

Communication

# The Effects of Acid and Water in the Formation of Anodic Alumina: DFT and Experiment Study

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**Abstract:** The DFT method is employed to study the adsorption and reaction behaviors of  $\text{HC}_2\text{O}_4^-$ ,  $\text{H}_2\text{PO}_4^-$ ,  $\text{HSO}_4^-$  and  $\text{H}_2\text{O}$  on neutral and anodic aluminum slabs. With the exception of adsorption, the three acid radicals can successively take the two H atoms from the adsorbed  $\text{H}_2\text{O}$  on the anodic aluminum slabs, which is the key step of the formation of anodic alumina. The dehydrogenation reaction is dominated by the Coulombic interaction of O and H, respectively belonging to acid radicals and the adsorbed  $\text{H}_2\text{O}$  or OH, rather than by the interaction of electronic orbits located on the two kinds of atoms. The experiment of anodic polarization of aluminum verifies the calculation result well.

**Keywords:** anodic alumina; acid radical; water; DFT

## 1. Introduction

The anodic alumina layer has been used in many fields, such as corrosion resistance [1], capacitors [2], biomaterials [3] and biosensors [4]. The alumina film obtained through the anodic oxidation of aluminum in acidic electrolytes has been investigated for its wide range of uses.

Many factors influence the morphology and crystal structure of anodic alumina. The anodizing parameters including anodic potential [5,6], electrolyte [7,8] and temperature [6,9–11] have been investigated. The electrolyte component is the key factor affecting anodic alumina. Oxalic acid, phosphoric acid and sulfuric acid solutions are usually used to form the anodic alumina. When the anodic oxidation was performed in oxalic acid solutions [12–14], the concentrations were usually 0.3 M, and the temperature, voltage and time varied with different morphologies. The solutions containing phosphoric acid [15–20] were usually used when fabricating porous alumina. Sulfuric acid [21–23] was also used in the fabrication of porous alumina. In most cases, the combined application of the three acids was carried out in order to regulate the size and morphology of pores in the anodic alumina. Some other acids [24–27] are occasionally used, and their aims are also obtaining various porous anodic alumina. The morphology of alumina film closely relates to acid radicals in the electrolyte.

The factors influencing the formation of alumina morphology have been carefully studied in experiments, but the microscopic mechanism at the molecular level was rarely involved. Microscopic mechanism investigation involves the change of the Al–O bond and the composition of oxides. Su [28] studied the field-enhanced water dissociation at the growing oxide surface in order to explain the dissolution of alumina and the pore formation in the anodic alumina. This work solved one of problems raised 22 years ago by Thompson and coworkers [29]. Thompson and coworkers [29] also mentioned phosphorous and carbon contained in the anodic alumina formed in phosphate and oxalate solution, respectively. They pointed out that phosphorus extends over about two thirds of the film thickness. Garcia-Vergara and coworkers [30] also found the phosphorous signal in the anodic alumina film fabricated by anodizing in  $\text{Na}_2\text{HPO}_4$  electrolyte. The phosphorous



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is present from the surface of the film to relative depths of in the range  $\sim 0.7$  to  $\sim 0.8$  of the film thickness. Thompson [31] obtained a similar result. A relatively pure anodic alumina contains 3–4 wt% phosphorous [29]. The changing mechanism of the Al-O bond and composition of oxides need to be further explored.

What are the roles of acid radicals and water during the formation of anodic alumina? Does the oxygen of alumina come from the acid radicals or water? How does the oxygen of alumina become separated from the molecule? The initial adsorption and interaction of acid radicals and water have a relationship with those questions. In the present work, the adsorption and interaction of electrolyte molecules will be studied using the DFT method.

## 2. Results

### 2.1. The Adsorption of Molecules

The small molecules of  $\text{H}_2\text{O}$ ,  $\text{HC}_2\text{O}_4^-$ ,  $\text{H}_2\text{PO}_4^-$  and  $\text{HSO}_4^-$  were randomly placed on three aluminum slabs of (100), (110) and (111), see Figure S1. The three slabs are, respectively, given 0 or +1 charges to represent neutral or anodic conditions in the calculation. The adsorption energies ( $E_{\text{ads}}$ ) of  $\text{H}_2\text{O}$  and acid radicals are listed in Table 1. Their adsorption states are shown in Figure S2.

**Table 1.** The adsorption energies ( $E_{\text{ads}}$ /eV) of small molecules.

Slabs	$\text{H}_2\text{O}$	$\text{HC}_2\text{O}_4^-$	$\text{H}_2\text{PO}_4^-$	$\text{HSO}_4^-$
(100) <sup>0</sup>	−0.34	−3.65	−4.06	−2.93
(110) <sup>0</sup>	−0.47	−3.39	−3.28	−3.08
(111) <sup>0</sup>	−0.36	−2.78	−3.70	−3.18
(100) <sup>+1</sup>	−0.50	−4.62	−5.16	−3.69
(110) <sup>+1</sup>	−0.53	−3.90	−3.67	−3.75
(111) <sup>+1</sup>	−0.56	−3.99	−5.22	−3.80

The  $E_{\text{ads}}$  is calculated using the following equation:

$$E_{\text{ads}} = E_{\text{slab-molecule}} - E_{\text{slab}} - E_{\text{molecule}} \quad (1)$$

where the  $E_{\text{slab-molecule}}$  is the energy of the aluminum slab adsorbed with the small molecule, the  $E_{\text{slab}}$  is the energy of the aluminum slab and the  $E_{\text{molecule}}$  is the energy of the small molecule. All of the adsorption energies of  $\text{H}_2\text{O}$  and the three acid radicals are negative. The four small molecules can stably adsorb on the three kinds of aluminum faces under neutral or anodic conditions. The adsorption energies of the three acid radicals are bigger than that of  $\text{H}_2\text{O}$ , and the adsorption of acid radicals is more stable. The  $\text{H}_2\text{O}$  and the three acid radicals have bigger  $E_{\text{ads}}$ ; in other words, the four small molecules have more stable adsorption states when the aluminum faces are in the anodic condition.

The directly stable adsorption is the first behavior of the  $\text{H}_2\text{O}$  and the three acid radicals on the three aluminum faces.

### 2.2. The Acid Radicals Replacing the Adsorbed $\text{H}_2\text{O}$

Because the three acid radicals adsorb more stably than  $\text{H}_2\text{O}$ , the adsorbed  $\text{H}_2\text{O}$  may be replaced by acid radicals leaving the aluminum surface. The models of the acid radicals substituting the adsorbed  $\text{H}_2\text{O}$  were built as shown as Figure S3. The acid radicals approach the adsorbed  $\text{H}_2\text{O}$  from the side. The calculation results show that the adsorbed  $\text{H}_2\text{O}$  really can be replaced by the acid radicals. These courses are represented by Figure S3, too. The replacing energy values ( $E_{\text{rep}}$ ) of the three replacing courses are listed in Table 2. The  $E_{\text{rep}}$  is calculated using the following equation:

$$E_{\text{rep}} = E_{\text{rep after}} - E_{\text{slab-water}} - E_{\text{acid radical}} \quad (2)$$

where the  $E_{\text{rep, after}}$  is the energy of the adsorbed  $\text{H}_2\text{O}$  replaced by the acid radical, the  $E_{\text{slab-water}}$  is the energy of the aluminum slab with adsorbed  $\text{H}_2\text{O}$  and the  $E_{\text{acid radical}}$  is the energy of the acid radical. The negative  $E_{\text{rep}}$  values mean that the replacing courses are feasible.

**Table 2.** The  $E_{\text{rep}}$  (eV) values of acid radicals replacing  $\text{H}_2\text{O}$ .

Slabs	$\text{HC}_2\text{O}_4^-$	$\text{H}_2\text{PO}_4^-$	$\text{HSO}_4^-$
(100) <sup>0</sup>	−3.31	−3.72	−2.59
(110) <sup>0</sup>	−2.92	−2.81	−2.61
(111) <sup>0</sup>	−2.42	−3.33	−2.82
(100) <sup>+1</sup>	−4.11	−4.65	−3.18
(110) <sup>+1</sup>	−3.37	−3.14	−3.22
(111) <sup>+1</sup>	−3.42	−4.65	−3.23

The three acid radicals replacing the adsorbed  $\text{H}_2\text{O}$  is the second behavior of the four small molecules.

### 2.3. The Acid Radicals Bonding with the H of Adsorbed $\text{H}_2\text{O}$

The acid radicals of  $\text{HC}_2\text{O}_4^-$ ,  $\text{H}_2\text{PO}_4^-$  and  $\text{HSO}_4^-$  have the O atoms enriched with negative charge, so they have the ability to bond with the H of adsorbed  $\text{H}_2\text{O}$ . In the initial models, the O atom of the acid radical is near to the H of adsorbed  $\text{H}_2\text{O}$  on the neutral aluminum slab. After the calculation of geometry optimization, the acid radical does connect with the adsorbed  $\text{H}_2\text{O}$  through O–H–O, as shown as Figure 1. The H–O bond of  $\text{H}_2\text{O}$  is stretched to a longer length. The change in bonding energy ( $E_{\text{bon}}$ ) of the acid radical and adsorbed  $\text{H}_2\text{O}$  is calculated using the following equation:

$$E_{\text{bon}} = E_{(\text{slab}-\text{H}_2\text{O}-\text{acid radical})} - E_{\text{slab}-\text{H}_2\text{O}} - E_{\text{acid radical}} \quad (3)$$

where the  $E_{(\text{slab}-\text{H}_2\text{O}-\text{acid radical})}$  is the energy of the acid radical connecting with the adsorbed  $\text{H}_2\text{O}$  on the neutral aluminum slab, the  $E_{\text{slab}-\text{H}_2\text{O}}$  is the energy of the neutral aluminum slab with adsorbed  $\text{H}_2\text{O}$  and the  $E_{\text{acid radical}}$  is the energy of the acid radical. The  $E_{\text{bon}}$  values of the three acid radicals are listed in Table 3. The negative  $E_{\text{bon}}$  values indicate that the connection between the acid radicals and adsorbed  $\text{H}_2\text{O}$  are reasonable.

The three acid radicals connecting with the adsorbed  $\text{H}_2\text{O}$  on the neutral aluminum slab is the third behavior of the four small molecules.

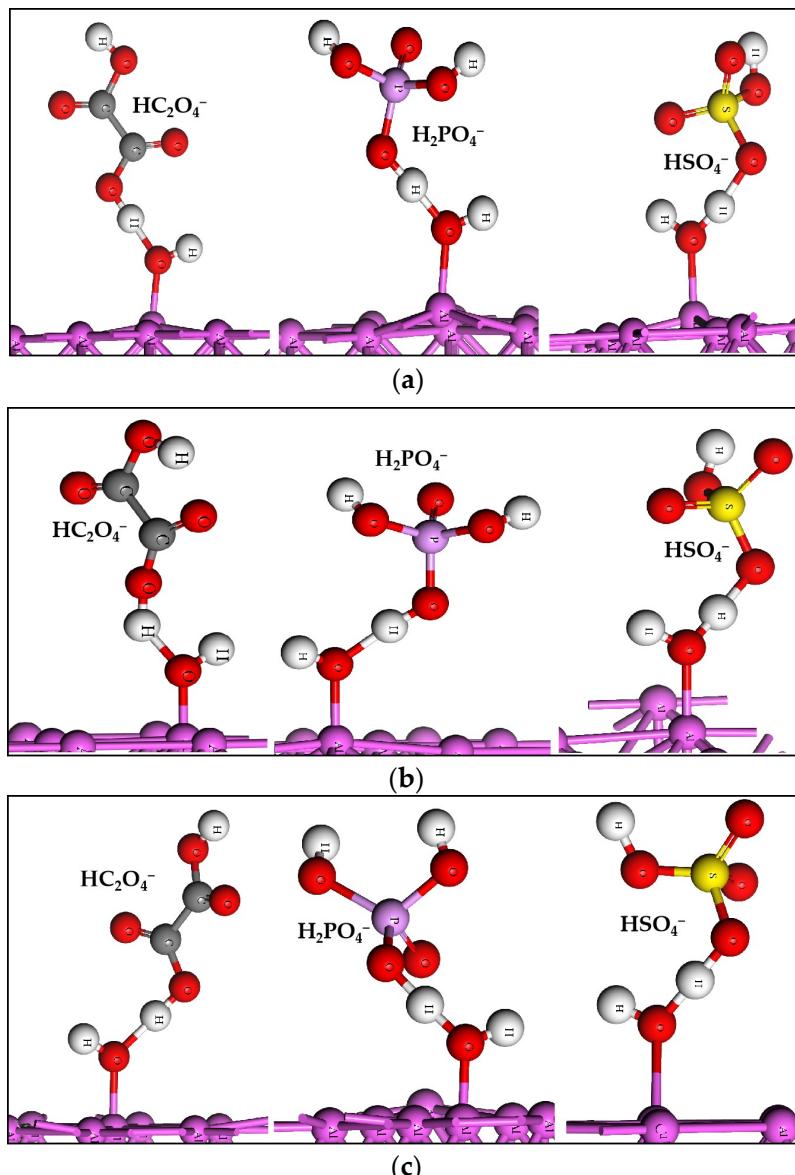
The adsorption of radicals is more stable than water, yet the molecular structures of radicals remain stable on the neutral or positively charged slab, see Figure S2. It has been confirmed that the concentrations of light elements are very low in the anodic alumina [32]. This implies that the adsorption of radicals with complete structure has no important contribution to Al–O formation. The O–H of adsorbed water is easily stretched by the radicals, see Figure 1 and Table 3, so the third behavior of water and radicals may be the dominant way in which the Al–O bond is formed.

### 2.4. The Acid Radicals Stripping off H from Adsorbed $\text{H}_2\text{O}$ and OH

On the neutral aluminum slabs, the acid radicals can stretch the H–O bond of adsorbed  $\text{H}_2\text{O}$  to a longer length, but they do not have the ability to snap it. Under the anodic condition, the three acid radicals have enough power to successfully take the two H atoms away from the adsorbed  $\text{H}_2\text{O}$ , see Figure 2. The O atom of the adsorbed  $\text{H}_2\text{O}$  is finally left to bond with the aluminum on the surface. The processes of acid radicals stripping off H are represented through chemical equations in Figure 3. Every equation has two reaction directions. The “1” direction is the acid radical stripping off H from the adsorbed  $\text{H}_2\text{O}$  or OH, and the “2” direction is the corresponding reverse reaction. The energy change of the reaction of the acid radical stripping off H ( $E_{\text{str}}$ ) is calculated using the following equation:

$$E_{\text{str}} = E_{(\text{OH or O+acid})} - E_{\text{slab}-\text{H}_2\text{O or OH}} - E_{\text{acid radical}} \quad (4)$$

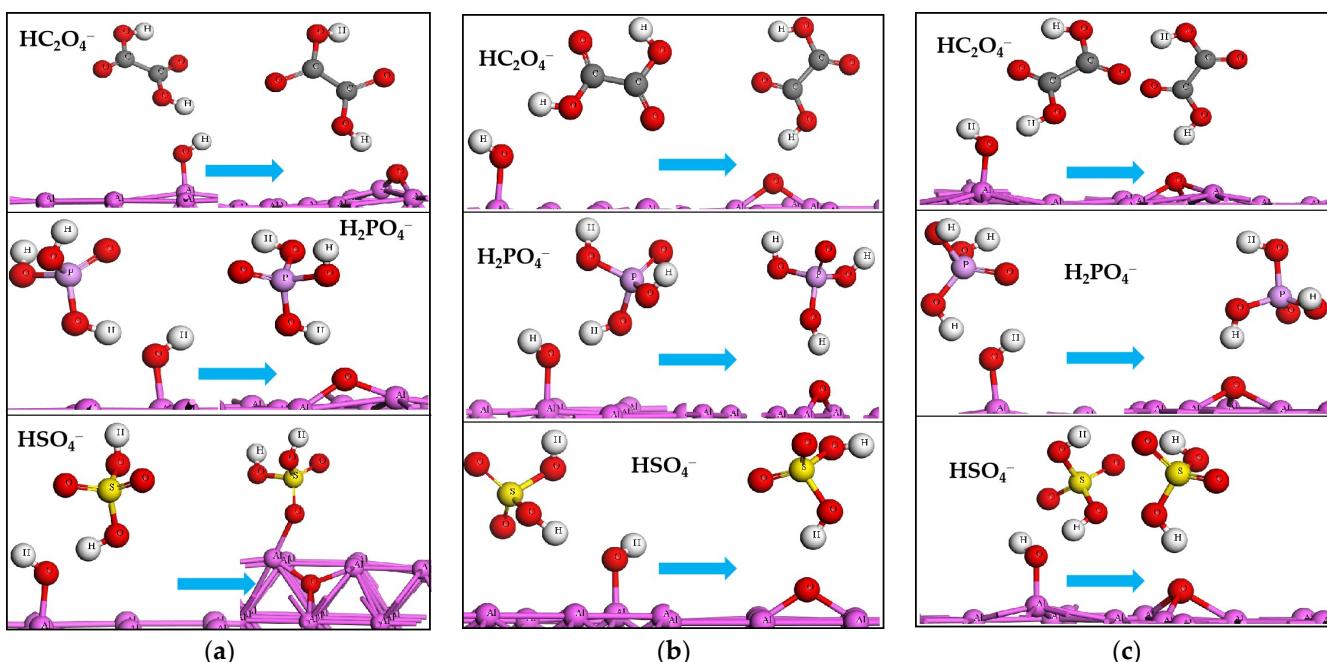
where the  $E_{(\text{OH or O}_\text{acid})}$  is the energy of the acid and adsorbed OH (one of the H atoms of  $\text{H}_2\text{O}$  is stripped) or adsorbed O (the two H atoms of  $\text{H}_2\text{O}$  are stripped), the  $E_{\text{slab}-\text{H}_2\text{O}}$  or  $\text{OH}$  is the energy of the anodic aluminum slab adsorbed with  $\text{H}_2\text{O}$  or OH and the  $E_{\text{acid radical}}$  is the energy of the acid radical. Every step of the acid radical taking the H atom away from the adsorbed  $\text{H}_2\text{O}$  or OH has a negative  $E_{\text{str}}$  value (see Table 4), in other words, the reverse reactions are not feasible.



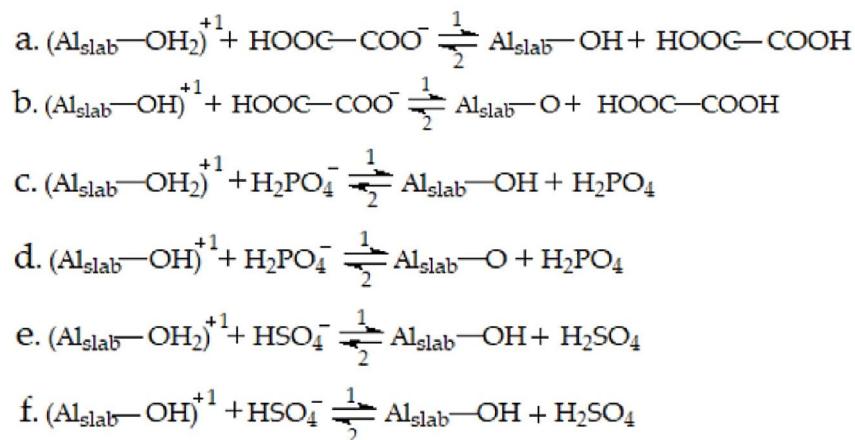
**Figure 1.** The acid radicals connecting with the adsorbed  $\text{H}_2\text{O}$  through O–H–O bond: (a) the (100), (b) the (110), (c) the (111) surfaces.

**Table 3.** The  $E_{\text{bon}}$  (eV) values of acid radicals bonding with the H of adsorbed  $\text{H}_2\text{O}$ .

Slabs	$\text{HC}_2\text{O}_4^-$	$\text{H}_2\text{PO}_4^-$	$\text{HSO}_4^-$
$(100)^0$	−2.23	−1.41	−0.52
$(110)^0$	−1.70	−0.83	−0.04
$(111)^0$	−2.49	−1.73	−0.80



**Figure 2.** The acid radicals stripping off the two H of  $\text{H}_2\text{O}$  by two steps on the anodic aluminum slabs: (a) the  $(100)^{+1}$ , (b) the  $(110)^{+1}$ , (c) the  $(111)^{+1}$  surfaces.



**Figure 3.** The equations of acid radicals stripping off H in H<sub>2</sub>O on the anodic aluminum slabs.

**Table 4.** The  $E_{\text{str}}$  (eV) values of acid radicals stripping off one of the H in  $\text{H}_2\text{O}$ . The a, b, c, d, e and f correspond to the labels of reactions in Figure 3. Subscript “1” is for the direction of reactions in Figure 3.

<b>Slabs</b>	<b>a<sub>1</sub></b>	<b>b<sub>1</sub></b>	<b>a<sub>1</sub> + b<sub>1</sub></b>	<b>c<sub>1</sub></b>	<b>d<sub>1</sub></b>	<b>c<sub>1</sub> + d<sub>1</sub></b>	<b>e<sub>1</sub></b>	<b>f<sub>1</sub></b>	<b>e<sub>1</sub> + f<sub>1</sub></b>
(100) <sup>+1</sup>	-3.21	-2.54	-5.75	-3.24	-2.57	-5.81	-2.57	-1.91	-4.48
(110) <sup>+1</sup>	-2.33	-2.15	-4.48	-2.42	-2.24	-4.66	-1.76	-1.58	-3.34
(111) <sup>+1</sup>	-2.97	-3.37	-6.34	-3.08	-3.48	-6.56	-2.49	-2.89	-5.38

The acid radical continuously stripping the two H atoms off the adsorbed H<sub>2</sub>O on the anodic aluminum slab is the fourth behavior of the four molecules. The binding energies of absorption for the three acid radicals (see Table 1) are actually lower than those of reacting with H<sub>2</sub>O (see Table 4). The reaction of extracting H from H<sub>2</sub>O has a larger tendency than adsorption for the three radicals. It can be deduced that the adsorption of radicals really occurs, with much less influence on the formation of the Al–O bond. In the course of

alumina formation, the function of the acid radical is to pull off the two H atoms of the adsorbed  $\text{H}_2\text{O}$ , and the role of  $\text{H}_2\text{O}$  is the supplier of oxygen in alumina.

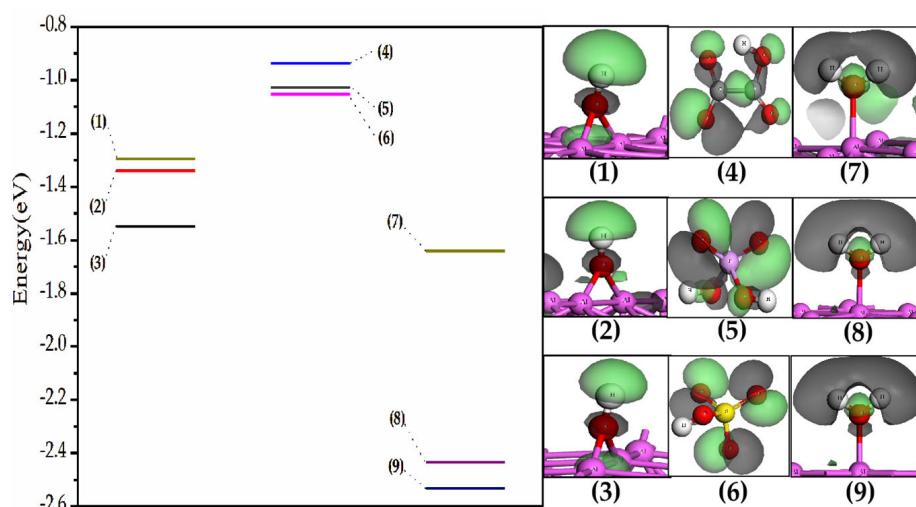
### 2.5. The Reasons for Acid Radicals Stripping off H Atoms of $\text{H}_2\text{O}$

The acid radicals stripping off the H atoms of the adsorbed  $\text{H}_2\text{O}$  are chemical reactions including the changing of chemical bonds. DFT energies about bond changes are some of the most accurate theoretical results, and can be interpreted by analysis of the Klopman–Salem equation [32] from a molecular orbital theory perspective. The Coulombic and/or covalent interactions between the acid radicals and H atoms determine the reactions in the Klopman–Salem equation:

$$\Delta E = \frac{Q_{\text{Nu}} Q_{\text{EI}}}{\epsilon R} + \frac{2(\beta C_{\text{Nu}} C_{\text{EI}})^2}{E_{\text{Nu,HOMO}} - E_{\text{EI,LUMO}}} \quad (5)$$

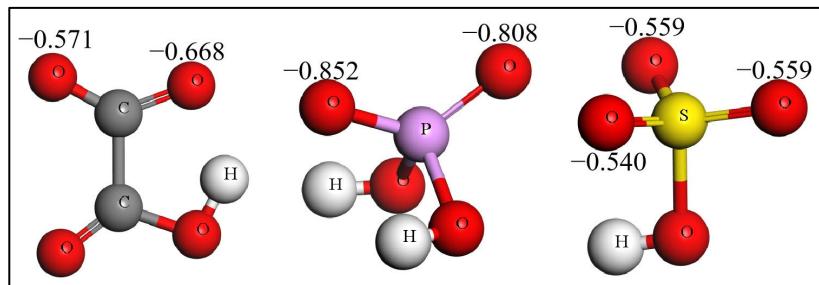
where  $\Delta E$  is the energy change of the reaction,  $Q_{\text{Nu}}$  is the charge of the nucleophile,  $Q_{\text{EI}}$  is the charge of the electrophile,  $\epsilon$  is the local dielectric constant,  $R$  is the distance between the nucleophile and electrophile,  $\beta$  is the resonance integral,  $c$  is the coefficient of the molecular orbit to form the new bond,  $E_{\text{Nu,HOMO}}$  is the HOMO energy of the nucleophile and  $E_{\text{EI,LUMO}}$  is the LUMO energy of the electrophile. In the present work, the nucleophiles are acid radicals and the electrophiles are the H atoms of adsorbed  $\text{H}_2\text{O}$ . In the Klopman–Salem equation, the first term and the second term represent the Coulombic interaction and orbital interaction between acid radicals and H atoms, respectively.

If the orbital interaction determines the reaction of acid radicals stripping off H atoms, the  $\Delta E$  should inversely relate to the difference between  $E_{\text{Nu,HOMO}}$  and  $E_{\text{EI,LUMO}}$ , as shown in the second term of the Klopman–Salem equation. Figure 4 shows the difference between  $E_{\text{acid radical,HOMO}}$  (the HOMO energy of the acid radical) and  $E_{\text{H}}$  (the orbital energy of H in the adsorbed  $\text{H}_2\text{O}$  and OH). The value of  $(E_{\text{HSO}_4^-,\text{HOMO}} - E_{\text{H}})$  is the smallest one, which is close to that of  $(E_{\text{H}_2\text{PO}_4^-,\text{HOMO}} - E_{\text{H}})$ . The value of  $(E_{\text{HCO}_4^-,\text{HOMO}} - E_{\text{H}})$  is the largest one. In Table 4, the reaction energy change of  $\text{HSO}_4^-$  stripping off H is the lowest one, the  $\text{H}_2\text{PO}_4^-$  has the largest reaction energy change and the  $\text{HCO}_4^-$  has a large reaction energy change, too. The results in Table 4 have no regular relationship with the values of  $(E_{\text{acid radical,HOMO}} - E_{\text{H}})$ , and, thus, the orbital interaction does not determine the reaction of acid radicals stripping off H atoms.



**Figure 4.** The energy levels and shapes of H in OH adsorbing on the anodic aluminum slabs: (1)  $(111)^{+1}$ , (2)  $(110)^{+1}$ , (3)  $(100)^{+1}$ ; the energy levels and shapes of HOMO of acid radicals: (4)  $\text{HCO}_4^-$ , (5)  $\text{H}_2\text{PO}_4^-$ , (6)  $\text{HSO}_4^-$ ; the energy levels and shapes of H in  $\text{H}_2\text{O}$  adsorbing on the anodic aluminum slabs: (7)  $(110)^{+1}$ , (8)  $(100)^{+1}$ , (9)  $(111)^{+1}$ .

If the Coulombic interaction determines the reaction of acid radicals stripping off H atoms, the  $\Delta E$  should positively relate to the product of  $Q_{\text{Nu}} Q_{\text{EI}}$ , as shown in the first term of the Klopman–Salem equation. Figure 5 shows the charges of the O atom of  $\text{HCO}_4^-$ ,  $\text{H}_2\text{PO}_4^-$  and  $\text{HSO}_4^-$ . These O atoms directly interact with the H atoms with charge of  $Q_H$ . The order of charge values is  $Q_{\text{O}, \text{H}_2\text{PO}_4^-} > Q_{\text{O}, \text{HCO}_4^-} > Q_{\text{O}, \text{HSO}_4^-}$ , so there should be  $Q_{\text{H}} Q_{\text{O}, \text{H}_2\text{PO}_4^-} > Q_{\text{H}} Q_{\text{O}, \text{HCO}_4^-} > Q_{\text{H}} Q_{\text{O}, \text{HSO}_4^-}$  for the three acid radicals interacting with the same kind of H. In Table 4, the reaction energy changes of  $\text{HCO}_4^-$ ,  $\text{H}_2\text{PO}_4^-$  and  $\text{HSO}_4^-$  are also in the order of  $\text{H}_2\text{PO}_4^- > \text{HCO}_4^- > \text{HSO}_4^-$ . The order of  $\Delta E$  is same as that of the products of  $Q_{\text{H}} Q_{\text{O}}$ , acid radical, so  $\Delta E$  positively relates to the product of  $Q_{\text{H}} Q_{\text{O}}$ . The reactions of dehydrogenation may be dominated by the Coulombic interaction.



**Figure 5.** The charges of the O atoms in acid radicals.

Since the reaction is solely affected by Coulombic interaction (the first term of the Klopman–Salem equation), there are two further inferences. The first one is that the acid radical with larger-charged O has the greater ability to extract the same kind of H atom of adsorbed  $\text{H}_2\text{O}$ . The second one is that it is easier for a larger-charged H of adsorbed  $\text{H}_2\text{O}$  to be stripped off by the same acid radical.

The positive charge of the slab makes the charge of H of adsorbed  $\text{H}_2\text{O}$  and OH increase, as shown as Table 5. This means the  $Q_{\text{H, neutral}}$  is smaller than the  $Q_{\text{H, positive}}$ . The product of  $Q_{\text{H, neutral}} Q_{\text{O, acid radical}}$  is smaller than that of  $Q_{\text{H, positive}} Q_{\text{O, acid radical}}$ . The product value of charges indicates that the reaction of stripping off H on the positively charged slab is easier. The H atoms of  $\text{H}_2\text{O}$  adsorbed on the neutral slab really cannot be stripped off, see Figure 1, while those on the positively charged slab can be taken away by the same acid radical, see Figure 2. The second inference is reasonable.

**Table 5.** The charges of the two H of adsorbed  $\text{H}_2\text{O}$ ; the charges of the H of adsorbed OH.

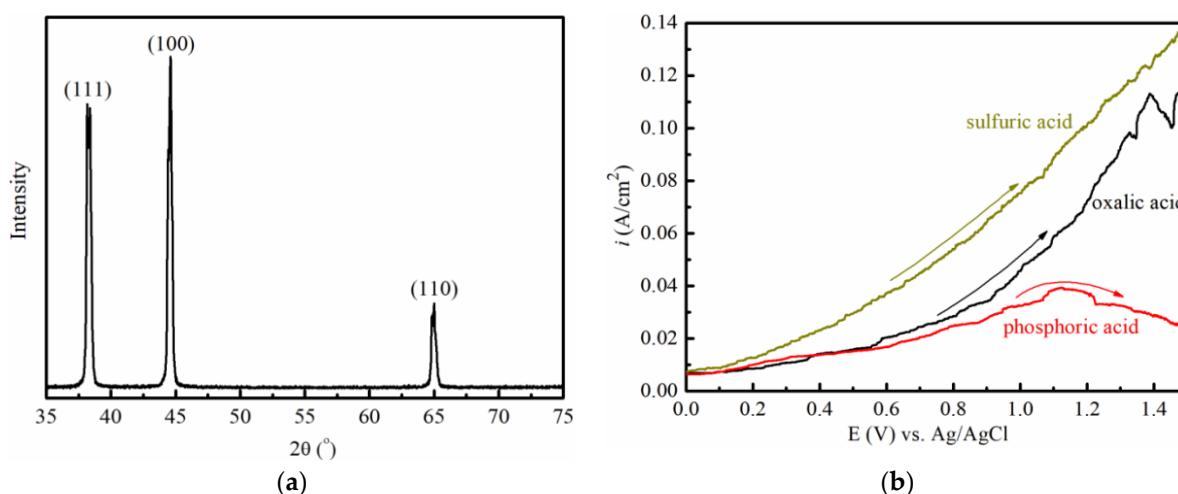
Slabs	H	H	Slabs	H
(100) <sup>0</sup> - $\text{H}_2\text{O}$	0.274	0.274	(100) <sup>0</sup> -OH	0.261
(110) <sup>0</sup> - $\text{H}_2\text{O}$	0.267	0.267	(110) <sup>0</sup> -OH	0.260
(111) <sup>0</sup> - $\text{H}_2\text{O}$	0.282	0.282	(111) <sup>0</sup> -OH	0.298
(100) <sup>+1</sup> - $\text{H}_2\text{O}$	0.322	0.322	(100) <sup>+1</sup> -OH	0.323
(110) <sup>+1</sup> - $\text{H}_2\text{O}$	0.311	0.311	(110) <sup>+1</sup> -OH	0.312
(111) <sup>+1</sup> - $\text{H}_2\text{O}$	0.328	0.328	(111) <sup>+1</sup> -OH	0.343

According to the courses of proving the two inferences, the acid radical with larger-charged O in favor of the dehydrogenation of adsorbed  $\text{H}_2\text{O}$  and the positive charge of the anodic slab are necessary for accelerating the process of the H leaving the adsorbed  $\text{H}_2\text{O}$ .

## 2.6. The Experimental Verification

The calculation results, see Table 4, indicate that the abilities of dehydrogenation of the three acids are in the order phosphoric acid > oxalic acid > sulfuric acid. In other words, the forming of the anodic alumina is the easiest in the phosphoric acid aqueous solution, and it is the most difficult in the sulfuric acid aqueous solution.

In order to verify the theoretic results, the anodic alumina will, respectively, grow in the three acid solutions. The aluminum foil with (100), (110) and (111) faces, see Figure 6a, is immersed into the acid solution to perform anodic polarization. In the sulfuric acid solution, the current rises with the increasing potential of the aluminum anode. In the oxalic acid solution, the change in current has a similar trend to that in the sulfuric acid solution, but the current of the oxalic acid solution is smaller than that of the sulfuric acid solution. In the phosphoric acid solution, the current maintains the smallest value, and the polarization curve is far under the curves generated in the oxalic and sulfuric acids solutions, as shown in Figure 6b. The reduction in the current arises from the coverage of the anodic surface by the growth of alumina. The polarization curves of Figure 6b imply that the phosphoric acid has the most powerful ability to extract H from the  $\text{H}_2\text{O}$  adsorbed on the anodic surface, and to facilitate the growth of anodic alumina. The sulfuric acid is the weakest one. The oxalic acid lies between the former two acids.



**Figure 6.** (a) The XRD peaks of aluminum foil. (b) The anodic polarization of aluminum in acid solutions.

The experiment result has the same trend as the theoretic calculation. It verifies the theoretic interpretation of the formation course of anodic alumina.

The acid radical stepwisely removes the hydrogen atoms of  $\text{H}_2\text{O}$  adsorbed on the anodic surface. The ability of dehydrogenation is in the order  $\text{H}_3\text{PO}_4 > \text{H}_2\text{CO}_4 > \text{H}_2\text{SO}_4$ . The reaction of dehydrogenation is dominated by the Coulombic interaction between the oxygen anion of the acid radical and the hydrogen of the water, rather than by the frontier molecular orbits of the acid radical and water.

This work only supplies a reference for selecting acid and interprets the initial stage of alumina formation. The incrassation of alumina involves the transfer of oxygen and aluminum ions in the alumina layer and the dehydrogenation of water on the alumina surface. These processes need a follow-up study.

### 3. Materials and Methods

#### 3.1. Computation

The oxalic acid, phosphoric acid and sulfuric acid are frequently used as the acid component of electrolyte in preparing anodic alumina. In the aqueous solution of oxalic acid, phosphoric acid or sulfuric acid, the three kinds of acid radicals  $\text{HC}_2\text{O}_4^-$ ,  $\text{H}_2\text{PO}_4^-$  or  $\text{HSO}_4^-$ , respectively, are the dominant species. The (100), (110) and (111) are the main exposed faces on the aluminum surface. The water, three acid radicals and Al (100), (110) and (111) faces were selected as the specific model to investigate the roles of water and acid in the formation of the Al–O bond of anodic alumina.

Al (100), (110) and (111) slabs ( $p5 \times 5$ ) with 5 layers and 20 Å vacuum range were built, see Figure S1. The atomic coordinates of the inner three layers (blue) are fixed,

while the atoms of the outer two layers (pink) relax freely. The small molecules including  $\text{H}_2\text{O}$ ,  $\text{HC}_2\text{O}_4^-$ ,  $\text{H}_2\text{PO}_4^-$  and  $\text{HSO}_4^-$  were placed on the center of slabs in the course of calculation. Computations were performed using DMOL3 code, which adopts fully self-consistent DFT calculations to solve Kohn–Sham equations. The generalized gradient approximation (GGA), with the functional PBE for metallic surfaces adsorbing with some small molecules [33], was employed. For all models, the double numerical plus polarization (DNP) [34] was selected as the basis set. The ultrasoft pseudopotentials for Al, S, P, O, C and H were used in all calculations. The energy convergence tolerance was  $2 \times 10^{-5}$  eV per atom.

### 3.2. Experiment

Commercial aluminum foils was prepared. They simultaneously have three faces of (100), (110) and (111), which was confirmed through the analysis of X-ray diffraction (XRD) on a PAN-analytical-X'Pert PRO X diffractometer equipped with Cu K $\alpha$  radiation ( $\lambda = 0.15406$  nm). The aluminum foils were cut into small squares with a tail as the outgoing line. The side length of the squares is 10 mm. The squares were washed subsequently with acetone and ethanol. Electrochemical tests were successively performed in the oxalic acid solution (0.1 mol/L), phosphoric acid solution (0.1 mol/L) and sulfuric acid solution (0.1 mol/L). The Versatile Multichannel Potentiostat 2/Z (VMP2, Princeton Applied Research) was employed in the test. The Ag/AgCl reference electrode was adopted to obtain the relative potential. The potential sweeping speed is 10 mV s $^{-1}$  in this work.

## 4. Conclusions

The  $\text{H}_2\text{O}$ ,  $\text{HCO}_4^-$ ,  $\text{H}_2\text{PO}_4^-$  and  $\text{HSO}_4^-$  have four kinds of adsorption behaviors on the aluminum slabs: (1) all of them can spontaneously adsorb on the aluminum slabs; (2) the  $\text{HCO}_4^-$ ,  $\text{H}_2\text{PO}_4^-$  and  $\text{HSO}_4^-$  have the ability to replace the adsorbed  $\text{H}_2\text{O}$ ; (3) the  $\text{HCO}_4^-$ ,  $\text{H}_2\text{PO}_4^-$  and  $\text{HSO}_4^-$  can connect with the adsorbed  $\text{H}_2\text{O}$  through the O–H–O bond on the neutral aluminum slabs and (4) on the anodic aluminum slabs, the  $\text{HCO}_4^-$ ,  $\text{H}_2\text{PO}_4^-$  and  $\text{HSO}_4^-$  connected with the adsorbed  $\text{H}_2\text{O}$  can further polarize the O–H bond of  $\text{H}_2\text{O}$  and strip off its H. The three radicals can continuously extract the second H of  $\text{H}_2\text{O}$ . The process going on in cycles leaves the O being left to form alumina. The third and fourth behaviors are the key steps of the formation of anodic alumina. The effect of radicals is to take the H away from the  $\text{H}_2\text{O}$ . The effect of the  $\text{H}_2\text{O}$  is to supply the O for anodic alumina.

The dehydrogenation processes between the acid radicals and adsorbed  $\text{H}_2\text{O}$  on the anodic aluminum slab are thermodynamically feasible and have no potential barriers. These processes are dominated by the Coulombic interaction of the O of radicals and H of adsorbed  $\text{H}_2\text{O}$  or OH, rather than by the interaction of electronic orbits located on the two kinds of atoms. The experiment verifies the theoretic interpretation of formation course of anodic alumina well.

The energy changes of extracting H on the three faces are different. The effect of aluminum slab structures influence the anodic alumina formation, too. This factor will be investigated in the follow-up study.

**Supplementary Materials:** The following supporting information can be downloaded at: <https://www.mdpi.com/article/10.3390/molecules28062427/s1>; Figure S1: The acid radicals and water adsorb on the neutral and positively charged slabs; Figure S2: The acid radicals substitute water on the neutral and positively charged slabs; Figure S3: The acid radicals substitute water on the natural and positively charged slabs.

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**Sample Availability:** Samples of aluminium and three acids are available from the authors.

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