

Article

Computational Tool to Support the Decision in the Selection of Alternative and/or Sustainable Refrigerants

Guilherme Jesus ¹, Martim L. Aguiar ^{1,2} and Pedro D. Gaspar ^{1,2,*} 

¹ Department of Electromechanical Engineering, University of Beira Interior, Rua Marquês de D'Ávila e Bolama, 6201-001 Covilhã, Portugal

² C-MAST—Center for Mechanical and Aerospace Science and Technologies, Rua Marquês de D'Ávila e Bolama, 6201-001 Covilhã, Portugal

* Correspondence: dinis@ubi.pt

Abstract: There have been consequences regarding the increment of the greenhouse effect, such as the rise in the planet's global temperature, and climate change. Refrigerants have an important contribution to the aforementioned environmental impact. In particular, hydrofluorocarbons (HFCs) contribute to the destruction of the ozone layer and the increase of the greenhouse effect. Protocols, international agreements, and legislation were developed to slow down the emission of greenhouse gases. Prohibition and definition of deadlines for the gradual elimination of various refrigerants have been proposed to replace them with others that are environmentally sustainable. Soon, the refrigeration sector will have to replace some refrigerants with others that are alternative and/or sustainable with minimal or zero environmental impact. A computational tool to support decision-making regarding the selection of alternative and/or sustainable refrigerant to replace the old one is developed to be used by refrigeration companies, manufacturers, and installers. A suggestion of refrigerants with reduced environmental impact is provided, ensuring similar thermal performance and energy efficiency, considering the safety level and renovation cost of the installation and refrigerant itself. This decision support system (DSS) uses an objective function that includes the technical specifications and properties of alternative and sustainable refrigerants. The computational tool is applied in the agri-food sector in three case studies. The results show not only the consistency of the computational tool, but also its flexibility, objectivity, and simplicity. Its use allows companies to choose refrigerants with reduced environmental impact, reduced or zero ozone depletion potential and global warming impact, thus contributing to environmental sustainability.

Keywords: computational tool; HFCs; objective function; environmental impact; alternative refrigerants; sustainable refrigerants; sustainability



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1. Introduction

Refrigerants are substances or mixtures of substances, which are used in refrigeration systems and air conditioners as mediator fluids, responsible for performing heat transfers, undergoing a reversible phase transition, i.e., from the liquid state to the gaseous state and vice-versa [1]. The heating and cooling equipment involved uses electricity as a source of energy, which is still dependent on fossil fuels, subsequently causing many environmental problems on a global scale. This heavy reliance on conventional energy for the functioning of cooling equipment contributes to the increase in the prices of these energy sources [2].

Refrigeration plays a key role in sustainable development as it has many applications in different areas of daily life. The most commonly used refrigerators and air conditioning systems employ the traditional vapor compression re-chilling system (VCRS). However, the energy consumption of this type of equipment is very high and its working substances (refrigerants) create environmental problems that need to be solved urgently [3].

VCRS systems are responsible for about 30% of the total energy consumption worldwide and this amount can increase when system malfunctions occur, such as refrigerant

leaks, however, due to their high coefficient of performance (COP), the use of VCRS will continue to expand worldwide (especially in developing countries) [4].

Chlorofluorocarbon (CFC), hydrochlorofluorocarbon (HCFC) and hydrofluorocarbon (HFC) fluids are chemically synthetic substances, generally used as working fluids due to their excellent thermodynamic and chemical properties. Despite the aforementioned advantages, CFCs and HCFCs contain chlorine which reacts with ozone and gradually destroys the atmospheric ozone layer [4].

In 1974, scientists Rowland and Molina published a paper describing the decline in the thickness of the ozone layer, particularly over the continent of Antarctica. Following the presentation of this study, the 'Vienna Convention for the Protection of the Ozone Layer' was organized to take strong measures for the protection of the ozone layer and served as the basis for the 'Montreal Protocol' [5].

The Portuguese agri-food industry is essentially composed of micro, small and medium enterprises [6]. This sector is highly fragmented due to the great variety of products and production processes, but, given its heterogeneity, it is a sector with huge potential to increase its energy efficiency due to delays in the implementation of energy efficiency measures and renewable energy sources, misuse and waste of energy caused by the great diversity of processes [7]. The environmental issues of global warming are currently forcing supermarket owners to adopt alternative technologies that offer a lower cooling load, with consequent reduction of energy consumption and a lower environmental impact. In order to respond efficiently to the problem, natural refrigerants have been receiving increased attention for their use in supermarket refrigeration systems, especially the use of R744, carbon dioxide, in the low-temperature circuit of cascade systems which have become a commercial alternative [8]. Increasing energy demand as well as rising prices have sounded the alarm for the scientific community as well as policymakers to look for other cheaper and available energy sources so that conventional energy use can be checked. The demand for refrigeration and air conditioning using conventional energy can now be reduced to some extent by using solar energy, biogas, biomass, and geothermal energy [2]. Optimization of any thermodynamic process could be a better option from the point of view of energy conservation. There are many parameters that can affect the performance of cooling equipment and a complete study based on the second law of thermodynamics corresponds to the standard methodology to optimize the design of systems for better performance. However, cooling equipment powered by renewable energy also needs to be evaluated in economic terms in order to understand the feasibility and viability of these systems, since this methodology gives more flexibility in realizing a more efficient system [2].

1.1. The Problem under Study and Its Relevance

The use of refrigerants such as CFCs, HCFCs and HFCs has significant detrimental environmental impacts, such as stratospheric ozone depletion and global warming as they contribute about 70% of the man-made ozone depletion potential (ODP) chemicals in the atmosphere [9]. Due to ODP and global warming potential (GWP), several refrigerants have already been banned or deadlines set for their elimination, namely the CFCs that were banned in the Montreal Protocol (1987) [1]. Frozen ready-to-eat products that can be easily prepared and consumed are the big bet of the moment and, in addition, the increase in global temperature has consequently fired the air conditioning market, as refrigerants are better known [10]. Given this growing adherence to refrigeration systems, conventions have been held and protocols signed with the aim of phasing out refrigerants with negative environmental impact. The authorization and provisional solution (until 2040) to use HFCs, which are alternatives to CFCs, was given under the Kyoto Protocol. As the deadline approaches, the search for environmentally friendly alternative refrigerants has become a challenge for researchers working in this area. Natural refrigerants are one of the most desirable alternatives as they have inherently zero ozone depletion (ODP) as well as negligible contribution to global warming increase (GWP) [11]. In the current scenario,

all refrigeration systems should also be analyzed, giving importance to economic and environmental issues in addition to thermodynamic aspects. To protect the environment from further degradation, the refrigerants used in any system should have zero ODP and very low GWP seeking to achieve sustainability in the processes [12].

In the context of agro-related industries, from agriculture to retail, and due to the evolution of computational resources, there has been the development of decision support systems (DSS) based on mathematics, statistics and artificial intelligence, to support energy efficiency, production optimization, environmental impact and sustainable management [13]. Some DSS have been developed for irrigation decision-making and water management [14–21], crop yield estimation [22], fruit diseases [23], energy consumption and performance of agri-food facilities [24–27], food logistics and distribution [28,29], commercialization time of perishable food products [30] and their pricing [31,32].

The main objective of this study lies in the development of a computational tool to support decision-making in the selection of refrigerants with reduced environmental impact, ensuring similar thermal performance and energy efficiency, considering the cost of the refrigerant and upgrading the refrigeration system. This tool must have capabilities such as ease of access, as well as the ability to understand, analyze, and interpret the results. After surveying the most diverse characteristics associated with alternative sustainable refrigerants, the model was applied in companies, giving them the best possible solution for their specific case in terms of the replacement of their refrigerant. With the increased demand for efficient, economical, and safe cooling systems for the rapidly growing chilled/frozen food industry, optimizing low temperature refrigeration systems using environmentally friendly refrigerants has now become one of the most important goals to achieve [33].

1.2. Evolution of the Refrigerants

Halogenated refrigerants used in cooling systems currently pose a threat to the environment when vented into the atmosphere because of their ODP and GWP [3]. The first large scale environmental impact caused by the activity of the refrigeration-based industries was ozone depletion. Chlorine-based refrigerants are stable enough to reach the stratosphere, where chlorine atoms act as a catalyst to destroy the stratospheric ozone layer, which protects the Earth's surface from ultraviolet (UV) radiation, altering the dynamic balance of ozone formation and consumption and causing its depletion [34]. Table 1 shows, in chronological order, the measures taken in light of the events held to date and demonstrates the set of restrictions imposed on the use of various refrigerants [35].

Table 1. Historical evolution of refrigerants taking into account their environmental impact.

Year	Event	Measure
1985	Vienna Convention	Recognition of the various consequences of CFC use and demonstration of great concern by major companies
1987	Montreal Protocol	Regulation of the production and consumption of “ozone-depleting substances”, focusing particularly on CFC gases, which have a high ozone-depleting potential and, in addition, a high global warming potential
1990	London Amendment	Phase-out definition of all CFC, halon and carbon tetrachloride based refrigerant gases in developed and developing countries.
1992	UNFCCC	Inclusion of HCFCs in the list of “ozone-depleting gases” in a phase-out process, in this case only for developed countries, using commonly used refrigerants such as R22 and R123

Table 1. Cont.

Year	Event	Measure
1997	Kyoto Protocol	The HCFC phase-out is extended to all countries and the methyl bromide phase-out is scheduled for 2005 and 2015, in developed and developing countries respectively
1999	Beijing Amendment	Tighter controls on HCFC production and marketing
2015	Paris Agreement	Proposed an early freeze date to reduce the damage caused by refrigerants but this was not accepted by all countries Formulation of a long-term low greenhouse gas emission development strategy (“Long-term Strategy”)
2016	Kigali Amendment to the Montreal Protocol	Phase-down definition of hydrofluorocarbons (HFCs) due to their high GWP value

Halogenated refrigerants, such as CFCs, HCFCs, and HFCs, are chemical compounds obtained from methane and ethane hydrocarbons by replacing hydrogen atoms with chlorine and fluorine atoms. If the hydrogen atoms are replaced by a halocarbon, it is fully halogenated. When halogenated refrigerants are leaked from equipment during operation or by accident, they contribute to the depletion of the ozone layer and to global warming [4].

Although leakage is usually in small quantities, it is a major source of greenhouse gas (GHG) emissions due to the high GWP of these refrigerants. Moreover, refrigerant released from equipment leads to insufficient system charge and negatively affects the performance of the equipment, resulting in high energy consumption. On the other hand, HFCs contain no chlorine or bromine, but are greenhouse gases that affect the overall temperature of the earth’s surface. In short, all these refrigerants contribute significantly to environmental impact and climate change. To effectively meet global environmental issues, all these refrigerants need to be replaced by others with reduced ODP and GWP [1]. In addition, the performance of heat exchangers must be improved to increase efficiency and therefore reduce the indirect emissions of GHG, associated with the energy consumption of the refrigeration systems. One of the alternatives is to replace these halogenated refrigerants with natural refrigerants, such as hydrocarbons (HCs) [1].

1.3. Substitution Strategies

As a result of the Montreal Protocol, CFC production was completely eliminated in developed countries in 1996 and in 2010 in developing countries, consequently, CFCs have been replaced by less harmful HCFC refrigerants. HCFCs are expected to be completely eliminated by the end of 2030 in developed countries and by 2040 in developing countries [3]. Developed countries then started using HFCs, which have no impact on the ozone layer but still have high GWP. Proposals to decrease HFCs are also being discussed under the Montreal Protocol [1]. Countries have generally been aggressive and effective in implementing protocols and their subsequent amendments to slow and reverse the consequences of the presence of refrigerants with high ODP, specifically those containing chlorine and bromine, in the stratosphere [36].

To achieve the desired effect, protocols have been issued over the years, namely in 2006, 2009, and 2014, in which, although the articles have changed slightly, the scope is the same. They all have, without exception, the objective of phasing out refrigerants with a harmful effect on the ozone layer. Regulation 842/2006 of the European Parliament and of the Council of 17 May 2006 was issued with the aim of “containing, preventing and thereby reducing emissions of the fluorinated greenhouse gases covered by the Kyoto Protocol. It applies to the constant fluorinated greenhouse gases . . . ” [37].

Regulation No. 1005/2009 of the European Parliament and of the Council of 16 September 2009 was issued mainly for substances that deplete the ozone layer, the scope of which is related to establishing “rules concerning production, import, export, market placement, recovery, recycling, reclamation and destruction of substances that

deplete the ozone layer, the communication of information about these substances and the import, export, placing on the market and use of products and equipment containing or relying on these substances” [38].

Regulation No. 517/2014 of the current European Parliament and of the Council of 16 April and 2014 was issued in order to further protect the environment by reducing emissions of fluorinated greenhouse gases [39]. To this end:

- It establishes rules on the containment, use, recovery, and destruction of fluorinated greenhouse gases and on related ancillary measures;
- It imposes conditions on the placing on the market of specific products and equipment containing, or whose functioning relies upon, fluorinated greenhouse gases;
- It imposes conditions on the specific uses of fluorinated greenhouse gases;
- It establishes quantitative limits on the placing on the market of hydrofluorocarbons.

Regarding the evolution of greenhouse gas emissions in Portugal, and according to the most recent update of the National Inventory of Emissions 2021 (for the year 2019), GHG emissions, without accounting for emissions from land use change and forests (LULUCF), are estimated at about 63.6 Mt CO₂e, representing an increase of about 8.1% compared to 1990 and a decrease of 5.4% compared to 2018. Considering the LULUCF sector, total emissions in 2019 are estimated at 55.8 MtCO₂e, corresponding to a decrease of 7.2% compared to 1990 and a decrease of 7.9% to 2018 [40]. After the rapid growth experienced during the 1990s, national emissions slowed down in the early 2000s, with a subsequent decrease in national emissions, particularly after 2005. These trends largely reflect the evolution of the Portuguese economy, which was characterized by strong growth associated with increased energy demand and mobility in the 1990s, followed by a situation of stagnation and recession, especially in the period 2009–2013. In the following years, there was a reversal of that trend. In 2019, GDP recorded a positive variation of 2.2%, slowing down compared to the previous year in which it grew by 2.8%, but maintaining a growth that has been verified since 2014. Total emissions in 2019 decreased by 5.4% compared to 2018, with this reduction being mostly associated with the “energy industries” sector, which registered a sharp drop of 27.2% compared to 2018, as a result of the higher proportion of renewable energy in the national electricity production, associated with the replacement of coal by natural gas in thermoelectric production, and greater use of electricity imports [41].

Both in Portugal and throughout the European continent, a roadmap is being followed for the transition into a competitive low-carbon economy in 2050. This roadmap has been outlined as an economically advantageous way to achieve the necessary emission reductions in the European Union by 2050. Non-CO₂ emissions, including fluorinated greenhouse gases, but excluding emissions from agriculture, are to be reduced by 72–73% by 2030 and 70–78% by 2050 compared to 1990 levels. Based on the full application of existing Union legislation, emissions in 2030 are projected to be 104 Mt CO₂e, which requires a further decrease of approximately 70 Mt CO₂e. The European Parliament then decreed in the same resolution the need to opt for alternative refrigerants by phasing out hydrofluorocarbons on an international scale also following the Montreal Protocol [41].

1.4. Properties of Alternative Refrigerants

Refrigerants play a key role in the refrigeration cycle, influencing its operation and all equipment in the installation, as they allow the heat formed in the cold zone to be absorbed and transferred to a hot zone (outside environment) through evaporation and condensation processes [42]. The thermodynamic properties of a refrigerant are essential to predict the behavior of a refrigeration system and its performance. Excellent thermodynamic proprieties involve a boiling point just below ambient temperature, a critical temperature above ambient temperature, a high normal boiling point, and a high heat of vaporization [4]. There is no refrigerant that gathers all of these desirable properties, this means that when a certain fluid is applied in a certain type of refrigeration installation, it is not always recommended for use in another, even if it is equivalent. The perfect refrigerant is the one

that brings together the greatest possible number of qualities, relative to a given purpose and objective [42].

Table 2 specifies a set of proper properties of refrigerants [35].

Table 2. Desirable requisites and properties of refrigerants.

Requirement	Properties
Chemistry	Stable and inert
Health, Safety and Environment	Non-toxic
	Non-flammable
	Low GWP
Thermal	High latent heat
	Critical point and boiling point appropriate for the application
	Low specific heat in vapor state
	Low viscosity
	High thermal conductivity
Others	Reasonable solubility/miscibility with lubricants
	Low melting point
	Easy leak detection
	Low cost

It is a very long list of qualities and none of the refrigerants can be considered ideal and adaptable to all applications. However, special attention needs to be paid to the selection of the most sustainable refrigerant for a given application based on an overall assessment [43]. It is crucial to raise awareness and consciousness for the use of environmentally friendly refrigerants, such as hydrocarbons (HCs), hydro-fluorophenolines (HFO), R744 (carbon dioxide) and R717 (ammonia), as alternatives so that it may be possible to reduce ozone depletion and global warming, and so making refrigeration systems using these refrigerants futureproof. Within these refrigerants, natural refrigerants stand out for the lower environmental impacts that they have and for being more appropriate within the perspective of sustainable technological development [1].

According to the laws established in the European Parliament regarding bans on certain refrigerants in the coming years, as of 2020 R404A will no longer be used in new units and facilities in the European Union and, from that date, facilities may not be loaded with more than 10.2 kg of R404A. In recent years, a range of alternative HFC blends to R404A has emerged, such as R407A, R407F, R442A, etc., all with corresponding trade names. From 2022 onwards, a new F-gas regulation prohibits the use of HFCs in refrigerated cabinets and centralized installations of more than 40 kW [44].

2. Materials and Methods

In order to carry out the development of the computational tool, there is a prior need to characterize in detail the refrigerants in question, analyzing those which are abolished, those which will have the same fate in the near future and finally those alternative refrigerants with reduced GWP and high sustainability. Always keeping in mind the legislation imposed by the European Commission which, over the years, has adopted measures in order to progressively eliminate the production and emission of greenhouse gases and to protect our planet.

2.1. Materials

Many refrigerants have been phased out and those with significant GWP not yet phased out will eventually exit the market in accordance with EU regulations. However,

companies still using such refrigerants need to change the refrigerants in their plants and industrial processes soon to meet regulations. To do so, they will have to use refrigerants with low or zero GWP. According to Annex IV of Regulation N°517/2014 issued in 2014, and which is still in force, alternative refrigerants that appeal to sustainability and could be used in industrial and commercial refrigeration processes are as follows:

- R-1234ze (hydrofluorophelines);
- R-170 (Ethane);
- R-290 (Propane);
- R-600a (Isobutane);
- R-717 (Ammonia);
- R-744 (Carbon Dioxide);
- R-1150 (Ethylene);
- R-1270 (Propylene).

Although there is no exact formula when choosing a refrigerant, there are some details that should be considered when making the decision, so that it is possible to acquire the ideal option for a specific case. Therefore, the following factors should be considered in the decision-making process [45]:

- Availability;
- Cost-benefit;
- Quality;
- Safety.

Since the objective of this study culminates with the development of a decision support tool for the consumer for a refrigerant that appeals to sustainability, the technical and thermodynamic data must be known, described, and presented. Next, Table 3 presents the various characteristics of each of these alternative refrigerants that will serve as a basis for the decision system in order to optimize the process of selecting the “ideal” refrigerant for companies in the sector.

Table 3. Operating conditions for alternative and/or sustainable refrigerants.

Refrigerant	Refrigeration			
	Very Low Temperature	Low Temperature	Medium Temperature	High Temperature
R-1234ze			✓	✓
R-170	✓	✓		
R-290		✓	✓	✓
R-600a			✓	✓
R-717		✓	✓	✓
R-744		✓	✓	✓
R-1150	✓	✓		
R-1270		✓	✓	✓

Once we have gathered the properties of the coolants that are about to be accepted by companies (consumers) in terms of safety, quality, cost-effectiveness, availability, thermodynamic characteristics, and their contribution to sustainability, we can build a decision support tool that will report the best possible choice for the user, always considering guidelines and needs to maximize satisfaction.

In summary, when comparatively analyzing the refrigerants based on the characteristics and thermodynamic properties that are described in Tables 3 and 4, characteristics must be taken into account in order for the decision support tool to realize the desired goal. This goal should culminate in the selection of the ideal refrigerant for the user in question,

based on their economic and financial guidelines and on issues of energy efficiency, cooling capacity, availability of the refrigerant and on the refrigerant's contribution to sustainability.

2.2. Methods

The tool was developed in Excel with the aim of making it accessible to the user insofar as all the operations for the user will be deductive and simple and will allow them to reach a conclusion in a few steps, all of them justified based on user choices, preferences, and guidelines. Figure 1 shows the user interface, which, after the fields are filled in, offers a recommendation of the ideal refrigerant, giving three options in descending order of priority. The decision support system then consists of three parts. The first part requires the identification of the user, the company, and role. The second part consists of a questionnaire with the following questions:

- Do you use any of these old refrigerants?
- Regarding the operating temperature, what do you want?
- Which lubricating oil do you use?
- How concerned are you about the cost/benefit of replacing the refrigerant?
- What is your concern regarding the quality of operation when replacing the refrigerant?
- What is your concern regarding the safety of refrigerant replacement?
- What is your concern regarding availability of refrigerant replacement?
- What is your concern regarding the environmental impact of refrigerant replacement?

1 - Identification	
Name	
Company	
Position	
2 - Questions	
Questions	Your answer
Do you use any of these old refrigerants?	
Regarding the operating temperature, what do you want?	
Regarding lubrication oil, which one do you use?	
How concerned are you about the cost/benefit of replacing the refrigerant?	
How concerned are you about the quality of operation when replacing the refrigerant?	
How concerned are you about the safety of refrigerant replacement?	
How concerned are you about availability when replacing the refrigerant?	
How concerned are you about the environmental impact of replacing the refrigerant?	
3 - Recommendation	

Figure 1. Decision support tool layout.

To make it simple to answer the questions imposed by the program, there are drop-down boxes in the cells where the answers are, in which all the possibilities are presented to facilitate the filling-in process, as shown in Figure 2.

2 - Questions	
Questions	Your answer
Do you use any of these old refrigerants?	R-22
Regarding the operating temperature, what do you want?	R-134a
Regarding lubrication oil, which one do you use?	R-13
How concerned are you about the cost/benefit of replacing the refrigerant?	R-503
How concerned are you about the quality of operation when replacing the refrigerant?	R-502
How concerned are you about the safety of refrigerant replacement?	R-143a
How concerned are you about availability when replacing the refrigerant?	R-404a
How concerned are you about the environmental impact of replacing the refrigerant?	R-12

Figure 2. Example of dropdown boxes in the tool.

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