



Article Development of a Low-Depth Modular GHX through a Real-Scale Experiment

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Abstract: The global energy sector is aiming to rapidly transform energy systems into those less dependent on fossil fuels to reduce their harmful effects on the climate. Although ground source heat pump (GSHP) systems are more efficient than conventional air-source heat pump (ASHP) systems, the high initial investment cost, particularly for a vertical closed-loop type ground heat exchanger (GHX), makes it difficult to incorporate them into small buildings. This paper proposes a low-depth modular GHX for reducing cost and improving the workability of GSHPs. A modular GHX is a cubical structure comprising tubes and buried using an excavator at a depth 4 m below the ground surface. This GHX is manufactured at a factory, carried by a small truck, and then installed by a small lift or a backhoe such that it can be installed in small buildings or narrow spaces at low depths underground. In this research, the performance and feasibility analyses of modular and vertical GHXs were conducted via a real-scale experiment. The results demonstrate that the modular GHX influences the workability of GSHPs by 91% during the heating period and 70% during the cooling period. In contrast to the conventional HVAC, the modular and vertical GHXs could recover the initial investment costs in 4 years and 10 years, respectively.

Keywords: ground source heat pump; low-depth modular ground heat exchanger; heat exchange rate

1. Introduction

Global energy demand is gradually increasing owing to technological advances, but, owing to the indiscriminate use of fossil fuels in the past, the Earth is already facing problems such as abnormal weather and global warming. Carbon dioxide emissions from energy usage are the primary drivers of global climate change, and energy consumed by buildings accounts for 35% of the total and 38% of carbon emissions in the world (Figure 1) [1]. Despite this, reduction in the energy consumption of buildings has yet to be addressed. According to the 5th Basic Plan for Renewable Energy published by the Government of the Republic of Korea, the final energy consumption ratio between standard power and heat is 43% and 57%, respectively; however, for renewable energy, an imbalance between electricity supply (73%) and heat supply (27%) occurs [2]. Therefore, geothermal heat pump systems are believed to be capable of supplying renewable heat energy.

Geothermal heat pump systems are gaining attention as they exhibit considerable potential as high-efficiency systems that can utilize the relative constancy of temperature of the earth throughout the year. Of these systems, 80% are vertical closed-loop type GHXs which have high costs associated with boring and drilling due to the high initial investment costs, limiting research conducted on geothermal systems. Figure 2 shows an analysis of the initial investment costs for vertical-closed loop ground heat exchangers (GHXs). Boring and drilling costs account for 35% of the total initial investment costs. (To estimate the



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initial investment cost, quotations were obtained from several geothermal construction companies in South Korea).

Figure 1. Global share of final energy and emissions owing to buildings and construction, 2019.



Figure 2. Share of the initial investment cost for a vertical closed-loop GHX in South Korea.

In addition, several studies have been conducted to overcome the disadvantages of conventional geothermal heat pump systems. Chen et al. proposed a numerical analysis of factors affecting the performance of vertical closed-loop GHXs [3]. The increase in the EWT velocity in the GHX enhances heat convection in the U-tube. Further, Kim et al. conducted tests to analyze the performance factors of vertical closed-loop GHXs in the long and short term [4]. Eswiasi and Mukhopadhyaya proposed an innovative design of the U-tube pipe to increase the thermal efficiency of vertical closed-loop GHXs [5]. Selamat et al. analyzed and presented the differences between the optimal pipe spacing and the material by conducting a thermal performance numerical analysis [6]. Ali et al. conducted an extraction performance analysis according to the shape of horizontal GHXs [7]. Piselli et al. conducted studies on historical buildings, focusing on energy repair and building energy performance, using horizontal GHXs [8]. Studies have been conducted on improving the efficiency and performance of GHXs; however, a new GHX that could be installed even at low depths was required, with economical drilling and boring costs. Therefore, this paper presents low-depth modular GHXs. Kim et al. conducted extensive research on low-depth modular GHXs [9]. The extraction performance of the modular geothermal heat exchanger was analyzed via a numerical analysis, and the feasibility of the modular geothermal exchanger was compared with that of the existing air conditioning system and the vertical GHXs. In addition, studies have been conducted to better predict the performance of modular GHXs via numerical analyses [10]. However, limited empirical studies have been

conducted on low-depth modular GHXs. Further, the performances of vertical closed-loop GHXs and low-depth modular GHXs have not been sufficiently compared under the same conditions. When developing new systems, empirical data are crucial for securing the reliability of the system; further, when developing low-depth modular GHXs, data should be obtained during the demonstration testing phase. In addition, comparative analyses with closed-loop GHXs under the same ground conditions are essential for performance verification of low-depth modular GHXs under development, as GHXs are considerably affected by load. Therefore, in this paper, we propose a modular GHX that can be installed at low depths and compare its performance with that of a vertical closed-loop GHX of the same length to determine the feasibility of the proposed low-depth modular GHX.

2. Low-Depth Modular GHX

The modular GHX, which can be installed at low depths (2–10 m), reduces the initial investment cost associated with the drilling and boring of vertical closed-loop GHXs. Compared with horizontal closed-loop GHXs, which are heavily affected by surface flux, vertical closed-loop GHXs can be installed at a slightly deeper depth; further, concrete can be poured on the vertical closed-loop GHXs to prevent pipe loss to cope with pipe breakage during the installation process. In addition, preface-style, pre-factory-built hooks that could facilitate the transfer of GHXs to trucks were installed everywhere for ease of construction. In addition, the number of modular units can be varied and installed according to the building load. The proposed modular GHX can be applied to small buildings or urban areas with less installation space. Figure 3 shows an overview of the low-depth modular GHX.



Figure 3. Conceptual design of the low-depth modular GHX.

3. Research Method

Set-Up of Real-Scale Experiment

Herein, a real-scale experiment site was set up to understand the heat exchange rate (HER) of the modular GHX during heating and cooling periods to compare the performance of the modular GHX and vertical GHX under the same conditions. The experiment site was located in Yangsan, South Korea (latitude 35.4° N, longitude 129.1° E). The average temperature and precipitation in Yangsan were $15 \,^{\circ}$ C and $1892.5 \,$ mm, respectively. Figure 4 shows a schematic of the demonstration site and indicates the location of the temperature sensor installation. Three modules of the modular GHX were connected in parallel, 4 m below ground level, and separated by a distance of 1 m. The total pipe length of one modular GHX unit is 67.3 m. A vertical GHX with a length equal to that of one modular GHX was manufactured and installed. In addition, a temperature sensor was installed 1 m

from the GHX at a depth of 3 m to measure the temperature before and after the operation of the modular GHX to analyze the temperature change. Reference temperature sensors were installed 6 m from the modular GHX to observe the changes in its temperature as it continued to operate. Each feature is listed in Table 1. Figure 5 shows the demonstration site. As with Figure 5a, the modular GHX was pre-produced and transported to the demonstration site and installed by excavating the ground using a backhoe, as shown in Figure 5b. Figure 5c is a photo of the devices used and the demonstration sites.



Figure 4. Real-scale experimental site.

Table 1. Specifications of the modular and vertical GHXs.

	Modular GHX	Vertical GHX
Pipe diameter	40	А
Pipe length	67.27 m	70 m
Heat transfer area/Unit	8.45 m ² (25.36 m ²)	8.80 m ² (26.40 m ²)
Pipe material	HDPE (High-dens	sity polyethylene)

Cases 1 and 2 are used when the modular GHX is operated alone to check the underground temperature. In addition, Cases 3 and 4 were performed to compare the heat collection performance of the modular and vertical GHXs under the same conditions (underground, ambient air temperature, and load conditions). We also proceeded with Cases 5 and 6 to determine the COP (Coefficient of performance) for each modular and vertical closedloop GHX (Table 2). Table 3 summarizes the temperature limit during heating and cooling, along with the heat source and the heat storage tank. The heat source temperature-limiting condition is to exclude the cases where the use of the air-source heat pump is an advantage. In addition, the HST limit temperature is for proper heating and cooling operation.



(c) Construction of demonstration site

Figure 5. Demonstration site.

Table 2. Experimental conditions.

Case	GHX	Operating Period	Mode	Flow Rate	FCU Capabilities	Purpose
1	Modular	3rd February–28th February	Heating	85 LPM	Heating: und	For analyzing the
2	Modular	23rd June–18th July	Cooling	85 LPM		underground temperature
3	Modular + Vertical	3rd February–28th February	Heating	Modular: 70 LPM Vertical: 70 LPM	Cooling:	For comparison of the heat
4	Modular + Vertical	15th June–27th June	Cooling	Modular: 70 LPM Vertical: 75 LPM	17,600 KCal/ n	modular and vertical GHX
5	Modular	3th June–8th June	Cooling	54 LPM		For COP comparison of
6	Vertical	31st May–3rd June	Cooling	50 LPM		each GHX

Table 3. Mode-setting conditions.

Mode	Limited Temperature of the Heat Source	Limited Temperature of HST
Heating	4 °C	50 °C
Cooling	30 °C	10 °C

The amount of underground heat collected at this time was calculated using the temperature difference between the entrance and exit of the underground heat exchanger, as follows:

$$Q = c \times m \times (EWT - LWT) [W]$$
(1)

(Q: calorific value (W), c: specific heat (kJ/kg °C), m: mass (kg), EWT (C), and LWT (°C)) The coefficient of performance was calculated as follows:

$$COP = \frac{E_{th}}{E_{el}}$$
(2)

(E_{th} : output thermal energy (kWh) and E_{el} : input electrical energy consumption (kWh))

4. Experiment Result

4.1. EWT Temperature Change Analysis

In this experiment, the performance of the modular and vertical GHXs was compared under the same conditions (external temperature, load condition, flow rate, building load, etc.). Figure 6 shows the temperature difference between the modular and vertical GHXs in terms of EWT (entering water temperature) and LWT (leaving water temperature) during the heating period (Case 3). Before the operation, the temperature was approximately 15.6 °C; however, after the operation commenced, the EWT of the modular GHX decreased to 9.9 °C, and the EWT of the vertical GHX to 10.4 °C. This is believed to be because the EWT of the vertical GHX returns owing to the extraction of the underlying heat at depths of 30 m or more with a higher underground temperature. In response, the EWT of the vertical GHXs in terms of EWT and LWT were 15.1% at 1.33 °C and 1.57 °C, respectively. The external temperature at which the experiment was conducted was 5.9 °C, and the temperature of the 3 m load was 16.8 °C; further, the EWT is considered to be more advantageous in terms of efficiency compared with the conventional air-heat-based heat pumps, as the EWT is 9.9 °C for the modular GHX and 10.4 °C for the vertical GHX.



Figure 6. EWT and LWT temperature difference during heating.

Figure 7 shows the difference in EWT and LWT temperatures during cooling (Case 4). The external temperature was approximately 24.1–23 °C before the start of the operation; however, as the operation commenced, the temperature of the modular GHX dropped to 17.3 °C and that of the vertical GHX, which was 30 m deeper, dropped to 16.5 °C. Cooling began and the EWTs of the modular and vertical GHXs increased to 22.0 °C and 21.5 °C, respectively. The EWT of the vertical GHX (1.83 °C) differed by 26.6% from the LWT (2.49 °C). The temperature of the 3 m load was 17.4 °C.



Figure 7. EWT and LWT temperature difference during cooling.

4.2. HER Analysis

Figures 8 and 9 show the HER during heating and cooling when operating long-term operation without considering recovery operation. The vertical GHX's heating HER during operation was averaged at 5.86 kWh and the cooling HER at 11.17 kWh. The modular GHX's heating HER during operation was averaged at 4.65 kWh and the cooling HER at 6.44 kWh. When the recovery operation was not considered during heating, the HER for the vertical GHX was 5.24 kWh and for the modular GHX it was 3.80 kWh; further, during extraction, it was 10.60 kWh for the vertical GHX and 5.52 kWh for the modular GHX. Instead of long-term continuous operation, if the operation corresponded to the building's preemptive schedule, higher efficiency was observed.



Figure 8. HER comparison during heating.



Figure 9. HER comparison during cooling.

4.3. Underground Temperature Analysis

To determine the change in the designated temperature during the operation period of the modular GHX, a temperature sensor was installed 3 m between the modular units.

Then, the temperature at the reference point was measured to determine the change in the ground temperature during extraction and injection while the heat pump was activated.

Figure 10 shows a change in the ground temperature during heating (Case 1); the initial ground temperature of 16 °C dropped to 7.6 °C. This showed that the operation began to change to extraction of the underlying temperature, and the ground temperature remained at a similar level until 14 February. Figure 11 shows that at a ground temperature of 14 °C, the cooling operation commenced at 25.6 °C, as heat release continued. Operation (Case 2) began and continued to increase for 15 days but has since remained at a similar level. This is believed to be because the limit temperature of the heat source during heating was set to 4 °C, and the limit temperature of the heat source during to 30 °C. If a complex heat-source system was established to restore the temperature, higher efficiency could be observed.



Figure 11. Temperature change in the load (-3 m) during cooling.

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4.4. Performance Comparison and COP Analysis

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Figure 12 shows a graph of the HER vs. the temperature differences between EWT and LWT during the initial 24-h operation for comparing the performances of the vertical and modular GHXs (Case 5, 6). The HER of the modular GHX was 5.14 kWh and that of the vertical GHX was 5.63 kWh, demonstrating that the modular GHX is 91% more compatible than the vertical GHX. Further, the EWT and LWT temperature differences were 1.01 °C and 1.11 °C, respectively. The modular GHX with extraction at a depth of 4 m showed a temperature difference as low as 0.1 °C. In addition, the HER of the modular GHX was 7.38 kWh and that of the vertical GHX was 10.77 kWh, i.e., the HER of the modular GHX was 70% of that of the vertical GHX during cooling. Further, the EWT and LWT temperature differences were 1.52 °C and 2.17 °C, respectively.



Figure 12. Performance comparison between heating and cooling.

The average COPc over the same period was 4.02 for the modular GHX and 4.24 for the vertical GHX (Figure 13). A high EWT was supplied to the modular GHX installed at low depths; therefore, the COPc was relatively low, and the difference was 4.7%.



Figure 13. Comparison of COPc.

5. Feasibility Analysis

5.1. Introduction Feasibility Overview

In this study, to examine the feasibility of the introduction of modular GHXs instead of vertical GHXs, the initial investment cost recovery period was compared with the existing air conditioning system, and this was further utilized as an indicator of economic analysis. All costs, including the initial investment cost, operating cost, and replacement and repair costs, were converted to the current value by applying the net present value (NPV) method. The applied NPV method is the same as the expression (3) to the expression (5) [11]. The actual discount rate was calculated as 1.14% by assuming a nominal discount rate of 3.50%, the average value of the three-year Treasury yield during the Bank of Korea's 11-year (2006–2015) period, and applying the average inflation rate of 2.33% over the last 11 years.

$$P_F = \frac{F}{\left(1+i\right)^n} \tag{3}$$

$$P_A = \frac{A[(1+i)^n - 1]}{i(1+i)^n}$$
(4)

$$i = \frac{(1+i)}{(1+k)} - 1 \tag{5}$$

where P_F represents the current value of non-recurring cost after *n* years, P_A represents the current value of repeat cost after *n* years, and F_N represents the non-recurring cost a year

later. Moreover, *A* is the recurring cost, *I* is the actual factor, *j* is the nominal rate, and *k* is the inflation rate.

The PNNL standard housing model was selected as the target building for introduction feasibility analysis (Figure 14). The dynamic thermal energy analysis simulation program TRNSYS analyzed the cooling and cooling load of the target building and calculated the system capacity (Table 4). The simulation input conditions were based on ASHRAE 90.1-2004 [12,13], and the U-value met the building energy-saving design standards [14]. Air-conditioned operation hours considered the conditions of single-family homeowners (Table 5) [15]. The cooling and heating peaks of the building were 9.26 kW and 4.42 kW, respectively.



Figure 14. Simulation model and monthly load.

Table 4. Characteristics of the building.

Parameter	Assumption
Conditioned floor area	220.7 m ²
Height	two-story, 2.59 m
Perimeter length	42.5 m
Window area	Fifteen percent equally distributed to the four cardinal directions
Door area	3.71 m^2

Table 5. Simulation input parameters.

Parameter	Input Value
People	$2.7/100 \text{ m}^2$
People sensible heat gain	70 W/person
People latent heat gain	45 W/person
Lighting power density (LPD)	10.76 W/m^2
Electric power density (EPD)	2.69 W/m^2
Infiltration flow	0.7/h
Heating setting temperature	20 °C
Cooling setting temperature	26 °C
Insulation condition	Energy-saving design standard

5.2. Estimating Initial Investment Costs

The installation cost was based on the actual geothermal construction company's quote and the reference price per renewable energy source [16]. Moreover, the initial investment cost of the geothermal exchanger was the same as is listed in Table 6. The installation cost was calculated by considering the air-conditioning area of the 3RT capacity according to the load of the target building [17]. Initial investments in modular GHX,

vertical GHX, and existing air conditioning systems were 12,650 USD, 24,020 USD, and 8,420 USD, respectively. The modular GHX was able to reduce initial investment costs by an average of 47% compared with the vertical GHX.

Table 6. Cost comparison between vertical GHX and modular GHX.

	Modular GHX	Vertical GHX
Excavation	620 USD	4770 USD
Pipe casing	-	350 USD
Grouting	440 USD	620 USD
Making	1770 USD	620 USD
Labor	270 USD	530 USD
Total	3100 USD	6890 USD

5.3. Estimating Annual Operation Costs

Since 2009, the South Korean government has provided general electricity bills instead of progressives to geothermal cold and heating electricity bills to revitalize the housing supply for renewable energy. Therefore, the geothermal cooling and heating charges were calculated by applying a general electricity charge and the cooling charge of the existing air conditioning system by applying progressive agents. For calculating operating costs, COP used the values of 4.04 and 4.24 calculated through estimation tests, and the air-heatsource system was assumed to be 2.5. The modular GHX's performance was evaluated by assuming heating of 5.14 kWh and cooling of 7.38 kWh. The performance of the vertical GHX was evaluated by assuming heating of 5.63 kWh and cooling of 10.77 kWh. In addition, 84% heating efficiency was obtained by selecting a company K household oil boiler to calculate the heating fee of the existing air conditioning system, and the diesel price was referenced to the domestic oil price trend. Table 7 lists the COP and annual operation costs for each system based on this. The annual cost of the existing air conditioning system was the highest at 1830 USD, whereas the geothermal heat pump system with the modular GHX at 542 USD and vertical GHX at 510 USD, which was only 30% of the conventional HVAC system.

Table 7. Cost comparison between vertical GHX and modular GHX.

	Ann	ual Operation Cost	
	Conventional System	Vertical GHX	Modular GHX
Heat pump COP	2.50	4.24	4.04
Annual operation cost	1830 USD	510 USD	542 USD

5.4. Introduced Feasibility Analysis Results

To review the feasibility of modular GHX and vertical GHX production, installation costs were compared and reviewed based on 3RT capacity. The initial investment costs for the modular GHX and vertical GHX per unit were 3100 USD and 6890 USD, respectively, and modular geothermal exchangers saved approximately 55% more than vertically closed geothermal exchangers. The annual operation costs of the vertical GHX, modular GHX, and existing air conditioning systems were 510 USD, 542 USD, and 1,850 USD, respectively. The initial investment cost recovery period was analyzed to be 4 years for the modular GHX and 10 years for the vertical GHX. Therefore, it was found that the LCC gradient change was similar for both the vertically closed and modular GHXs, but the factor having a significant economic impact was the initial investment cost, as shown in Figure 15.



Figure 15. Payback period.

6. Conclusions

In this study, an empirical site was established to compare the performance of a modular GHX and vertical GHX under the same conditions. Moreover, the possibility of the introduction of modular GHXs through feasibility analysis was analyzed.

- (1) During heating, the HER test results of the modular GHX and the vertical GHX was 5.14 kWh and 5.63 kWh, respectively, showing that the modular GHX can perform at 91% of the level of the vertical GHX. During cooling, the HER test results of the modular GHX and the vertical GHX were 7.38 kWh and 10.77 kWh, respectively, showing that the modular GHX can perform at 70% of the level of the vertical GHX.
- (2) During continuous operation experiments, the 3 m temperature of the load on the modular side was lowered by 8.4 °C when heating; however, the temperature increased by 11.6 °C during cooling operation. With the introduction of optimal control or a combined-heat-source system, higher efficiency can be expected if the ground temperature recovery is considered for operation.
- (3) The initial investment cost per unit was a saving of 55% with the modular GHX at 3100 USD and the vertical GHX at 6890 USD.
- (4) The COPc for the modular GHX and vertical GHX was 4.04 and 4.24, respectively. The annual operation cost was 6% higher for the modular GHX at 542 USD, with that of the vertical GHX at 510 USD.
- (5) The feasibility of introducing the modular GHX was analyzed, and it was found that the initial investment cost recovery period for the vertical GHX and the modular GHX is 10 and 4 years, respectively. Therefore, introducing the modular GHX was valid, and the factor that had the largest impact on economic feasibility was the initial investment cost.

The module GHX can perform at 80% of the vertical closed-loop GHX and reduce initial investment costs by 55%. The initial investment cost of the modular GHX varied depending on the depth of installation, the number of installation units, and the type of ground, but compared to vertical closed-loop GHX, where drilling and boring are essential, the economic feasibility was outstanding. Owing to the low initial investment and operating costs compared to conventional HVAC systems, the modular GHX was found to be a valid system considering the increasing life cycle of buildings, and it is expected to be applicable in urban areas and small buildings.

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Abbreviations

GSHP	Ground source heat pump
GHX	Ground heat exchanger
EWT	Entering water temperature
LWT	Leaving water temperature
HDPE	High-density polyethylene
HP	Heat pump
FCU	Fan coil unit
HST	Heat storage tank
LPM	Liter per meter
RT	Refrigeration ton
COP	Coefficient of performance
NPV	Net present value
PNNL	Pacific Northwest National Laboratory
LCC	Life cycle cost

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