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Optical Properties of Nitrogen-Substituted Strontium Titanate Thin Films Prepared by Pulsed Laser Deposition

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Abstract: Perovskite-type N-substituted SrTiO₃ thin films with a preferential (001) orientation were grown by pulsed laser deposition on (001)-oriented MgO and LaAlO₃ substrates. Application of N₂ or ammonia using a synchronized reactive gas pulse produces SrTiO_{3-x}:N_x films with a nitrogen content of up to 4.1 at.% if prepared with the NH₃ gas pulse at a substrate temperature of 720 °C. Incorporating nitrogen in SrTiO₃ results in an optical absorption at 370-460 nm associated with localized N(2p) orbitals. The estimated energy of these levels is \approx 2.7 eV below the conduction band. In addition, the optical absorption increases gradually with increasing nitrogen content.

Keywords: oxynitrides; strontium titanate; pulsed laser deposition; thin films; optical properties

1. Introduction

Perovskite-type oxides with an ABO_3 structure are attractive due to the large variation of their properties. These materials are widely used for applications in microelectronics or catalysis [1]. One possible way to change these materials' properties and to adjust them to specific applications is to change their chemical composition. Typically, the cationic stoichiometry in the A and B sublattices of the crystal structure is changed [2,3]. The second possibility, an anionic substitution, is a much less explored approach, no doubt due to the difficult synthesis of these materials [4]. However, this type of substitution is considered to be a very promising approach to modify various properties of perovskites [4-12].

The study presented herein was focused on the one-step preparation of nitrogen-substituted perovskite-type strontium titanate (SrTiO₃:N) thin films by pulsed laser deposition (PLD) and to investigate of the influence of the incorporated nitrogen on the thin films' optical properties. It has been reported that the incorporation of small amounts of nitrogen (0.06-0.26 at.%) into the lattice of SrTiO₃ or La-doped SrTiO₃ powders creates populated localized N(2p) levels inside the band gap of SrTiO₃ [13]. This change in the electronic structure results in visible light absorption, which is of interest for photochemical applications under visible light irradiation [13]. In a previous paper we have studied optical properties of SrTiO₃:N thin films with nitrogen contents of up to 2.3 at.%, which were deposited onto MgO substrates [14]. The aim of this study was to prepare films with a higher nitrogen contents than previously reported and to investigate their optical properties as a function of the nitrogen content.

2. Experimental

SrTiO₃:N thin films were prepared by PLD and a modification of conventional PLD, termed pulsed reactive crossed beam laser ablation (PRCLA). The latter deposition technique provides a better control over the anionic stoichiometry during ablation [15,16]. A specific feature of this technique is the utilization of a pulsed gas injection (gas pulse), synchronized with the laser pulse. The injected gas crosses the ablation plume close to its origin resulting in dissociation and activation of the gas pulse molecules via collisions with the high-energetic ablation plasma species. The utilization of oxygencontaining gases helps to overcome oxygen deficiencies in films [15], while the use of nitrogen (N₂) or ammonia (NH₃) allows the deposition of nitrogen-substituted films [14,17]. In this study, both nitridizing gases were used for the gas pulse and background gas. The background gas pressure was fixed at 8×10^{-4} mbar, whereas the total deposition pressure was between (1-2) $\times 10^{-3}$ mbar. The ablation of SrTiO₃ took place from a sintered, cylindrical ceramic target. Reference SrTiO_{3-x} thin films without incorporated nitrogen were deposited by standard PLD in vacuum ($P = 1 \times 10^{-5}$ mbar). One SrTiO_{3-x} film has also been grown by conventional PLD with an NH₃ background gas ($P = 1 \times 10^{-3}$ mbar) to compare the efficiency of both deposition techniques with respect to the nitrogen incorporation into the as-grown films.

Films with thicknesses of 500 ± 50 nm were deposited with a KrF excimer laser ($\lambda = 248$ nm, pulse duration 20 ns) at a laser fluence of 5.5 ± 0.5 J·cm⁻². The distance between the ceramic SrTiO₃ target and the substrate was 5.0 ± 0.1 cm. Two different (001)-oriented substrates were used: MgO

(a = 4.216 Å) and LaAlO₃ (LAO, a = 3.790 Å). The optimized substrate temperature (T_S) for the film deposition was 650 ± 25 °C. Several films were also grown at T_S between 570 and 720 °C to study the influence of the substrate temperature on the film composition and the respective optical properties.

The chemical film composition (Sr:Ti:O concentration ratio) was determined by Rutherford backscattering (RBS) [18] with an experimental uncertainty of typically $\pm 3\%$ for cations (Sr and Ti) and $\pm 5\%$ for oxygen. The nitrogen to oxygen ratio for the investigated films was calculated from a combined RBS and elastic recoil detection analysis (ERDA) approach with a relative experimental uncertainty of 3% (see table 1) [19]. This corresponds to an uncertainty of 0.12 at.% for the film with the maximum N content of 4.1 at.%.

Grazing incidence X-ray diffraction measurements on selected samples were performed on a Phillips X'Pert diffractometer (Cu K $_{\alpha}$ irradiation, incident angle of 1°, 2 Θ range of 20-80°, step width 0.05°, 0.5 s/step) to verify the phase composition of the deposited SrTiO₃:N films. The film texture was studied using a Siemens D5000 diffractometer (Cu K $_{\alpha}$ irradiation, 2 Θ range of 20-80°, step width 0.005°, 0.3 s/step) in the Θ -2 Θ mode along the c-axis of the substrate.

The transmittance, T, of these films was measured by a Cary 500 Scan UV-Vis-NIR spectrophotometer in the wavelength (λ) range of 190-2000 nm (6.5-0.6 eV). The measurements were performed in a two-beam configuration using a blank substrate as reference. The optical band gap energy (E_g) and energy level positions inside the band gap (E_N) were determined by linear extrapolation of $(A \cdot h v)^2$ vs. the photon energy in the appropriate linear region using the Tauc equation for direct electronic transitions [20]:

$$(A \cdot h \, \nu)^2 \sim (h \, \nu - E) \tag{1}$$

where $h\nu$ is the photon energy; E is the optical band gap energy or energy levels inside the band gap; A is the film absorbance estimated from the film transmittance as:

$$A = -\lg(T) \tag{2}$$

3. Results and Discussion

3.1. Crystal structure of SrTiO₃:N thin films

Grazing incidence XRD analysis of the deposited films revealed the formation of a pure perovskite-type phase. All observed film reflections are in good agreement with the $SrTiO_3$ diffraction pattern from the JCPDS database (Figure 1). XRD in Θ -2 Θ mode revealed a single (001) out-of-plane orientation for $SrTiO_3$:N films grown on (001) LaAlO₃ (Figure 2). Some of the (001) oriented films deposited on (001) MgO exhibit in addition a weak (011) contribution (insert in Figure 2). This indicates a non-perfect out-of-plane orientation of the $SrTiO_3$:N films grown on MgO which is likely to be related to the relatively large lattice mismatch of about +7.6%, compared to -2.9% for the LaAlO₃ substrates.

Figure 1. Grazing incidence diffraction pattern of SrTiO₃:N. All observed reflexes are in agreement with the reference data for SrTiO₃, confirming the perovskite-type phase purity.

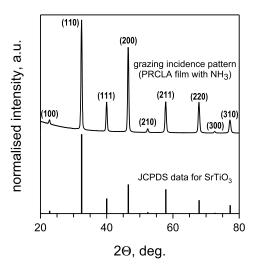
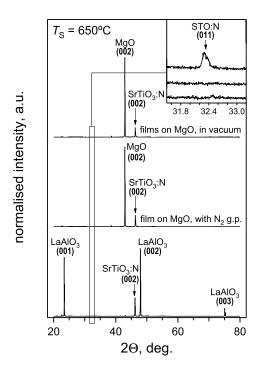


Figure 2. Θ -2 Θ diffraction patterns along the *c*-axis of films grown on (001)-oriented MgO and LaAlO₃ substrates. The insert shows the detailed region around the (011) film reflection. Films grown on LaAlO₃ exhibit a single (001) out-of-plain orientation, while some films deposited on MgO reveal a mixed-oriented growth.



The differences in the crystallinity of the $SrTiO_3$:N films on different substrates have no pronounced influence on the optical properties of the films. The data analysis and discussion in this paper is focused on the films deposited on LaAlO₃ substrates, although exactly the same phenomena were observed for the films grown on MgO. All films have a typical thickness of 500 ± 50 nm, allowing a direct comparison of their transmittance spectra.

3.2. Chemical composition of SrTiO₃:N thin films

Differences in the chemical compositions of films using the same deposition conditions but on different substrates lie within the statistical deviation. Thus, no pronounced influence of the used substrate on the chemical composition of the films was found. The elemental composition depends mainly on the deposition medium, i.e., which gas was used for the gas pulse. To analyze the film composition, all studied samples are grouped in three series: films grown in vacuum, with the N_2 gas pulse, and with the N_3 gas pulse. The average compositions for these film series are presented in Table 1. The stoichiometry factors for Sr, Ti and O were calculated assuming the following normalization of the film composition: $Sr_{1-z}Ti_{1+z}O_{3-x}N_y$, where z represents the deviation from the ideal cationic stoichiometry Sr:Ti = 1:1, x is the oxygen deficiency with respect to the ideal stoichiometry factor of 3, and y is the stoichiometry factor of nitrogen in the films. The amount of incorporated nitrogen is also often expressed by the relative content of nitrogen atoms, [N] (in atomic %):

$$Sr_{1-z}Ti_{1+z}O_{3-x}N_y$$
: $[N] = \frac{y}{5-x+y}$ (3)

It is noteworthy that the Sr content in these films (1-z) is consistently lower than the Ti content (1+z), which is not yet fully understood. This effect is more pronounced for films deposited under vacuum and with the NH₃ gas pulse. This effect has also been observed for SrTiO₃ films grown by conventional PLD [21], during the surface treatment of SrTiO₃ single crystals in an ammonia microwave-induced plasma [22] and during ion sputtering of a SrTiO₃ surfaces [23].

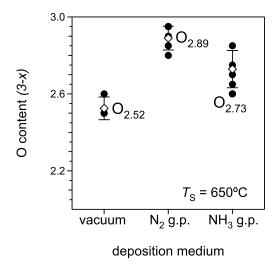
Table 1. Properties of the N-substituted SrTiO₃ films grown under different deposition conditions at 650 °C.

Deposition Conditions	Average Film Composition	$E_{\rm g} \pm 0.05$ [eV]	$E_{ m N} \pm 0.10$ [eV]
conventional PLD, NH ₃ background	Sr _{0.98} Ti _{1.02} O _{2.9} N _{0.032}		
PRCLA, under vacuum	Sr _{0.97} Ti _{1.03} O _{2.52}	3.36	
PRCLA, with N ₂ gas pulse	$Sr_{0.98}Ti_{1.02}O_{2.89}N_{0.049}$	3.38	2.70
PRCLA, with NH ₃ gas pulse	Sr _{0.95} Ti _{1.05} O _{2.73} N _{0.112}	3.38	2.68

Figure 3 shows the oxygen content for different deposition conditions. The oxygen content calculated from the RBS data is smaller than the ideal stoichiometric value of 3 for the three-dimensional perovskite-type structure. Oxygen deficiencies in films are a typical issue for oxide materials grown by PLD [15,24]. Light elements, such as oxygen, are almost always deficient in a film due to a larger degree of scattering in the plasma plume and a lower sticking coefficient on the heated substrate as compared to heavier metallic species. Different sources of oxygen (e.g., background gas, gas pulse, or RF-induced plasma) are used for the deposition of perovskite-type oxide films by PLD in order to improve the oxygen content in the films [15,24-26]. However, in the present study none of these oxygen sources can be used to improve the oxygen content in N-substituted SrTiO₃ films because a simultaneous use of oxygen- and nitrogen-containing sources yields N-free SrTiO₃ films [17]. This is due to the lower thermodynamic stability of the oxynitride phase with respect to the

pure oxide phase, which leads to the lower affinity of the growing film to the nitrogen species compared to oxygen species when both are present in the plasma plume.

Figure 3. Average oxygen stoichiometry factors of films prepared in vacuum, with the ammonia and the nitrogen gas pulse. The *open symbols* are the calculated mean values and the *error bars* show the respective confidence intervals for the different deposition runs.



The oxygen stoichiometry in the investigated films is different, although all three films series were deposited in oxygen-free media (vacuum, N_2 and NH_3 gas pulse). The lowest oxygen content is observed in reference films deposited at 1×10^{-5} mbar (vacuum). The obtained average oxygen stoichiometry factor of 2.52 ± 0.06 is quite similar to the value of 2.50 for the $SrTiO_{3-x}$ film grown at a pressure of about 1×10^{-7} mbar [27]. Films deposited by PRCLA with a N_2 or NH_3 gas pulse yields films with higher oxygen contents (Figure 3). This can be attributed to two effects:

- Plasma species in a laser plume have a much higher kinetic energy with a deposition in vacuum causing re-sputtering of light elements, such as O, from the surface of the growing film. During the deposition with the gas pulse, the ablated species are slowed down considerably and are not energetic enough to cause a significant re-sputtering [28-30]. As a result the oxygen content in a film becomes higher.
- A minor O_2 impurity in the used gases (~0.01%) and the very high affinity of the growing film to oxygen species become important for the final oxygen content in films. The average oxygen stoichiometry factors for films deposited with N_2 and NH_3 gas pulses are 2.89 ± 0.06 and 2.73 ± 0.10 , respectively.

A deposition with ammonia results in a lower oxygen content, probably due to the reducing properties of this gas. Here, hydrogen-containing species from the NH₃ gas pulse can react with oxygen species and hence reduce the amount of active oxygen. However, the oxygen content in these films is still higher than in the reference films deposited in vacuum.

Elastic recoil detection analysis confirms the absence of nitrogen in the reference films deposited in vacuum, while a deposition by conventional PLD or PRCLA yields films with incorporated nitrogen (Table 1). A comparison of these two techniques shows that the amount of nitrogen in these films is a

factor of 3.5 higher for PRCLA (2.31 at.%) when compared to conventional PLD (0.65 at.%) if the same nitridizing source (NH₃) is used. This result shows clearly the importance and advantages of using the synchronized reactive gas expansion in PRCLA to control the anionic composition of the film. An activation of the injected gas pulse molecules via collisions with the highly energetic ablation plasma species in the used experimental configuration yields very reactive N-containing species. This is a necessary boundary condition to have N incorporated into the growing film.

The relative nitrogen content in the films grown by PRCLA with different nitridizing sources is shown in Figure 4. The average nitrogen content in films deposited with the N_2 gas pulse is 0.99 ± 0.16 at.% while for the NH₃ gas pulse it is 2.31 ± 0.42 at.%. The pronounced difference in the nitrogen content between the samples grown with nitrogen and ammonia gas pulses can be explained as follows:

- Different dissociation energy of N₂ and NH₃ molecules. Active atomic nitrogen is probably the most important species for the formation of oxynitrides [31]. During the deposition of thin oxynitride films by PLD these species are mainly produced by the dissociation of the gas pulse and background gas molecules via collisions with the high energetic ablated species from the target. The N₂ molecule is thermodynamically very stable and has a dissociation energy of 945 kJ·mol⁻¹ (~9.8 eV) [32], which is considerably higher compared to the average dissociation energy for one N-H bond in an NH₃ molecule of 391 kJ·mol⁻¹ (~4.1 eV) [32]. Typical ion energies in PRCLA (close to the target) vary in the range of 5-15 eV [33]. Thus, it is possible to disproportionate both nitrogen and ammonia molecules and produce active N-containing species in the PRCLA process via collisions of the ablation plume species with the gas pulse molecules. However, smaller chemical bond energies and the possibility of a consecutive detachment of hydrogen atoms in NH₃ makes this process more likely if compared to N₂. This results in the higher concentration of atomic N species in the plasma, and in a larger nitrogen content in films grown with the NH₃ gas pulse.
- 2 Reducing properties of ammonia and related reaction products. As already pointed out, they can capture the oxygen species in the plasma and at the surface of the growing film, thereby reducing the oxygen content in films. This enhances the number of vacant anionic sites in the crystal lattice available for nitrogen incorporation.

The influence of the substrate temperature ($T_{\rm S}$) on the nitrogen content in SrTiO₃:N films was also studied in more detail. The variation of the nitrogen content vs. $T_{\rm S}$ in the films grown with the N₂ gas pulse is presented in Figure 5. The N concentration exhibits no obvious dependence on the substrate temperature. It increases slightly with increasing $T_{\rm S}$ in the range from 580-720 °C, probably due to an enhanced kinetics for the N incorporation [17]. Deposition with the ammonia gas pulse reveals a completely different influence of the substrate temperature (Figure 5). The nitrogen content increases with increasing $T_{\rm S}$ in the studied temperature range of 570-720 °C. The probable reason for the observed difference in temperature dependence for the N₂ and NH₃ gas pulse is the different thermal stability of these molecules. N₂ is thermally stable in vacuum at temperatures up to 720 °C, while NH₃ molecules can dissociate at the heated substrate surface, producing different N- and H-containing species, such as N, NH, NH₂, H, N₂, H₂. The presence of additional atomic nitrogen combined with the reducing properties of H-containing species can result in additional nitrogen incorporation, yielding

the larger final N content in the film. The dissociation velocity of ammonia is larger at higher temperatures, resulting in a higher degree of dissociation and therefore a higher nitrogen content in the films which reaches values of up to 4.06 ± 0.39 at.% at $T_{\rm S}$ of 720 °C. This value is considerably larger than the nitrogen content in SrTiO₃:N reported elsewhere [13] and only limited by the heater design used in this study. A higher N-content of up to 8.4% can be realized at higher temperatures and different preparation conditions [22,34].

Figure 4. Average nitrogen content of films prepared in vacuum, with the ammonia and the nitrogen gas pulse. The *open symbols* are the calculated mean values and the *error bars* show the respective confidence intervals for the different deposition runs.

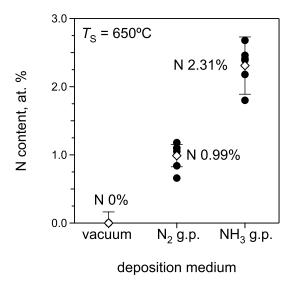
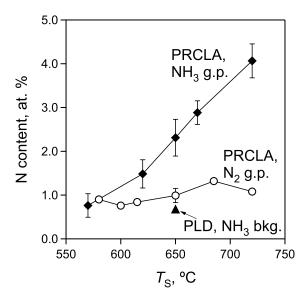


Figure 5. Substrate temperature dependence of the nitrogen content in SrTiO₃:N films grown by PRCLA with the different gas pulses and by conventional PLD with an ammonia background.

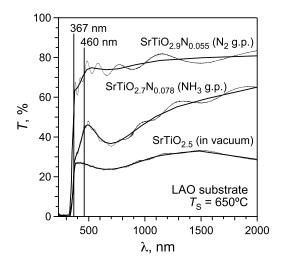


3.3. Optical properties of SrTiO₃:N thin films

Figure 6 shows the UV-vis-NIR transmission spectra of SrTiO₃:N films deposited on LAO substrates in different deposition media. As-acquired spectra (*thin lines* on the graph) reveal typical interference fringes resulting from the interference of the light reflected from the film surface and film-substrate interface. For better visualization of the spectra the "average" curves were plotted through the half-maxima of the interference fringes (*thick solid lines* in Figure 6). The transmittance spectra exhibit three different regions where light absorption occurs through different mechanisms:

- 1. Absorption of IR and visible light in a wavelength range of 460-2,000 nm, which corresponds to photon energies of \sim 0.6-2.7 eV. Absorption of these low-energetic photons is attributed to the electronic transitions within the conduction band of reduced SrTiO₃ [35]. Therefore, films with larger anionic deficiencies (i.e., with higher Ti³⁺ contents) reveal stronger absorption and lower transmittance (T) in this wavelength region, i.e., T (film in vacuum) < T (film with NH₃ gas pulse) < T (film with N₂ gas pulse).
- 2. A broad absorption band at wavelengths below 367 nm (3.38 eV) is attributed to the band gap of SrTiO₃ and occurs through excitation of the valence band electrons to the conduction band. The large electron density in the valence band results in an almost complete absorption of UV light in this wavelength region.
- 3. The absorption shoulder between 367 and 460 nm is a specific feature of N-substituted SrTiO₃ which is not observed in stoichiometric or reduced strontium titanate [13]. This absorption shoulder is attributed to the electron transitions from the localized populated N(2p) states to the conduction band.

Figure 6. Optical transmittance spectra of the films grown on LaAlO₃ substrates at T_S of 650 °C.



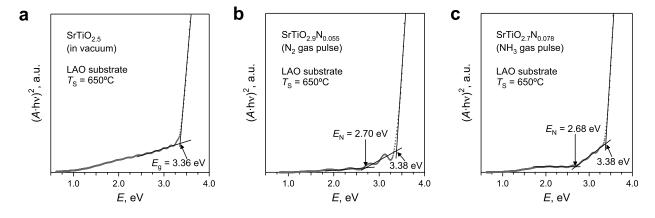
In $3d^0$ perovskites, such as SrTiO₃, the top of the conduction band is formed by the O(2p) orbitals [20,36]. The energy diagram is changed when N atoms substitute O in the perovskite-type structure. The energy of the N(2p) orbitals is higher compared to oxygen. The populated N(2p) levels are therefore located inside the band gap, close to the top of the valence band in the energy diagram of

SrTiO₃. It has been shown, that for small nitrogen concentrations of ~ 0.06 -0.26 at.% in SrTiO₃ the N levels inside the band gap are isolated [13], whereas for higher nitrogen concentrations (e.g., 20 at.% in LaTiO₂N) the N orbitals "shift up" the top of the conduction band, therefore decreasing the band gap energy [5,8].

The absorption spectra of the studied $SrTiO_3$:N films reveal only an absorption shoulder at 367-460 nm due to the nitrogen incorporation, but not a complete absorption of the light, which is characteristic for the reduction of the band gap. This suggests that nitrogen forms separated N(2p) energy levels inside the band gap, which is similar to previously published data [13]. It is noteworthy that $SrTiO_3$:N films with a larger N content (grown with the NH₃ gas pulse) reveal stronger absorption associated with the N(2p) states when compared to the films grown with the N_2 gas pulse, where the nitrogen content is smaller (Figure 6). This confirms also the above suggested assumption of separated N(2p) levels.

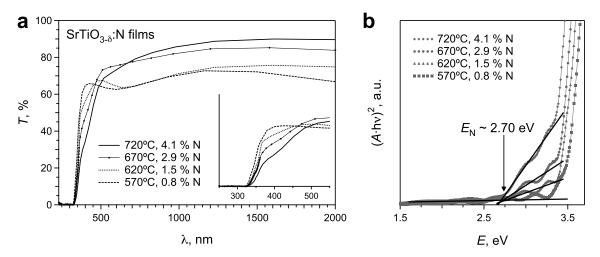
The band gap energy (E_g) and energy of the localized N(2p) levels (E_N) with respect to the Fermi level are calculated from the Tauc plots (Figure 7). Reduced SrTiO_{3-x} reference films without incorporated nitrogen reveal only one slope corresponding to the band gap energy of ~3.36 eV (Figure 7a), whereas N-substituted films exhibit an additional slope, corresponding to the N(2p) levels with an energy, E_N , of ~2.70 eV (Figure 7b and c). The calculated band gap energy of 3.38 \pm 0.05 eV is essentially the same for all studied films (Table 1). This value is larger than the band gap energy in the stoichiometric bulk $SrTiO_3$ ($E_g = 3.20$ eV, [37]). The difference is most probably related to the different composition of the films, i.e., anionic deficiency and/or the small Sr understoichiometry. The energy of the N(2p) levels in SrTiO₃:N films $E_N = 2.70 \pm 0.10$ eV is similar to the 2.8 eV reported in the literature for $SrTiO_3$:N powders [13]. The formation of the localized N(2p) states inside the band gap of N-substituted SrTiO₃ results in the absorption of visible light photons and, therefore, suggests a potential application of these materials for photochemical applications. It has been shown previously [13] that a photocatalytic oxidation of gaseous 2-propanol to acetone by SrTiO₃:N powders is possible under visible light irradiation. The incorporation of a larger amount of nitrogen and inhibiting the formation of Ti3+ in N-substituted SrTiO3 would improve the potential of the oxynitrides for photocatalytic applications [13,38].

Figure 7. Tauc plots derived from the transmittance spectra of SrTiO₃:N films deposited (a) in vacuum, (b) with the nitrogen, and (c) with the ammonia gas pulses.



As mentioned above, the nitrogen content in SrTiO₃:N films deposited with the ammonia gas pulse increases considerably with an increase of the substrate temperature (Figure 5). It is therefore possible to study the influence of a larger N content on the optical properties of the films. The transmission spectra of SrTiO₃:N films deposited on LaAlO₃ substrates with the ammonia gas pulse at different substrate temperatures are shown in Figure 8a.

Figure 8. (a) Transmittance spectra of SrTiO₃:N films deposited with the ammonia gas pulse at different substrate temperatures. The insert illustrates the increase of the absorption within the "N absorption shoulder" wavelength region with an increase of the nitrogen content. (b) The corresponding Tauc plots for these films. The increase of the nitrogen content to 4.1 at.% does not change the energy of N levels inside the band gap.



The absorption shoulder at λ of 367-460 nm increases with an increase of the nitrogen content in the films grown at higher temperatures due to the larger density of the N(2p) states inside the band gap. The Tauc plots confirm this observation and reveal no essential change of the energy of the nitrogen levels for different N contents in the films (Figure 8b). The films grown at higher substrate temperatures exhibit in addition to the enhanced optical absorption in the range of 367-460 nm also a higher transmittance in the IR region, which can be attributed to the smaller Ti³⁺ content in the films. Both factors (i.e., increased optical absorption in the visible range and lower Ti³⁺ content) are favorable for possible photocatalytic applications. Hence, the most promising conditions for the deposition of SrTiO₃:N films for photocatalytic applications are PRCLA with ammonia for the gas pulse and substrate temperatures above 700 °C.

4. Conclusions

We have shown that a one-step preparation of textured N-substituted SrTiO₃ thin films by PRCLA on MgO and LaAlO₃ substrates is possible. The nitrogen content in the films can be tailored by a selection of the nitridizing source and adjusting the deposition parameters, i.e., mainly the substrate temperature. Films grown with the N₂ gas pulse reveal lower N contents of 0.99 \pm 0.16 at.% as compared to films deposited with NH₃ with an average N content of 2.31 \pm 0.42 at.%. The N content in

films deposited with the NH₃ gas pulse increases from 0.76 ± 0.27 to 4.06 ± 0.39 at.% with increasing the substrate temperature (570 °C to 720 °C). The incorporation of nitrogen in SrTiO₃ results in the formation of localized N(2p) states located above the top of the valence band in the energy diagram. This leads to an optical absorption of visible light at 370-460 nm, which may be of potential interest for photo catalytic applications. The optical absorption at these wavelength increases with an increase of the nitrogen content in the films. The best conditions for the deposition of SrTiO₃:N films with the highest N content and the smallest Ti³⁺ concentration are: PRCLA with an ammonia gas pulse and a substrate temperature above 700 °C.

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