

Article

# Life-Cycle Assessment of Alternative Envelope **Construction for a New House in South-Western Europe: Embodied and Operational Magnitude**

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Abstract: The building envelope is critical to reducing operational energy in residential buildings. Under moderate climates, as in South-Western Europe (Portugal), thermal operational energy may be substantially reduced with an adequate building envelope selection at the design stage; therefore, it is crucial to assess the trade-offs between operational and embodied impacts. In this work, the environmental influence of building envelope construction with varying thermal performance were assessed for a South-Western European house under two operational patterns using life-cycle assessment (LCA) methodology. Five insulation thickness levels (0–12 cm), four total ventilation levels (0.3–1.2 ac/h), three exterior wall alternatives (double brick, concrete, and wood walls), and six insulation materials were studied. Insulation thickness tipping-points were identified for alternative operational patterns and wall envelopes, considering six environmental impact categories. Life-cycle results show that, under a South-Western European climate, the embodied impacts represent twice the operational impact of a new Portuguese house. Insulation played an important role. However, increasing it beyond the tipping-point is counterproductive. Lowering ventilation levels and adopting wood walls reduced the house life-cycle impacts. Cork was the insulation material with the lowest impact. Thus, under a moderate climate, priority should be given to using LCA to select envelope solutions.

Keywords: LCA; environmental impact; house; building envelope; thermal performance

# 1. Introduction

Households represent around 27% of the European Union's (EU) final energy consumption. To address this, EU regulatory efforts have been enacted to promote energy efficiency, and the new EU Green Deal roadmap aims to encourage that EU building stock (new and existing buildings) become energy and resource efficient. To support new building developments, a life-cycle perspective is recommended since reducing operational energy through improved building envelopes is likely to affect the impact of other life-cycle phases of new buildings.

Life-cycle assessment (LCA) has been extensively used to study residential buildings [1,2], building options [3,4], and building construction [5]; however, most studies have focused on primary energy and/or CO<sub>2</sub> emissions, disregarding other environmental impacts. Review articles on LCA of buildings [6,7] agree that comparing different studies is not linear because building characteristics (size, shape, construction, and occupation) vary with location and climate, and the studied methodological



assumptions (functional unit, lifespan, life-cycle impacts, exclusions) widely vary [8,9]; although some trends can be climate specific, each study helps to explain a climatic and regional context.

Studies covering cold climate houses in developed countries have concluded that the operational phase has a preponderant weight in the total life-cycle of the building [1,10]. Moreover, studies of conventional buildings in different countries (Sweden [11], Kazakhstan [12], Alaska, USA [13], Spain [14], Portugal [15]) have showed that operational energy is dominant, representing 60–90% of the total environmental impacts. Thus, reducing heating and cooling is essential. Interestingly, a study that provided an LCA benchmark for dwellings in North Italy and Denmark [16] showed that, in North Italian case studies, operational impacts accounted for 69–76%, and embodied impacts accounted for 24–31% of the overall impact, whereas in Danish cases, the impacts per life-cycle phase are reversed due to the low impact of the future Danish energy grid. This shows that life-cycle results are also highly sensitive to specific regional conditions other than climate, such as the energy mix.

Dylewski [17] studied the environmental impact of diverse thermal insulation materials for exterior walls in Poland, considering alternative heat sources, in order to find the optimal insulation thickness considering both economic and ecological net present value of insulation (as an investment). Results showed that significantly higher thicknesses were recommended when considering environmental data as compared to economic data—for instance, 0.46–0.52 m of expanded polystyrene (EPS) insulation considering brick walls and a heat pump system. Some LCA studies assessed low energy and passive houses [18–20], in which operational energy is substantially reduced. Generally, when operational energy is reduced, the relative contribution of embodied impact rises and therefore a life-cycle perspective is essential [21].

In low energy houses, embodied energy can amount to 50–70% of the life-cycle energy [22], and the building envelope is accountable for a significant share of embodied impacts. Consequently, alternative building options must be carefully assessed in new dwellings and, again, a life-cycle assessment study to support decision-making at an early building design stage is desirable.

Some studies covered South European dwellings [14,21,23–27]. However, a trend regarding which life-cycle phase has the most impact in new houses located in South Europe under a mild climate was not determined. Embodied and operational impacts are both significant, but their life-cycle contributions appear to be highly sensitive to construction options, energy systems, operation/occupation behavior, and regional aspects. The electric production mix (share of renewable) is essential to characterize the environmental impacts of the use phase [14,28,29]. Additionally, operational heating and cooling behavior can significantly affect a study outcome [23,30].

Thus, the prevailing strategies for cold climate houses should not be directly transposed onto other building or climatic contexts [31] because, depending on the local context, the embodied impact may surpass the operational impact. Studying alternative passive architecture measures and their influence on operational energy of buildings in Spain, a recent study [32] concluded that, for some climate regions, a few passive strategies could reduce operational energy to the passive house level: north–south orientation, small window-to-wall ratio (<20%), insulated envelope (U =  $0.35 \text{ W/m}^2\text{K}$ ). Nevertheless, the authors recognize that user behavior remains unaddressed. Furthermore, as a life-cycle perspective was not considered, the embodied energy of the building measures was not assessed.

In South-Western Europe, many houses are exposed to a moderate Mediterranean warm climate, and interior comfort (operational patterns) may be dependent on user behavior (influenced by cultural heating habits and economic constraints). Thus, typical operational energy levels of these houses are lower than in most North and Central European countries [28]. According to Lavagna et al. [10], a considerable part of the environmental life-cycle impacts of EU building stock is associated with single family houses located in moderate climates, and new houses in this climate have not been widely assessed considering user behavior.

Regarding building components, exterior walls comprise a significant part of the construction embodied impact [29,33], and roofs were also identified as significant [34], especially for top-floor dwellings. A recent life-cycle study has assessed 114 flat roof alternatives for a Portuguese apartment

located in Lisbon considering environmental, energy, and economic criteria [34]. The functional unit assessed was  $1 \text{ m}^2$  of roof used during a 50-year lifetime. The study concluded that, with an identical insulation layer, the roof impacts can vary widely among alternatives (e.g., the best non-accessible roof can lower CO<sub>2</sub> emissions by 30%).

The goal of this study is to assess the life-cycle environmental influence of key building envelope options (with varying thermal performance) for a South-Western European compact house located in Portugal in a moderate Mediterranean climate. This research investigates how operational and embodied impacts of a house vary with building envelope alternatives in order to identify the alternatives with the lowest impacts. LCA and building dynamic simulation were integrated to assess the following envelope construction options throughout the walls and roof: five insulation thickness levels (0–12 cm), four total ventilation levels (0.3–1.2 ac/h), and six insulation materials. In addition, since exterior walls represent most of the building envelope area, three exterior wall construction alternatives (double brick, concrete, and wood walls) were also considered.

## 2. Materials and Methods

LCA methodology [35] was used to assess the environmental impact of building envelope alternatives for a new South-Western European house located in a mild Mediterranean warm climate in Coimbra, Central Portugal (1460 heating degree days). An attributional LCA approach [36] was selected since it was not expected that the flows within the supply chains would change as a consequence of the adoption of the alternatives assessed. A process-based life-cycle inventory was built based on previous research [23,28,37] and using average background data. The functional unit selected was to build and use a house (for a 4-person family) during its lifespan. A lifespan of 50 years was assumed since it is a common lifespan considered for buildings in the literature [8,37]. The life-cycle study included three life-cycle phases: construction, operation (heating and cooling), and maintenance of the building and envelope alternatives. Furthermore, these phases are considered the most significant and amount to the majority of a building's life-cycle impacts (82–98%, based on [16]).

The construction phase included material production, transport to the construction site, and on-site construction processes (considering a 5% material waste factor). Materials and techniques commonly used in Portugal during the last few decades were assumed. The environmental impacts of building material production and transport were aggregated by average construction product or process and assessed based on European background data from ecoinvent v3.2 [38], using SimaPro 8.3 software [39].

Maintenance activities that preserve the physical characteristics of the building during its lifespan (painting, vanishing, and roof water-proof layer replacement) were taken into account based on data from local construction material producers [40,41]. Detailed information regarding the maintenance activities schedule can be found in [28]. Their environmental impact was assessed based on background data from ecoinvent v3.2.

The annual heating and cooling loads for the house and the various building alternatives were obtained by thermal simulation in DesignBuider © v3.0 [42], which is a dynamic thermal simulation tool based on the Energyplus calculation engine (tested and validated under the comparative standard method of test BESTEST and ANSI/ASHRAE Standard 140–2011). Operational patterns were considered to better represent mild climate modest energy (heating and cooling) use, typical of Portuguese dwellers. In the LCA, the heating and cooling electric energy requirements obtained by thermal simulation were converted to life-cycle environmental impacts using inventory data for the Portuguese electricity generation mix in 2012 [43]. In the last few years, Portugal has consistently had a large share of electricity generated from renewable energy sources when compared to other European countries, which influences the operational life-cycle impact.

Information regarding the case study definition, namely construction and alternative construction scenarios considered, can be found in Section 2.1, while operational phase details and the operational patterns considered are presented in Section 2.2.

In the life-cycle impact assessment (LCIA) stage, two well-known LCIA methods were used. These were the cumulative energy demand (CED) method, to account for the non-renewable primary energy (NRPE), and the CML 2001 method, to account for the following environmental impacts [44]: abiotic depletion, global warming potential (GWP), acidification, eutrophication, photochemical oxidation, and ozone layer deletion (OLD).

Given the comparative nature of this LCA study, the life-cycle model implemented assumed a few simplifications, which are identified and explained in Table 1.

Simplifications and Processes Out of the Scope	Reason
Energy used on construction site	It is considered of minor importance in other studies [1,45].
Furniture, plumbing, sanitary equipment, heat distribution pipes, change in land use	These are not affected by the alternative building envelope options and do not affect the comparative nature of the findings. Hence, embodied impacts are underestimated in the life-cycle model.
Appliances and domestic hot water use, lighting	These needs are not dependent on envelope options. Improvements are independent of the building and mainly related to available technology (appliances efficiency) and user behavior.
Insulation materials' thermal properties were assumed to remain the same throughout the lifespan	Though the EU standards recommend considering the aging process of construction products to estimate the decay of thermal properties, overtime was out of the scope of our study.
End-of-life phase	<ul> <li>Expected to have a small life-cycle magnitude, representing less than 4% in Mediterranean dwellings (Nemry et al., 2010). Additionally, to predict waste treatment scenarios for such distant future (50 years) encompasses high uncertainty and waste treatment processes can change.</li> </ul>

# 2.1. Construction: Base Case House and Envelope Alternatives

The house under study is a Portuguese household occupied by a 4-person family. A single-family house was selected because it is the most common residential building type in Portugal. The compact building shape, typology, and area are representative of an average Portuguese house based on statistical data [46,47]: it has two floors, 133 m<sup>2</sup>, and a 3-bedroom typology. Table 2 describes the main building components of the base case house; axonometric drawings of the building can be found in [37].

<b>Building Component</b>	Area (m <sup>2</sup> )	Units	Description
Roof	74.4		Gravel (0.05 m); polypropylene felt; extruded polystyrene (XPS) insulation (0.06 m); bitumen layer (0.005 m); anhydrite screed (0.05 m); reinforced concrete slab (0.15 m); lime mortar (0.02 m); U = $0.39 \text{ W/m}^2\text{K}$ .
Slab	76.4		Wooden flooring (0.04 m square joists, air-layer, 0.02 m planks); anhydrite screed (0.03 m); reinforced concrete slab (0.15 m); lime mortar (0.02 m).
Ground floor	80		Wooden flooring (0.04 m square joists, air-layer XPS) 0.02 m planks); lightweight anhydrite screed (0.05 m); reinforced concrete (0.12 m); gravel (0.20 m) on ground; U = 0.56 W/m <sup>2</sup> K.

<b>Building Component</b>	Area (m <sup>2</sup> )	Units	Description
Structure			Beams, columns, foundations: reinforced concrete
Exterior walls	220		Base plaster painted; hollow-brick masonry (0.11 m); air-cavity with XPS (0.06 m); hollow-brick masonry (0.15 m); base plaster; painting; U = $0.33 \text{ W/m}^2\text{K}$ .
Interior walls	110		Hollow-brick masonry (0.11 m); base plaster (0.02 + 0.02 m); painting.
Windows	1	11	Aluminum-frame with thermal break; double-glazing U = 1.1 W/(m <sup>2</sup> K); exterior plastic shutters
Doors (interior)	1.6	8	Wooden doors, varnished.
Exterior door	2	1	Wooden doors, varnished (U = $1.8 \text{ W}/(\text{m}^2\text{K})$ .

Table 2. Cont.

A parametric analysis of the alternative construction options studied (presented in Table 3) was performed for the following: five envelope insulation levels (0–12 cm), five insulation materials, four total ventilation levels (including infiltration), and three exterior wall systems.

<b>Passive Construction</b>		Alternatives Studied		<b>Base Case</b>
Envelope extruded polystyrene (XPS) insulation level (cm) <sup>1,2</sup>		0; 3; 6; 9; 12		6
Total ventilation level, including infiltration (ac/h) <sup>1</sup>		0.3; 0.6; 0.9; 1.2		0.6
Exterior wall construction type	Double hollow-brick masonry (XPS insulation) Concrete block masonry <sup>2</sup> (EPS insulation) Wood walls (XPS insulation)		Double hollow-brick masonry (XPS insulation)	
-				
Insulation material <sup>1</sup> (equivalent <i>U</i> -value)	XPS; XPS CO <sub>2</sub> ; EPS	XPS		

Table 3. Envelope construction alternatives and base case.

<sup>1</sup> Measures applied both to façades and roof. <sup>2</sup> Instead of the exterior thermal insulation composite system (ETICS), the hypothetical non-insulated concrete wall (0 cm) has a base plaster finish.

A hypothetical non-insulated scenario (0 cm), which does not meet the legal thermal requirements, was considered with the sole purpose of better showing how operational and embodied impacts vary with the insulation level (i.e., allowing us to draw in results figures which are representative of the polynomial trend-line from 0 cm through the following insulation thicknesses). Nevertheless, in the analysis, a focus is given to insulated alternatives (3–12 cm).

#### 2.2. Building Operational Conditions

Operational energy consumption is directly affected by the building characteristics and by the construction options studied. The operational phase included the impact of heating and cooling the

house with a 10 kW air-water heat pump system (2.8  $\text{COP}_{\text{heating}}$  and 2.0  $\text{EER}_{\text{cooling}}$ ). Table 4 summarizes the energy building simulation settings used to assess the house with alternative building construction alternatives in DesignBuider © v3.0. A window-shutter schedule, presented in Table 5, was assumed to account for typical use of the window-shutters to benefit from solar gains during the heating season and avoid them during cooling season.

<b>Building Simulation Settings</b>	Description		
3D build-up model	N Cround floor		
Living area (m <sup>2</sup> )	133.2		
Conditioned volume	360		
Heating set-point air temperature (with no set-back)	20 °C		
Cooling set-point air temperature (with no set-back)	25 °C		
Heating Ventilation and Air Conditioning (HVAC)schedule; gains schedule	0:00–24:00 (24 h/7 a year)		
Location	Coimbra, Portugal		
Latitude/longitude (°)	40.2°/-8.4°		
Elevation above sea (m)	140		
Hourly weather data	PRT_Coimbra_IWEC		
Internal gains (lumped into a single value)	4 W per m <sup>2</sup> of living area; as recommended by [48]		
Air-tightness (infiltration)	Dependent on total ventilation scenario		
Gains schedule	0:00–24:00 (24 h/7 a year)		

Table 4. l	Building	simulation	settings,	OP100.
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Table 5. Window shading schedule.

Annual Period	Days	Shutters Open	Shutters Closed (Shading)
30 September to 30 June	weekdays	7 h–19 h	19 h–7 h
	weekends	9 h–19 h	19 h–9 h
30 June to 30 September	weekdays	7 h–8.5 h	8.5 h–7 h
	weekends	9 h–12 h	12 h–9 h

A continuous operational pattern (OP100) that reflects continuous interior comfort conditions and occupation (identified in Table 3) was initially used to thermally assess the residential building performance. However, in mild climates, users do not heat and cool continuously, nor do they heat all the rooms simultaneously. Due to this fact, the final energy results were significantly higher when compared to statistical data on energy consumption in Portuguese houses. For instance, comparing the thermal energy requirements for an equivalent existing house with identical shape/construction (based on Portuguese building stock characteristics [47]) and the average real heating energy consumption per square meter in houses in Portugal (inferred from statistical data; [49]), a continuous operational pattern reveals a significant gap [9,28]. Portuguese real household consumption can be 75% lower than simulated energy needs for maintaining continuous comfort conditions. This gap, called the prebound effect [30], represents the way in which user behavior can reduce expected energy consumption levels. It seems that Portuguese dwellers heat their homes partially, or at cooler temperatures, or have their heating on for less time than assumed in the simulated continuous operational pattern. This is possible because winter climate conditions are not as harsh as in North and Central European locations and the summer climate is not hot but warm. Furthermore, occupants tend to use heating more economically in houses that are thermal underperformers [30,50]. Consequently, the prebound effect percentage might change with the thermal performance of the building, decreasing the benefit of energy efficiency measures. As real operational energy consumption data are limited, in this LCA study, two alternative operational pattern scenarios were used to inform heating and cooling habits:

- OP25, which represents a low occupancy and modest and partial heating and cooling level, reinforced by Portuguese statistical data; it holds 25% of the energy requirements of simulated continuous operational pattern.
- OP50, which assumes the average occupancy of a working-out family and medium heating and cooling level, holding 50% of the simulated heating and cooling energy requirements for OP100.

This study did not intend to assess the specific effect of dynamic (zoned and intermittent) operational patterns, which widely vary with the household. Stazi et al. [51] covered these aspects and the effect of thermal mass (inertia) in three super-insulated multifamily buildings both for hot and cold climates. They concluded that, in such highly insulated envelopes, thermal mass had a low influence on operational energy savings (marginal benefit). Additionally, thermal mass (masonry alternative) had a stronger effect on comfort levels (less discomfort hours for intermittent cooling) but it had 20% higher environmental life-cycle impacts (for ecoindicator'99).

#### 3. Results

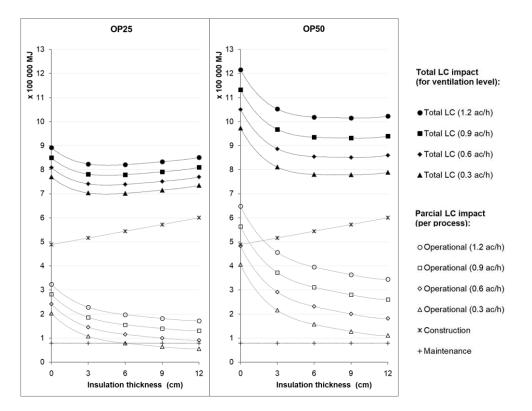
The main LCA results are presented for two operational patterns (OP25 and OP50). Firstly, the influence of alternative ventilation and insulation levels was assessed for the base case house (house with double hollow-brick walls and double-glazing windows, using heat pump system). Later, the influence of alternative exterior wall systems and insulation level were assessed. Lastly, alternative insulation materials were considered. When assessing alternative insulation levels, trend-lines (polynomial, order 4) are shown in the figures to clearly indicate the influence of varying insulation levels from a hypothetical 0 cm insulation.

#### 3.1. Influence of Ventilation Level vs. Insulation Level

Four total ventilation levels (0.3–1.2 ac/h) and five insulation levels (0–12 cm) were considered for the base case house. Life-cycle results are presented for non-renewable primary energy (NRPE) in Section 3.1.1. and for six environmental impact categories in Section 3.1.2.

#### 3.1.1. Non-Renewable Primary Energy

The construction phase of insulated house alternatives (3–12 cm) was the most important phase (Figure 1) in terms of life-cycle NRPE, representing 63–82% in OP25 and 49–76% in OP50, whereas the operational phase represented 8–28% and 14–43% of NRPE in OP25 and OP50, respectively. Insulation thickness tipping-points, for which NRPE was reduced, were identified: these were 3–6 cm for OP25 and 6–9 cm for OP50. However, in OP50, the total life-cycle benefit of having more insulation than 6 cm was less than 1% for all ventilation scenarios. The insulation tipping-point did not change significantly with the ventilation level.



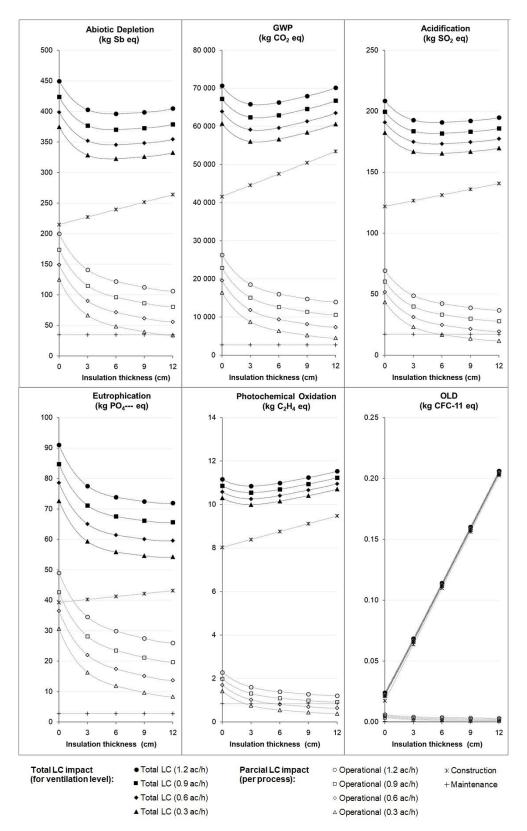
**Figure 1.** NRPE results for base case house with a heat pump for OP25 and OP50: ventilation level vs. insulation level.

In a well-insulated (6 cm) and air-tight (0.3 ac/h) house with modest energy use (OP25), maintenance had a similar impact to operational energy. When operational energy is reduced, other life-cycle phases' relative contributions are increased.

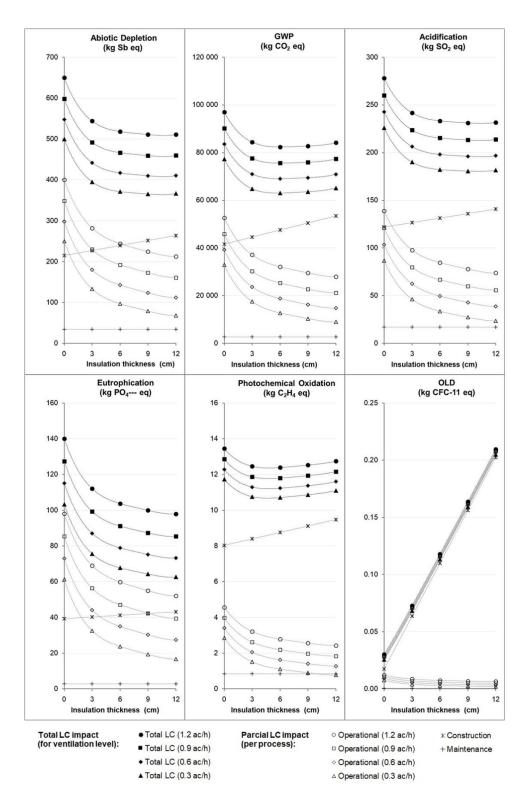
Compared with a hypothetical non-insulated house (0 cm, 1.2 ac/h), a 6 cm XPS layer reduced operational NRPE by 39–61% (from OP25 to OP50) but it only achieved a life-cycle reduction of 8–9% (OP25) or 16–20% (OP50). Lowering the overall ventilation level from 1.2 to 0.3 ac/h reduced operational NRPE by 38–68% (from OP25 to OP50) and life-cycle NRPE by 14–15% (OP25) or 20–23% (OP50). Assessing the joint effect of the measures (6 cm insulation; 0.3 ac/h ventilation), maximum NRPE reductions of 21% (OP25) and 36% (OP50) were achieved compared to the hypothetical worst scenario. The base case house (6 cm; 0.6 ac/h) yielded a 17% (OP25) and a 30% (OP50) NRPE reduction.

#### 3.1.2. Environmental Impact Assessment

LCIA results are presented for OP25 (Figure 2) and OP50 (Figure 3) to determine whether a broader environmental impact assessment results in the same conclusions as the NRPE analysis. Results show that abiotic depletion, acidification, and GWP correlate with NRPE (Figure 1). In OP25, the insulation tipping-point was between 3 and 6 cm for most categories (exceptions: eutrophication and OLD), whereas in OP50, the tipping-point varied widely: 3–6 cm for GWP and photochemical oxidation; 9–12 cm for abiotic depletion and acidification. For eutrophication, the tipping-point was above 12 cm even in OP25, since the insulation material used (XPS) had relatively low impact in this category. Regarding OLD, the impact of construction (87–99%) surpassed, by far, operational impacts in insulated alternatives. Construction materials, especially XPS insulation, had a significant contribution to OLD. The high impact of XPS is justified by the extrusion process that uses a hydrofluorocarbon (HFC-134a).



**Figure 2.** LCIA results for base case house with a heat pump for OP25: ventilation level vs. insulation level.



**Figure 3.** LCIA results for base case house with a heat pump for OP50: ventilation level vs. insulation level.

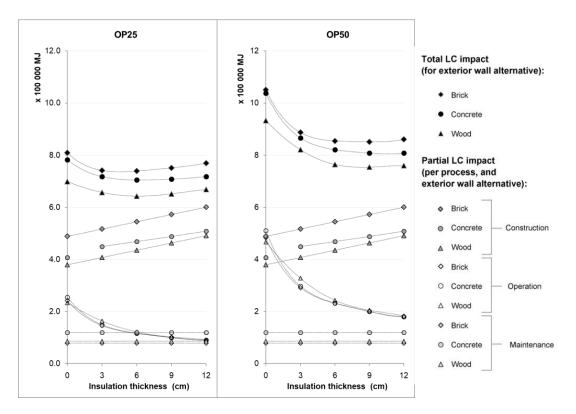
In OP25, construction was the most significant life-cycle phase for all categories in insulated house alternatives. Furthermore, in photochemical oxidation, construction had a significant impact (77–88%). In OP50, the most significant phase (construction or operation) varies with the insulation and ventilation levels. For the house with two simple passive construction measures (6 cm XPS and 0.6 ac/h), embodied impacts had a life-cycle contribution above 67%.

## 3.2. Influence of Exterior Wall Construction Alternatives vs. Insulation Level

In this subsection, three exterior wall alternatives—double brick, lightweight concrete, and wooden wall—were assessed jointly with different envelope insulation levels. Results are presented for the base case house with 0.6 ac/h ventilation level.

#### 3.2.1. Primary Energy

Figure 4 presents NRPE for OP25 and OP50. Results show that the operational energy of the three exterior wall house alternatives is similar and mostly dependent on the envelope insulation level. Embodied energy (NRPE) surpassed operational energy for all insulated alternatives, amounting to 62–78% in OP25 and 52–70% in OP50, whereas operation varied from 12% to 25% in OP25 and 21% to 40% in OP50.



**Figure 4.** NRPE for OP25 and OP50 for exterior wall house alternatives (brick, concrete, and wood) vs. insulation level.

The double brick wall construction had the highest embodied energy. Comparatively, the concrete wall construction alternative had 13–15% lower embodied NRPE (depending on insulation level), and the wood wall alternative had 22–18% lower embodied NRPE. In the CED method, wood is considered a renewable source of energy and has low embodied NRPE. Thus, the wood wall house had the lowest NRPE, with a reduction of 11–14% (OP25) or 7–11% (OP50) NRPE when compared with the base case brick house. The concrete wall house had a NRPE reduction of 3–7% (OP25) or 1–6% (OP50) since the embodied energy reduction was partially offset by the higher maintenance requirements. Maintenance of a concrete wall house results in a higher NRPE than the other exterior wall alternatives, mainly due to the acrylic plaster finishing of ETICS (exterior thermal insulation composite system).

The insulation tipping-point varied both with the exterior wall alternative and with the operational patterns. For OP25, tipping-points were 6 cm for concrete and wood wall houses and 3 cm for the base case brick house. For OP50, the tipping-points were around 12 cm for concrete wall, 9 cm for wood wall, and 6 cm for brick wall house.

# 3.2.2. Environmental Impact Assessment

Figures 5 and 6 present the LCIA results for OP25 and OP50, respectively. Acidification closely correlates with NRPE. Abiotic depletion had a slightly higher operational relative contribution. Other environmental categories present some differences in the life-cycle phase contributions, insulation tipping-points, and specific insulation material impacts.

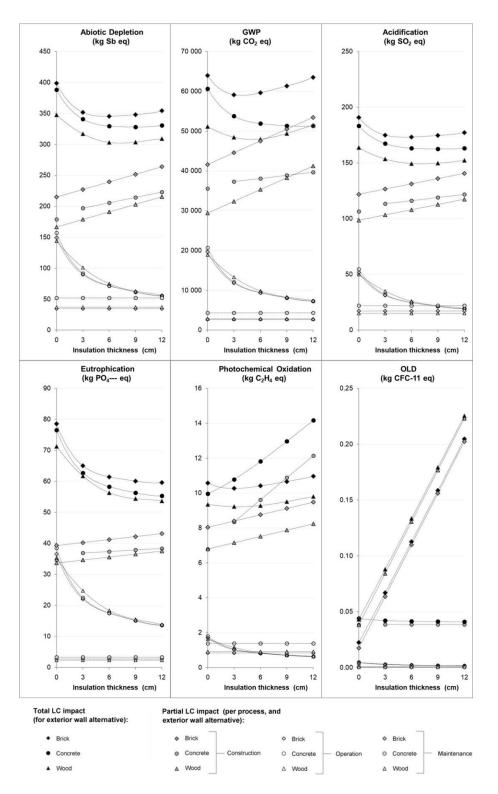


Figure 5. LCIA house results for OP25: exterior wall construction alternatives vs. insulation level.

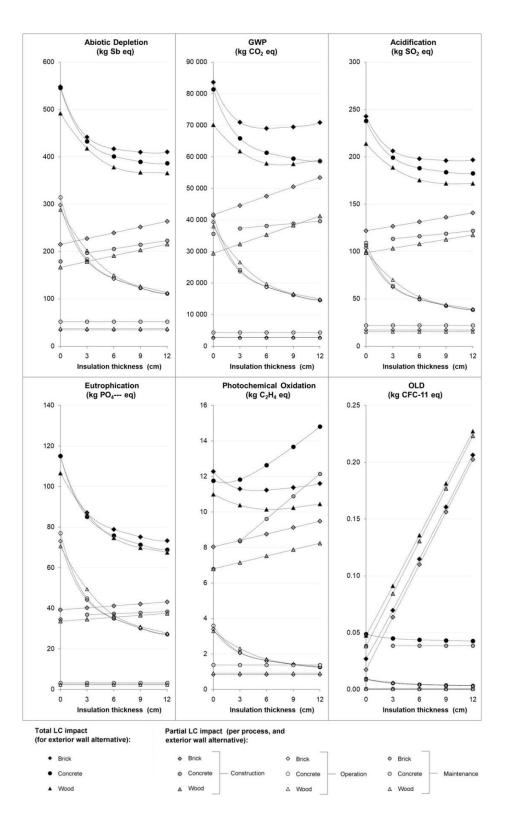


Figure 6. LCIA house results for OP50: exterior wall construction alternatives vs. insulation level.

In GWP, photochemical oxidation, and OLD, dissimilar embodied impacts are associated with XPS (brick and wood walls) and EPS (concrete wall ETICS). XPS had a 3.8 times higher GWP impact and 2000 times higher OLD impact than EPS for the same insulation thickness, whereas EPS had a 3.5 times higher photochemical oxidation impact than XPS. The OLD impact magnitude of XPS insulation is due to HFC-134a being used during the extrusion process, as explained in Section 3.2.1.

between 3 and 6 cm for the brick wall alternative and 6 cm for wood wall alternative (exceptions: eutrophication, OLD); for the concrete wall, it was above 12 cm for three categories (GWP, eutrophication, OLD), 9 cm for abiotic depletion and acidification, and 0 cm for photochemical oxidation. Results show that the acrylic plaster finishing of ETICS had a high photochemical oxidation impact that surpasses operational energy savings due to insulation. In OP50, the tipping-points for both the brick and the wood wall were as follows: nearly 6 cm for GWP and photochemical oxidation; 9–12 cm for abiotic depletion and acidification; above 12 cm for eutrophication. The tipping-points for the concrete wall alternative were above 12 cm for five categories, except for photochemical oxidation.

Comparing the three exterior wall alternatives, the double brick wall had the highest embodied and total life-cycle impacts in four categories (abiotic depletion, GWP, acidification, eutrophication). Wood wall construction was the alternative with the lowest impacts in five categories, presenting a reduction of 7–20% in contrast to the brick wall alternative. In OP25, the embodied impacts of construction held most of the life-cycle impact in all environmental categories for insulated house alternatives. In OP50, the same was valid in four categories (except in abiotic depletion and eutrophication).

Assuming a 6 cm insulation level, which is a likely insulation level for a new house, the construction phase accounts for most of the house life-cycle impacts both in OP25 and OP50. In OP25, construction amounts to 62–84% of life-cycle impacts, operation 7–33%, and maintenance accounted for 5–16% in five categories (except OLD, which is explained below). Meanwhile, in OP50, construction accounted for 48–78% of life-cycle impacts, operation 13–49%, and maintenance accounted for 3–13%. OLD is a particular category in which embodied impacts were responsible for almost all impacts (88–98%), especially in the wall alternatives that incorporated XPS insulation (96–98% of life-cycle impacts), as explained in Section 3.2.1.

## 3.3. Influence of Insulation Material

To assess the specific influence of the selected insulation material, Table 6 presents how the embodied impact of the construction stage of the house varies with alternative insulation materials. The insulation materials' thicknesses were defined to have an equivalent insulation level to the base case house, which means that the building envelope delivers the same U-values of the base case (with 6 cm XPS).

Insulation (Thermal Condu W/m <sup>2</sup> K)	-	NRPE	AD	GWP	AP	EP	РО	OLD
XPS CO <sub>2</sub>	0.035	1.4%	1.4%	-7.4%	-0.5%	0.2%	3.2%	-96.9%
EPS	0.038	3.5%	3.6%	-6.4%	0.9%	-0.2%	45.4%	-96.9%
Cork	0.038	-6.8%	-6.3%	-10.4%	-1.1%	-0.5%	-3.3%	-96.9%
PUR	0.04	1.3%	0.7%	-6.4%	3.2%	14.0%	4.4%	-96.7%
Rock Wool	0.025	-1.7%	-2.1%	-7.9%	0.1%	2.0%	-3.1%	-97.0%
No insulation <sup>2</sup>	0.035	-10.4%	-10.3%	-12.7%	-7.3%	-5.6%	-8.0%	-97.0%

**Table 6.** Influence of alternative insulation materials on the life-cycle impacts of the house compared to the base case house (XPS insulation).

 $^{1}$  The base case XPS thermal conductivity was 0.030 W/m<sup>2</sup>K;  $^{2}$  The non-insulation scenario allows us to account for the embodied impact of base case thermal insulation.

Results clearly show that changing the insulation material from XPS to cork panels can reduce the house embodied impact in the construction stage in all categories while ensuring the same operational impact. In fact, if cork insulation is considered, comparing the embodied with the operational LC impact (presented for alternative insulation levels in previous figures), the cork thickness tipping-point

for the brick house would be between 12 cm (for OP25) and 16 cm (for OP50), being able to reduce the overall life-cycle NRPE by around 5.6–7.8% (in OP25-OP50). Results show that cork insulation is preferred compared to the other materials; the only downside would be the higher space that it takes to ensure the same performance (e.g., to ensure the same envelope U-value, cork insulation must be 1.33 times thicker than base case XPS).

## 4. Discussion

This study's results reinforce the idea that LCA is crucial not only to avoid problem-shifting but also to identify the most significant life-cycle processes, materials, and hotspots for improvement in new houses. Additionally, they highlight that under mild South European climates (e.g., Coimbra) and in the Portuguese context, even a lightly insulated house can have higher embodied impacts than the operational (for heating and cooling), whereas a new house (base case with 6 cm and 0.6 ac/h) is likely to have more embodied impacts in all environmental impact categories.

This finding may be surprising when compared to other studies, even for South European houses (Table 7), because both user behavior and climate widely vary. For instance, Italy and Spain are South European countries but they may have higher heating requirements or higher cooling requirements than houses in temperate, warm, summer, Mediterranean climates (Csb Köppen–Geiger climate classification) depending on the specific location of the buildings studied. Furthermore, users may heat and cool their houses differently (continually or partially) and this was shown to affect the operational energy magnitude in this study. Thus, operational patterns should reflect patterns of inhabiting and acclimatizing a house (typical user behavior). Assessing operational patterns more intensively than actual consumption might result in higher embodied energy (than needed) and be counterproductive.

		Life-Cycle GWP (kg CO <sub>2</sub> eq/m <sup>2</sup> .year)			
Location	OP (C/P)	<b>Operation HVAC</b>	Construction	Maintenance	Total
Spain, Barcelona [14]	С	1.7 heating 10.7 cooling	4.5	2.9	49.4
Spain, Zaragoza [26]	С	10.2 HVAC	10,3	-	25 <sup>1</sup>
Spain, Lleida <sup>2</sup> [27]	С	53.2 heating 21.1 cooling	60.5	-	134.8
Italy, Piedmont [21]	С	0.78 HVAC	10.8	-	17.4 <sup>1</sup>
Portugal, Coimbra: base case house <sup>1</sup>	Р	2.5 heating 0.3 cooling	7.5	0.4	10.4

Table 7. Comparison of case study and literature life-cycle results for GWP.

Legend: OP (operational pattern): C—continuous; P—partial. <sup>1</sup> Other operational energy needs were accounted for beyond heating and cooling; <sup>2</sup> the case study is a house-like cubicle with similar construction to the base case.

Other reasons that may justify such differences are the following:

- (a) Design-related: the fairly compact building, north–south oriented, with a low window-to-wall ratio. Some of these passive design measures were identified as being important to reduce operational energy in a Mediterranean climate [32]. Nevertheless, it would be interesting to assess the influence of different building designs for this climatic and operational context from a life-cycle perspective.
- (b) The heavyweight building components (exterior and interior brick walls, concrete structure, roof and slabs) are known to incorporate high embodied impact (e.g., both brick and concrete production involve high energy consumption processes) [12,51];
- (c) The high performance of the heating and cooling system adopted (heat pump). As shown in other studies, heating systems can play a key role in reducing environmental impacts [28,52];

(d) The Portuguese electricity mix, which has a substantial share of renewables [43]. In the last few years (and likely in the next few years), the electric mix should continue to have an increased contribution of renewable energy, which is expected to have lower environmental impact. Thus, it is even more likely that the operation phase has lower overall significance in new houses. Therefore, it is important to assess the embodied impacts in construction materials in order to arrive at construction alternatives with lower overall environmental impacts and consider those impacts at the project level jointly with operational environmental impacts at the local scale to avoid problem shifting.

Regarding the base case house, this study showed that reducing the ventilation level to 0.3 ac/h without compromising indoor air quality reduced life-cycle impacts by 4–14%, while adopting an alternative wood wall construction instead of the brick one reduced LC impact by 7–20%. These two measures are more beneficial passive solutions than increasing XPS insulation beyond 6 cm thickness, which only marginally reduced the overall impact (NRPE). Increasing insulation levels results in gradually lower NRPE savings and can even generate higher NRPE (when insulation is above the tipping-point), since embodied energy requirements offset operational energy savings.

This should hold true for new houses with a fairly compact shape and small window-to wall ratios, such as the base case, using a heat pump system, under similar climate conditions.

Operational impact was more affected by the insulation thermal resistance and thickness than by the varying construction of the exterior wall. This can be justified because all house alternatives had high thermal inertia, due to the heavyweight core of the house (concrete structure and brick interior walls), which remained unchanged. In this case, for the same insulation level, the life-cycle differences among exterior wall alternatives were mainly due to embodied impacts and maintenance procedures typical of different construction types.

The study also identified other material issues for improvement, namely the following:

- (a) Cork insulation had the lowest life-cycle impacts when compared with other insulation materials;
- (b) The base case XPS insulation had a high impact on OLD. This impact is justified by the extrusion process that used hydrofluorocarbons (HFC-134a). Recently, XPS producers started to use CO<sub>2</sub> and acetone or HCF-152a as alternative blowing agents to replace HFC-134a. An LCA study of insulation materials [53] that assumed this replacement showed that new production methods can drastically reduce XPS OLD impact (from 1.64x10<sup>-4</sup> to 7.27x10<sup>-8</sup> kg CFC-11eq, per kg of XPS) and, in that case, the insulation tipping-point would be above the 12 cm thickness for both OP25 and OP50.
- (c) The acrylic plaster used in ETICS concrete walls was associated with a high impact for photochemical oxidation, so alternative production methods for this finishing layer should be studied

# 5. Conclusions

An LCA of a house located in Coimbra (in mild, warm, Mediterranean climate) was performed, considering two operational patterns (OP25 and OP50). The influence of the following alternative building envelope options were assessed: insulation thickness levels (0–12 cm); ventilation levels (0.3–1.2 ac/h); insulation materials; exterior envelope solutions (double brick, concrete, and wood walls). The results showed that combining two simple passive construction measures, a good envelope insulation level (6 cm), and an air-tight envelope (0.3 ac/h ventilation level) may lead to important LC primary energy savings of 21% (for OP25) to 36% (for OP50) when compared to a hypothetical uninsulated house (0 cm; 1.2 ac/h). Increasing the base case XPX insulation thickness has only marginal life-cycle benefits and can even increase the overall life-cycle impacts (depending on operational patterns). Thus, to avoid problem-shifting, LCA is critical to assess the balance between embodied and operational impact. Insulation tipping-points (with reduced life-cycle impact) were identified for the

various environmental categories ranging between 3 and 6 cm for OP25 and 6 and 9 cm for OP50 for the brick wall house with XPS insulation.

Regarding the base case house (brick wall; 6 cm; 0.6 ac/h), two measures were identified to have more benefit than increasing XPS thickness: (a) the replacement of brick walls by wood walls (achieved a LC reduction of 7–20%); (b) increases in envelope air-tightness and reductions in total ventilation level to 0.3 ac/h (achieved a LC reduction of 4–14%). Regarding alternative insulations, cork panels resulted in the lowest embodied impact for an equivalent U-value envelope. Furthermore, for this material, the tipping-point thickness was around 12–16 cm, and it enabled a reduction in the life-cycle NRPE impact of the base case house by around 5–8%.

This study showed that construction represents a significant share (62–81%) of the LC impacts of new houses with fairly simple construction measures, using a heat pump system to satisfy current modest Portuguese operational user demands. This is a surprising result alongside other comparable studies, especially of buildings in Mediterranean countries because LCA impacts are strongly influenced by the climate and cultural local conditions (how to build and inhabit a house) and energy mix. Embodied impacts are currently not routinely considered in building energy performance certification [54]. However, as new buildings are expected to be very low energy in operation, neglecting embodied impacts may lead to problem-shifting, having higher embodied impacts in upfront construction than the avoided impacts in operation.

Thus, the adoption of construction options with lower embodied impact is highly important. To further reduce the environmental impact of buildings under mild climates, data on the environmental impact embodied in materials should be freely available in the marketplace—for instance, through widespread environmental product declaration (EPD) or product environmental footprint (PEF) schemes. This would greatly benefit architects, engineers, and households as they take into account the environmental impacts of their decision-making. Finally, to assess the overall sustainability of a wide range of building alternatives, future research work should further examine building life-cycle costs at higher resolutions and a greater range of the associated social impacts.

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