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# Disentangling Contributions of CO<sub>2</sub> Concentration and Climate to Changes in Intrinsic Water-Use Efficiency in the Arid Boreal Forest in China's Altay Mountains

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**Abstract:** Intrinsic water-use efficiency (*iWUE*) is affected by the balance of photosynthetic rate, stomatal conductance, and climate, along with many other exogenous factors, such as the  $CO_2$ concentration in the atmosphere ( $CO_{2atm}$ ), nutrients, and water holding capacity of the soil. The relative contributions of CO<sub>2atm</sub> and climate to *iWUE* are still incompletely understood, particularly for boreal forests where the climate is undergoing unprecedented warming. We combined  $\delta^{13}C$ and  $\delta^{18}$ O in tree rings from the Siberian larch (*Larix sibirica* Ledeb.) in Northwestern China's Altay Mountains, which receives 190 mm in annual precipitation, to detect the drivers of long-term *iWUE* changes and their time-varying contributions over the past 222 years. A climate optimization approach was used to isolate the influence of climate from CO<sub>2atm</sub> influence on *iWUE*. We found that *iWUE* increased about 33.6% from 1790 to 2011, and rising CO<sub>2atm</sub> contributed 48.8% to this *iWUE* increase. The contributions of  $CO_{2atm}$  and climate (drought conditions) varied during the study period 1790-2011. From 1790 to 1876, the climate was the most important factor contributing to the changes in *iWUE*. From 1877 to 1972, CO<sub>2atm</sub> was the main contributor; however, after 1973, the climate was again the dominant contributor to the increase in *iWUE*, especially during 1996–2011. During the period 1996–2011, climate substantially (83%) contributed to the *iWUE* increase. Our findings imply that, in the boreal forest in Northwestern China's arid region, iWUE experienced three changes: (1) the climate dominating from 1790 to 1876; (2) CO<sub>2atm</sub> dominating from 1877 to 1972, and (3) climate dominating again during the past four decades. We observed that the relationships between *iWUE* and tree-ring width shifted from positive to negative from 1996 onwards. These relationship changes indicate that CO<sub>2atm</sub>-mediated effects of increasing *iWUE* on tree growth are counteracted by climatic drought stress and *iWUE* increase cannot counter the stress from drought on tree growth in China's arid boreal forest.

**Keywords:**  $\delta^{13}$ C and  $\delta^{18}$ O; intrinsic water-use efficiency; larch; tree rings; vapor pressure deficit



### 1. Introduction

The global carbon and hydrological cycles are coupled through leaf gas exchange [1,2]. Water-use efficiency (*WUE*), the ratio of CO<sub>2</sub> assimilation to water loss, is a critical link between carbon and the terrestrial water cycle. *WUE* has been identified as an effective indicator to assess ecosystem and forest response to climate change and the rising CO<sub>2</sub> concentration in the atmosphere (CO<sub>2atm</sub>) [3–5]. Therefore, understanding *WUE* changes and their driving forces is essential to project climate change and regional forest carbon sequestration.

The stable carbon isotope ratios ( $\delta^{13}$ C) in tree rings can be used to estimate the intrinsic water-use efficiency (*iWUE*) [6,7] and the plant's physiological response to CO<sub>2atm</sub>, often explored in strategies for stomatal regulation of leaf gas exchange [8–10]. The *iWUE* is defined as the ratio of CO<sub>2</sub> photosynthetic assimilation rate (*A*) to stomatal conductance ( $g_s$ ) [7]. Variability of  $g_s$  is related to relative humidity, vapor pressure deficit (VPD) and other climatic variables [7,11].

Tree-ring  $\delta^{18}$ O values are mainly influenced by the  $\delta^{18}$ O signature of source water and the evaporative enrichment of leaf water [12], which is controlled by relative humidity and  $g_s$ , as well as the size of stomatal aperture [12,13].  $g_s$  affects tree-ring  $\delta^{18}$ O through: 1) cooling the leaf temperature during evaporation; 2) the diffusive resistance of water vapor; and 3) the magnitude of the transpiration and <sup>18</sup>O enrichment in the leaf water [14]. Therefore, coupling  $\delta^{13}$ C and  $\delta^{18}$ O can help detect to what extent the variability of  $c_i$  is driven by changes in  $g_s$  or A, and can further indicate whether  $g_s$  or A contributes to the observed trend of *iWUE* in response to change in CO<sub>2atm</sub> and climate [13].

Climate (i.e., drought) and  $CO_{2atm}$  can profoundly impact forest *iWUE* [9,15–18]. *iWUE* derived from the tree-ring  $\delta^{13}C$  has often shown a positive trend since the industrial period (the 1850s). Forests in regions with a warming and drying climate (such as in Europe) exhibit a large increase in *iWUE* [19,20]. Temperate, boreal, and tropical forests show an increase in *iWUE* over the 20th century, suggesting strong or moderate stomatal controls in response to rising  $CO_{2atm}$  [3,18,21]. Increased *iWUE* by itself does not systematically result in tree growth enhancement, and rather increased *iWUE* can also correspond with periods of tree growth decline or mortality [6,16,17,20,22]. The reasons for this may be that climate and other exogenous factors, such as drought [18,22–24], mistletoe infection, and nutrient availability [20,22,25], can concurrently affect tree growth by causing stress that counteracts the effect of changes in  $CO_{2atm}$ . The relationships between *iWUE*, climate, and tree growth are not always linear [3,17,25,26]. Thus, to disentangle the contributions of  $CO_{2atm}$  and climate to an increase in *iWUE*, it is important to determine the drivers of *iWUE* variability in trees or forests [9,10].

Understanding and predicting the dynamics of boreal forests and their physiological responses to climate change has been recognized as increasingly important due to their vital roles in the regional and global carbon cycle and budget [18,24]. Boreal forests are vulnerable ecosystems that have been dramatically impacted by the unprecedented modern rates of warming and increasing  $CO_{2atm}$  [18]. Determining their physiological functions requires intensive monitoring of tree growth, productivity, and *iWUE* [18,24]. Several studies have reported that *iWUE* has increased in boreal forests [3,5,6,18]; however, the extent, to which climate and/or rising  $CO_{2atm}$  contribute to the observed *iWUE* variability, is not well understood. Improved knowledge of tree physiological performances under changing climate and  $CO_{2atm}$  is important for exploring the mechanism of *iWUE* and its drivers and for predicting future forest change, such as greening or declines in tree growth.

In the present study, we combined tree-ring  $\delta^{13}$ C and  $\delta^{18}$ O from the arid boreal forest in China's Altay Mountains to investigate the relationships between *iWUE*, climate, and CO<sub>2atm</sub>. The aims of the study were to: (1) disentangle the contributions of climate and rising CO<sub>2atm</sub> to observed *iWUE* variability between 1790 and 2011; and (2) detect the interaction between changes in *iWUE* and tree-ring growth.

# 2. Data and Methods

# 2.1. Sampling Site and Tree-Ring Width Measurements

We obtained samples at the Daqiao natural forest reserve (47.52° N, 89.48° E; 2100 m a.s.l.) in Northwestern China's Altay Mountains [27]. The dominant tree species in the study region is the Siberian larch (*Larix sibirica* Ledeb.), a typical tree species of the Taiga forest. We sampled 35 mature trees without any obvious damage and collected 70 tree-ring cores at breast height using increment borers (Haglof, Mora, Sweden). All cores were air-dried, sanded and dated using the Time Series Analysis and Presentation (TSAP) features of LINTAB (LINTAB 6; Rinntech, Heidelberg; [28]). All the measurements were checked for cross-dating accuracy with COFECHA software [29].

# 2.2. Climate Data

Climate data of the Fuyun meteorological station ( $46.98^{\circ}$  N,  $89.52^{\circ}$  E; 826.6 m a.s.l., 60 km far from the sampling site) were obtained from the Chinese meteorological data service center (http://data.cma.cn/). Climate variables included monthly mean temperature, monthly precipitation sums, and monthly mean relative humidity over the period 1961–2011. Monthly mean temperature and monthly mean relative humidity datasets were used to calculate monthly mean VPD [30]. The annual mean temperature is  $3.01 \,^{\circ}$ C, with the coldest monthly mean temperature in January ( $-20.60 \,^{\circ}$ C) and the warmest monthly mean temperature in July ( $22.16 \,^{\circ}$ C) (Figure 1). The climate at the site is typically arid with a total annual precipitation of 190 mm [27], with half of the total annual precipitation falling from May to September (the tree-growing season). The mean annual relative humidity is 59.28%, with the highest monthly relative humidity in December (77.84%) and the lowest in May (43.23%). The highest monthly mean VPD value appears in July ( $14.20 \,$ hPa) (Figure 1).



**Figure 1.** Climates of the study area: (**a**) monthly precipitation and temperature; and (**b**) relative humidity and vapor pressure deficit (VPD). Data based on the Fuyun meteorological station data from 1961 to 2011.

To extend the instrumental climate record and validate the climate signal detection back to 1901, we used CRU TS 3.22 climate data from four grid points ( $0.5^{\circ} \times 0.5^{\circ}$ , domain:  $47 \sim 48^{\circ}$  N,  $89 \sim 90^{\circ}$  E) [31] and calculated regional climate by taking the arithmetic mean across those grid points. We calculated monthly relative humidity using the ratio of vapor pressure ( $e_a$ ) to saturation vapor pressure ( $e_{sw}$ ) estimated from CRU monthly temperature [30]. The VPD was calculated as the difference between  $e_{sw}$  and  $e_a$  [30].

To directly compare the climate data from the Fuyun meteorological station and CRU TS 3.22, we converted the climate data to z-scores relative to the period 1961–2011 (Figure S1, Supplementary

Materials). Then, we compared the co-variability in each of the climatic variables using Pearson's correlation coefficients and tested their trends using linear regressions.

#### 2.3. Tree-Ring Stable Isotope Measurements

We selected nine cores for isotopic measurements from nine trees (Figure 2) with homogeneous growth patterns and similar ages (around 200–260 years). We pooled the wood material from the same calendar year and extracted  $\alpha$ -cellulose using the Jayme-Wise method [27,32]. The  $\alpha$ -cellulose was homogenized using an ultrasound machine ([33]; JY92-2D, Scientz Industry, Ningbo, China). Tree-ring  $\alpha$ -cellulose  $\delta^{13}$ C values were measured using an elemental analyzer (Flash EA 1112, Italy) coupled to a continuous-flow isotope ratio mass spectrometer (Delta Plus, Thermo Electron Corporation, Bremen, Germany) at the Key Laboratory of West China's Environmental System, Lanzhou University. Tree-ring  $\alpha$ -cellulose  $\delta^{18}$ O was determined using a high-temperature conversion elemental analyzer (TC/EA) coupled to a Finnigan MAT-253 isotope ratio mass spectrometer (Thermo Electron Corporation, Bremen, Germany) at the State Key Laboratory of Cryospheric Science, Chinese Academy of Sciences, Lanzhou, China. The analytical uncertainty (1 $\sigma$ ) for these measurements was below 0.05‰ for  $\delta^{13}$ C and below 0.25‰ for  $\delta^{18}$ O.



**Figure 2.** (a) Tree-ring stable carbon discrimination ( $\Delta^{13}$ C) based on the model described by Farquhar et al. [8]. The shaded areas represent uncertainties related to post-photosynthetic fractionation ( $\pm 1.5\%$ ). (b) Tree-ring  $\delta^{18}$ O series along with the number of trees used for  $\delta^{13}$ C and  $\delta^{18}$ O measurements. In panels in (a) and (b), the horizontal dashed lines indicate the mean of  $\Delta^{13}$ C and  $\delta^{18}$ O over the period 1790–2011. The thick dashed lines (red) represent the mean values of  $\Delta^{13}$ C and  $\delta^{18}$ O over different sub-periods divided by the changepoints years (dotted vertical lines) based on "changepoint" detection (see details in the text). (c) 31-year running correlations between tree-ring  $\Delta^{13}$ C and  $\delta^{18}$ O. In the panel in (c), the plotted values are in the center of the investigated 31-year window. The dashed line represents the *p* = 0.05 significance level.

# 2.4. IWUE Estimation from Tree-Ring $\Delta^{13}$ C and Climate Optimization in $c_i$

The tree-ring  $\delta^{13}$ C can be used to derive the *iWUE* [6,7] as follows:

$$iWUE = \frac{A}{g_{\rm s}} = \frac{c_{\rm a} - c_{\rm i}}{1.6} = c_{\rm a} \times \frac{1 - \frac{c_{\rm i}}{c_{\rm a}}}{1.6} = \frac{c_{\rm a}(b - \Delta^{13}\rm{C})}{1.6(b - a)}$$
(1)

where  $\Delta^{13}$ C is the carbon isotopic discrimination and reflects its relationship with CO<sub>2</sub> intercellular concentration ( $c_i$ ) and the concentration in the ambient atmospheric air ( $c_a$ ) [8].  $\Delta^{13}$ C is described as [7]:

$$\Delta^{13}C = \frac{\delta^{13}C_a - \delta^{13}C_{\text{plant}}}{1 + \frac{\delta^{13}C_{\text{plant}}}{1000}} = a + (b - a)(c_i/c_a)$$
(2)

where *a* is the fractionation during CO<sub>2</sub> diffusion through the stomata ( $\approx 4.4\%$ , [34]), and *b* is the fractionation due to carboxylation ( $\approx 27\%$ ; [8]).

We corrected the tree-ring  $\delta^{13}$ C using the difference in  $\delta^{13}$ C between the leaf-level process and the wood cellulose (2.1‰) owing to post-photosynthesis fractionation, and estimated its uncertainty as 1.5‰ [7,9]. Tree-ring  $\delta^{13}$ C values were then corrected for the Suess effect using  $\Delta^{13}$ C in Equation (2) [7].  $\delta^{13}$ C and  $c_a$  values of the air from 1850 to 2003 were obtained from Reference [11].  $c_a$  data since 2004 were obtained from NOAA (https://www.esrl.noaa.gov/).

Isolating how CO<sub>2atm</sub> affects tree physiology and the leaf-to-CO<sub>2atm</sub> gradient is important to quantify how  $c_i$  or  $c_i/c_a$  of trees has changed since the 1850s [6,9,10,20,35]. It is necessary to remove the climatic effects on c<sub>i</sub>. The following general procedures were applied [9,36]. First, we calculated the Pearson's correlations between c<sub>i</sub> and climatic parameters (temperature, precipitation, relative humidity, and VPD) at high-frequency scales (herein, the first-order difference (FOD, the current year minus the previous year) and 30-year spline "high-pass" filter (HF)) to identify the potential climatic signals, using the bootstrap resampling method in the "treeclim" R package [37]. The climatic windows were from monthly (from October of the previous year to October of the current year) to seasonal windows. We then applied principal component analysis (PCA) to these potential climatic variables to achieve an optimum climatic target (PC1), which has a high correlation and meaningful mechanistic relationship with  $c_i$ . The tree-ring  $c_i$  series was then adjusted by adding a factor  $\tau$ value ( $c_i + \tau^* (c_a - 280)$ ) [9]. We varied the  $\tau$  range [-1, 2] with an interval of 0.002 and regressed the adjusted  $c_i$  (shortened as  $c_i$ -tau) series to the optimum climatic target. The optimum  $\tau$  value was determined by the mean values of  $\tau$  yielding the maximization of explained variance and the minimization of the absolute trend in model residuals [9]. The advantage of this approach is that it considers the low-frequency changes in estimating plant response, but not in the climatic screening [9]. We represented  $c_i$  adjusted by optimum  $\tau$  value as  $c_i$ -climate. The difference between tree-ring  $c_i$  and  $c_i$ -climate was the physiological influence (mainly from CO<sub>2</sub>) of removing climate (climate corrected  $c_i$ ,  $c^{c}c_{i}$ ) based on empirical quantification. The influence of climate on *iWUE* was removed by producing a climate-corrected *iWUE* (*cciWUE*) using Equation (1).

#### 2.5. Data Analysis

The changepoints of tree-ring  $\Delta^{13}$ C and  $\delta^{18}$ O,  $c_i$ ,  $c_i$ -climate,  $c_i/c_a$ , *iWUE*, and <sup>cc</sup>*iWUE* based on mean and variance over the past 222 years were detected using the "cpt.meanvar" function in the R package "changepoint" [38]. We used the Schwarz Information Criterion ("SIC") penalty parameter to assess the statistical significance of changepoints [38], and the significant changepoint years were applied to divide the series into multiple sub-periods.

We assessed the percent change of the  $c_i$ ,  $c_i$ -climate, *iWUE* and <sup>cc</sup>*iWUE* relative to the first decade (1791–1800). The percent change did not vary with the absolute value changes from different periods and had the advantage of reflecting the variations of the series. Then, in order to quantify the drivers of *iWUE*, we calculated the contributions of CO<sub>2atm</sub> and climate to changes in *iWUE* for each sub-period

using the cumulative values of the FOD in percent change, thereby estimating the proportion of their relative contributions.

# 2.6. Climatic Signals from Tree-Ring $\delta^{18}O$

Tree-ring  $\delta^{18}$ O responses to climate were calculated using the R package "treeclim", and temporal stability was explored using running correlations over a 25-year interval [37]. We used tree-ring  $\delta^{18}$ O to investigate potential climatic targets for reconstruction, based on results of climatic response analysis and a reasonable mechanistic explanation. A linear regression model was developed between the chosen target and tree-ring  $\delta^{18}$ O (as an independent variable). We conducted cross-calibration/verification and leave-one-out calibration/verification procedures using the statistical parameters of the reduction of error (RE) and coefficient of efficiency (CE) to assess the skills of the reconstruction [29].

#### 2.7. Tree-ring Growth and IWUE

In order to avoid potential artifacts caused by dendroclimatic standardization detrending methods [20,39], we assessed tree growth by comparing the density distribution of tree-ring widths during recent sub-periods with those of previous sub-periods using all individual core ring-width time series. The density distribution was estimated using the density probability of the ring-width for each period. We examined the homogeneity of variance of ring-width for each sub-period using a non-parametric chi-squared test (Kruskal-Wallis). We conducted an analysis of variance (ANOVA) using the Tukey multiple comparisons (Tukey HSD) test to examine the differences in the average ring-width between the sub-periods in R [40]. Before the density distribution estimation, we split the trees into old (>350 years) and medium-aged (200–350 years) groups, using the cambial age of the trees. After binning, we discarded the first 130–200 years to estimate the density distribution of ring-width. This approach has been applied successfully to assess tree growth [20,39]. We truncated the ring-width time series to only portions of the time series with no long-term trend: after 1790 for the old trees and after 1930 for the medium-aged trees. The correlations between the average tree-ring width time series and the climate variables were calculated to detect the main climate drivers for tree growth. We used linear regressions to detect the relationships between tree growth and *iWUE* at the tree-ring core level and group mean values level.

# 3. Results

#### 3.1. Climate Variability in the Study Region

The CRU TS 3.22 and Fuyun meteorological station data showed similar variability (Pearson's correlation coefficient: r > 0.59, p < 0.001; 1961–2011; Figure S1, Supplementary Materials) in May–August temperature, precipitation, and VPD, but slightly different trends in relative humidity from 1961 to 1980. May–August mean temperature (0.04 per year) and VPD (0.04 per year) from the Fuyun meteorological station showed significant (p < 0.001) increasing trends, while the May–August relative humidity decreased (-0.03 per year, p < 0.001) from 1961 to 2011 (Figure S1, Supplementary Materials). The May–August precipitation showed a non-significant decreasing trend (-0.03 per year) from 1983 to 2011.

# 3.2. Temporal Variations of the Tree-Ring $\Delta^{13}$ C and $\delta^{18}$ O

The tree-ring  $\Delta^{13}$ C series showed a significant (p < 0.001) increasing trend (0.004% per year) over the past 222 years, with changepoint years in 1819, 1876, 1972, 1982, and 1995 (Figure 2a). The shift of mean values of the tree-ring  $\Delta^{13}$ C occurred in the periods 1790–1819, 1972–1982, 1983–1995, and 1996–2011. The tree-ring  $\delta^{18}$ O series revealed no significant trend during the whole investigation period, and changepoint years occurred in 1829, 1949 and 1995 (Figure 2b).

The tree-ring  $\Delta^{13}$ C showed a co-variability with the tree-ring  $\delta^{18}$ O for most of the study periods (Figure 2c). Specifically, the 31-year running correlations between tree-ring  $\Delta^{13}$ C and  $\delta^{18}$ O revealed

negative correlations were stronger than -0.5 for the periods centered around 1820–1840, 1860–1890 and 1960–2011.

#### 3.3. Climate Response of c<sub>i</sub> and Tree-Ring Width

Pearson's correlation coefficients indicated similar climatic response patterns of  $c_i$  for the two climatic datasets (meteorological station and CRU). Specifically,  $c_i$  was correlated (p < 0.05) with temperature and VPD (meteorological station: -0.39 to -0.74; CRU: r = -0.20 to -0.60) from May to August, for individual months and combinations of months (Figure 3a,b).  $c_i$  was positively (p < 0.05) correlated with precipitation in February, May, and July. In addition,  $c_i$  showed significant (p < 0.05) positive correlations with relative humidity from May to August (meteorological station: r = 0.39-0.65; CRU r = 0.36-0.51; Figure 3). We used PC1, which explained 76% of the total variance based on VPD, temperature, precipitation, and relative humidity in May–August as the optimum climatic target. PC1 had the strongest correlations (meteorological station: r = -0.74; CRU: r = -0.54, p < 0.01; not shown) with  $c_i$  at the FOD time scale. A series of  $c_i$ -tau were numerically simulated to detect the optimum  $\tau$  values for climate corrections (Figure 4). The final optimum  $\tau$  value (-0.67) was used to calculate  $c_i$ -climate, which showed a strong co-variability with PC1 from observations (r = -0.65) and CRU (r = -0.60) (Figure 4b,c).



**Figure 3.** Correlation analyses between *c*<sub>i</sub> and climate parameters (mean monthly temperature (T); monthly precipitation (P); relative humidity (RH); and atmospheric vapor pressure deficit (VPD)) at the high-frequency time scales (the first-order difference (FOD) and 30-year "high-pass" spline filter (HF)) for (**a**) the meteorological data from the Fuyun meteorological station (1961–2011) and (**b**) the CRU data (1901–2011). For climatic variables in the previous year, the data covered 1962–2011 and 1902–2011 for the Fuyun meteorological station and CRU data, respectively. In the panels, J–A represents the mean value from June to August; M–A represents the mean value from May to August; A–A represents the mean value from April to August. The legend of panel b is the same as that in the panel a. Please note that the significant level lines changed for the panels a and b due to the number of the statistical samples.



**Figure 4.** (a) Tree-ring  $\Delta^{13}$ C estimated  $c_i$  with the correction of the  $c_i$  data ( $c_i + \tau^*$  ( $c_a$ -280)) used to diagnose the  $\tau$  most consistent with the historical climate data. This numerical optimization procedure could isolate the climatic influences on isotope discrimination by controlling the parameter  $\tau$  (see details in the main text). (b) The optimum  $\tau$  value decided by the mean  $\tau$  values maximizing the explained variance and minimizing the absolute residual trend. The climatic PC1 (see details in the text) was used to detect the optimum  $\tau$  value. (c) Comparison of the PC1 (both from the Fuyun meteorological station and CRU) with  $c_i$  variability (both for the tree-ring estimated  $c_i$  and  $c_i$ -climate). The Pearson correlation coefficient (r) between PC1 and  $c_i$ -climate are also provided in the panel c. We inverted PC1 data to enhance the visualization.

The truncated ring-width time series did not show significant long-term trend (Figure 5). Averaged tree-ring width time series showed weak Pearson's correlation coefficients to climate variables but were significantly correlated with precipitation in October of the previous year and August of the current year for old trees (Figure 6). Temperature and VPD in January and September were negatively (p < 0.05) correlated with tree-ring width for both old and medium-aged trees. In addition, the tree-ring width series from medium-aged trees was negatively (p < 0.05) correlated with VPD and temperature in July, August and the May–September seasonal window (M–S: r = -0.32 for temperature and r = -0.33 for VPD), but was positively (p < 0.05) correlated with relative humidity in July (r = 0.35), August (r = 0.33) and May–August and May–September seasons (M–A: r = 0.30; M–S: r = 0.32; Figure 6).



**Figure 5.** Tree-ring width variability for (**a**) old trees (>350 years) and (**b**) medium-aged (200–350 years) trees after truncation (see text for details). The red lines are the mean values of each group.



**Figure 6.** Correlations between mean tree-ring width (**a**) from old trees group and (**b**) from medium-aged trees group and monthly climatic parameters (T, P, RH, and VPD) from the Fuyun meteorological station. On the x-axes, the lowercase letters represent the months of the previous year, and the uppercase letters represent the months of the current year. Seasonal windows include the periods of May to August (M–A) and May to September (M–S).

# 3.4. Climate Response of the Tree-Ring $\delta^{18}$ O and VPD Reconstruction

The tree-ring  $\delta^{18}$ O was correlated (p < 0.05) with temperature from May to August, and with VPD from May to September (CRU: r > 0.20; meteorological station: r = 0.4-0.69) (Figure 7a,b). The tree-ring  $\delta^{18}$ O showed significant (p < 0.05) correlations with July precipitation (r = -0.48) and relative humidity

from May to August (r = -0.2 to -0.69) (Figure 7a,b). The highest correlations were detected between the tree-ring  $\delta^{18}$ O and May–September VPD (CRU: r = -0.52; meteorological station: r = -0.69), which were more stable after the 1950s (Figure 7c).



**Figure 7.** Climate response of tree-ring  $\delta^{18}$ O to monthly climatic parameters (T, P, RH, and VPD) from (**a**) the meteorological data (1961–2011) and (**b**) the CRU data (1901–2011). On the x-axes, the lowercase letters represent the months of the previous year, and the uppercase letters represent the months of the current year. Seasonal windows include May to August (M–A) and May to September (M–S). (**c**) The temporal stability of the relationship between tree-ring  $\delta^{18}$ O and CRU VPD from May to September and seasonal windows based on 25-year window correlation analyses. The significant correlations at the level of *p* = 0.05 are indicated by "\*".

To avoid potential errors in the climate data (i.e., interpolation in the CRU data before the 1960s) and because of the stable temporal relationship between tree-ring  $\delta^{18}$ O and May–September VPD, we used only the meteorological data to develop the transfer functions and the CRU data to validate the reconstructions. Two transfer functions, with explained variances of 53.5% and 65.7%, respectively, over 1961–2011, were developed using tree-ring  $\delta^{18}$ O as an independent variable and May–September VPD as a dependent variable at the annual and FOD scales (Figure 8a,b). The models have significant results (p < 0.01). Positive RE (0.42) was obtained during the calibration and validation procedure at the annual scale (Table 1). However, the tree-ring  $\delta^{18}$ O–VPD model did not pass the Durbin–Watson statistic (1.38, p = 0.04) (Figure 8a) and the residuals of the model showed a positive trend (not shown), which indicate that other climatic factors (e.g. temperature) may affect the residual trend. To further justify the VPD reconstruction, we developed a tree-ring  $\delta^{18}$ O–VPD model at the FOD scale (Figure 8b). The calibration and verification results showed positive RE (0.66 for 1961–1986 and 0.65 for 1987–2011) and CE (0.66 for 1961–1986 and 0.65 for 1987–2011) (Table 1), which means that the  $\delta^{18}$ O-VPD model at the FOD scale skillfully captured the VPD variability at the high frequency. Furthermore, the results of leave-one-out calibration and verification between tree-ring  $\delta^{18}$ O and VPD also showed positive RE and CE values at the annual (both 0.45) and FOD (both 0.63) scales (Table 1). This further confirmed that the  $\delta^{18}$ O–VPD model at the annual-scale reconstruction can indicate the long-term changes of VPD, although it has small negative CE values (-0.075 and -0.15) (Table 1).



**Figure 8.** (a) The linear regression between mean May–September (M–S) VPD and tree-ring  $\delta^{18}$ O. In the panel (a), a transfer function was established using the tree-ring  $\delta^{18}$ O as an independent variable. The shaded area indicates the *p* = 0.05 significance level of the regression. DW indicates the Durbin–Watson index. (b) The linear regression between mean May–September (M–S) VPD and tree-ring  $\delta^{18}$ O FOD. The comparison of May–September VPD between observations from the Fuyun meteorological station and reconstruction based on tree-ring  $\delta^{18}$ O (c) at the annual and (d) FOD time scales, and their associated Pearson correlation coefficients (*r*). (e) The co-variability of reconstructed May–September VPD and May–September VPD from the CRU dataset and the Fuyun station associated with their Pearson correlation coefficients. The significant (*p* < 0.05) linear trends in the reconstructed VPD for different periods (1790–1819, 1820–1876, 1877–1972, and 1983–2011) are indicated in the panel (e). (f) The co-variability of May–September VPD FOD reconstruction and May–September VPD FOD from the CRU dataset and their Pearson correlation coefficients. The significant (*p* < 0.05) linear trends in the reconstructed VPD for different periods (1790–1819, 1820–1876, 1877–1972, and 1983–2011) are indicated in the panel (e). (f) The co-variability of May–September VPD FOD reconstruction and May–September VPD FOD from the CRU dataset and the Fuyun meteorological station associated with their Pearson correlation coefficients. We used the z-score values to enhance the visualization in panels (e) and (f).

and the FOD scales based on tree-ring $\delta^{18}$ O. The cross-calibration and verification were performed for
26-year intervals. <i>r</i> is the Pearson correlation coefficient; RE is the reduction of error; and CE is the
coefficient of efficiency.

Interannual	Period	r	RE	CE	Period	r	RE	CE
Calibration	1987–2011	0.82			1961–1986	0.64		
Verification	1961–1986	0.64	0.42	-0.08	1987–2011	0.82	0.52	-0.17
Full period	1961–2011	0.70						
Leave-one-out method	1961-2011	0.67	0.45	0.45				
FOD	Period	r	RE	CE	Period	r	RE	CE
Calibration	1987–2011	0.79			1961–1986	0.81		
Verification	1961–1986	0.81	0.66	0.66	1987–2011	0.79	0.65	0.65
Full period	1961-2011	0.81						
Leave-one-out method	1961–2011	0.79	0.63	0.63				

Table 1. Calibration and verification statistics for May-September VPD reconstructions for the annual

The May-September VPD reconstructions showed good agreement with observational VPD at inter-annual (*r* = 0.70, *p* < 0.01; 1961–2011; Figure 8c) and at FOD (*r* = 0.81, *p* < 0.01; 1962–2011; Figure 8d) time scales, as well as with CRU VPD (inter-annual: r = 0.52, p < 0.01; 1901–2011; FOD: r = 0.48, p < 0.01; 1901–2011) (Figure 8e,f). These results further suggest that the VPD reconstruction captured VPD variability well. We explored the VPD variability within the sub-periods detected in the tree-ring  $\Delta^{13}$ C. Specifically, May–September VPD significantly (p < 0.05) increased during 1790–1819, decreased during 1820–1876 and 1877–1972 with different magnitudes, and increased during 1983–2011 (slope: 0.68 per decade) (Figure 8e).

# 3.5. Percent Changes of $c_i$ and IWUE, and Contributions of Climate and $CO_{2atm}$

Overall, the percent change of  $c_i$  and  $c_i$ -climate showed positive trends, and the differences between them were larger since 1973 (Figure 9a).  $c_i$  increased by 39.8% (a cumulative increase of 69.5 ppm), and c<sub>i</sub>-climate had a non-significant trend over the past 222 years (Figure S2, Supplementary Materials). However, *c*<sub>i</sub>-climate showed a decreasing trend during the period 1983–2011 (Figure 9a and Figure S2, Supplementary Materials).  $c_i$  and  $c_i$ -climate showed the same changepoint in the years 1819, 1955 and 1982.  $c_{i}/c_{a}$  from tree-ring and climate had common changepoint years in 1819, 1972 and 1995. iWUE changed in the years 1819, 1876, 1972, 1982 and 1995 (Figure S2, Supplementary Materials).

Taking into account the changepoints of both  $c_i$  and *iWUE* (Figure S2, Supplementary Materials), we split the past 222 years as six sub-periods (1790–1819, 1820–1876, 1877–1972, 1973–1982, 1983–1995, and 1996–2011) to detect the driving forces in *iWUE*. Percent change of *iWUE* increased significantly (p < 0.01) during 1877–2011, and it increased rapidly during 1973–2011 (0.642% per year; p < 0.001; Figure 9b). *iWUE* increased by 33.6%, and <sup>cc</sup>*iWUE* increased by 16.4% over the past 222 years (Figure 9b), CO<sub>2atm</sub> thus contributed to about 48.8% of the increase in *iWUE* overall. *iWUE* change was mainly due to the climate before 1877 (Figure 9c) because the  $CO_{2atm}$  was nearly constant before the 1850s (Figure S2b, Supplementary Materials). The contribution of CO<sub>2atm</sub> exceeded that of climate during 1877–1972, while the contribution of climate surpassed that of CO<sub>2atm</sub> since 1973 with a mean relative contribution of 73% (Figure 9c). Particularly from 1996 to 2011, *iWUE* increased rapidly by 16.4% and climate contributed to the 13.6% increase of *iWUE* (accounting for 83% of the increase in *iWUE*) (Figure 9c).



**Figure 9.** (a) The percent change of  $c_i$  and  $c_i$ -climate relative to 1791–1800. (b) The percent change of *iWUE* and <sup>cc</sup>*iWUE* as well as the rate of *iWUE* change with time for periods 1877–1972 and 1973–2011. (c) The different contributions of CO<sub>2atm</sub> and climate to *iWUE* for the separate time periods. The contributions were calculated as the cumulative value of the FOD in percent change (see details in the text) for the different periods.

# 3.6. IWUE and Tree-ring Growth

Tree-ring width had a higher density of narrow rings (below the mean value; <0.5 mm for old trees and <0.58 mm for the medium-aged trees) and lower mean tree-ring width (p < 0.05, ANOVA test; Table 2) during 1983–1995 and 1996–2011 compared to those during the previous periods for both old and medium-aged trees (Figure 10). The linear relationships between *iWUE* and ring-width are consistent for 70% of the trees at the tree level, especially for medium-aged trees in the period 1930–1972. *iWUE* showed positive linear relationships with mean tree-ring width during all of the sub-periods, except for 1996–2011 (negative) in both old and medium-aged trees (Figure 10b,d). Significantly (p < 0.05) positive linear relationships between *iWUE* and tree-ring width were found for old trees in 1877–1972 and 1983–1995, and for medium-aged trees in 1930–1972 (Figure 10).

**Table 2.** Tukey multiple comparisons of tree-ring width means of old and medium-aged trees for different sub-periods. Only the differences that passed the 95% family-wise confidence level are listed. The superscript letters "a" and "b" indicate the significant difference between tree-ring width for the two comparison periods (period 1 and period 2).

Old Trees (Age > 350)				Medium-Aged Trees (Age 200–350)				
period 1	period 2	difference (period 1–period 2)	<i>p</i> -value	period 1	period 2	difference (period 1–period 2)	<i>p</i> -value	
	1996-2011	0.039	0.74		1996–2011 <sup>b</sup>	0.183	0.001	
1790–1819	1983–1995 <sup>a</sup>	0.120	0.001	1930–1972	1983–1995 <sup>b</sup>	0.230	0.001	
	1973–1982	-0.047	0.646		1973–1982 <sup>b</sup>	0.109	0.001	
	1877-1972	-0.027	0.63	1973–1982	1996–2011 <sup>b</sup>	0.074	0.003	
	1820-1876	0.044	0.166		1983–1995 <sup>b</sup>	0.122	0.001	
1820–1876	1996-2011	-0.006	0.999	1983–1995	1996-2011	-0.047	0.080	
	1983–1995 <sup>a</sup>	0.075	0.042					
	1973–1982 <sup>a</sup>	-0.092	0.018					
	1877–1972 <sup>a</sup>	-0.072	0.001					
	1996-2011	0.066	0.091					
1877–1972	1983–1995 <sup>a</sup>	0.147	0.001					
	1973–1982	-0.019	0.980					
1973–1982	1996-2011	0.086	0.153					
	1983–1995 <sup>a</sup>	0.167	0.001					
1983–1995	1996-2011	-0.081	0.143					



**Figure 10.** Density distribution of ring width based on all single-core values in the different periods for (**a**) old and (**c**) medium-aged trees. The vertical dashed lines indicate the mean tree-ring width, with tree-ring widths to the left of the dashed lines indicating a narrow ring. The "*n*" indicates the number of the trees ((**a**) 8 cores from 6 old trees and (**c**) 36 cores from 26 medium-aged trees). Scatter plots and linear regressions (individual cores are colored and the average across the group is in black) show the relationship between the percent change of *iWUE* (see details in text) and the raw tree-ring width for (**b**) old trees and (**d**) medium-aged trees during each period. The gray shaded areas denote the significant intervals of the linear regression between the percent change of *iWUE* and the group mean tree-ring width. Only the significant (*p* < 0.05) trends (slope) are labeled.

# 4. Discussion

# 4.1. Climate Drivers of Tree's Physiology

The correlations between  $c_i$  and climate parameters (negative with temperature and VPD; positive with precipitation and relative humidity) (Figure 3) were similar to the results observed for European forests [9]. This can be explained by the sensitivity of plant physiology, particularly  $\delta^{13}$ C fractionation, to environmental factors. An increase in temperature can increase the photosynthesis rate [7,8,41]: high temperature increases soil water evaporation and plant transpiration, leading to water stress (high VPD) and a decline of  $g_s$  [18,20,23], and thereby a decline in  $\Delta^{13}$ C and  $c_i$  (equation 1). VPD has been demonstrated to control  $g_s$  and daytime transpiration in plants [7,42]. A negative relationship between VPD and  $g_s$  was observed for *Larix* [43], and  $g_s$  was affected by VPD and drought stress (e.g., soil moisture and/or relative humidity) [8,42,44,45]. The strong relationship between  $c_i$  and VPD (Figure 3) mainly reflects the influence of drought stress on  $c_i$  through  $g_s$ .

Tree-ring  $\delta^{18}$ O was significantly correlated with the relative humidity and VPD (Figure 7). The magnitude of the leaf-water enrichment of leaf water  $\delta^{18}$ O depends on the ratio of vapor pressure in the atmosphere to intercellular spaces ( $\approx$  relative humidity), during which the leaf-water  $\delta^{18}$ O fractionation is related to  $g_s$  and the leaf boundary layer through transpiration [12,14,41]. Dry air (reduced relative humidity and increased VPD) causes a strong degree of the <sup>18</sup>O enrichment in leaf water through transpiration, thereby producing high tree-ring  $\delta^{18}$ O [12,13]. Leaf  $\delta^{18}$ O enrichment in *Larix* is expected to be controlled by the water availability, which in turn is usually controlled by the VPD [46,47]. The high explained variance in VPD reconstruction at the FOD scale further illustrates the controls of VPD on tree-ring  $\delta^{18}$ O (Figure 8). Several studies have shown a positive correlation between *Larix* tree-ring  $\delta^{18}$ O and VPD [46,47], and have used this relationship to reconstruct past VPD variations [47]. In addition, *Larix* is sensitive to drought and soil moisture because of low drought tolerance [48]. *Larix* will regulate water loss in response to drought and low water availability [49].

Tree-ring  $\delta^{18}$ O can reflect the effects of stomatal control on transpiration during the growing season, which can provide insight into past dynamics in  $g_s$  and A by combining with tree-ring  $\delta^{13}$ C [13]. However, several concerns must be taken into account applying this dual-isotope approach [41,50], mainly with regard to the spatial and temporal change of the  $\delta^{18}$ O of the source water. In our previous study, the trees grew in the same conditions and  $\delta^{18}$ O of precipitation (source water) did not change, based on  $\delta^{18}$ O data of snow/firn near our site [51]. Thus, it is reasonable to interpret the variability of the tree-ring  $\delta^{13}$ C and  $\delta^{18}$ O to understand the contributing factors to changes of *iWUE*. As a result, VPD reconstruction from tree-ring  $\delta^{18}$ O could indicate the magnitude of water stress and the partial  $g_s$  over isotopic fractionations. Specifically, increased VPD resulted in a decrease in  $g_s$  (i.e., 1996–2011), while decreased VPD led to an increase in  $g_s$  (i.e., 1877–1972) (Figure 8c).

The tree-ring width showed positive correlations with relative humidity and negative correlations with temperature and VPD during the growing season (Figure 6). These results indicate that tree-ring width was controlled by water availability. At an arid site, higher temperatures stimulate evapotranspiration and lead to a decrease in water availability, which affects the radial growth of larch [48]. This is confirmed by other studies [52,53] that also point to water availability as an important factor for tree-growth in this region.

# 4.2. Contributions of CO<sub>2atm</sub> and Climate to Changes in IWUE

An increased *iWUE* could result from an increase in *A* or a decrease in  $g_s$  or both [8]. Saurer et al. [35] proposed three theoretical scenarios to describe the responses of *A* and  $g_s$  to rising CO<sub>2atm</sub>: (1)  $c_i$  constant; (2)  $c_i/c_a$  constant; and (3)  $c_a/c_i$  constant. Both scenarios (1) and (2) would result in an increase in *iWUE* with different magnitudes under rising CO<sub>2atm</sub> [35]. In the present study, the increase in *iWUE* indicated proportional changes in  $c_i$  in response to changes in the CO<sub>2atm</sub>, and hence, a proportional regulation of *A* and  $g_s$  (Figure S2c, scenario 2 in Reference [35]). Growth experiments on C3 plants indicate that elevated  $c_i$  partly resulted from an increase in carbon demand during

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photosynthetic uptake under rising  $CO_{2atm}$  [7]. Increased  $c_i$  and iWUE with higher  $CO_{2atm}$  (Figure 9 and Figure S2, Supplementary Materials) have been observed in many Free-Air  $CO_2$  Enrichment (FACE) experiments [54]. However, long-term increases in iWUE are likely caused by both rising  $CO_{2atm}$  and climate change (e.g., increased drought stress or warming) [16–20,54]. The optimum  $\tau$ value method [9], which includes all of the  $c_i$  scenarios, along with the long-term climate variability (VPD), provides a method to quantify the contributions of climate and  $CO_{2atm}$  to iWUE.

In the present study, the increased rate of ciWUE (16.4%) in Northwestern China's larch was within the range of that observed in conifer tree species in Europe (22 ± 6%) [9]. The increase in ciWUE implied that rising CO<sub>2atm</sub> had a stimulation effect (about 48.8%) on *iWUE* (Figure 9), which was similar to the contribution of rising CO<sub>2atm</sub> to *iWUE* (50%) in larches from Northeastern Siberia [18].

During 1790–1876, the climate must have been the main contributor to *iWUE* variability because of nearly constant CO<sub>2atm</sub> before the industrial period (Figure 9b and Figure S2, Supplementary Materials). The reconstructed VPD showed an increasing trend during 1790–1819 (Figure 8) while the <sup>cc</sup>*iWUE* was constant. This situation likely corresponds to decreases in  $g_s$  according to the dual-isotope concept model [13]. During 1820–1876, a slight increase in <sup>cc</sup>*iWUE* (Figure 9b) but a significant decrease in VPD (Figure 8) indicated that A increased a little and  $g_s$  increased significantly, and as a consequence, *iWUE* decreased [13]. The high correlation coefficients between dual isotopes around 1820–1840 (Figure 2d) indicate that other climate parameters (e.g. temperature) also affected iWUE [18]. During 1877–1972, the contribution of  $CO_{2atm}$  to *iWUE* was higher than that of climate (Figure 9c), with a decrease in VPD and an increase in <sup>cc</sup>*iWUE* (Figures 8 and 9b) indicating that increasing *iWUE* may result from increases in A [13]. This can be supported by the low correlation between  $\Delta^{13}$ C and  $\delta^{18}$ O (Figure 2d), which indicates that the common control of  $g_s$  on dual isotopes decreased. A similar increase in *iWUE* was also found in the Norway spruce [15], where the increase in photosynthetic rate enhanced *iWUE*. Such an increase in A has also been confirmed by young larch trees in the Swiss alpine line in a 9-year FACE experiment [55]. Similar responses across many sites may point towards an optimization of leaf gas exchange and stomatal control [10].

During the recent three sub-periods (1973–1982, 1983–1996, and 1996–2011), however, the contribution of climate was two times higher than that of  $CO_{2atm}$ , regardless of the fact that both contributions of climate and CO<sub>2atm</sub> were increasing (Figure 9c). An enhanced relationship between dual isotopes since the 1970s (Figure 2) also indicates that climate variability (such as VPD; Figure 9d) resulted in the decrease of  $g_s$ . During 1973–1982, the reconstructed VPD was higher than the mean value over the past 222 years (Figure 8e), and <sup>cc</sup>*iWUE* increased (Figure 9a), which indicated a decline in  $g_s$  and an increase in A with rising CO<sub>2atm</sub>. For the period 1983–1995, the contribution of climate was lower compared to that during 1973–1982 (Figure 9c). The situation during 1983–1995 implies that the contribution of A to *iWUE* was largely counteracted by other climate factors. From 1996 to 2011, high VPD and increases in <sup>cc</sup>*iWUE* imply that high contribution of climate (83%) to *iWUE* led to a rapid increase in *iWUE* [13] (Figure 9). Larch will increase the stomatal closure in response to a continuous increase in air evaporative demand or VPD, leading to a reduction of gs that minimizes the influence of hydraulic failure [16,19,22]. This scenario is similar to the conceptual model developed by Scheidegger et al. [13], where tree-ring  $c_i$  was nearly constant; however, warming/drying trends tend to accelerate the rate of decrease in  $c_i$ -climate [16,19,20], resulting in a higher *iWUE* in 1996–2011 (Figure 9 and Figure S2, Supplementary Materials).

In summary, the dominant drivers of *iWUE* changed from  $CO_{2atm}$  (*A*) in 1877–1972, to climate in 1973–2011 (all three sub-periods). A similar transition has been reported for larch, where *iWUE* was controlled by both *A* and  $g_s$  to mainly  $g_s$  for the last two decades at the Central European Alps' tree line [4].

# 4.3. Implications of IWUE for Tree-ring Growth

Detecting the relationships between *iWUE* and tree-ring growth is important for predicting future boreal forest growth under projected climate conditions [9,10]. At our study site, the percent change of

*iWUE* showed significant but slight positive relationships with tree-ring width in 1877–1972 for old trees and in 1930–1972 for medium-aged trees (Figure 10 and Figure S3). These relationships were similar to the relationships between *iWUE* and tree-ring growth of trees that were used for the stable isotope measurements (Figure S4, Supplementary Materials). However, tree-ring width showed a weak negative relationship with percent change of *iWUE* during 1963–1972 in the 11-year consecutive interval periods for medium-aged trees (Figure S5, Supplementary Materials). These results suggest that *iWUE* had a weak effect on tree-ring growth and did not fully confirm the enhancement of tree-ring growth. The different regression trends between *iWUE* and tree-ring width at each core level (Figure 10) may be caused by the influences of the micro-environment (such as nutrient and individual differences in response to *iWUE* change) [20,22,25]. The relationships between tree-ring growth and *iWUE* are not straightforward in boreal forests. In some studies, *iWUE* did not lead to an enhancement of tree growth [16,17,56], but did in others [15,21], or *iWUE* was unable to be disentangled from other factors in tree growth [57]. Counteracting effects from climate and other factors may cause growth limitation by controlling the allocation of carbohydrate into wood growth [57]. In addition, higher CO<sub>2atm</sub> always leads to more growth in young trees compared to more mature trees. Faster carbon assimilation does not scale to greater carbon pool size, as we know by comparing tree plantations to old forest [58]. Radial tree growth will probably never be CO<sub>2atm</sub>-limited [58,59] and experimental CO<sub>2atm</sub> enrichment cannot identify consequences of rising  $CO_{2atm}$  for net ecosystem production [58]. These studies further explained the weak relationship between tree-ring width and *iWUE*. In the present study, the strengthened drought stress since 1983 (increase in VPD and temperature, and a decrease in precipitation, Figure 8 and Figure S1, Supplementary Materials) would further complicate the tissue formation constraints on tree-ring width (Figure 8). The negative effects of climate on tree-ring width would counteract the possible positive effects of increasing *iWUE*. Such counteracting effects were also reported in Northeastern China's boreal forests [56]. The reasons for the high density of wide rings in the old trees during the period 1973–1982 are unknown.

However, during 1996–2011, *iWUE* showed negative relationships with the tree-ring width both for old and medium-aged trees at the inter-annual and FOD scales (Figure 10 and Figure S3, Supplementary Materials). Climatic factors mainly contributed to the rapidly increased *iWUE* (Figure 9), and trees showed a high density of the narrower rings (Figure 10a,c). This implies that the high *iWUE* did not result in an enhancement but rather a decrease in tree growth. Trees are likely switched to a more conservative water-use strategy by enhancing stomatal control during the continuous drying trend, resulting in tree growth decline, even though *iWUE* increases [22,26].

# 5. Conclusions

We synthesized both tree-ring  $\delta^{13}$ C and  $\delta^{18}$ O to disentangle the contributions of climate and CO<sub>2atm</sub> to changes of *iWUE* based on an optimum "tau-approach" [9]. In the present study, *iWUE* increased over the past 222 years (1790–2011), which reflected a proportional regulation of *A* and *g*<sub>s</sub> to rising CO<sub>2atm</sub> (contributing 48.8% of the increase in *iWUE*) and climate. *iWUE* increased during 1790–1819 and decreased during 1820–1876, and was mainly driven by climate (drought) for these two periods. During 1877–1972, the increase in *iWUE* was mainly due to rising CO<sub>2atm</sub>. Afterwards, the increase in *iWUE* was again mainly caused by climate. In recent decades (1996–2011), climate mainly contributed (83%) to a rapid increase in *iWUE*. The increased *iWUE* showed a slight positive relationship with tree-ring width but cannot confirm any fertilization effects. The relationships between *iWUE* and tree-ring growth changed from positive during 1973–1982 and 1983–1996 to negative during 1996–2011. Concurrently, tree-ring growth showed a higher density of narrow rings during 1996–2011. These results indicated that a rapid increase in *iWUE* is not a signal of tree growth enhancement, but rather a possible pre-alarm of decline for larch in Northwestern China's boreal forests. This research helps us understand the long-term variability of *iWUE* and predict tree growth change of moisture sensitive boreal forests in arid Northwestern China.

**Supplementary Materials:** The following are available online at http://www.mdpi.com/1999-4907/9/10/642/s1, Figure S1. Comparison between meteorological data and CRU data for May-August (MJJA). Figure S2.Tree-ring  $\Delta^{13}$ C estimated  $c_i$ ,  $c_i$ -climate,  $c_i$ /  $c_a$ , and *iWUE* with their mean value change (dashed lines) for different periods. Figure S3. Scatter plots and linear regressions between percent change of *iWUE* and raw tree-ring width for medium-aged trees during each period at the high-frequency time scale. Figure S4. Scatter plot and linear regressions between percent change of *iWUE* and tree-ring width for the nine trees that were used in stable isotope measurements during each period at the annual scale. Figure S5. Scatter plots and linear regressions between percent change of *iWUE* and tree-ring width for ~11–year consecutive intervals for the period 1930-1972 at annual and FOD time scales.

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