer\*"[tiab] OR "malignan\*"[tiab] OR "oncolog\*"[tiab] OR "carcinoma\*"[tiab] OR "Medical Oncology"[Mesh]) AND ("Imatinib"[Tiab] OR "Dasatinib"[Tiab] OR "Trametinib"[Tiab] OR "Ponatinib"[Tiab] OR "Dabrafenib"[Tiab] OR "Ruxolitinib"[Tiab] OR "Cabozantinib"[Tiab] OR "Bosutinib"[Tiab] OR "Crizotinib"[Tiab] OR "Imatinib Mesylate" [Mesh] OR "Dasatinib" [Mesh] OR "trametinib" [Supplementary Concept] OR "ponatinib" [Supplementary Concept] OR "dabrafenib" [Supplementary Concept] OR "Ruxolitinib" [Supplementary Concept] OR "cabozantinib" [Supplementary Concept] OR "bosutinib" [Supplementary Concept] OR "Crizotinib" [Mesh] OR "Cobimetinib" [Supplementary Concept] OR "Cobimetinib" [Tiab] OR "Larotrectinib" [Supplementary Concept] OR "Larotrectinib" [Tiab] OR "Entrectinib" [Supplementary Concept] OR "Entrectinib" [Tiab] OR "Sunitinib" [Mesh] OR "Sunitinib" [Tiab] OR "4-methyl-N-(3-(4methylimidazol-1-yl)-5-(trifluoromethyl)phenyl)-3-((4-pyridin-3-ylpyrimidin-2-yl)amino) benzamide" [Supplementary Concept] OR "Nilotinib"[Tiab] OR "AZD 6244" [Supplementary Concept] OR "Selumetinib"[Tiab]) AND ("Pharmacokinetics"[Mesh] OR "Pharmacokinetic\*"[Tiab] OR "ADME"[Tiab] OR "Absorption"[Tiab] OR "Distribution"[Tiab] OR "Metabolism"[Tiab] OR "Elimination"[Tiab]).

#### Appendix A.2. Drug Formulation Search

("Adolescent" [MeSH] OR "Child" [MeSH] OR "Child, preschool" [MeSH] OR "Young Adult"[MeSH] OR "Infant"[MeSH] OR "child\*"[tiab] OR "schoolchild\*"[tiab] OR "baby"[tiab] OR "babies"[tiab] OR "newborn\*"[tiab] OR "new-born\*"[tiab] OR "neonat\*"[tiab] OR "infant\*"[tiab] OR "infancy"[tiab] OR "adolescen\*"[tiab] OR "boy"[tiab] OR "boys" [tiab] OR "boyhood" [tiab] OR "girl" [tiab] OR "girls" [tiab] OR "girlhood" [tiab] OR "youth" [tiab] OR "youths" [tiab] OR "toddler\*" [tiab] OR "teen" [tiab] OR "teens" [tiab] OR "teenage\*" [tiab] OR "Puberty" [Mesh] OR "puberty" [tiab] OR "preschool" [tiab] OR "pre school"[tiab] OR "pre-school"[tiab] OR "juvenile"[tiab] OR "young"[tiab] OR "young ster\*"[tiab] OR "kid"[tiab] OR "kids"[tiab] OR "underage\*"[tiab] OR "under age\*"[tiab] OR "puberal"[tiab] OR "pubescent"[tiab] OR "prepubescent"[tiab] OR "prepuberty"[tiab] OR "school age\*"[tiab] OR "schoolage\*"[tiab] OR "Pediatrics"[Mesh] OR "Pediatric\*"[tiab] OR "Paediatric\*"[tiab]) AND ("Neoplasms"[Mesh] OR "Neoplas\*"[tiab] OR "Tumor\*"[tiab] OR "Tumour\*"[tiab] OR "Cancer\*"[tiab] OR "malignan\*"[tiab] OR "oncolog\*"[tiab] OR "carcinoma\*"[tiab] OR "Medical Oncology"[Mesh]) AND ("Imatinib"[Tiab] OR "Dasatinib"[Tiab] OR "Trametinib"[Tiab] OR "Ponatinib"[Tiab] OR "Dabrafenib"[Tiab] OR "Ruxolitinib"[Tiab] OR "Cabozantinib"[Tiab] OR "Bosutinib"[Tiab] OR "Crizotinib"[Tiab] OR "Imatinib Mesylate" [Mesh] OR "Dasatinib" [Mesh] OR "trametinib" [Supplementary Concept] OR "ponatinib" [Supplementary Concept] OR "dabrafenib" [Supplementary Concept] OR "Ruxolitinib" [Supplementary Concept] OR "cabozantinib" [Supplementary Concept] OR "bosutinib" [Supplementary Concept] OR "Crizotinib" [Mesh] OR "Cobimetinib" [Supplementary Concept] OR "Cobimetinib" [Tiab] OR "Larotrectinib" [Supplementary Concept] OR "Larotrectinib" [Tiab] OR "Sunitinib" [Mesh] OR "Sunitinib" [Tiab] OR "4-methyl-N-(3-(4-methylimidazol-1-yl)-5-(trifluoromethyl)phenyl)-3-((4-pyridin-3-ylpyrimidin-2-yl) amino)benzamide" [Supplementary Concept] OR "Nilotinib" [Tiab] OR "AZD 6244" [Supplementary Concept] OR "Selumetinib" [Tiab]) AND ("Pharmacokinetics" [Mesh] OR "Pharmacokinetic\*"[Tiab] OR "ADME"[Tiab] OR "Absorption"[Tiab] OR "Distribution"[Tiab] OR "Metabolism" [Tiab] OR "Elimination" [Tiab]) AND ("Powders" [Mesh] OR "Powder" [Tiab] OR "Powders" [Tiab] OR "Suspensions" [Mesh] OR "Suspensions" [Tiab] OR "Suspension"[Tiab] OR "Dissolving"[Tiab] OR "Dissolution"[Tiab] OR "Dissolutions"[Tiab] OR "Drug Compounding" [Mesh] OR "Drug Compounding" [Tiab] OR "Formulation" [Tiab] OR "Formulations" [Tiab] OR "Drug Formulation" [Tiab] OR "Drug formulations" [Tiab]).

## Appendix B



**Figure A1.** Flowchart of literature searches performed in this review that is based on preferred reporting items for systematic reviews and meta-analyses (PRISMA).

## Appendix C

## Table A1. KI formulations.

|   |   |  | Excipients <sup>1</sup> (Function)   |  |  |  |
|---|---|--|--|--|--|--|
| TKI   | Tradename                                       | Dosage Form  | Tablet Core or Capsule Content   | Tablet Film Coating or<br>Capsule Shell  |  |  |
| BCS class I                                 |   |  |  |  |  |  |
| Cobimetinib                                 | Cotellic <sup>®</sup> (Roche)                   | Immediate release<br>film-coated tablet 20 mg  | Lactose monohydrate (diluent,<br>compression)<br>Microcrystalline cellulose (E460) (diluent)<br>Croscarmellose sodium (E468) (binder,<br>disintegrant)<br>Magnesium stearate (E470b) (lubricant)   | Polyvinyl alcohol<br>Titanium dioxide (E171)<br>Macrogol 3350<br>Talc (E553b)  |  |  |
| Ruxolitinib                                 | Jakavi <sup>®</sup> (Novartis<br>Pharma)        | Immediate release<br>film-coated tablet 5, 10, 15,<br>20 mg  | Microcrystalline cellulose (diluent)<br>Magnesium stearate (lubricant)<br>Silica, colloidal anhydrous (glidant)<br>Sodium starch glycolate (Type A)<br>(disintegrant)<br>Povidone K30 (binder)<br>Hydroxypropylcellulose 300 to 600 cps<br>(binder)<br>Lactose monohydrate(diluent, direct<br>compression excipient) | na   |  |  |
| Larotrectinib Vitrakvi <sup>®</sup> (Bayer) |   | Immediate release hard capsule 25, 100 mg  | na   | Gelatin (shell)<br>Titanium dioxide (E 171)<br>(colourant)   |  |  |
|   | Oral solution 20 mg/mL                          | Purified water (solution base)<br>Sucrose (suspending, sweetening agent)<br>Hydroxypropylbetadex (?)<br>Glycerol (E 422) (sweetening, antimicrobial<br>preservative, solvent)<br>Sorbitol (E 420) (sweetening agent)<br>Sodium citrate (E 331) (emulsyfing agent)<br>Sodium dihydrogen phosphate dihydrate<br>(E 339) (buffer?)<br>Citric acid (E 330) (buffer agent.<br>antioxidant)<br>Propylene glycol (E 1520) (solvent)<br>Potassium sorbate (E 202) (solvent)<br>Methyl parahydroxybenzoate (E 218)<br>(antimicrobial preservative)<br>Citrus fruit flavor (flavor)<br>Natural flavor (flavor) | na   |  |  |  |
| BCS class II                                |   |  |  |  |  |  |
|   | Cabometyx <sup>TM</sup> (Ipsen<br>Farmaceutica) | Immediate release<br>film-coated tablet 20, 40,<br>60 mg   | Microcrystalline cellulose (diluent)<br>Anhydrous lactose (diluent, compression<br>excipient)<br>Hydroxypropyl cellulose (disintegrant,<br>binder)<br>Croscarmellose sodium (disintegrant)<br>Colloidal anhydrous silica (disintegrant)<br>Magnesium stearate (lubricant)  | Hypromellose 2910<br>Titanium dioxide (E171)<br>Triacetin<br>Iron oxide yellow (E172)  |  |  |
| Cabozantinib                                | Cometriq <sup>®</sup> (Ipsen<br>Farmaceutica)   | Immediate release hard<br>capsule 20, 80 mg  | Microcrystalline cellulose (diluent)<br>Croscarmellose sodium (disintegrant)<br>Sodium starch glycolate (disintegrant)<br>Silica colloidal anhydrous (glidant)<br>Stearic acid (lubricant)   | Gelatin<br>Black iron oxide (E172)<br>(20 mg capsules only)<br>Red iron oxide (E172)<br>(80 mg capsules only)<br>Titanium dioxide (E171) |  |  |
| Dabrafenib                                  | Tafinlar <sup>®</sup> (Novartis<br>Pharma)      | Immediate release capsule 50, 75 mg  | Microcrystalline cellulose (diluent)<br>Magnesium stearate (lubricant)<br>Colloidal silicone dioxide (glidant)   | Hypromellose (E464)<br>Red iron oxide (E172)<br>Titanium dioxide (E171)  |  |  |

|              |   |  | Excipients <sup>1</sup> (Funct  | Tablet Film Coating or  |  |
|--------------|---|--|---|---|--|
| TKI          | Tradename   | Dosage Form  | Tablet Core or Capsule Content  | Tablet Film Coating or<br>Capsule Shell   |  |
| BCS class II |   |  |   |   |  |
|              |   | Immediate release<br>film-coated tablet 20, 50,<br>70, 80, 100, 140 mg | Lactose monohydrate (diluent)<br>Microcrystalline cellulose (diluent)<br>Croscarmellose sodium (disintegrant)<br>Hydroxypropylcellulose (disintegrant,<br>binder)<br>Magnesium stearate (lubricant)   | Hypromellose<br>Titanium dioxide (E171)<br>Macrogol 400   |  |
| Dasatinib    | Sprycel <sup>®</sup><br>(Bristol-Meyers<br>Squibb)2 | Powder for suspension<br>10 mg/mL                                      | Sucrose (suspending agent, sweetening,<br>viscosity increasing agent)<br>Carmellose sodium (viscosity increasing<br>agent, adsorbent, emulsifying agent,<br>suspending agent)<br>Simethicone emulsion<br>consisting of:<br>simeticone,<br>polyethylene glycol sorbitan tristearate,<br>polyethoxylate stearate,<br>glycerides,<br>methylcellulose,<br>xanthan gum,<br>benzoic acid,<br>sorbic acid,<br>sorbic acid,<br>sorbic acid,<br>sulfuric acid<br>Trisodium citrate anhydrous<br>Sodium benzoate (E211)<br>Silica hydrophobic colloidal<br>Mixed berry flavour [containing benzyl<br>alcohol, sulphur dioxide (E220)] | па  |  |
|              | Glivec <sup>®</sup> (Novartis                       | Immediate release hard<br>capsule 100 mg                               | Cellulose microcrystalline (diluent,<br>compression agent)<br>Crospovidone (lubricant, dispersing,<br>solubilizing agent)<br>Magnesium stearate (lubricant)<br>Silica colloidal, anhydrous (disintegrant)   | Gelatin<br>Iron oxide, red (E172)<br>Iron oxide, yellow (E172)<br>Titanium dioxide (E171)   |  |
| Imatinib     | Pharma)2  | Immediate release<br>film-coated tablets 100,<br>400 mg                | Cellulose microcrystalline (diluent)<br>Crospovidone (disintegrating, solubilizing)<br>Hypromellose (binder, solubilizing)<br>Magnesium stearate (lubricant)<br>Silica, colloidal anhydrous(disintegrant)   | Iron oxide, red (E172)<br>Iron oxide, yellow (E172)<br>Macrogol<br>Talc<br>Hypromellose   |  |
| Ponatinib    | Iclusig <sup>®</sup> (Incyte<br>Biosciences)        | Immediate release<br>film-coated tablet 15, 30,<br>45 mg               | Lactose monohydrate (diluent,<br>compression excipient)<br>Microcrystalline cellulose (diluent)<br>Sodium starch glycolate (disintegrant)<br>Colloidal anhydrous silica (disintegrant)<br>Magnesium stearate (lubricant)  | Talc<br>Macrogol 4000<br>Poly(vinyl alcohol)<br>Titanium dioxide (E171)   |  |
| BCS class IV |   |  |   |   |  |
| Bosutinib    | Bosulif <sup>®</sup> (Pfizer)                       | Immediate release<br>film-coated tablet 100, 400,<br>500 mg            | Microcrystalline cellulose (E460) (diluent,<br>compression agent)<br>Croscarmellose sodium (E468)<br>(disintegrant)<br>Poloxamer 188 (binder, solubilizing agent)<br>Povidone (E1201) (binder)<br>Magnesium stearate (E470b) (lubricant)  | Polyvinyl alcohol<br>Titanium dioxide (E171)<br>Macrogol 3350<br>Talc (E553b)<br>Iron oxide yellow (E172)<br>(100 and 400 mg only)<br>Iron oxide red (E172) (400<br>and 500 mg tablet only) |  |
| Crizotinib   | Xalkori <sup>®</sup> (Pfizer)                       | Immediate release hard capsule 200, 250 mg                             | Colloidal anhydrous silica (disintegrant)<br>Microcrystalline cellulose (diluent)<br>Anhydrous calcium hydrogen phosphate<br>(lubricant)<br>Sodium starch glycolate (Type A)<br>(disintegrant)<br>Magnesium stearate (lubricant)  | Gelatin<br>Titanium dioxide (E171)<br>Red iron oxide (E172)   |  |

## Table A1. Cont.

|              |   |  | Excipients <sup>1</sup> (Function)  |  |  |  |
|--------------|---|--|---|--|--|--|
| ТКІ          | Tradename                                 | Dosage Form  | Tablet Core or Capsule Content  | Tablet Film Coating or<br>Capsule Shell  |  |  |
| BCS class IV |   |  |   |  |  |  |
| Entrectinib  | Rozlytrek <sup>®</sup> (Roche)            | Immediate release hard<br>capsule 100, 200 mg              | Tartaric acid (acidulant)<br>Lactose (Diluent, compression agent)<br>Hypromellose (binder, dispersing agent,<br>solubilizing agent)<br>Crospovidone (disintegrant,<br>solubilizing agent)<br>Microcrystalline cellulose (diluent)<br>Colloidal anhydrous silica (disintegrant)<br>Magnesium stearate (lubricant)                                    | Hypromellose<br>Titanium dioxide (E171)<br>Yellow iron oxide<br>(E172—100 mg hard<br>capsule)<br>Sunset yellow FCF<br>(E110—200 mg hard<br>capsule)  |  |  |
| Nilotinib    | Tasigna <sup>®</sup> (Novartis<br>Pharma) | Immediate release hard<br>capsule 50, 150, 200 mg          | Lactose monohydrate (diluent,<br>compression agent)<br>Crospovidone Type A (disintegrant,<br>solubilizing agent)<br>Poloxamer 188 (lubricant,<br>solubilizing agent)<br>Colloidal anhydrous silica (disintegrant)<br>Magnesium stearate(lubricant)  | Gelatin<br>Titanium dioxide (E171)<br>Red iron oxide (E172)<br>(50 mg capsule only)<br>Yellow iron oxide (E172)  |  |  |
| Selumetinib  | Koselugo <sup>®</sup><br>(AstraZeneca)    | Immediate release hard<br>capsule 10, 25 mg                | Vitamin E polyethylene glycol succinate (D<br>α-tocopheryl polyethylene glycol<br>succinate) (solubilizing agent, binder)   | Hypromellose (E464)<br>Carrageenan (E407)<br>Potassium chloride (E508)<br>Titanium dioxide (E171)<br>Carnauba wax<br>(E903)Indigo carmine<br>aluminium lake (E132)<br>(25 mg capsule only)<br>Iron oxide yellow (E172)<br>(25 mg capsule only) |  |  |
| Sunitinib    | Sutent <sup>®</sup> (Pfizer) <sup>2</sup> | Immediate release hard<br>capsule 12.5, 25, 37.5, 50<br>mg | Mannitol (E421) (diluent)<br>Croscarmellose sodium (binder,<br>disintegrant)<br>Povidone (K-25) (solubilizing agent,<br>disintegrant)<br>Magnesium stearate (lubricant)   | Gelatin<br>Red iron oxide (E172)<br>Titanium dioxide (E171)<br>Yellow iron oxide (E172)<br>(25, 37.5, 50 mg<br>capsule only)<br>Black iron oxide (E172) (25,<br>50 mg capsule only)  |  |  |
| Trametinib   | Mekinist® (Novartis<br>Pharma)            | Immediate release<br>film-coated tablet 0.5,<br>2 mg       | Mannitol (E421) (diluent)<br>Microcrystalline cellulose (E460) (diluent)<br>Hypromellose (E464) (binder, solubilizing<br>agent)<br>Croscarmellose sodium (E468) (binder,<br>disintegrant)<br>Magnesium stearate (E470b) (lubricant)<br>Sodium laurilsulfate (lubricant,<br>solubilizing agent)<br>Colloidal silicon dioxide(E551)<br>(disintegrant) | Hypromellose (E464)<br>Titanium dioxide (E171)<br>Polyethylene glycol<br>Iron oxide yellow(E172)<br>(0.5 mg tablet only)<br>Polysorbate 80 (E433)<br>(2 mg tablet only)<br>Iron oxide red (E172)<br>(2 mg tablet only)                         |  |  |

## Table A1. Cont.

 $^{1}$  printing ink excipients not mentioned;  $^{2}$  generically available.

## Appendix D

 Table A2. Summary PK studies performed in pediatric oncology patients.

| ТКІ           | Study Design <sup>1</sup> | No. of<br>Patients | Age Range<br>(Years) | Tested Drug Formulations  | Similar PK Parameters<br>Demonstrated?               | Refs |
|---------------|---------------------------|--------------------|----------------------|---|--|------|
| BCS class I   |                           |                    |                      |   |  |      |
| Cobimetinib   | -                         | -                  | -                    | -   | -  | -    |
| Larotrectinib | Phase 1 PK study          | 24                 | 0–18                 | Capsules and oral liquid formulation <sup>2</sup>   | Yes, between capsule<br>and oral liquid <sup>2</sup> | [63] |
| Ruxolitinib   | Phase 1 PK study          | 49                 | 2–21                 | Tablets and m.d.f <sup>3</sup> (i.e.,<br>crushed tablets or added to<br>apple sauce or OraPlus) | No   | [69] |

| ТКІ          | Study Design <sup>1</sup> | No. of<br>Patients | Age Range<br>(Years) | Tested Drug Formulations  | Similar PK Parameters<br>Demonstrated?       | Refs       |
|--------------|---------------------------|--------------------|----------------------|---|--|------------|
| BCS class II |                           |                    |                      |   |  |            |
| Cabozantinib | Phase 1 PK study          | 41                 | 4–18                 | Tablets   | -  | [70]       |
| Dabrafenib   | Phase 1 PK study          | 27                 | 0–17                 | Capsules and oral liquid formulation <sup>2</sup> (i.e., oral suspension) | No   | [71]       |
|              | Phase 1 PK study          | 39                 | 2–20                 | Tablet, capsules and m.d.f <sup>3</sup>                                   |  |            |
| Dasatinib    | Phase 1 PK study          | 25                 | 2–17                 | (i.e., crushed/dissolved in lemonade, apple or orange                     | No   | [72–74]    |
|              | Phase 1 PK study          | 58                 | 0–21                 | juice)  |  |            |
|              | Phase 1 PK study          | 31                 | 3–20                 |   |  |            |
|              | Phase I PK study          | 24                 | 3–21                 | _   |  |            |
| Imatinih     | Phase II PK study         | 24                 | 2–18                 | Tablets, capsules and m.d.f <sup>3</sup>                                  | NT   | [52 57 75] |
|              | Phase II PK study         | 71                 | 3–29                 | (i.e., opened or dissolved in N<br>water or apple juice)                  | No   | [53–57,75] |
|              | Phase II PK study         | 19                 | 2–18                 | water of apple julce)   |  |            |
|              | PK study                  | 36                 | 2–22                 |   |  |            |
| Ponatinib    | -                         | -                  | -                    | -   | -  | -          |
| BCS class IV |                           |                    |                      |   |  |            |
| Bosutinib    | -                         | -                  | -                    | -   | -  | -          |
|              | Phase 1 PK study          | 79                 | 1–20                 | Capsules and oral liquid  |  |            |
| Crizotinib   | Phase 1 PK study          | 75                 | 2–22                 | formulation <sup>2</sup> (i.e., powder in a bottle, powder in capsule or  | No   | [58-60]    |
|              | Phase 1 PK study          | 25                 | 2–21                 | oral liquid)  |  |            |
| Entrectinib  | -                         | -                  | -                    | -   | -  | -          |
| Nilotinib    | PK study                  | 15                 | 5–17                 | Capsules or sprinkled over apple sauce                                    | No   | [61]       |
|              | Phase 1 PK study          | 24                 | 3–18                 |   |  |            |
| Selumetinib  | Phase 1 PK study          | 38                 | 5-20                 | Capsules, tablets   | No   | [62,64,65] |
|              | Phase II PK study         | 50                 | 3–20                 |   |  |            |
|              | Phase 1 PK study          | 23                 | 3–20                 |   |  |            |
| Cunitinik    | Phase 1 PK study          | 12                 | 4–21                 | Capsule or m.d.f <sup>3</sup> (i.e.,                                      | Yes, between capsule<br>and manipulated oral | [20 44 40] |
| Sunitinib    | Phase II PK study         | 30                 | 3–20                 | opened and sprinkled over<br>yoghurt or applesauce)                       | dosage form                                  | [39,66–68] |
|              | Phase I/II PK study       | 6                  | 13–16                | )-0   | 0  |            |
| Trametinib   | -                         | -                  | -                    | -   | -  | -          |
|              |                           |                    |                      |   |  |            |

#### Table A2. Cont.

<sup>1</sup> PK studies performed in pediatric oncology patients; <sup>2</sup> Oral liquid formulation = provided by the pharmaceutical company; <sup>3</sup> m.d.f. (manipulated dosage form) = opened capsules/crushed tablets and/or dissolved capsule/tablet; BCS = Biopharmaceutics Classification System; PK = pharmacokinetic.

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